



Administrator's Guide Powertech Network Security 7.21









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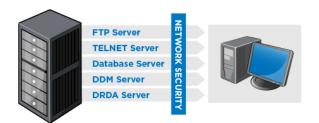
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# Welcome to Powertech Network Security



Note: If you are using Network Security 7 version 7.07 or earlier, refer to the Network Security 7.07 Administrator's Guide. If you have just updated from version 7.07 or earlier, see Appendix M: Interface Changes in Network Security 7.08 for a list of green screen interface changes.

Powertech Network Security™ is a comprehensive software solution designed to help you understand and control network access to your Power Systems™ running IBM i data and services. Today, your network can include IBM i servers, PCs, mainframes, and multiple UNIX and Linux systems. In this networked environment, tools like FTP, Client Access Express Data Transfer, Remote SQL, DDM, and others allow easy access to your IBM i data and services. These alternative access methods bypass the traditional menu-based security used by many IBM i installations. In today's networked environment, even attaching one PC to your IBM i introduces a new set of security challenges that you need to consider and deal with effectively.

The IBM i security architecture is very robust, and has received the Department of Defense C2 security rating for "Trusted Systems"-when it is properly configured. The security exposures introduced by network data access tools like FTP and ODBC do not indicate a failure on the part of IBM i security. Instead, the data access level you provide to a user using IBM i security for green screen access through menus and screens is not the same level of access you want to allow using network tools like ODBC.

For example, the IBM i authority that allows a user to view the contents of a Payroll file is the same authority needed to download the file to a PC and post it on the Internet. IBM recognized the potential issues and introduced additional security features to manage the problem. Powertech Network Security leverages these additional features to provide a separately controlled level of network data access and service access.

The following table provides an overview of the IBM i authorities and the capabilities of users to access and manipulate data and other objects using three different access methods.

Authority	IBM i Green Screen User	PC User without Network Security	PC User with Network Security
*USE		View, download file	
*CHANGE	Restricted by menu security	Add, change, delete records	Controlled by Network
*OBJMGT		Clear or replace file	Security
*ALL		View, add, change, or delete file	

For example, consider payroll supervisor Bob:

- Bob has \*ALL authority to the payroll master file so he can make changes to pay rates and add new employees through green screen menus.
- However, Bob is also familiar with programs like Microsoft Excel and Microsoft Access. Using
  these PC-based programs, Bob's \*ALL authority allows him to add, change, and delete records from
  the payroll master. In fact, he could delete all the records from the file, or even delete the file
  altogether. Even a simple typing error on Bob's part could wipe out the entire payroll file.
- By configuring Powertech Network Security to control Bob's network access authorities, you can easily prevent any of these scenarios.

## What is Powertech Network Security?

Powertech Network Security interfaces directly with IBM i network access points to control and audit network access requests. The ability to audit and control network access allows Network Security to provide Intrusion Detection, and to alert the system administrator when someone attempts unauthorized access through the network.

Network Security lets the system administrator easily configure all network access rules, including what users can perform what functions. For example, "Can Joan in Accounting download the Payroll Master file?," or more generically, "Can Joan use the file download function at all?"

Network Security also allows you to easily manage remote access by specifying which SNA device or IP address, or range of IP addresses, can perform critical functions, such as FTP. Its Switch Profile feature allows system administrators to customize levels of network access control for a user or a group of users. Using native IBM i security, Network Security Switch Profiles lets the administrator decrease, or even increase, a user's authority to data or services.

Increasing a user's authority is critical when IBM i is configured to allow "Application Only" access in which all data files are restricted from view by all users. Network Security does all this without the need to change your existing IBM i security scheme, saving valuable time and effort.

Network Security uses a secure audit journal to log all unauthorized attempts to gain access to IBM i data and services. This allows system administrators to receive alerts in real time when any unauthorized access is attempted.

When Network Security is installed on multiple systems in a network, the system administrator can use Powertech Central Administration to manage all Endpoints from a central Management System. Rules can easily be copied from one system to another, improving management efficiency. Security policy changes, for example, can be implemented across large networks with speed and precision. Furthermore, audits can ensure Network Security's Rules and configuration on Endpoints matches that of the Management System, and Remedies can be used to manage any discrepancy.

See Central Administration Administrator's Guide for more information on Central Administration.

Rule configuration can be managed using the classic green screen interface familiar to IBM i users, or using HelpSystem's Insite web browser interface, which grants access to most of Network Security's functions in a format compatible with both desktop and mobile devices. Insite's Dashboards offer a visual representation of network activity, as well as easy access to view and edit rules for multiple systems across your network when used in conjunction with Central Administration. See <a href="HelpSystem's Insite">Help for more details.</a>

## Powertech Network Security Architecture

IBM i provides full support to many TCP/IP applications including FTP, TELNET, DDM, ODBC, database serving, print serving, and many others. The following figure illustrates how the unprotected IBM i is available to any networked client tool.



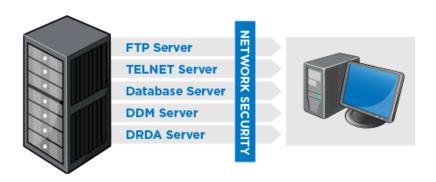
Network Access to TCP/IP Applications without Powertech Network Security

Under this scenario, IBM i object-level authorities are in force. However, there are two main problems with this approach:

- 1. There is no record of who did what! The IBM i server programs do not record who is accessing your system, nor do they record the activity that is performed. For example, a user might use FTP to download the payroll file to their PC, but you have no way of knowing that this has occurred.
- 2. You are relying solely on your IBM i object authorization scheme to control access to sensitive data files and other objects. If your authorization schemes are too liberal, you are allowing access to restricted data. If your authorization schemes are too rigid, you close off access to the data that users need to perform their jobs.

#### Network Access to IBM i Servers

The figure below shows how Network Security acts as your software firewall between networked clients and your IBM i servers. Network Security eliminates the problems you would see in the previous scenario:



Network Access controlled by Powertech Network Security

- 1. Network Security provides a secured audit and reporting capability so you can easily see who is doing what. It also provides real-time alerts when a user tries to circumvent the access rules you've specified.
- Network Security allows you to configure network access rules to control who can do what. For example, using Network Security you can enforce network rules that say "Bob is allowed to download the payroll file, but cannot upload the file, or modify it in any way."

Network Security integrates with the IBM i network server programs at the exit point level. When you activate Network Security, several exit point programs are installed in the IBM i registration facility. You can view the names of these exit-point programs using the IBM i WRKREGINF (Work with Registration Information) command.

The Powertech Network Security exit point programs are called when someone makes a network request to the associated server. For example, when a user requests an FTP logon, the IBM i FTP server passes control to the Network Security exit point program to allow Network Security to validate the request. Network Security may tell the FTP server to accept or reject the FTP logon request, or to perform some other action, such as sending an alert message that an intruder has tried to penetrate the system.

For a complete overview of the IBM i servers and their functions, see <u>Appendix B: Servers and Functions</u>. You'll find useful information to help you define your Network Security access rules.

## Network Security Auditing & Reporting vs. Access Control

Powertech Network Security is actually two different products in one package. In addition to controlling network access, it also includes powerful auditing and reporting features.

#### **Auditing and Reporting**

Network Security's auditing and reporting options allow you to collect audit information and to print reports about who is doing what on your system through the network interfaces. Network Security can report on all network accesses, so you can easily see potential security exposures initiated by tools such as FTP, ODBC, data transfer, and so on. This information can be used to implement Access Control Rules.

#### **Controlling Network Access**

Access Control Rules can be used to allow or reject specific Users, Locations (e.g ip addresses), or transactions. The information you acquire from reports can be used to define a robust security policy based on the nuances of your network activity. For example, using the report information, Rules can be configured to allow authorized activity, then Network Security's default public rule (\*PUBLIC) can be set to \*REJECT in order to refuse all other access attempts.

## Getting Started with Network Security

Before installing Network Security, refer to the <u>Network Security Release Notes</u> to learn about the latest updates.

**NOTE**: The Powertech installation procedure creates libraries, profiles, authorization lists, commands, objects, and, in some cases, exit points on your system. Changing the configuration of any of these installed application components may result in product failure.

#### Installation and Activation Introduction

Installation and activation are two separate processes. First, the installation process installs Network Security on your IBM i system. The second process, activation, activates the Network Security exit programs. If you install the software, but do not complete the activation process, Network Security protection and auditing are not active. However, after you have completed both processes, Network Security can actively audit and secure your network traffic. You can install Network Security at any time, but activation requires planning and scheduling.

See <u>Installing or Updating Network Security 7 (v7.04 and higher)</u> on the HelpSystems website for installation and update instructions.

**NOTE**: Powertech Network Security makes two changes to your network attributes during exit program activation. These modifications are necessary so the operating system is aware of the exit programs that have been assigned to the IBM exit points.

Parameter	Description	Before	After
DDMACC	DDM request access	*OBJAUT	PTNS0107
PCSACC	Client request access	*OBJAUT	*REGFAC

## Adding and Configuring Managed Systems

You can start Network Security from the IBM i Main Menu.

#### **To Start Network Security**

- 1. From the IBM i Main Menu, enter **POWERTECH**.
- 2. Enter 2 to view the Powertech Network Security Main Menu.

#### To start Network Security from the Command Line

• Type PTNSLIB07/WRKPTNS or PTNSLIB/WRKPTNS depending on your product library.

## Adding Managed Systems (Endpoints)

The following instructions explain how to add systems for management by Network Security either from the green screen or the HelpSystems Insite web browser interface. Once managed systems have been configured in Network Security, they can be connected to Insite in order to be managed from a web browser. For details on adding systems to HelpSystems Insite, see <a href="IBM i Connections">IBM i Connections</a> in the Insite Server Settings Help.

Each system to be managed requires a licensed Network Security installation. Once an Endpoint to be managed has been installed, it can be licensed from within the Management System. After adding systems, assign them to System Groups in order to manage them together.

If you intend to use Network Security on the Management System only, you can skip the instructions in this section.

#### **Defining Systems**

**NoTE:** When a system is defined as an Endpoint and connected to the Management System, Network Security is also accessible by an administrator by logging in to the Endpoint directly. Changes made to an Endpoint directly are not reflected in Network Security's configuration on the Management System. Use Audits to identify discrepancies between the Management System and Endpoints (see Auditing Network Security Rules).

Before you begin, select the system you would like to use as the *Management System*. This is the system that will be used to manage Network Security across your network. Then, identify the systems to be managed, or *Endpoints*. Then, proceed with the following instructions:

#### On Each Endpoint

- 1. Install Network Security on each system for which you want to manage user rules. At installation, each system is a Management System for itself.
- To allow one system to be the Management System, sign on to each Endpoint system and do the following: Type POWERTECH on a command line and then take menu option 80, Central Administration.
- 3. On the Central Administration Main Menu, select option 3, Network Configuration Menu.
- 4. On the Network Configuration Menu, select option 1, Work with Systems.
- 5. Enter option 2, Change, next to the endpoint system.

PPL3311 PowerTech Central Administration 08: 27: 04 Change System PAPA . . . . . . . . : PAPA Description . . . . . : Endpoint PAPA Port . . . . . . . . . : 7734 System Information: Is Manager . . . . . . : \*NO System Serial Number . . : 21828CV System Model Number . . . : 42A Processor Feature Code . : EPXF OS version . . . . . . : V7R2M0 Status updated . . . . . : 2017-02-01-08.16.05.912536 F3=Exit F4=Prompt F5=Refresh F12=Cancel

- 6. Pick a port number to use on both the Endpoint and Management System.
  - Each Endpoint can use the same port number (recommended).
  - Each Endpoint can have a different port.
  - On the Change System panel, enter a description, the IP address or the name by which the system is known, and the port number that will be used to communicate with the Central Administration Management System. You will use this port number when you add the system to the network from the Management System. It is recommended that you set all the ports to the same number so that it is easily remembered, but this is not required. The same port number is required for the Management System and the Endpoint. If you change to a different port number, you will need to do so for the Management System and the Endpoint.
- 7. Start the Central Administration monitor jobs using the command **PPLSTRMON**. This starts four monitor jobs in the PTWRKMGT subsystem: PPLCMNMON, PPLCMNSVR, PPLEVTMON, and PNSEVTMON.

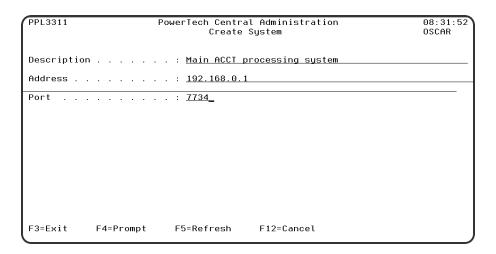
**NOTE**: PNSEVTMON must be running in order to add user profile rules in Network Security. If for some reason PNSEVTMON gets shut down, you can issue PTNSLIB07/PNSSTRMON (or PTNSLIB/PNSSTRMON, depending on your product library) to restart the monitors, restoring the ability to add user profile rules.

#### On the Management System

- 1. Sign on to the system designated as the Management System and do the following: Type **POWERTECH** on a command line and then take menu option **80**, Central Administration.
- 2. On the Central Administration Main Menu, select option 3, Network Configuration Menu.
- 3. Start the monitor jobs: PPLSTRMON
- 4. On the Network Configuration Menu, select option **1**, Work with Systems. The Work with Systems panel lists all systems that have been defined in Central Administration.

- 5. Press F6 to add a new system. Enter a brief description of the system on the Create System panel. Specify the address (either the IP address or the name by which the system is known) and the port number you entered on the endpoint system that is used to communicate with the system.
- 6. Press Enter to include the Endpoint as a managed system. The system name and system information (serial number, model number, and whether the system is the Central Administration Management System) display on the panel.

**NOTE**: The monitor jobs must be running on the Endpoint in order for it to be included as a managed system.



7. You also can enter product license information for an Endpoint. Enter option 7, Licenses next to the system name to display the Work with Licenses for System panel. Select the product for which you want to enter the license code with option 2. Use the License Entry panel to enter the license code.

## Configuring Network Security Product Security Roles

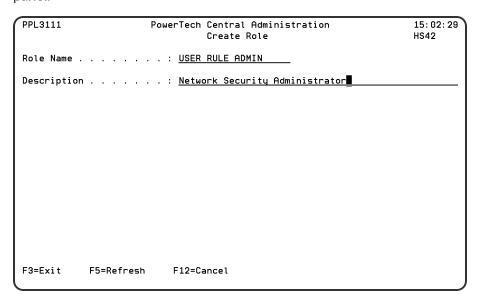
A Product administrator on the PTADMIN Authorization list has unencumbered access to all aspects of both Network Security and Central Administration. This high level of authority may be excessive if a Powertech user does not require access to every function in order to perform their required administrative tasks. To delegate access to the required subset of product functions, define Product Security *Roles*. A Role overrides the global authorities provided by the PTADMIN authorization list and defines the user's authority over the managed systems. For example, if a user is Report Personnel, and does not require access to non-report-related functions, you can define a "Report" Role, then assign this Role to the individual responsible for running reports in order to issue them access to *only* report-related functions.

#### To turn on Role Based Security

- 1. From the Powertech Main Menu, enter 80, Central Administration.
- 2. Enter 2, Product Security Menu, then 2, Product Security Controls.
- 3. Set Role-Based Security to 1.

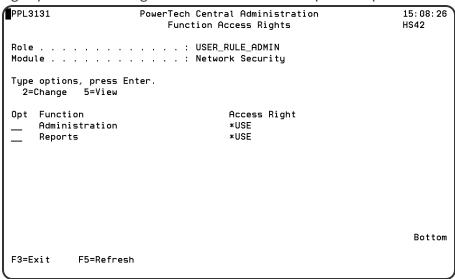
#### To Create a Role

- 1. From the Powertech Main Menu, enter 80, Central Administration.
- 2. Enter 2, Product Security Menu, then 1, Work with Roles.
- 3. Press F6 to create a new Role, then enter a Role name and brief description on the Create Role panel.



- 4. Press Enter.
- 5. Enter 7, Function Access Rights, for the Role you created.
- 6. Enter 2, Change, for the Network Security Module.
- 7. Select each function on the Function Access Rights panel with option 2 and specify the access

right you want to assign to the function. See the panel help for a description of the possible values.



8. After you have defined the access rights, press F3 twice to return to the Work with Roles panel.

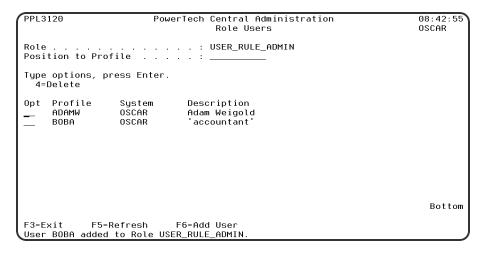
**NOTE:** When you create a new role, all access rights are automatically set to \*EXCLUDE.

- 9. Now that you've defined a Role and its access rights, you can add users to the Role. Enter option 6 (Role Users), next to the Role.
- 10. On the Role Users panel, press F6, Add User, to display the Select User Profile panel. Enter a 1 next to each user profile you want to add to the Role; you can add multiple users at the same time.

  Press Enter to add the users.

**NOTE**: A user can be assigned to only one role at a time. If a user already is assigned to a different role, you'll be asked if you want to transfer the user to the new role.

For more information, see "Product Security - Creating Roles" in the Central Administration Administrator's Guide.

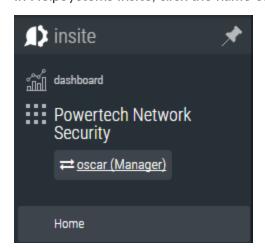


#### **Switching Systems**

After you have installed and licensed Network Security on one or more Endpoints, you can log in to the Management System to manage any of these systems.

Press F7 in the green screen (in any panel that includes this option) to open the <u>Select Systems panel</u>, which allows you to choose a new system to work with.

In HelpSystems Insite, click the name of the system in the Navigation Pane.



## **Network Security System Values**

After you've installed Network Security, use the Work with System Values screen to set the initial system values for Network Security.

- 1. From the Network Security Main Menu, select option 81, Configuration Menu.
- 2. Select option **1**, Work with System Values, to display the Work with System Values screen. The Work with System Values screen allows you to set and maintain your Network Security system values.
- To change default system values, enter your changes and press Enter to save them. A confirmation message, Network Security System values successfully updated, displays at the bottom of the screen.

**NOTE**: You cannot change the Product Owner, Product Library, and Product Administrator system values. However, you can modify the system values for Log Journal Name, Log Journal Library, Log Message Queue Name, and Log Message Queue Library at any time.

## **Activating Powertech Network Security**

Network Security uses several exit programs that interact with the various <u>servers</u> on IBM i. For the servers to use the <u>exit programs</u>, the exit programs must be registered. The Network Security activation process uses the Add Exit Program (ADDEXITPGM) command to add the exit programs to the system registry. (You can use the Work with Registration Info [WRKREGINF] command to see a list of registered exit programs.)

You can select from either of two methods to register the exit programs:

- The Silent method (performed during an IPL)
- The Interactive method

Network Security provides several activation/deactivation options and information on your activation/deactivation setup.

**Compliance Monitor Users:** Interactive Activation of Network Security will stop Compliance Monitor. End Compliance Monitor prior to interactive activation, and then restart it after activation.

#### To activate Powertech Network Security

- 1. From the Network Security Main Menu, select option 81, Configuration Menu.
- 2. On the Configuration Menu, select option **2**, Work with Activation, to display the Work with Network Security Activation panel.

**NOTE**: The Interactive method stops and starts QCMN and QSERVER. If you want to use the interactive method on a production system, you should plan it at a time when it will not interfere with your critical business processes.

3. Enter a 1 next to a server to mark it for activation.

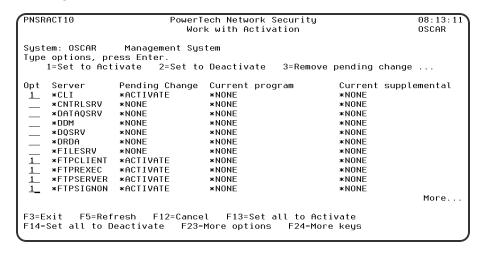
WARNING: Registering any exit program over the \*SQLSRV server can impact system performance since this server is called for each SQL request. High SQL traffic environments, or systems known to be underpowered, can experience significant delays when processing these requests. Consider object level security before Activating \*SQLSRV in Network Security.

```
PNSRACT 10
                           PowerTech Network Security
                                                                          08:16:36
                              Work with Activation
System: OSCAR
                  Management Sustem
Type options, press Enter
    1=Set to Activate
                         2=Set to Deactivate
                                              3=Remove pending change ...
                                  Current program
                  Pending Change
                                                           Current supplemental
     *CLT
                  *ACTIVATE
                                  *NUNE
                                                           *NUNE
     *CNTRLSRV
                  *NONE
                                  *NONE
                                                           *NONE
     *DATAQSRV
                  *NONE
                                  *NONE
                                                           *NONE
     *DDM
                  *NUNE
                                  *NUNE
                                                           *NUNE
     *DQSRV
                  *NONE
                                  *NONE
                                                           *NONE
     *DRDA
                  *NONE
                                   *NONE
                                                           *NONE
     *FILESRV
                  *NUNE
                                  *NUNE
                                                           *NUNE
     *FTPCLIENT
                 *ACTIVATE
                                  *NONE
                                                           *NONE
     *FTPREXEC
                  *ACTIVATE
                                   *NONE
                                                           *NONE
     *FTPSERVER
                 *ACTIVATE
                                  *NONE
                                                           *NONE
     *FTPSIGNON
                 *ACTIVATE
                                  *NONE
                                                           *NONE
                                                                          More..
          F5=Refresh
                       F12=Cancel
                                     F13=Set all to Activate
                             F23=More options
F14=Set all to Deactivate
```

Alternatively, you can choose F13 to activate all servers. If you do this, all servers are selected except the sockets-related servers. These can potentially render your system unreachable via TCP and need to be treated with the utmost caution. They can still be activated by selecting them with a 1.

4. When you press Enter, \*ACTIVATE displays in the Pending Change column on the Work with Activation panel.

Pending Activate request



5. After you set the Pending Change field to \*ACTIVATE, you must run the activation request to apply the Network Security exit program to each selected exit point. You can select to run an Interactive activation request (F20, Run activation) or a Silent activation request (F18, Add silent activation).

**NOTE**: To activate all exit points, press F3 (Set all to Activate).

**Note:** The \*DDM and \*DRDA servers, as well as the four ShowCase servers (see <u>Servers and Functions</u>), are physically the same server and are activated (or deactivated) together. If you choose to activate one, both/all are activated. They appear as separate servers in the list so that you can define different rules for each, and are interpreted as different servers at run time.

To activate all exit points, press F3 (Set all to Activate). The \*DDM and \*DRDA servers, as well as the four ShowCase servers (see Servers and Functions), are physically the same server and are activated (or deactivated) together. If you choose to activate one, both/all are activated. They appear as separate servers in the list so that you can define different rules for each, and are interpreted as different servers at run time.

## **Using Silent Activation (F18)**

For Network Security to activate itself at the next IPL, it changes the QSTRUPPGM system value to LNUR004, which is a Network Security-supplied program. Among the actions taken during the Silent Activation process, the program does the following at IPL (or when the controlling subsystem next starts):

If not a conversion from NS6, or conversion but no product library rename is to occur:

- 1. Registers all Network Security exit programs to the associated exit point.
- 2. Restores the QSTRUPPGM system value to the name of your original startup program.
- 3. Calls your startup program.

If a conversion from NS6 and the product library is to be changed to PTNSLIB:

1. Before powering down, all exit programs are deregistered and the TCP attribute STRTCP is set to \*NO.

**WARNING:** PWRDWNSYS **must** be used to IPL. Bringing the system into and out of restricted state is not enough, nor is ENDSYS or ENDSBS(\*ALL).

- 2. After powering up, when the IPL has completed and QCTL is started:
  - a. Sets the IPL attribute STRTCP to \*YES if it was \*YES before.
  - b. Swaps the library PTNSLIB07 name to PTNSLIB and puts NS6 in PTNSLIB06.
  - c. Registers all Network Security exit programs to the associated exit point.
  - d. Restores the QSTRUPPGM system value to the name of your original startup program.
  - e. Calls your startup program.

If you decide you don't want to use Silent Activation, display the Work with Activation panel and press F19, Remove silent activation.

Do not delete the Network Security product library after selecting Silent Activation without canceling the activation.

- Network Security activation recognizes the presence of an existing exit program and gives you
  the option to register it as a supplemental exit program. You do not need to do this, but you should
  be aware of the consequences if the current exit programs are being used for other processes on
  your system.
- A Powertech Network Security exit program cannot be made supplemental to itself.

### Verify the IBM i NetServer is Running

Due to time-sensitive interactions with IBM i system processes, there is a small possibility the IBM i NetServer will not be running after activation. Use the Work with Active Jobs command to verify there is a QZLSSERVER job running under the QSERVER subsystem.

#### WRKACTJOB SBS(QSERVER) JOB(QZLSSERVER)

If the QZLSSERVER job is not active, the IBM i NetServer must be started:

STRTCPSVR \*NETSVR

## Insite Web Browser Help

**NOTE:** The Network Security 7 web server has been discontinued in favor of the HelpSystems Insite web server and browser interface, which offers simultaneous viewing of rules across all systems on your network and support for other HelpSystems products. For information on adding Network Security to HelpSystem's Instite web UI, see IBM i Connections.

The HelpSystems Insite browser interface provides an efficient, interactive method of managing Network Security across all managed systems on your network. All the core functions of Network Security are available from Insite, including access to Rules, IP Address Groups, Pre-Filters, Product Defaults, Captured Transactions, Memorized Transactions, Object Rules, and Reports. Similar procedures are used for searching, filtering, adding, editing, deleting, and otherwise managing all of these items from your browser. The following instructions explain these common procedures.

## \*\*\*IMPORTANT: To users planning to use the HelpSystems Insite web browser interface with Network Security\*\*\*

If \*PUBLIC is locked down in your current Network Security configuration, you need to create rules that allow some of Network Security's profiles to access to the following server functions.

Server	Function(s)
*SIGNON	RETRIEVE
*RMTSRV	RMTCMD, DSTPGMCALL
*SQL	INIT

Server	Function(s)
*SQLSRV	OPENFETCH, PRPDESCRB
*QNPSERVR	INIT

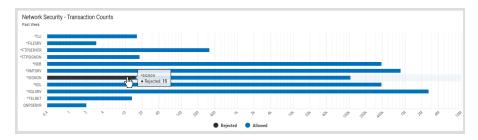
See <u>Creating a Service Profile User Rule - green screen</u> for information on how to allow these profiles to access these functions.

### Using Insite with Network Security

The following instructions provide an overview for how to manage Network Security using your web browser. For more details, see the <u>HelpSystems Insite help</u>.

#### **Dashboard**

The Dashboard allows you to see a snapshot of all network activity that has passed through (or been blocked by) Network Security.



Use the Dashboard to see an overview of your Network Activity.

To start the Dashboard Data Collector, which records the transactions shown in the Dashboard charts, in the green screen, run the command **PNSSTRDASH**. Or press **F22** to activate the Dashboard Data Collector using the <u>Operational Status screen</u>.

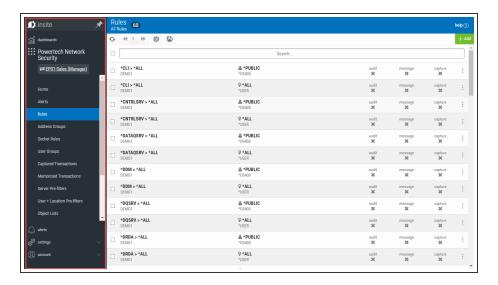
See Dashboards Overview for more details.

#### **Navigation Pane and Select Products Pane**

The Navigation Pane includes the general directory of management tools for Network Security, and, when open, is located on the left side of your browser window.

Click to allow the Navigation Pane to minimize. Click to pin the Navigation Pane open, so its contents remain visible.

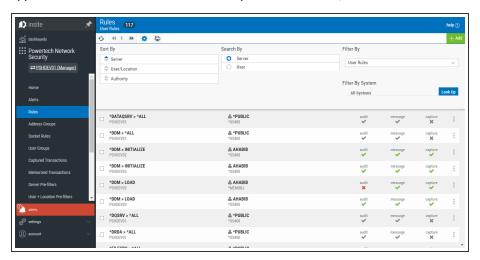
Click to open or close the Select Product Pane.



The Navigation Pane includes a directory of Network Security's main management tools.

#### Sort, Search, and Filter settings

Each of the main pages include settings that allow you to choose how to sort the existing list items, what type of data will be searched when you do a search, and how to filter the list.



- Click the Settings button to open the sort, search, and filter settings.
- Select how you want the status list sorted (Sort By). Click your selection again to change the sort order to ascending or descending.

**NOTE:** Sorting information, including the column the list is currently sorted by and the sorting direction, is available in your browser's address bar. For example, a URL that includes "sort/server/dir/1" indicates the list is sorted by *server*, *low to high*. A URL that includes "sort/server/dir/0" indicates the list is sorted by *server*, *high to low*.

• Select the list category that will be used for searching (Search By). For example, for Rules, you can choose to search by Server, User, or Location.

- Select the filtering you want used (Filter By). You can choose to see all the list items, or you can select a specific type.
- Click Close to close the settings.

#### Searching

Type into Insite's Search box to find all items that include the specified text. Be sure the text you are searching for is in the same category selected for "Search By" in the Sort, Search, and Filter settings (see above). A text search queries all items in the category selected for all servers shown.



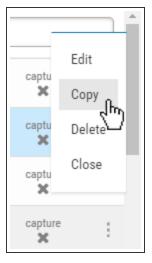
A search box appears near the top of your browser window.

**NOTE**: All search results are accompanied by a unique URL. To save search results, simply bookmark or otherwise record the URL located in your browser's address bar. This URL can then be used to reference the results later. The results will appear in the same sort order.

#### **Action Menu**

Roll your cursor over the right side of the list of Rules, Object Lists, and Pre-filters to display three dots

Click these dots to display a context menu you can use to Edit, Copy, or Delete the item.



### **Dashboards**



The Dashboard is a feature of the HelpSystemsInsite web browser interface. Insite can be downloaded from your User Account page on the HelpSystems website.

The HelpSystems Insite Dashboard can be used to display a visual representation of Network Security product activity. See *HelpSystems Insite Dashboard Overview* in the Insite for details.

A Dashboard can include any combination of *Widgets*, which are the individual visual displays of product data (e.g. charts, graphs, and so forth). See *Dashboard Widgets* in the Insite help for details on creating and editing Widgets. The type of Network Security data to include in a widget, such as the number of failed access attempts over a given time frame, is specified using Assets. See <u>Dashboard Assets</u> for a description of the types of Network Security data that can be added to an Insite Dashboard.

You can mix widgets from different products and Data Sources (servers) on the same Dashboard. You can create as many Dashboards as you like.

Dashboards are specific to the profile you used to log on. However, you can share them with everyone or keep them private, as needed. Users logging on with the guest profile can view only those dashboards marked as Guest. For more on the guest profile, see *Authentication* in the Insite help.

## **Exit Program Activation Considerations**

If exit programs have already been assigned to the exit points Network Security secures, you can retain them as supplemental exit programs by selecting the server for activation using option **11**, Set to Activate/Retain supplemental. When you run the activation process, the operating system registration

facility is updated to reflect that Network Security is the new exit program, and the Network Security internal tables are updated to show that your exit program is now a supplemental exit program.

Supplemental exit programs are called from the Network Security exit program after the Network Security exit program runs successfully. If you choose not to have Network Security make your existing exit programs supplemental exit programs, they no longer are called. You should consider the implications if existing exit programs are being used for other processes on your system. However, Network Security creates a file named LNSSEP in QGPL and stores the names of your original exit programs.

Activation of Network Security exit programs requires that the subsystems QCMN and QSERVER, as well as server jobs, are ended and restarted. If you select to perform an interactive activation, current user sessions may be terminated.

The QCMN subsystem is typically used to support SNA communications traffic. If you have an alternate subsystem that supports SNA traffic, you must manually end and restart that subsystem to activate the exit program that handles SNA traffic.

Most IBM i installations use the QCTL controlling subsystem. However, if you use QBASE as your controlling subsystem, then SNA traffic typically runs under QBASE. In this case, you need to end and restart QBASE to install Network Security support for SNA traffic. Ending QBASE brings your system into restricted state. If you use QBASE, you should not perform an Interactive activation, but instead select Silent activation to activate Network Security at the next IPL.

## Powertech Work Management

Powertech installs a work management subsystem called PTWRKMGT with Network Security. This allows Powertech products to submit long-running batch jobs without interfering with customer job queues. The PTWRKMGT library installed with Network Security consists of a subsystem description and a class description. PTWRKMGT is first activated when a product needs to use it, for example, when the summary job for captured transactions starts. The work management subsystem also is used by other Powertech products. If you already have another Powertech product installed on your system, PTWRKMGT will not be installed again.

There are three jobs in PTWRKMGT that are exclusive to Network Security: SUMCAPTRAN, PTNSGMSTR, and PNSEVTMON. SUMCAPTRAN summarizes captured transactions for further display. PTNSGMSTR collects transaction statistics for Insite's <a href="Dashboard">Dashboard</a>. PNSEVTMON is a monitor job required for user profile rules.

The three other jobs that run in the PTWRKMGT subsystem are PPLCMNMON, PPLCMNSVR and PPLEVTMON. These are used by Central Administration to communicate between the systems. For example, these jobs are responsible for handling product license key distribution, auditing, and sending rules from the Manager to the Endpoint systems.

**NOTE**: Auto-start entries exist for Central Administration's Event Monitor job, which in-turn starts all other Powertech products' event monitor jobs. All other jobs currently intended for PTWRKMGT are submitted jobs.

## Changing the default wait time for PTWRKMGT class

Each monitor has a 30-second delay allowed (by default) for reporting back that it is ending. You can raise the delay time if any of the following conditions apply to your system(s):

- The system is slow
- The system has a large number of entries
- The system leaves monitors running for an extended period or has environments that generate excessive job logs

To change the default wait time, enter the following command and press F4:

#### CHGCLS PTWRKMGT/PTWRKMGT

When the Change Class screen displays, you can customize the default wait time. (You also can access this screen by using the WRKCLS PTWRKMGTIPTWRKMGT command.)

**NOTE:** Changes to attributes of the work management class will be reflected the next time a job starts in PTWRKMGT.

Chang	ge Class (CHGCLS)
Type choices, press Enter.  Class Library Run priority Time slice Eligible for purge Default wait time Maximum CPU time Maximum temporary storage Maximum threads Text description	PTWRKMGT         Name           PTWRKMGT         Name, *LIBL, *CURLIB           50         1-99, *SAME           2000         Milliseconds, *SAME           *YES         *SAME, *YES, *NO           30         Seconds, *SAME, *NOMAX           *NDMAX         Milliseconds, *SAME, *NOMAX           *NDMAX         Kilobytes, *SAME, *NOMAX           *NDMAX         1-32767, *SAME, *NOMAX           *PT Work Management Class'
F3=Exit F4=Prompt F5=Refresh F24=More keys	Bottom F12=Cancel F13=How to use this display

## Implementing Network Security

Once Network Security has been installed and activated, you may be asking "what's next? How do I use this product to meet and enforce my company's compliance requirements?"

These instructions offer a method for how to proceed using the four essential components of *discovery*, *analysis*, *securing servers*, and *ongoing review*. The first three are performed in recursive iterations, first by taking a general, high-level look at server activity, and then again at a deeper level for each pass, with increasing granularity, until compliance is met. The fourth component is performed to respond to ongoing changes in server activity.

While the following recommendations are based on years of experience by Powertech experts, final security procedures are ultimately the decision of the administrators, your company auditor, or as outlined in your security policy.

**NOTE**: The following steps offer a typical approach to securing your servers by user profile. Other approaches are available (both general and highly specific), designed to accommodate diverse security needs. Contact Support for more information on the various forms if you feel they could be better suited to your needs.

In addition to user profiles, rules can apply to:

- Group Profiles
- Locations (IP Addresses)
- IP Address Ranges
- Server Functions
- Transactions
- Objects
- Server Pre-filters

## Securing your Servers

Powertech Network Security uses exit point technology to intercept traffic passing through the IBM exit points for 30 servers. It provides two essential functions: auditing and access control.

The procedure for securing your servers, and keeping them secure, has been subdivided into the following general steps:

- Discovery, Data Collection, and Analysis
- Adding the Initial Rule Set
- Recursive Data Collection, Review, and Analysis
- Adding, Changing, and Deleting User Rules
- Public Lockdown
- Oversight Review
- Granulating Rules for Specific Needs

- Ongoing Auditing
- Keeping Network Security Up-to-Date

## Discovery, Data Collection, and Analysis

In this section you will learn how to perform basic discovery in order to identify existing authorized network activity, and how to analyze this data in preparation for configuring your security implementation.

#### **Discovery**

After you have activated Network Security it's time to monitor your system in order to identify the servers that are reporting current network traffic and then identify the specific transactions on those servers.

#### **Identifying Network Activity**

**NOTE**: If you are not using the Insite web UI, you do not have access to the Dashboard, and will need to identify network traffic by auditing your servers. Skip ahead to "Auditing Servers."

To identify network activity, you can first refer to the Network Security *Dashboard*. The Dashboard reveals all transactions that have passed through Network Security's active servers (see <u>Activating Powertech Network Security</u>). The activity reported on the Dashboard reveals which servers you may want to begin auditing.

#### **Auditing Servers**

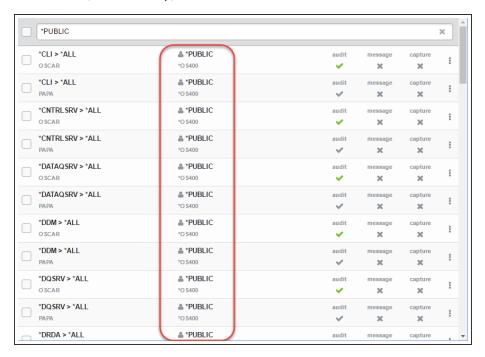
To identify specific transactions you must configure *Audit Flags* to instruct Network Security to retain access information. After the access information has been collected, Network Security's Reports can be used to identify the origin and other information about each transaction. This is the information that will be used to define access control rules.

Network Security's default (\*PUBLIC) User Rules apply to all (\*ALL) Functions on all servers that permit remote access. Each is defined to inherit (\*) the Audit (Aud) value from the product's global system values.

#### Viewing \*PUBLIC User Rules - web browser

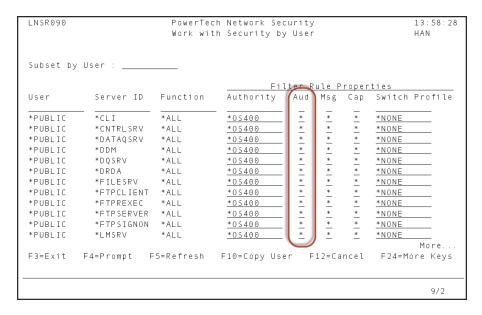
- 1. On the Powertech Network Security portion of the Insite Navigation Pane, select Rules to open the Rules screen.
- 2. Click and select the following filter options:
  - Sort By: User/Location
  - Filter By: Server
- 3. Click to dismiss the sort/search/filter options.

4. Scroll down, if necessary, to view the rules marked \*PUBLIC.



#### Viewing \*PUBLIC User Rules - green screen

On the Main Menu choose **2** to view the Work with Security by User screen that displays the Aud value for each default rule.



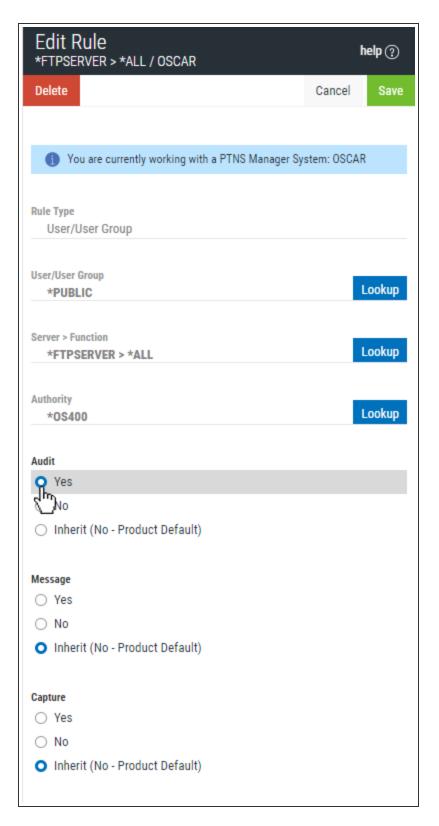
All default rules are configured to inherit the Aud value from the global System Values.

By default, Network Security's global Audit Flag is set to **Yes** (retain) for all servers. This global setting can be configured in the <u>Edit Product Defaults screen</u> using the web browser interface (or the <u>Work with System Values panel</u> using the green screen). This means that immediately after activation, Network Security is configured to audit all transactions on all servers.

Auditing all server requests can produce an overwhelming amount of data to evaluate, especially if you are unfamiliar with the amount of data your system generates. There can also be performance considerations, such as an increased frequency of journal receiver generation, and some CPU impact. To address these issues, you may decide to selectively audit a smaller number of servers (by setting some servers to **No** and others to **Yes**), complete the process of adding rules to secure those servers (as described later under "Adding the Initial Rule Set"), and then set Audit to **Yes** for a different selection of servers, and repeat the process. For example, if on the Dashboard you noticed a high number of FTP transactions, you may want to focus on just those servers first. While this approach extends the overall amount of time required for a complete analysis, it also reduces the amount of data that is collected in a given period to a more manageable level.

#### Selecting servers to audit - web browser

- 1. On the Navigation Pane, select **Product Configuration**. To save time, we'll set the Product Default for Audit to No, then specify the individual servers you want to audit.
- 2. Select System Values (Product Defaults) (the top option).
- 3. Set **Audit** to **No** and click **Save**. All the \*PUBLIC rules now inherit this setting.
- 4. On the Navigation Pane, click Rules.
- 5. On the Rules screen, identify the \*PUBLIC user rules (see previous steps).
- 6. Choose the \*PUBLIC user rule for a server you would like to Audit. Under Audit, select Yes.



7. Choose **Save**. Repeat for the remaining \*PUBLIC servers you would like to audit.

#### Selecting servers to audit - green screen

- 1. From the Main Menu, choose 2 to show the Work with Security by User panel.
- 2. Enter 2 for the \*PUBLIC rule of a server you would like to audit.
- 3. For Audit, change \* to Y if you would like to audit the server. Change Audit to N to specify you would not like to audit the server. (Note that alternatively you could change the global Audit setting to N and leave the Audit value on the servers you do not want to audit to \*, but specifying this value at the server level makes it easier to reference later.)

**NOTE:** Without the collected audit information you will not be able to create audit reports for data traffic analysis.

After setting the Audit value to Yes for select servers, the next step is to allow your system time to accumulate data.

#### **Data Collection**

A common question is: "How long should I let data collection run, and how much is enough?" While auditing is never 'complete,' there does come a point when enough data has been collected in order to facilitate sound decisions. Because the stream of data collection may ebb and flow over time, determining the correct point in time is essential. The correct point for your organization will depend on your assessment of critical events that must be considered before audit rules can be put in place. Consider auditing over ranges of time that would show typical daily or weekly traffic patterns for your environment. It is also preferable to include periods of time with anomalies that may occur only, for example, on weekends or during month-end processing.

#### **Audited Traffic Data for Reporting**

Audit traffic is retained in Journal receivers. The default journal upon installation is the IBM-supplied journal QAUDJRN. However, you are not limited to using QAUDJRN.

Review your journal receiver retention policy. Removing the journal receivers will remove the ability to run audit reports for the date ranges the receivers cover. If the receivers are removed from the system, restoration from backups will be necessary to report over date range.



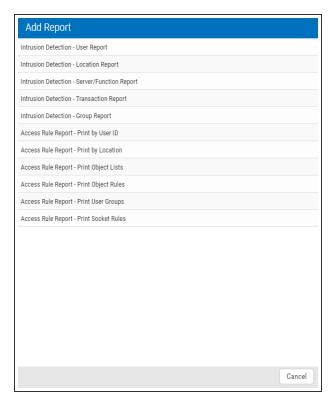
Recommendation – Use an alternate journal if QAUDJRN is not your preference. See IBM documentation for details.

#### Initial Data Review and Analysis

#### Reviewing the server transaction summary - web browser

If there is a very large volume of traffic, there may be service profiles generating automated transactions that can be ignored in order to isolate the relevant activity.

- 1. On the Navigation Pane, select Reports.
- Click Add and choose Intrusion Detection Server/Function Report.



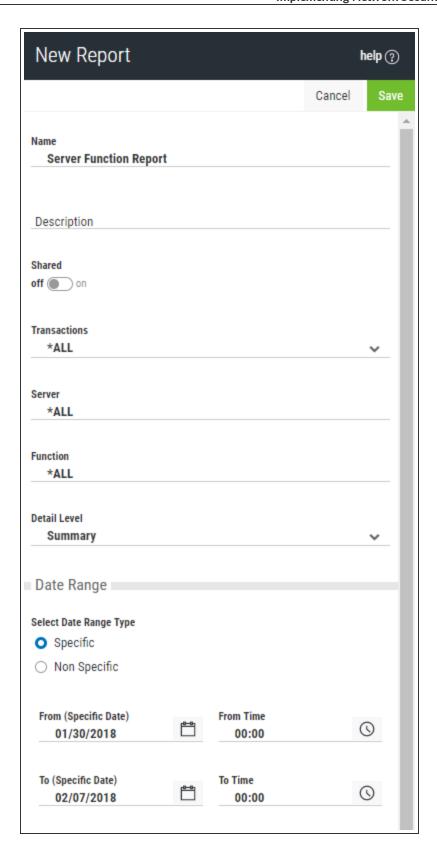
3. Create the report using the following settings:

Name: "Server Function Report"

Transactions: \*ALL

Server \*ALLFunction: \*ALL

- For Date Range, specify the number of days you would like the report to include. For the first report, a week is usually a reasonable duration. For Date Range, choose Specific to indicate the report should include a specific start and end date. Choose Non Specific to include a period of time previous to the time the report is run.
- For Detail Level, choose Summary.



- 4. Click Save to save the report. You return to the Reports screen.
- 5. Click to the right of Server Function Report and choose **Submit**. A message should appear in the lower left indicating the report has been submitted successfully.
- 6. Click **Spooled Files** in the Navigation Pane.
- 7. The report you just submitted will be at the top of the list. Click it to view.

The summary of transactions on audited servers is a starting point for planning your security configuration.

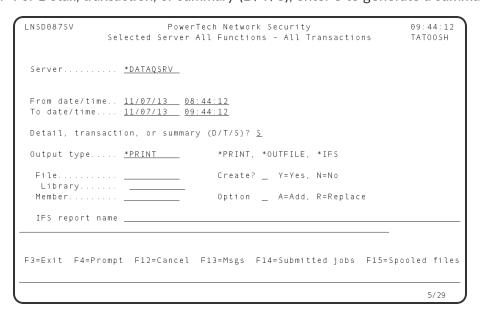
Your audit results may not show traffic on each of the audited servers. A typical system will show activity on 12-15 servers with the heaviest activity on 6-8 servers. Some may not be used at all in your environment. (If you don't see traffic, remember it is also possible your system did not generate activity during the captured time range). If a server is not being used, it can be blocked to prevent all access, accidental or malicious.

For your initial review, you can identify the servers with and without activity in a general transaction summary. To do this, run a report that displays all transactions on all the servers you are auditing.

### Reviewing the server transaction summary - green screen

If there is a very large volume of traffic, there may be service profiles generating automated transactions that can be ignored in order to isolate the relevant activity.

- 1. From the Network Security Main Menu (green screen), choose option **80**, then **3** to view the Server Function Reports menu.
- 2. Choose 1, (All Servers All Functions) All Transactions.
- 3. In the **From date/time** and **To date/time** fields, enter the time range. For the first report, a week is usually a reasonable duration.
- 4. For Detail, transaction, or summary (D/T/S), enter **S** to generate a summary by server only.



- 5. Press **Enter** to run the report.
- 6. Press F14 to view Submitted Jobs, then move your cursor to the bottom (most recent) AUDIT job and type 8, Work with Spooled Files, then press Enter. (The amount of time it takes for the spooled file to appear will depend on the number of transactions).
- 7. Choose option 5 to display the report.

```
LNSP087
                                                     Page/Line
                                                                 3/23
Control
                                                                 79 - 156
                                                     Columns
                               *DRDA
                          0
                               *FILESRV
                                          *ALL
                             ( *FTPCLIENT *ALL
                          0
                          0
                             ( *FTPREXEC *ALL
                             ( *FTPSERVER *ALL
                               *FTPSERVER *ALL
                              *FTPSERVER *ALL
                               *FTPSERVER CHGCURLIB
                              *FTPSERVER CHGCURLIB
                             ( *FTPSERVER CHGCURLIB
                              *FTPSERVER CREATELIB
                             ( *FTPSERVER DELETEFILE
                               *FTPSERVER DELETELIB
                               *FTPSERVER INIT
                               *FTPSERVER INIT
                              *FTPSERVER INIT
                                                                       More
F3=Exit F12=Cancel
                      F19=Left F20=Right F24=More keys
Overprinting not displayed
                                                                          3/22
```

The summary of transactions on audited servers is a starting point for planning your security configuration.

Your audit results may not show traffic on each of the audited servers. A typical system will show activity on 12-15 servers with the heaviest activity on 6-8 servers. Some may not be used at all in your environment. (If you don't see traffic, remember it is also possible your system did not generate activity during the captured time range). If a server is not being used, it can be blocked to prevent all access, accidental or malicious.

For your initial review, you can identify the servers with and without activity in a general transaction summary. To do this, run a report that displays all transactions on all the servers you are auditing.

### **Reviewing Server Transactions**

Now that your server summary has revealed the servers that are being used, you can review the transaction history on those servers to identify unauthorized activity or automated service profiles that do not require auditing.

**NOTE:** User profiles used for automated tasks can contribute to heavy volumes of traffic that do not represent human action or a security threat. Once identified, auditing for these profiles (or more efficiently, groups that include several or all of these profiles) can be turned off to reduce the 'noise' of automated activity, so that effort can be concentrated on dealing with activity from real users. The IBM-supplied user profiles beginning in "Q" (QSECOFR, QTCP) are usually service accounts (but not always). On reports, service accounts can often be identified by repetitive activity, or activity at regular intervals.

#### Reviewing server activity

If there is a very large volume of traffic, there may be service profiles generating automated transactions that can be ignored in order to isolate the relevant activity.

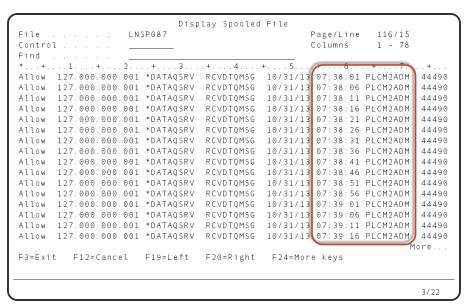
- 1. On the Navigation Pane, select Reports.
- 2. Click Add and choose Intrusion Detection Server/Function Report.
- 3. Your settings should include the server that yielded a large amount of transactions from your initial data review, and specify a time range. The best time range will be long enough to acquire a representative sample of transactions, but short enough to avoid excessive transactions in the tens of thousands or more. Use the following settings:
  - Name: "Server Function Report [server name]"
  - Transactions: \*ALL
  - Server [server name] (e.g. \*DATAQSRV)
  - Function: \*ALL
  - For Date Range, specify the number of days you would like the report to include. For the first report, a week is usually a reasonable duration. For Date Range, choose Specific to indicate the report should include a specific start and end date. Choose Non Specific to include a period of time previous to the time the report is run.
  - For Detail Level, choose Transaction.
- 4. Click **Save** to save the report. You return to the Reports screen.
- 5. Click to the right of Server Function Report and choose **Submit**. A message should appear in the lower left indicating the report has been submitted successfully.
- 6. Click **Spooled Files** in the Navigation Pane.
- 7. The report you just submitted will be at the top of the list. Click it to view. The summary of transactions on audited servers is a starting point for planning your security configuration.

Your audit results may not show traffic on each of the audited servers. A typical system will show activity on 12-15 servers with the heaviest activity on 6-8 servers. Some may not be used at all in your environment. (If you don't see traffic, remember it is also possible your system did not generate activity during the captured time range). If a server is not being used, it can be blocked to prevent all access, accidental or malicious.

For your initial review, you can identify the servers with and without activity in a general transaction summary. To do this, run a report that displays all transactions on all the servers you are auditing.

#### Reviewing server activity

- 1. From the Main Menu, choose option 80, then 3 to view the Server Function Reports menu.
- 2. Choose 4, (Selected Server, All Functions) All Transactions.
- 3. Next to Server, enter the server that yielded a large amount of transactions from your initial data review, and specify a time range. The best time range will be long enough to acquire a representative sample of transactions, but short enough to avoid excessive transactions in the tens of thousands or more.
- 4. Press Enter to run the report.
- 5. Press F14 to work with submitted jobs.
- 6. Enter 8 for the job and press Enter. Scroll to identify profiles that submit repetitive transactions. Notice in the example below, the PLCM2ADM profile submits a transaction every 5 seconds.



Service accounts usually exhibit repetitive activity at regular intervals.

Now that you have discovered the servers with activity, and identified the users and transactions on those servers, you can begin implementing your security configuration by defining your own custom access control rules.

# Adding the Initial Rule Set

In this section you will learn how to add user rules that tell Network Security to reject access to a server for a specific user, or for all users. You will also learn how to manage service profiles that require access, but do not need to be audited.

### **Blocking Unused Servers**

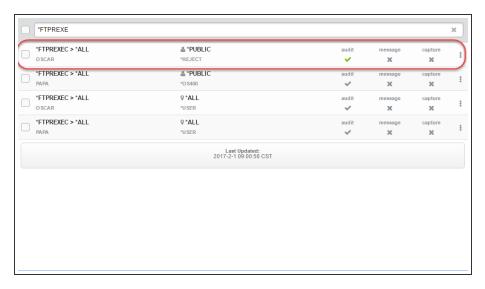
When you are confident that a server is not being used, you can create a rule to block any possible access. For the following example, we will assume the \*FTPREXEC server is not being used and should be blocked.

#### Blocking a server for all users or for a specific user - web browser

- 1. On the Rules screen, click the \*PUBLIC rule for the \*FTPREXEC server.
- 2. Choose **Lookup** to the right of the Authority field.
- Choose \*REJECT.
- 4. Ensure Audit is set to Yes.

**NOTE:** Whenever a reject rule is put in place it is highly recommended the Audit flag remains set to Yes. In the future, it may be useful to run an audit report showing any rejected access attempts against servers you have blocked. (If you are creating a new rule, set Messages to "Yes" in order to immediately submit a message upon any access attempts that are rejected.)

Choose Save. The \*FTPREXEC server has been blocked for all users.
 This rule blocks access to all functions of the \*FTPREXEC server for all users.



### Blocking a server for all users or for a specific user - green screen

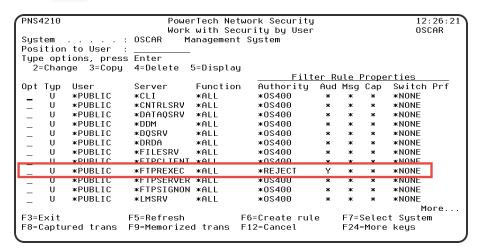
- 1. From the Main Menu, choose option 2, Work with Security by User.
- 2. Next to the \*FTPREXEC server \*PUBLIC (default) user rule, enter 2.
- 3. Change the Authority column from \*OS400 to \*REJECT. (Alternatively, to block a server for a particular user, you would create a new rule, indicating \*ALL for Function, the user's ID for User, and \*REJECT for Authority.)

NOTE: Consider using a message management tool to help alert you of any reject messages.

4. Ensure Audit is set to Y.

**NOTE:** Whenever a reject rule is put in place it is highly recommended the Audit flag remains set to Y. In the future, it may be useful to run an audit report showing any rejected access attempts against servers you have blocked. (If you are creating a new rule, set Msg to "Y" in order to immediately submit a message upon any access attempts that are rejected. Set Switch Profile to \*NONE).

5. Press Enter. The \*FTPREXEC server has been blocked for all users.



- 6. When the server cache is cleared this rule will take effect. For the rule to be effective immediately, clear the server cache manually. To do so, turn rule enforcement off an on again as follows:
  - a. In Work with Security by Server, enter **SP** for the server (in this case, \*FTPEXEC).
  - b. Change Network Security Rules Enforced to N and press Enter.
  - c. Enter SP for the server again.
  - d. Change Network Security Rules Enforced to Y and press Enter.

### **Managing Service Profile Activity**

In the previous section (Discovery, Data Collection, and Analysis) we learned that PLCM2ADM is an automated service profile that requires access to the system, but does not need to be audited. Its transaction history produces unnecessary data that can be inconvenient to sift through while analyzing reports. Therefore, we can add a rule that grants it access to the server it requires (\*DATAQSRV), with auditing turned off.

#### Creating a service profile user rule - web browser

1. On the Rules screen, choose Add.

Select the following values:

- a. Rule Type = User/User Group
- b. User=PLCM2ADM (the service profile account ID)
- c. Server > Function=\*DATAQSRV and \*ALL (this rule applies to all server functions)
- d. Authority=\*OS400 (uses the authorities granted by the system)
- e. Audit=X (do not audit)
- f. Message=Inherit (inherit global system value)
- g. Capture=Inherit (inherit global system value)
- h. Choose Save to create the rule.

#### Creating a service profile user rule - green screen

- 1. From the Main Menu, choose 1, Work with Security by Server.
- 2. Type **UA** next to the server used by the service profile (in this case \*DATAQSRV) and press Enter.
- 3. Enter 2 for the \*PUBLIC rule.
- 4. Enter the following values:
  - a. User Rule Type=U for an individual user (you would choose G for an User Group).
  - b. User=PLCM2ADM (the service profile account ID)
  - c. Server=\*DATAQSRV (the chosen server)
  - d. Function=\*ALL (this rule applies to all server functions alternatively, you could enter a specific function)
  - e. Authority=\*OS400 (uses the authorities granted by the system)
  - f. Audit=N (do not audit)
  - g. Message=\* (inherit global system value (default=N))
  - h. Capture=\* (inherit global system value (default=N))
  - i. Switch Profile=\*NONE (do not switch user profiles)
- 5. Press Enter to create the rule.

If the \*PUBLIC rule for this server is set to \*REJECT, all access attempts will be rejected. But, since this new rule is more specific, it is evaluated first, allowing PLCM2ADM access while restricting all other users. With this configuration, all access requests will be rejected, except for those originating from the user PLCM2ADM. See <a href="Active Rule and Rule Derivation">Active Rule and Rule Derivation</a> for an explanation of Network Security's rule hierarchy.

# Recursive Data Collection, Review, and Analysis

The general procedure explained so far in this guide of discovering, analyzing, and addressing security vulnerabilities with rules, is a process that must be repeated regularly to both respond to network security risks, and to ensure reports yield the most valuable data.

### The following actions will help improve your Network Security implementation:

- Run reports in regular intervals. Rechecking report data regularly, and for select intervals, will help you validate your initial rule set and identify previously missed data points. See Reports.
- Confirm the users accessing the system are the ones expected. After auditing for all service
  profiles has been disabled, you will see only activity for real users.
- Confirm system accesses by users are correct for their needs. A user accessing the system should
  be doing so in a prescribed manner pertaining to their jobs description. For example, if the
  application they use daily is an SQL or ODBC based application, and you discover access by that
  user over the FTP server, additional research may be warranted. Also consider the time of day and

the point or origin of the access - identify events that occur at unusual or unexpected times and from unexpected locations (IP addresses).

• Watch for new service accounts used by new applications and user profiles added for new hires.

# Adding, Changing, and Deleting User Rules

As you study the historical data, add, change, and delete user rules to respond to the access requirements of your organization. Continue to monitor reports and become more familiar with the authorized activity.

At this point it is time to add rules to allow *authorized user(s)* access at the SERVER level (setting the Audit value to **Yes** or **No** based on your auditing requirements). This is done in preparation for public lockdown, where the default (\*PUBLIC) rules are set to reject (\*REJECT), and all users who are not specifically granted access to them will be locked out. Earlier, under Adding the Initial Rule Set, we already blocked access to the FTPREXEC server for all users. Now, we can create a rule that grants access to that server for specific users only, while all other requests will continue to be rejected.

NOTE: The following steps focus on FTPSERVER, which is a common server to lock down.

## Granting authorized access at the SERVER level

**NOTE**: When creating rules to grant authorized users access to a server, you may decide to add rules using their individual profile, or a group profile they are a member of. Choosing to audit or not audit will depend on whether the accesses or the user activity is required to visible. (Remember that activity is not retained for any user whose Audit flag setting is **No.**)

### Authorizing a user access at the SERVER level - web browser

- 1. On the Rules Screen, click Add.
- 2. Select the following values:
  - a. Rule Type=User/User Groups
  - User=MARKJ (the user who requires access)
  - c. Server > Function=\*FTPSERVER > \*ALL (this rule applies to all server functions)
  - d. Authority=\*OS400 (uses the authorities granted by the system)
  - e. Audit=Yes (audit (this value will depend on your requirements))
  - f. Message=Inherit (inherit global system value (default=NO))
  - g. Capture=Inherit (inherit global system value (default=NO))
- 3. Choose **Save**. The rule has been added. Rules for specific user profiles (or group profiles) are processed before \*PUBLIC in the hierarchy, and allow you to grant access to specific users while blocking all others.
- 4. Repeat this procedure for all other servers the authorized user requires. Then, repeat the process for all other authorized users, until all users have access to the servers they require.

### Authorizing a user access at the SERVER level - green screen

- 1. From the Main Menu, choose 1, Work with Security by Server.
- 2. Type **UA** next to the server you would like to grant access to. The existing rules for that server appear. For this example, we will be granting MARKJ access to the FTP server (\*FTPSERVER).
- 3. Press F6 to create a new rule.
- 4. Enter the following values:
  - a. User Rule Type=U for an individual user (you would choose G for an User Group).
  - b. User=MARKJ (the user who requires access)
  - c. Server=\*FTPSERVER
  - d. Function=\*ALL (this rule applies to all server functions)
  - e. Authority=\*OS400 (uses the authorities granted by the system)
  - f. Aud=Y (audit (this value will depend on your requirements))
  - g. Msg=\* (inherit global system value (default=N))
  - h. Cap=\* (inherit global system value (default=N))
  - i. Switch Profile=\*NONE (do not switch user profiles)
     Rules for specific user profiles (or group profiles) are processed before \*PUBLIC in the hierarchy, and allow you to grant access to specific users while blocking all others.
- 5. Press Enter. The rule has been added. (Remember, the rule will take effect once the server cache is cleared).
- 6. Repeat this procedure for all other servers the authorized user requires. Then, repeat the process for all other authorized users, until all users have access to the servers they require.

### **Changing and Deleting Profile Rules**

As employees depart the company, or move to other roles, it is helpful to develop internal procedures to notify the Network Security product administrator a user has left, or has changed roles. If individual profile rules are used, their profile should be changed from \*OS400 to \*REJECT. At some point the profile should be deleted from the product. (If group profiles are used, you would not need to make rule changes in the product, assuming the profile is removed from the system or group.)

### **Deleting a Rule**

- See Deleting for information on deleting rules using Insite.
- To delete a rule on the green screen, use 4 (Delete) on the Work with Security by User panel or Work with Security by Location panel.

# Copying Rules across Systems

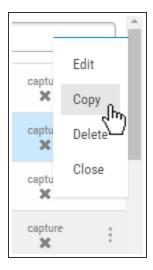
Once you have configured Rules on the Management System, you can copy them to other Endpoints in order to quickly propagate your security policy across your network.

**NOTE**: Copying across systems is a feature of the Insite web UI and is not available from the green screen.

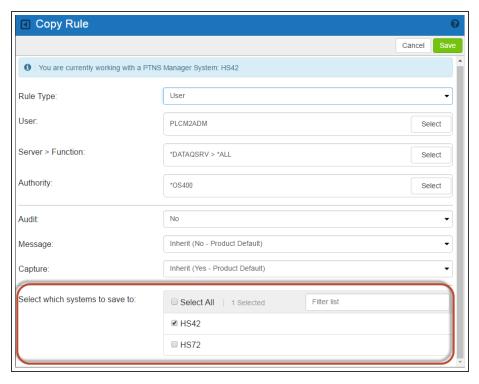
For the following instructions, we will copy a User Rule. Before copying a User Rule, you must ensure a user profile with a matching name exists on all target Endpoints.

### To Copy a Rule

1. On the Rules screen, click on the right side of the Rule listing and select **Copy**.



2. In the <u>Copy Rule screen</u>, at the bottom, choose which systems to save to. If the rule already exists on the system you are using (the Manager system), uncheck the checkbox for that system. Otherwise you will get an error stating that the rule already exists on that system.



3. Click Save. You are notified of the results. Note that duplicate rules on a system are not allowed.

### **Public Lockdown**

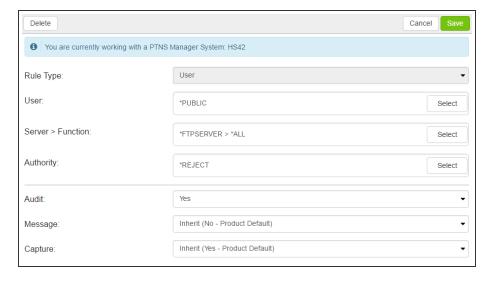
**NOTE**: In order to use Network Security's Web User Interface after locking down \*PUBLIC, you must create rules to grant PTWEB access to four server functions. See <u>Web Browser Help</u> for details.

Once you are confident you have spent enough time and study looking at the historical data, and have the allowed SERVER user rules in place, it is time to block the potential for all other unauthorized access. This process is known as *public lockdown*. In addition to rejecting public access, during this process, you will instruct Network Security to trigger an immediate alert for all rejected transactions so they can be promptly addressed.

If you are using Central Administration to manage multiple systems, note that the default \*PUBLIC rules cannot be copied to Endpoints. Each default \*PUBLIC Rule will need to be changed to \*REJECT manually for all Endpoints individually.

#### Locking down your servers - web browser

- 1. On the Rules screen, click one of the \*PUBLIC user rules.
- 2. Choose **Lookup** to the right of the Authority field and choose \*REJECT.
- 3. Under Audit, select Yes.

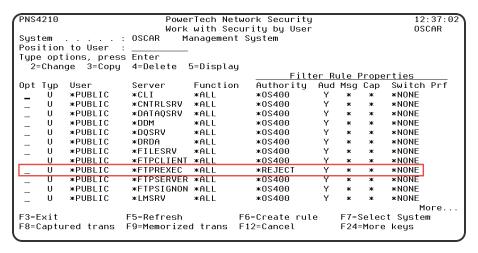


- 4. Click Save.
- 5. Repeat these steps for the next server, until all servers have been locked down.

**NOTE**: Reject rules may have an immediate impact once added. You may get calls from users who think they should have access to a service no longer permitted, or users whose job description has changed, requiring different accesses. On implementation of public lockdown, plan to run regular rejected transaction reports. See <u>Transaction Report Menu</u>.

### Locking down your servers - green screen

- 1. From the Main Menu, choose option 2, Work with Security by User.
- 2. Choose 2 to change one of the \*PUBLIC rules.
- 3. Change Audit to Y. Repeat for all the \*PUBLIC rules so they will all be audited.
- 4. Choose 2 on the \*PUBLIC rule for a server you want to lock down.
- 5. Set Authority to \*REJECT. Change one server to \*REJECT (with Aud=Y) at a time, then monitor and respond to any subsequent access requirements.



Repeat these steps for the next server, until all servers have been locked down.

**NOTE**: Reject rules may have an immediate impact once added. You may get calls from users who think they should have access to a service no longer permitted, or users whose job description has changed, requiring different accesses. On implementation of public lockdown, plan to run regular rejected transaction reports. See Transaction Report Menu.



Recommendation – Use a message management process such as Powertech Interact to be notified of reject messages (with Msg set to Y) in real time.

# **Oversight Review**

After all of your servers have been locked down, continue monitoring for rejected transactions. Add user rules as necessary to amend any access oversights. In urgent situations you have the option of changing the \*PUBLIC \*REJECT value back to \*OS400 while research of the prior rules are reviewed.

Use reports to look at the rejected and allowed transactions. Reports are available by Server, User profile, and Location (IP address). See Reports.

### **Auditing Network Security Rules**

While the majority of oversight and Rule management will take place from the Management System, Network Security also allows Rules to be managed directly from an Endpoint. This might be necessary, for example, if access to the Management System is unavailable, but critical business processes require a Rule to be changed on an Endpoint. To verify the integrity of Network Security throughout your network, and ensure adherence to your organization's security policy, you can run an audit to identify and manage Rules that have been changed on Endpoints directly.

NOTE: Audits only apply to Endpoints. The Management System is always skipped during an audit.

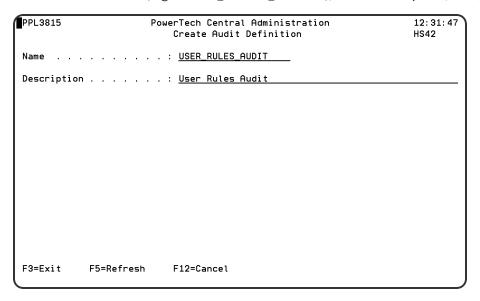
To conduct an audit, you must first define a System Group that includes the systems you would like to audit, then use Central Administration's Audit Menu to complete the audit, applying remedies as necessary.

### To add a system group

- 1. From the Powertech Main Menu, choose option **80**, Central Administration, then choose option **5**, Auditing Menu.
- 2. Choose option **80**, Work with System Groups.
- 3. Type F6 to create a System Group.
- 4. Name the Group and add a description, then use 1 to specify the systems you will be auditing.
- 5. Press Enter twice to add the System Group, then press F3 until you return to the Auditing Menu.

#### To run a user rules audit

- 1. On the Auditing Menu, choose option 1, Audit Definitions.
- 2. Type F6 to create a new Audit Definition.
- 3. Name the definition (e.g. "USER\_RULES\_AUDIT"), add a description, and press Enter.



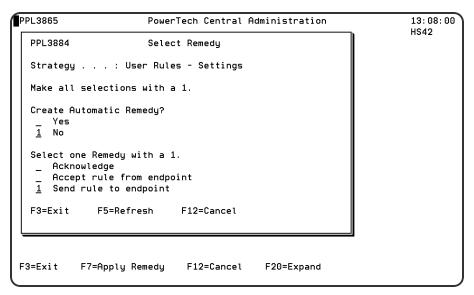
- 4. Enter option **7** (Strategies) for the Audit Definition you just created.
- 5. Place a **1** next to the strategies you would like to use (e.g. the User Rules strategies), and press Enter, then press F3 to return to Audit Definitions.
- 6. Enter **6** (Start) for the Audit Definition and press **Enter**. Then, choose the System Group you defined earlier.
- 7. Enter **9** for the Audit Definition. When the audit is finished, enter **7** (Strategy Results) for the audit you just ran.
- 8. Enter **6** (System Results) for User Profile Settings. ("Failed" means there is at least one User Rule that doesn't match the Management System.)
- 9. Enter 5 (Item Results) for a system marked "Failed."

**NOTE**: Any discrepancy to a Rule between systems, including differences to the audit, message, and capture flags, will cause an Endpoint to fail the audit.

10. Find the Rule whose Status is Failed and enter 5 (Details) to review the inconsistent setting(s).

```
PL3865
                                                               13:08:00
                    PowerTech Central Administration
                           Audit Item Results
                                                               HS42
Audit Definition . . . . . . : USER_RULES_AUDIT
Date/Time . . . . . . . . . : 08/04/15
Strategy . . . . . . . . . : User Rules - Settings
         . . . . . . . . . . : BRENDAP:*FTPSERVER:*ALL
Item Name
Status . . . . . . . . . . : Failed
                              (Press F20 for more detail)
Message highlights:
 User Rule HS72 BRENDAP *FTPSERVER *ALL differs on endpoint.
F3=Exit
         F7=Apply Remedy
                          F12=Cancel
                                       F20=Expand
```

- 11. Press F7 (Apply Remedy).
- 12. Enter **1** for "Accept rule from endpoint" to update Network Security's Rule Configuration to match that of the Endpoint for this Rule. Choose "Send rule to endpoint" to reset the Rule to match Network Security's configuration. If you would like the Rule to continue to differ on the endpoint, choose "Acknowledge."



13. Press Enter. You return to the Audit Item Results, where the Status and Remedy Applied are listed.

```
PPL3860
                                                                   13:11:43
                      PowerTech Central Administration
                             Audit Item Results
                                                                   HS42
 Audit Definition . . . . . . : USER_RULES_AUDIT
 System . . . . . . . . . . : HS72
             . . . . . . . . : User Rules - Settings
 Strategy . .
 Position to Item Name
 Type options, press Enter.
   5=Details
              7=Apply Remedy 8=Automatic Remedy
                                                9=Delete Automatic Remedu
 Opt Auto Item Name
                                 Status
                                            Remedy Applied
         ANNAM: DATADIST: *ALL
                                 Successful
         ANNAM: VISTA_ADMI: *ALL
                                 Successful
         BENP: *FTPSERVER: *ALL
                                 Successful
         BENS: *FTPSERVER: *ALL
                                 Successful
         BRENDAP: *FTPSERVER: *ALL Remedied
                                            Send rule to endpoint
         MARKJ: *DATAOSRV: *ALL
                                 Successful
         MARKJ: *DDM: *ALL
                                 Successful
 F3=Exit
            F5=Refresh
                          F16=Subset by Status
```

14. Press F3 and repeat for other Profiles on the system. Then, repeat this process for Failed Profiles on other systems.

# **Granulating Rules for Specific Needs**

Granular rule forms may be preferable in some instances. They can be used to target specific users, objects, and transactions. They are highly desirable for targeting specific objects or transactions. While they offer precision security, they may add administrative and system overhead.

#### Web browser users, for more information, see

- <u>User Rules</u> to learn more about copying, deleting, and adding multiple user rules at once, and adding rules for specific server functions.
- Object Rules to learn more about defining authority rules to control access at the object level.
- <u>Transaction Security</u> to learn more about controlling which transactions are allowed to flow in and out of your system.

#### Green screen users, for more information, see:

- <u>User Rules</u> to learn more about copying, deleting, and adding multiple user rules at once, and adding rules for specific server functions.
- Object Rules to learn more about defining authority rules to control access at the object level.
- <u>Transaction Security</u> to learn more about controlling which transactions are allowed to flow in and out of your system.



Recommendation - Consider contacting Powertech Support for guidance on making the optimal choice to fit your specific need.

# **Ongoing Auditing**

Review and modify user auditing controls for long term appropriateness.

- Repeat general recursive data review and analysis, responding with new or updated user rules to accommodate the current access requirements.
- Continue oversight of rejected transactions at chosen intervals, responding with user, object, or transaction rules to ensure up-to-date, precision compliance.



Recommendation - You can use Powertech Compliance Monitor or Robot Schedule to automate reporting. See www.helpsystems.com for more details.

# Keeping Network Security Up-to-Date

- Updates to new product versions can be performed at any time, but it is highly recommended you contact Powertech Support for considerations on system impact.
- Visit https://community.helpsystems.com/products-and-downloads/ to acquire the latest product versions.
- Visit the Help Systems Monthly product update pages to see all the new updates and fixes.



Recommendation – Develop a plan to update Network Security on a regular basis and apply software updates 2-3 times per year.

### More on Access Control Rules - Insite web UI

The power of Network Security resides in its ability to control network access to IBM i network servers and server functions according to the rules you specify.

As discussed earlier (see <u>Getting Started with Network Security</u>, you can set rules for a User (user profile), Group profile, or Supplemental Group profile. For example, you can create a rule by user ID that directs the FTP server to reject any upload attempt from users who are members of a particular group profile. For more information on user rules, see <u>User Rules</u>.

Another method of defining an access rule is by *location*. A Location is Network Security's definition of the origin of an access request. A location can be a specific IP, group IP, generic IP, range of IP addresses, or SNA device. (For example, a group of IP addresses may correspond to a corporate office's physical location, e.g. \*DENVER.) Location security rules allow you to grant access to, and define the authorities for, all approved dial-in and Internet origins, while restricting access to unapproved origins according to the rules you define. For example, you can create a location rule to direct the IBM i FTP server to reject any FTP request coming from outside your local network. See Location Rules.

Network Security also lets you set object rules that are configured at the <u>object</u> level, for a specific user or location, for a specific object, and for a specific type of access. Creating an object rule allows you to, for example, specify who can access your IBM i payroll database. See <u>Object Rules</u>.

### **Authorities**

Access Control Rules establish the action to be taken when a particular server or server function is accessed. You can specify the following actions:

- \*OS400 Allow the request using the user's normal IBM i authorities as if Network Security were not installed.
- \*REJECT Reject the request.
- \*SWITCH Allow the request after switching the request to run under the authority of a different user profile—the switch profile. See <a href="Switch Profiles">Switch Profiles</a>.
- \*MEMOS400/\*MEMREJECT/\*MEMSWITCH Use the rules specified in a previously memorized transaction. See Memorizing Transactions.
- \*MEMOBJ Check Object List. (Refer to rules defined for specific objects.) See Object Rules.

**NOTE:** Note: Many of the examples shown throughout this section use \*FTPSERVER. The process of setting up other servers is similar, although you will see different functions. In addition, some types of rules may not apply to all servers.

### **Flags**

Access Control Rules also include *flags*. The three flags are Aud (Audit), Msg (Message), and Cap (Capture).

- Audit

  - X = Do not record the request (unless request fails)
- Message
  - ✓ = Send a message when the request is received
  - X = Do not send a message
- Capture
  - ✓ = Capture transactions for this request
  - X = Do not capture transactions

**NOTE**: If Authority is \*USER, Audit, Message, Capture, and Switch Profile are deferred. If Authority is not \*USER, Audit, Message, Capture, and Switch are enforced.

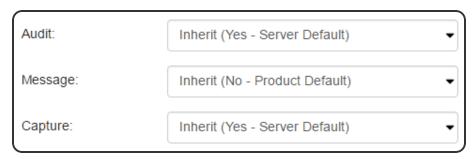
#### Active Rule and Rule Derivation

A hierarchy of settings dictate the Audit/Message/Capture Flag status for any given rule. The hierarchy, from most general to most specific, is composed of settings from the following screens:

#### Rule derivation on Insite

- System level details can be changed in the Edit System Values screen.
- Server level details can be changed in the Edit Server Function Rule screen (\*ALL).
- Function level details can be changed in the <u>Edit Server Function Rule screen</u> (\*[server] > [function]).
- User/Location-level details can be changed in the Edit Rule screen.

A setting of Yes or No on a more specific level of the hierarchy always overrides a Yes or No setting on a more general level. When a Flag is set to *Inherit*, its setting is derived from the next-highest level in the hierarchy. You can instantly see the status of all Flags, including the setting from which the status derives, by referring to the text adjacent to the Flag's setting in the rule's drop-down menu.



Identify the status of Flags using the static text.

For example, suppose there is a rule for user MARKJ and the audit and capture values are both set to Inherit. If that rule is invoked, those Inherit values each resolve to either Yes or No based on the hierarchy. If all properties are set as shown in the following table, then the Active Rule for user MARKJ is Audit = Yes, Message = No, and Capture = Yes.

IF	Audit	Msg	Сар
Edit System Values (Product Defaults)	yes	yes	No
Edit Server Defaults	Inherit	No	Inherit
Edit Server Function Rule	Inherit	No	Inherit
MARKJ (rule)	Inherit	Inherit	Yes
Then, the Active Rule is	Yes	No	Yes

**NOTE**: For Location Rules with authority set to \*USER, the transaction is evaluated by the incoming user profile. See <u>Authorities Selection window</u>.

### **Switch Profiles**

The switch profile action is the key to providing flexible security for your network users. This action lets you specify an alternate user profile, called a *switch profile*, that network access requests run under. This allows you to use standard IBM i security commands to establish the authorities to objects on your system for a user when they access the system through the network servers.

#### To define a Switch Profile as part of a rule:

- 1. Set the Authority to \*SWITCH. When you do, the <u>Users selection window</u> appears.
- 2. Specify the target user.

### A typical requirement for \*SWITCH profile:

- User belongs to a group profile that owns objects.
- Network access gives the user \*ALL authority to the entire application.
- Use Network Security to allow selective access.
- Dynamically change user authority "on the fly."
  - · Give more or less authority than normal.
- \*SWITCH profile does not affect green screen operations.
  - · Can configure authority independently.

For details, see Switch Profiles.

### Network Security always searches for location access rules first

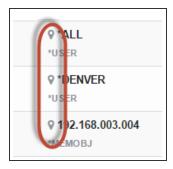
User access rules are considered only if a location access rule is found with an action that indicates that user access rules should be used.

### **Location Rules**

A Location rule can be used to control access to the IBM i servers capable of accepting remote transactions. (Network Security includes one default location rule for each server). Location rules can be used to define actions for access to a server, or for access to a specific function of a server (e.g. DELETEFILE).

All default location rules include the same parameters and are set with the same default values. See Parameters and Default Values.

All functions related to adding, editing, and deleting rules are available using either the web browser interface or the green screen, although the procedures for accomplishing these tasks differ considerably. While the green screen interface offers many different screens that display the existing location rules in different formats, the browser interface offers a single screen (the Rules screen), with robust search and filtering capabilities that allow *all* rules (user and location) to be accessed immediately, for multiple systems, and with the ability to copy rules between systems. See <u>Using the Web Browser Interface</u> for details.



On the Rules screen, location rules can be identified by the  $\P$  icon next to the user profile or group name.

### Adding and changing location rules using the web browser interface

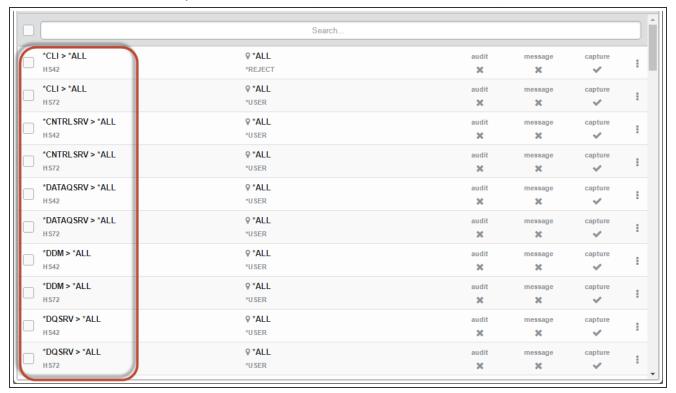
- 1. To add a location rule, from the Rules screen, choose Add or, select an existing rule to change it.
- 2. For Rule Type, choose **Location/Group**.
- 3. Choose the **Lookup** button next to Location/Group to select a location or IP address group. For information on creating an IP address group, see <u>IP Address Groups</u>. Or, enter an IP address, or range of IP addresses in this field. (See New/Edit Rule screen for the list of syntax criteria for valid IP address values and other devices.)
- 4. Choose the **Lookup** button next to Server > Function to select the desired server and function. For Functions, choose \***ALL** if you would like the rule to apply to all server functions.
- 5. Choose the **Lookup** button next to Authority to choose an authority for the location rule. See Authorities selection window for a list of the available authorities.
- 6. Use the Audit/Message/Capture drop-down lists to turn on auditing, messaging, and capturing. See New/Edit Rule screen for more details.
- 7. Choose **Save** to add the new location rule.

### Viewing default location rules using the web browser interface

Network Security ships with default location authority rules for each server. You can view these rules from the Rules screen.

To display the Locations Rules:

- 1. Click Rules on the Navigation Pane.
- 2. Click and select Filter By > Location Rules. (Click to dismiss the Filter).



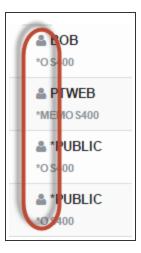
### **User Rules**

User authority rules are useful to control access to servers and functions for particular *users*. User security rules are evaluated only if a location rule specifies to use \*USER security rules. (Network Security includes one default user rule for each server. See <u>Default User Rules</u>). Like Location rules, User rules can be used to define actions for access to a server, or for access to a specific function of a server (e.g. DELETEFILE).

**NOTE**: In order to add User Rules on an endpoint, the PNSEVTMON monitor job must be running. This job starts automatically during Network Security installation. If, for some reason, this job has been stopped, you can issue the PTNSLIB07/PNSSTRMON (or PTNSLIB/PNSSTRMON, depending on your product library) command to restart it.

To apply the same rule to multiple profiles, create an *User Group*, then choose the group when you create the User Rule. See <u>Creating a User Group</u>.

All default location rules include the same parameters and are set with the same default values. See Parameters and Default Values.



On the Rules screen, user rules can be identified by the 2 icon to the left of the user profile or group name.

### Adding user rules

- 1. To add a user rule, from the Rules screen, choose Add.
- 2. For Rule Type, choose User/User Group.
- 3. Choose **Lookup** next to User/User Group to select a user profile or <u>User Group</u>. See <u>Users</u> selection window.
- 4. Choose **Lookup** next to the Server > Function field to select the desired server and function. For Functions, choose \*ALL if you would like the rule to apply to all server functions. See <u>Server</u> selection window.
- 5. Choose **Lookup** next to the Authority field to choose an authority for the location rule. See <u>Authorities selection window</u> for a list of the available authorities.
- 6. Use the drop-down lists below to turn on auditing, messaging, and capturing. See New/Edit Rule screen for more details.
- 7. Click **Save** to add the new user rule.

#### **Creating a User Group**

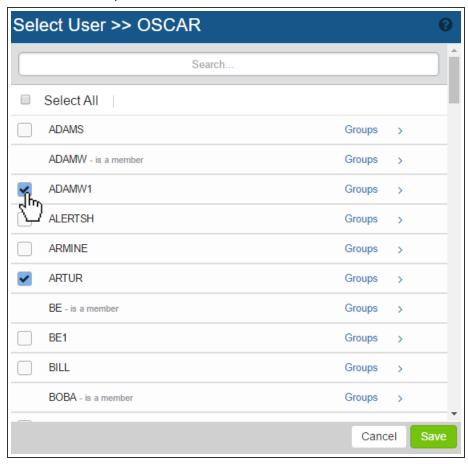
- 1. On the Navigation Pane, choose User Groups.
- 2. Click **Add**. The New User Group screen appears.
- 3. Name the User Group and add a Description.
- 4. In the "Sequence" section, indicate the order in which this User Group will be evaluated by the exit point programs. For more details, see User Groups.
- 5. In the "Members" section, specify the profiles you would like to include as members of the User Group. Click **Add Member** to open the <u>Select User screen</u> where you can select one or more users.

NOTE: Adding OS User Groups to a Network Security Group is not recommended.

6. Click **Save** to save the User Group. This User Group will now be available in the list of profiles while creating or editing user rules.

### **Adding Members to a User Group**

- 1. On the Navigation Pane, choose User Groups.
- 2. Select a User Group to open the Edit User Group screen.
- 3. Under Members, choose Add Member.
- 4. Check the users you would like to add.



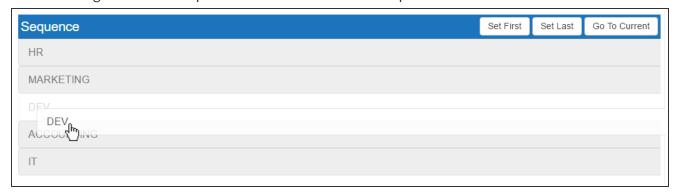
NOTE: Adding OS User Groups to a Network Security Group is not recommended.

5. Click Save.

### **Changing the Sequence of User Groups**

- 1. On the Navigation Pane, choose **User Groups**.
- 2. Select the User Group whose sequence you want to change. The Edit User Group screen appears.

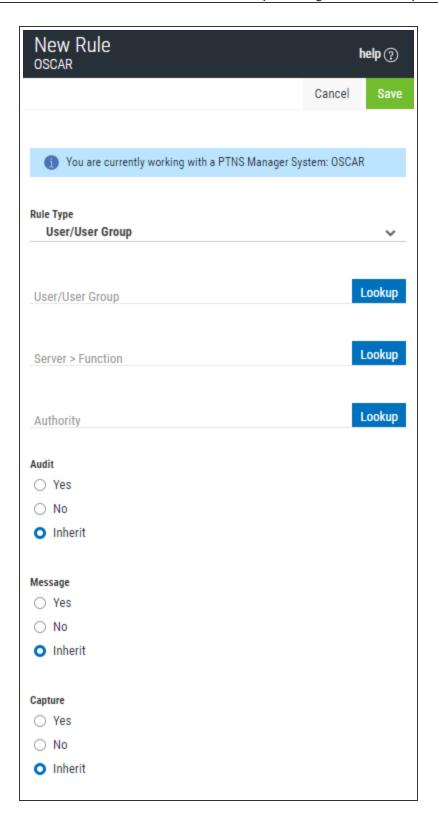
3. Click and drag the User Group to the desired order in the sequence.



4. Click Save.

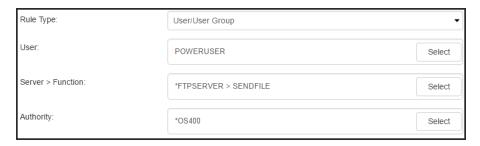
### Restricting access to a server function for all users but one

- 1. First, create a new user rule that sets the SENDFILE function of the FTP server to reject for all users (\*PUBLIC). To do so, from the Navigation Pane, click **Rules**, then choose **Add**.
- 2. For Rule Type, choose User/User Group.
- 3. Select the following values in New User Rule screen:
- User = \*PUBLIC. The rule will be in effect for all users.
- Server > Function = **SENDFILE**. This is the function used by the FTP server to download files from IBM i.
- Authority = \*REJECT. Network Security will reject any FTP SENDFILE transactions.



- 4. Click Save. Now, create a rule to allow POWERUSER to use the SENDFILE function.
- 5. Choose **Add** and select the following values:
- For Rule Type, choose User/User Group.

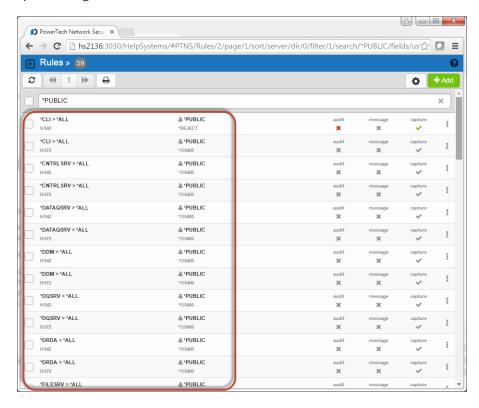
- User = **POWERUSER**. The rule will be in effect for all users.
- Server > Function = SENDFILE. This is the function used by the FTP server to download files from IBM i.
- Authority = \*OS400. Network Security will allow any FTP SENDFILE transaction for POWERUSER.



Click Save. Since this new rule is more specific than the other rules in effect, it is evaluated first, allowing POWERUSER to download files, but restricting all other users from the SENDFILE function.

### **Default User Rules**

Network Security ships with default user authority rules for all supported IBM i servers. View these rules by referring to the \*PUBLIC rules on the Rules screen.



### **Server IDs**

Network Security supports the following servers and provides one default user rule for each server.

Exit Point Server	Description
*CLI	Call Level Interface
*DDM	*Distributed Data Management Server
*DRDA	Distributed Relational Database
*DQSRV	Data Queue Server
*FILESRV	File Server
*FTPCLIENT	IBM i FTP Client
*FTPSERVER	IBM i FTP Server
*NDB	Native Database Request
*RMTSRV	Remote Command and Distributed Program Call Server
*RTVOBJINF	SQL Retrieve Object Information
*SQL	Database Server Initialization
*SQLSRV	SQL Server
*TELNET	Telnet Device Initiation/Termination
*DATAQSRV	Optimized Data Queue Server
*FTPREXEC	FTP Execute Remote Command (REXEC)
*REXEC_SO	Remote Execute Command Signon Server
*TFRFCL	File Transfer Server
*TFTP	Trivial FTP Server
*CNTRLSRV	License Management Central Server
*FTPSIGNON	FTP Logon Server
*LMSRV	License Management Server
*MSGFCL	Message Function Server
*RQSRV	Remote SQL Server
*SIGNON	Signon Server
*VPRT	Virtual Print Server
QNPSERV	Network Print Server

Servers and Functions

### **ShowCase Exit Points**

Network Security provides access control and monitoring for exit points that are specific to the ShowCase software suite:

Exit Point Server	Description
*VISTA A Showcase corporation server. (*VISTA)	ShowCase *VISTA Clients
*VISTAPRO A Showcase corporation server. (*VISTAPRO)	ShowCase *VISTAPRO Clients
DATADIST A Showcase corporation server. (DATADIST)	ShowCase DATADIST Clients
VISTA_ADMI A Showcase corporation server. (VISTA_ADMI)	ShowCase VISTA_ADMI Clients

### **Pre-filters**

Pre-filters allow you to establish a one-to-one relationship between a specific IP address (location) and a user in order to screen transactions before they are evaluated in full by Network Security, or restrict access to a server altogether. For example, by configuring a Location + User Pre-filter, you can specify whether to allow or not allow a transaction from a specific IP address and user — allowing it causes the transaction to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. A Server Pre-filter can perform the same action for all transactions to, for example, the FTP server. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction.

These actions work exactly like their equivalents within the Network Security rules processing scheme.

To configure Pre-filters, see Server Pre-filters panel and Location + User Pre-filters panel.

### **Object Rules**

IBM defines an object as a named storage space that consists of a set of characteristics that describe it and its data. Thus, an object is anything that occupies space in storage, and on which you can perform operations. Examples of objects include programs, files, libraries, folders, and IFS directories and files. Network Security allows you to define authority rules to control access at the object level.

You can set rules for libraries and the objects in them, or an IFS path. These rules can be specific to a user (or \*PUBLIC) or location and contain the object library, name, and type. Using an object rule, you can define access to both the object and the data contained within the object.

Object rules allow you to specify the operation that the rule allows (\*ALL, \*CREATE, \*READ, \*UPDATE, or \*DELETE), and the action to take (\*REJECT, \*OS400, \*SWITCH) for data access and object access. Thus, you can define an object rule for a specific user or location, for a specific object, and for a specific type of access. In addition, you can specify values for auditing, capturing transactions, and messaging in your object rules.

Setting rules at the object level provides a different measure of control than setting rules at the user or location levels. For example, you can set one object rule to restrict all users and locations from accessing a specific file (such as payroll) instead of setting multiple rules at the user or location levels to control access.

### **Object Rules and Network Security**

There is a close relationship between rules in Network Security. Object rules need \*MEMOBJ filter rules to trigger them. When you define an object rule, you select the servers and functions that will enforce the rule. This creates the \*MEMOBJ Authority filter rules for the user or location object rule. The \*MEMOBJ Authority filter rule tells Network Security to check <a href="memorized transactions">memorized transactions</a> (MTR) for authority. If no MTR authority is found, it then checks the transaction against the object rules.

Whenever any rule changes, Network Security manages the relationships between the filter rules, object rules, and memorized transactions.

If there are no filter rules with \*MEMOBJ authority that refer to a particular active object rule, that object rule is set to \*INACTIVE by the system.

**NOTE:** When there are no more active object rules for a given user or location, you should remove or modify the filter rules for that user or location. When you select to deactivate (for example, by changing or deleting) the last active object rule for a user or location, Network Security asks you to select how to handle the filter rules that are in place. If you use a command (such as CHGOBJRUL or DLTOBJRUL), you must specify command parameters that define how to handle the filter rules in case they are needed at run time during command processing.

### Object Rules and the Remote Command Server

The Remote Command server has some unusual properties. The server only recognizes and reports on object type \*CMD, and does not supply any other object type to the server. This means Network Security cannot identify any other object type to apply to the object rule. Remote Command server Object Rules will not work unless they are for the command itself.

#### Example:

The following remote command issued from a DOS prompt:

RMTCMD CRTLIB TESTLIB //mysystem

will work if the object rule is for CRTLIB (type \*CMD). It will not work for TESTLIB (type \*LIB).

### Managing object lists

### To create an object list

- 1. From the Navigation Pane, click Object Lists.
- 2. Choose Add. The New Object List screen appears.
- 3. Enter the Name and Description, then, for Select Object Type, select whether you want to create a list of IFS objects (ISF Path) or native objects (Native Objects).
- 4. Enter the IFS Path or Native Object Library, Name, and Type (see New Object List screen for more details).
- If you would like to add an additional IFS Path or Native Object to the list, click Add IFS Path or Add Native Object, respectively, and enter the object's path or library/name/type. Repeat for additional objects.
- 6. Choose **Save** to create the Object List. You can now specify this Object List in an Object Rule.

### To rename an object List

- 1. On the Object Lists screen, select an Object List.
- 2. Change the Name and Description as desired and clicktapSave.

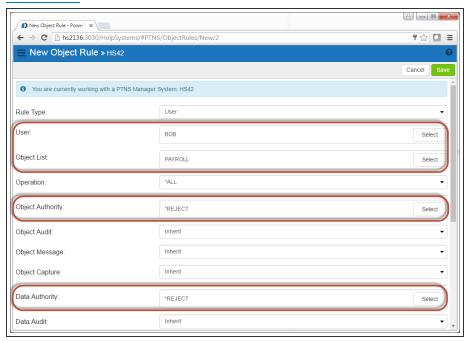
### **Creating Rules for Object Lists**

You can create rules to control access to the objects listed in an object list from the <u>Object Rules screen</u>. Creating a rule adds filter rules for the user or location specified for the rule.

### To create rules for an object list

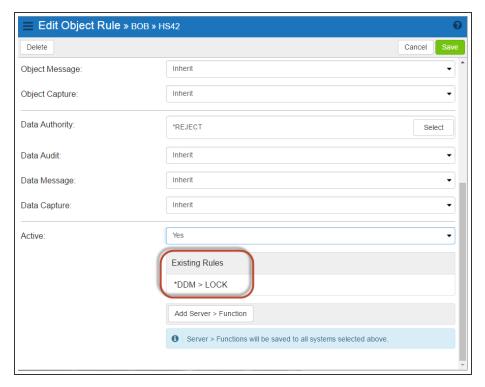
- 1. From the Navigation Pane, click Object Rules.
- 2. Choose Add. The New Object Rule screen appears.

3. Specify the User/Location, Object List, Operation, and Data/Object authority. See New Object Rule screen for details.



In this example of a User Object Rule, Bob is restricted from performing all operations on objects in the PAYROLL object list.

- 4. For Active, choose **Yes** to activate the Object Rule.
- Next, specify which systems should enforce this rule. After "Select which systems to save to", check the desired systems. Or, choose Select All to enforce the Object Rule on all managed systems.
- 6. Click **Add Server > Function** if you would like the Object Rule to apply to specific functions. Choose the server and function, then repeat for any additional server functions.
- 7. Choose **Save** to create the object rule. \*MEMOBJ rules are generated based on your selections. Existing \*MEMOBJ are listed at the bottom of the Edit Object Rules screen.



On the Rules Screen, object rules are indicated with the authority \*MEMOBJ.

# Example: Blocking access to a library while allowing a specific user to access a specific file within that library

In this example, we will block access to all files in the library PAYROLL but still allow user SHAASE to access the EMPLOYEE file within that library.

To block access using this method, you must change the \*PUBLIC rule for \*SQLSRV to \*MEMOBJ. This instructs Network Security to consult Object Lists to determine access control. Additional Object Lists will need to be created to authorize access to other objects and libraries using \*SQLSVR.

### To change the \*PUBLIC rule for \*SQLSRV to \*MEMOBJ:

- 1. Select Rules on the Navigation Pane.
- 2. Click and select the following filter options:
  - Sort By: User/Location
  - Filter By: User Rules
- 3. Click to dismiss the sort/search/filter options.
- 4. In the search box, type "\*SQLSRV" and open the existing \*SQLSRV > \*ALL \*PUBLIC rule.
- 5. Click **Lookup** for Authority and choose \*MEMOBJ.
- 6. Select Save.

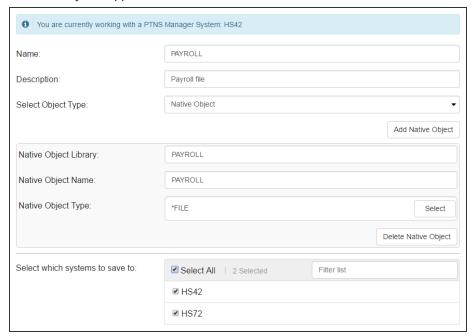
### Create an Object List to block access to all files in the library.

- 1. Select **Object Lists** on the Navigation Pane.
- 2. Choose Add.

- 3. Create the Object List PAYROLL that includes the object PAYROLL using the following values:
  - Name = PAYROLL
  - Description = [\*Enter description here\*]
  - Select Object Type = Native Object

### **Objects**

- Native Object Library = PAYROLL
- Native Object Name = PAYROLL
- Native Object Type = \*FILE



- 4. Choose the systems this Object List should be added to.
- 5. Click **Save** to return to the Object List page.

#### Create another Object List to allow user access to the file EMPLOYEE.

- 1. Choose Add.
- 2. Create the Object List EMPLOYEE that includes the object EMPLOYEE using the following values:
  - Name = EMPLOYEE
  - Description = [\*Enter description here\*]
  - Select Object Type = Native Object

### **Objects**

- Native Object Library = PAYROLL
- Native Object Name = EMPLOYEE
- Native Object Type = \*FILE
- 3. Click **Save** to return to the Object List page.

### Give user SHAASE access to the EMPLOYEE Object List.

- 1. Select **Object Rules** on the Navigation Pane.
- 2. Choose Add.
- 3. Create a new User Object Rule using the following values:
  - Rule Type: User
  - User = SHAASE
  - Object List = EMPLOYEE
  - Operation = \*ALL
  - Object Authority = \*OS400
  - Data Authority = \*OS400

NOTE: Make sure Active is set to Yes checked before saving.

- 4. Specify which systems you would like this rule enforced.
- 5. Choose Add Server > Function and select \*SQLSRV, then \*ALL.
- 6. Click **Save** to return to the Object Rules page.

#### Block user \*PUBLIC access to the PAYROLL Object List.

- 1. Click Add > New User Object Rule.
- 2. Create a new User Object Rule using the following values:
  - User = \*PUBLIC
  - Object List Name = PAYROLL
  - Operation = \*ALL
  - Object Authority = \*OS400
  - Data Authority = \*REJECT

NOTE: Make sure Active is checked before saving.

- 3. Choose Continue.
- 4. Select \*SQLSRV, then \*ALL.



5. Click **Save** to return to the Object Rules page.

Now, only the user SHAASE will have access to the EMPLOYEE file in the library PAYROLL. Access to all other files in PAYROLL will be blocked.

### **IP Address Groups**

IP address groups allow you to set rules when a number of locations need the same location filter rules applied to them. Use the <u>IP Address Groups screen</u> to create, change, and delete groups, as well as define IP address groupings.

Network Security IP address groupings allow you to associate IP addresses with an IP address group name. Once you've associated a set of IP addresses with a group name, you can specify the group name as a location when entering location rules. Exit programs check to see if an IP address is part of a group. If the address is part of a group and no specific rule for it exists, the group name is used to determine if a specific rule exists.

### **Entering IP address groups**

- 1. In the Navigation Pane, choose IP Address Groups.
- 2. Choose Add to open the New IP Address screen.
- 3. Enter the desired name for the new group, then add a description in the Description field.
- 4. Under IP Address Range, specify the IP Address or IP address range.

### **Examples:**

Single: 192.168.0.1

Range 192.168.0.1-192.168.0.255 or 192.168.0.1:192.168.0.255

5. Select which systems you would like to save the IP address group to and click **Save** to create the new group.

### **Switch Profiles**

Network Security's *Switch Profiles* function allows you to customize Network Security authorizations for network access requests.

For example, you might use switch profiles in the following situation:

User POWERUSER initiates an incoming FTP request. The POWERUSER profile normally has IBM i authority to change or delete almost any file on the system, and to run most commands using the FTP RMTCMD facility. Because you want to limit the ability of POWERUSER to run FTP requests, you tell Network Security to switch to another user ID, called READONLY, whenever POWERUSER runs FTP. The READONLY user ID has \*USE authority to IBM i files, allowing read-only access to the files, preventing POWERUSER from making any file modifications.

### Specifying a Switch Profile

- 1. Switch profiles are specified when adding or editing rules. From the <u>Rules screen</u>, choose **Add** or click an existing rule.
- 2. Choose the **Lookup** button next to the Authority field.
- 3. Select \*SWITCH, the select the user profile you would like to switch to.

4. Configure the server, function, location/user, and flags as you would normally and click Save.

If you want to switch to a different user profile only for a particular server function, such as SENDFILE (PUT), you can specify the switch profile for just that function.

#### Setting a Switch Profile for a Function

- 1. Switch profiles are specified when adding or editing rules. From the <u>Rules screen</u>, choose **Add**, or click an exisiting user rule.
- Choose the Lookup button next to the Server > Function field and choose \*FTPSERVER
   SENDFILE.
- 3. For Authority, select \*SWITCH, and then the select the user profile you would like to switch to (in this case, READONLY).
- 4. Choose Save.

**Note:** When you specify a switch profile, all subsequent actions performed in that FTP session are performed using the switch profile until the user performs another FTP function. Then, Network Security switches back and performs the next function as the original user.

#### For example:

- User Bill makes a request to PUT (perform a SENDFILE) a file to the IBM i. Since a rule exists to switch profiles whenever a SENDFILE function is performed, the FTP PUT is switched to run under the user profile POWERUSER.
- All subsequent commands run during Bill's FTP session run under the profile POWERUSER, not Bill.
- As soon Bill performs another FTP function (such as CHGCURLIB or GET), Network Security changes the job to run as Bill.

# More on Access Control Rules - green screen

The power of Network Security resides in its ability to control network access to IBM i network servers and server functions according to the rules you specify.

**NOTE:** All functions related to adding, editing, and deleting rules are available using either the web browser interface or the green screen, although the procedures for accomplishing these tasks differ considerably. While the green screen interface offers many different screens that display the existing user rules in different formats, the browser interface offers a single screen (the Rules screen), with robust search and filtering capabilities that allow *all* rules (user and location) to be accessed immediately. See Using the Web Browser Interface for details.

As discussed in <u>Getting Started with Network Security</u>, you can set rules for a <u>User</u> (user profile), Group profile, or Supplemental Group profile. For example, you can create a rule by user ID that directs the FTP server to reject any upload attempt from users who are members of a particular group profile. For more information on user rules, see <u>User Rules</u>.

Another method of defining an access rule is by *location*. A <u>location</u> is Network Security's definition of the origin of an access request. A location can be a specific IP, group IP, generic IP, range of IP

addresses, or SNA device. (For example, a group of IP addresses may correspond to a corporate office's physical location, e.g. \*DENVER). Location security rules allow you to grant access to, and define the authorities for, all approved dial-in and Internet origins, while restricting access to unapproved origins according to the rules you define. For example, you can create a location rule to direct the IBM i FTP server to reject any FTP request coming from outside your local network. See Location Rules.

Network Security also lets you set object rules that are configured at the <u>object</u> level, for a specific user or location, for a specific object, and for a specific type of access. Creating an object rule allows you to, for example, specify who can access your IBM i payroll database. See <u>Object Rules</u>.

### **Authorities**

Access Control Rules establish the action to be taken when a particular server or server function is accessed. You can specify the following actions:

**NOTE**: Many of the examples shown throughout this section use \*FTPSERVER. The process of setting up other servers is similar, although you will see different functions. In addition, some types of rules may not apply to all servers.

- \*OS400 Allow the request using the user's normal System i authorities as if Network Security were not installed.
- \*REJECT Reject the request.
- \*SWITCH Allow the request after switching the request to run under the authority of a different user profile—the switch profile. See Switch Profiles).
- \*MEMOS400/\*MEMREJECT/\*MEMSWITCH Use the rules specified in a previously memorized transaction. (See Memorizing Transactions).
- \*MEMOBJ Check Object List. (Refer to rules defined for specific objects. See Object Rules.)

## **Flags**

Access Control Rules also include *flags*. The three flags are Aud (Audit), Msg (Message), and Cap (Capture).

**NOTE**: If Authority is \*USER, Audit, Message, Capture and Switch Profile are deferred. If Authority is not \*USER, Audit, Message, Capture and Switch are enforced.

- Audit
  - Yes= Record this request to the Audit Journal
  - No= Do not record the request (unless request fails)
- Message
  - Yes= Send a message when the request is received
  - No= Do not send a message

#### Capture

- Yes= Capture transactions for this request
- No= Do not capture transactions

## **Switch Profiles**

The switch profile action is the key to providing flexible security for your network users. This action lets you specify an alternate user profile, called a *switch profile*, that network access requests run under. This allows you to use standard IBM i security commands to establish the authorities to objects on your system for a user when they access the system through the network servers.

To define a Switch Profile as part of a rule:

- Set the Authority to \*SWITCH.
- Specify the target user.

A typical requirement for \*SWITCH profile:

- User belongs to a group profile that owns objects.
- Network access gives the user \*ALL authority to the entire application.
- Use Network Security to allow selective access.
- Dynamically change user authority "on the fly".
  - Give more or less authority than normal.
- \*SWITCH profile does not affect green screen operations.
  - Can configure authority independently.

For details, see Switch Profiles.

## Network Security always searches for location access rules first

User access rules are considered only if a location access rule is found with an action that indicates that user access rules should be used.

#### Active Rule and Rule Derivation

When working with User and Location rules, you can use 5, Display, to see the <u>Rule Derivation</u> panel, which provide Active Rule and Rule Derivation information. A value of '\*' indicates that Network Security is inheriting the actual value from another location (much like \*SYSVAL in the OS).

**NOTE:** When using the web user interface, the source of the inherited value is listed next to the respective check box when adding or editing rules.

<sup>\* =</sup> Inherited from a higher level (such as <u>Change Server Function Rule</u>). When using the browser interface, the "Inherit" check box indicates the value is inherited from a higher level, and the origin of the inherited value is indicated adjacent to the check box. See <u>Active Rule and Rule Derivation</u>.

#### **Identifying Rule Derivation on the Green Screen**

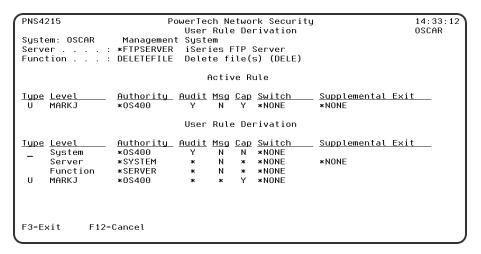
Network Security has a hierarchy of rules that, when displayed, shows what the current active rule is. For most rules, you can set the values that determine what the rule does to \* (or \*DEFAULT).

The Rule Derivation screen lets you see the following: 1) For a given rule, which values are set to the default and 2) from which setting did that value come.

For example, suppose there is a rule for user MARKJ and the audit and capture values are all set to \*. If that rule is invoked, those asterisks each resolve to either Y or N based on the hierarchy. If all properties are set as shown in the following table, then the Active Rule for user MARKJ is Audit = Y, Message = N, and Capture = Y.

F	Audit	Msg	Сар
Work with System Values	У	У	N
Change Server Function Rule	*	Ν	*
MARKJ (rule)	*	*	Υ
Then, the Active Rule is	Υ	N	Υ

The following screen shows the rule derivation. The asterisks in the Audit and Msg fields in the Rule Derivation section for MARKJ take the values from the levels above. The Active Rule is the rule that results from the Rule Derivation values.



User Rule Detail showing Active Rule and Rule Derivation

- System level details can be changed in the Work with System Values panel.
- Server and server function level details can be changed in the Change Server Function Rule panel.
- User level details can be changed in the Work with Security by User panel.

## **Location Rules**

The green screen is the traditional Network Security interface. All functions related to adding, editing, and deleting rules are available using either the web browser interface or the green screen, although the

procedures for accomplishing these tasks differ considerably.

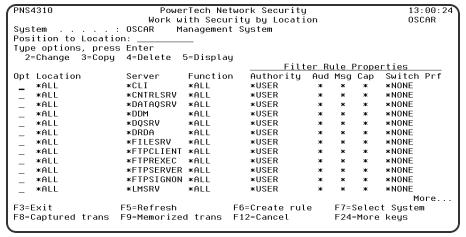
You can use the <u>Work with Security by Location panel</u> to maintain a location's server and server function filter rules. After entering a valid location, you can add, change, or delete the location's individual server and server function filter rules. You also can copy a location's filter rules to another location, or delete all the location's filter rules. To change a rule, simply type over the existing values, and press <u>Enter</u>.

#### **Adding location rules**

Select option 3 on the Network Security Main Menu to open the Work with Security by Location panel. (Or, from the Main Menu, select option 1 to display the Work with Security by Server panel and Enter option LA (Edit Location Authority) in the Opt column next to a server you would like to display.)

LNSR	937	PowerTech Network Security Work with Security by Serve			16:14:55 DEMETER
Posi	tion to	·			
	options, pr =Work with F	ess Enter. unctions LA=Edit Location Authorit <u>u</u>		t User Au Exit Pgm	
Opt	Server	Server Description	Enforced	Active	
	*CLI	CLI Connection Server	N	N	
	*CNTRLSRV	License Management Central Server	N	N	
	*DATAQSRV	Optimized Data Queue Server	N	N	
	*DDM	DDM Server	N	N	
	*DQSRV	Data Queue Server	N	N	
	*DRDA	Distributed Relational Database	N	N	
_	*FILESRV	File Server	N	N	
_	*FTPCLIENT	iSeries FTP Client	N	N	
_	*FTPREXEC	FTP Execute Remote Command (REXEC)	N	N	
LA	*FTPSERVER	iSeries FTP Server	N	N	
*FTPSIGNON FTP Signon Server		N	N		
	*LMSRV	License Management Server	N	N	
F3=E	xit F5=Refr	esh F12=Cancel F23=More options F	24=More	keys	More

2. The Work with Security by Location panel displays. Initially, this screen lists the default rules. You can add and maintain additional server function filter rules for locations using this panel.



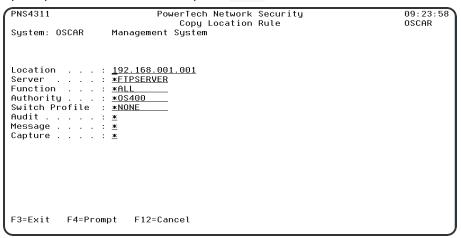
- 3. To add a new rule, press F6. The <u>Create Location Rule panel</u> appears. (To change the filter rule properties of an existing rule, simply choose **2** for the existing rule.)
- 4. Enter the function, location, and filter rule properties. To see a list of available functions, authorities, or switch profiles for the selected server, you can press F4 (Prompt) to display a prompt screen.

For example, press F4 in the Function field to display the Prompt Server Functions panel. Enter a 1 next to the function for which you want to define a rule.

- To apply the rule to all locations, enter \*ALL in the Location field. To restrict the rule to one IP address, enter the IP address (for example, 10.123.144.213). To restrict the rule to a range of IP addresses, you can enter a generic IP address (for example, 10.123.\*).
- To select from a list of valid authorities, press F4 to display the Valid Authorities panel. If you set the Authority to \*REJECT, Network Security rejects the specified transaction. Whenever Network Security rejects a request for any reason, the transaction is recorded in the audit journal and the Aud column is not considered. The rejected request is audited regardless of the value in the Aud column.
- Specify if you want Network Security to send a message (Msg = Y) and capture transactions for memorization (Cap = N). If you do not specify a Switch Profile, it defaults to \*NONE. Press Enter to add the rule.

#### Copying rules from one location to another

- 1. Enter 3 for the location rule you would like to copy. The Copy Location Rule panel appears.
- 2. Specify the new location and press Enter.

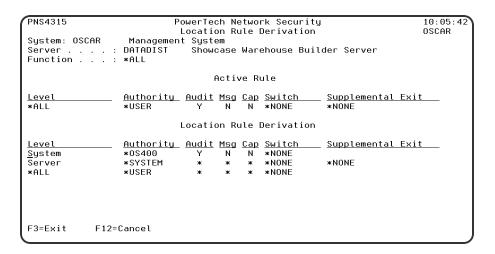


#### NOTE:

- When you copy a location's rules, it does not copy all sublocation rules; only the rules for the selected location, for example, 192.\*, are copied.
- All existing authorities for the location you are copying to are deleted.

#### **Displaying Properties Detail**

On the <u>Work with Security by Location</u> panel, choose 5 for a location rule to display the <u>Location Rule</u> <u>Derivation panel</u>. This panel provides location rule detail information, including parameter settings and Active Rule and Rule Derivation information.



#### **Deleting an Authority Rule for a Location**

On the Work with Security by Location panel, choose 4 for a location rule to delete it.

NOTE: Rules can also be deleted using Insite. See Deleting under "Using the Web Browser Interface."

#### Working with global location rules

You also have the option to set rules across multiple servers at one time from the Work with Security by Location panel.

- 1. On the Work with Security by Location panel, press F2 to display the Add Location Rules panel.
- 2. Specify the desired Location, Authority, Switch Profile, and Audit/Message/Capture flags for the rule.
- 3. For Replace, choose **Y** to set the new rule across only those servers where a rule already exists for the specified location. This option updates existing rules with the specified rule filters and changes all existing rules for the location to those you entered.
- 4. Press Enter to set a new rule across all Network Security servers, including servers that don't already have a rule for the specified location. This option adds \*ALL functions for any missing servers and updates any existing rules.

**NOTE**: If an Authority setting is set to \*SAME, Network Security does not change the existing settings and does not create new rules when you select Add and Change records (All Servers).

#### **Viewing Default Location Rules**

Network Security ships with 30 default location authority rules. You can view these rules from the Work with Security by Location panel. To display the Work with Security by User panel, select option 2 from the Main Menu.

Use **F16** to Sort and Subset by Server, Function, or Location.

PNS4310	PowerTech Network Security						00:24	
Work with Security by Location						OSCA	ìR	
System : OSCAR Management System								
Position to Location	on:	_						
Tupe options, press	s Enter							
2=Change 3=Copy	4=Delete 5	5=Displau	ı.					
				er R	ule I	Prope	rties	
Opt Location	Server	Function				Cap	Switch F	Prf
_ *ALL	*CLI	∗ALL	*USER	*	ж _	*	*NONE	
_ *ALL	*CNTRLSRV	*ALL	*USER	ж	ж	*	*NONE	
- *ALL	*DATAOSRV	∗ALL	*USER	ж	ж	*	*NONE	
_ *ALL	*DDM `	*ALL	*USER	*	*	*	*NONE	
_ *ALL	*D0SRV	*ALL	*USER	*	*	*	*NONE	
_ *ALL	*DRDA	*ALL	*USER	*	*	*	*NONE	
- *ALL	*FILESRV	*ALL	*USER	ж	ж	*	*NONE	
- *ALL	*FTPCLIENT	*ALL	*USER	ж	ж	ж	*NONE	
_ *ALL	*FTPREXEC	*ALL	*USER	*	*	*	*NONE	
_ *ALL	*FTPSERVER	*ALL	*USER	*	*	*	*NONE	
*ALL	*FTPSIGNON	*ALL	*USER	ж	ж	*	*NONE	
*ALL	*LMSRV	*ALL	*USER	ж	ж	ж	*NONE	
=							Mor	^e
F3=Exit	F5=Refresh		F6=Create rul	e	F7=5	Selec	t System	
F8=Captured trans		d trans					keus	

## **User Rules**

User authority rules are useful to control access to servers and functions for particular *users* or groups of users (*User Groups*). User security rules are evaluated only if a location rule specifies to use \*USER security rules. (Network Security includes one default user rule for each server; see <a href="Default User Rules">Default User Rules</a>). Like Location rules, User rules can be used to define actions for access to a server, or for access to a specific function of a server (e.g. DELETEFILE).

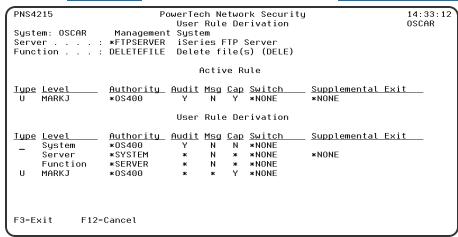
**NOTE**: In order to add User Rules on an endpoint, the PNSEVTMON monitor job must be running. This job starts automatically during Network Security installation. If, for some reason, this job has been stopped, you can issue the PTNSLIB07/PNSSTRMON (or PTNSLIB/PNSSTRMON, depending on your product library) command to restart it.

All default location rules include the same parameters and are set with the same default values. See Parameters and Default Values.

#### Adding user rules

The Work with Security by User panel lets you select the servers to which you want to add or maintain user authority rules.

1. From the Main Menu, select option 2 to display the Work with Security by User panel.



- 2. Press F6 to create a new rule. The Create User Rule panel appears.
- 3. Enter the following details:
  - a. User Rule Type: U for user or G for User Group.
  - b. **User:** The user profile or User Group (press F4 to prompt).
  - c. Server: The IBM i server (press F4 to prompt).
  - d. Function: The IBM i server function (press F4 to prompt).
  - e. Authority: The authority assigned to the user for this server/function (press F4 to prompt).
  - f. **Switch Profile:** The name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction (press F4 to prompt).
  - g. Audit Message Capture: Whether or not to audit, message, or capture these transactions. See <a href="Create User Rule">Create User Rule</a> panel for details.
- 4. Press Enter to create the User Rule.

#### **Creating User Groups**

- 1. On the Network Security Main Menu, choose 7, Work with User Groups.
- 2. Press **F6** to create a new User Group.
- 3. Specify the Sequence Number, User Group (name), and Description. The **Sequence Number** indicates the order in which this User Group will be evaluated by the exit point programs. For more details, see Work With User Groups.
- 4. Press Enter to create the User Group. You return to the Work with User Groups panel.
- 5. Choose **8**, Work with Members, for the User Group you just created. The Work with User Group Members panel appears.

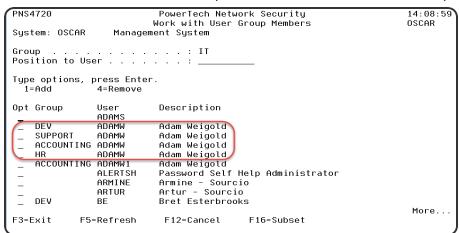
**NOTE**: Adding OS User Groups to a Network Security Group is not recommended.

6. Enter **1** for the profiles you would like to add as members to the User Group and press **Enter**. The User Group name appears under the Group column for the profile and a message indicates the profiles that have been added. Repeat for any additional profiles. Or, use **4** to remove members from a User Group.

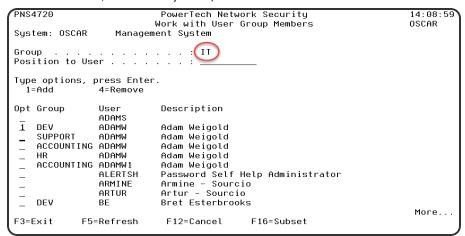
7. Press F3 to return to the Work with User Groups panel. Now, when you create or edit a user rule, choose User Rule Type **G** to select the User Group (instead of a profile).

#### **Adding Members to a User Group**

- 1. On the Network Security Main Menu, choose 7, Work with User Groups.
- 2. Choose 8 for a User Group. The Work with User Group Members panel appears. Here, an entry appears for every user and group combination. For example, if ADAMW is in multiple User Groups, user ADAMW will be listed multiple times once for each User Group in which he is a member.



3. Choose **1** for the user(s) you want to add to the currently selected User Group. (If multiple entries exist for a user, choose any one.)

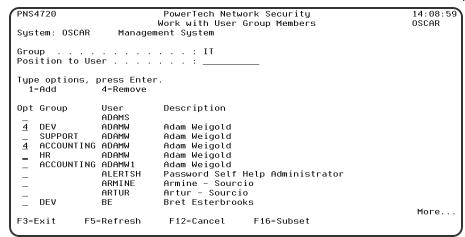


NOTE: Adding OS User Groups to a Network Security Group is not recommended.

4. Press Enter to add the chosen user(s) to the User Group. In the above example, profile ADAMW will be added to the IT User Group.

#### Removing Members from a User Group

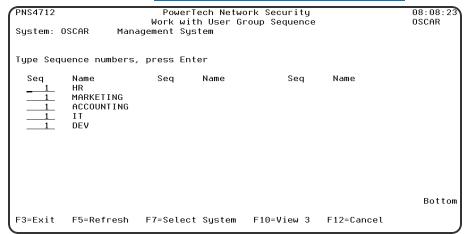
- 1. On the Network Security Main Menu, choose 7, Work with User Groups.
- 2. Choose **8** for a User Group. The Work with User Group Members panel appears. Here, an entry appears for every user and group combination. For example, if ADAMW is in multiple User Groups, user ADAMW will be listed multiple times once for each User Group in which he is a member.
- 3. Choose 4 for the user entries that should be removed from their corresponding group.



4. Press Enter to remove the user(s) from the corresponding group(s). In the above example, profile ADAMW will be removed from the DEV and ACCOUNTING User Groups.

#### **Changing the User Group Sequence**

- 1. On the Network Security Main Menu, choose 7, Work with User Groups.
- 2. Press **F10** to open the Work with User Group Sequence panel.



3. Use the entry fields to order the User Groups in the sequence in which Network Security will evaluate the User Groups. For example, if there are three User Rules with User Groups for a specific Server/Function, and all three have ADAMW as a member, the User Rule for the User Group with the lowest sequence number will be used by the exit programs first.

PNS4712				ork Security roup Sequence		13: 12: 53 OSCAR
System:	OSCAR Mana	gement S		Toup ocquence		0001111
Type Seq	uence numbers,	press E	inter			
Seq 50 40 10 20 30	Name HR MARKETING ACCOUNTING IT DEV	Seq	Name	Seq	Name	
						Bottom
F3=Exit	F5=Refresh	F7=Sele	ct System	F10=View 3	F12=Cancel	

4. Press Enter. The above configuration will change the order to Accounting, IT, Dev, HR, Marketing.

#### Restricting access to a server function for all users but one

This example shows how you might use server user rules to allow the POWERUSER user profile to download files from IBM i using FTP, while preventing other users from performing that function.

This requires the addition of two rules. The first rule rejects attempts to download a file by all users, while the second rule specifically allows the user POWERUSER to download a file. Since the rule to allow POWERUSER to download a file is more specific than the rule to prevent downloading, it takes precedence.

- 1. First, create a new user rule that sets the SENDFILE function of the FTP server to reject for all users (\*PUBLIC).
- 2. Enter the following values in the Create User Rule panel.
  - User = \*PUBLIC. The rule will be in effect for all users.
  - Function = SENDFILE. This is the function used by the FTP server to download files from IBM i.
  - Authority = \*REJECT. Network Security will reject any FTP SENDFILE transactions.
- 3. Now, create another rule to allow POWERUSER to use the SENDFILE function.
  - User = **POWERUSER**. The rule will be in effect for all users.
  - Function = SENDFILE. This is the function used by the FTP server to download files from IBM i.
  - Authority = \*OS400. Network Security will reject any FTP SENDFILE transactions.

Since this second rule is more specific than the other rules in effect, it is evaluated first, allowing POWERUSER to download files, but restricting all other users from the SENDFILE function.

#### To copy rules from one user to another

1. In the Work with Security by User panel, choose 3 for the rule you want to copy. The Copy User Rule panel appears.

2. Make the desired changes and press **Enter** to create the new rule.

#### **Displaying Rule Derivation**

Choose 5 (Display) on the Work with Security by User panel to display the <u>User Rule Derivation panel</u>. The User Rule Derivation panel provides user rule detail information, including parameter settings, Active Rule and Rule Derivation information.

#### **Deleting Rules for specific Users**

- 1. If you want to delete all Network Security authority rules for a specified user, in the Work with Security by User panel, press **F16** to open the User Rules Subset panel.
- 2. For Select User, enter the user profile associated with the rules you want to delete and press Enter.
- 3. Use 4 for all the rules in the list to delete them.

#### **Adding Global User Rules**

You also have the option to set rules across multiple servers at one time from the Work with Security by User panel. Press F2 to display the Add User Rules panel. This panel allows you to create user rules for all Servers.

See Add User Rules panel.

#### **Default User Rules**

Network Security ships with default user authority rules for all supported IBM i servers. View these rules by referring to the \*PUBLIC rules on the Work with Security by User panel.

PNS4210							: 45 : 0	
	10W	Work with Security by User OSCAR					CAR	
System	: OSCAR	Management	System					
Position to	User :	_						
Type options	, press Enter							
2=Change	3=Copy 4=Delete	5=Display						
			Filt	er R	ule F	rope	rties	
Opt Typ Use	er Server	Function			Msg		Switch	Prf
_ Ü *PL	BLIC *CLI	*ALL	*0S400	*	*	*	*NONE	
_ U *PL	BLIC *CNTRLSRV	/ *ALL	*0S400	*	*	*	*NONE	
_ U *PL	BLIC *DATAQSRV	/ *ALL	*0S400	*	*	*	*NONE	
_ U *PL	BLIC *DDM	*ALL	*0\$400	*	*	*	*NONE	
_ U *PL	BLIC *DOSRV	*ALL	*0S400	*	*	*	*NONE	
U *PL	BLIC *DRDA	*ALL	*0S400	*	*	*	*NONE	
U *PL	BLIC *FILESRV	*ALL	*0S400	ж	*	*	*NONE	
U *PL	BLIC *FTPCLIEN	NT *ALL	*0S400	*	*	*	*NONE	
U *PL	BLIC *FTPREXEC	C *ALL	*0S400	*	*	*	*NONE	
U *PL	BLIC *FTPSERVE	ER *ALL	*0S400	*	*	*	*NONE	
U *PL	BLIC *FTPSIGNO	ON *ALL	*0S400	*	*	*	*NONE	
_	BLIC *LMSRV	*ALL	*0S400	*	*	*	*NONE	
_								ore
F3=Exit	F5=Refresh	า	F6=Create rul	.е	F7=5	Selec	t System	n
F8=Captured	trans F9=Memoriz	zed trans	F12=Cancel		F24	-More	keus	

#### **Server IDs**

Network Security supports the following servers and provides one default user rule for each server.

<b>Exit Point Server</b>	Description
*CLI	Call Level Interface
*DDM	*Distributed Data Management Server

<b>Exit Point Server</b>	Description
*DRDA	Distributed Relational Database
*DQSRV	Data Queue Server
*FILESRV	File Server
*FTPCLIENT	IBM i FTP Client
*FTPSERVER	IBM i FTP Server
*NDB	Native Database Request
*RMTSRV	Remote Command and Distributed Program Call Server
*RTVOBJINF	SQL Retrieve Object Information
*SQL	Database Server Initialization
*SQLSRV	SQL Server
*TELNET	Telnet Device Initiation/Termination
*DATAQSRV	Optimized Data Queue Server
*FTPREXEC	FTP Execute Remote Command (REXEC)
*REXEC_SO	Remote Execute Command Signon Server
*TFRFCL	File Transfer Server
*TFTP	Trivial FTP Server
*CNTRLSRV	License Management Central Server
*FTPSIGNON	FTP Logon Server
*LMSRV	License Management Server
*MSGFCL	Message Function Server
*RQSRV	Remote SQL Server
*SIGNON	Signon Server
*VPRT	Virtual Print Server
QNPSERV	Network Print Server

Servers and Functions

### **ShowCase Exit Points**

Network Security provides access control and monitoring for exit points that are specific to the ShowCase software suite:

Exit Point Server	Description
*VISTA A Showcase corporation server. (*VISTA)	ShowCase *VISTA Clients
*VISTAPRO A Showcase corporation server. (*VISTAPRO)	ShowCase *VISTAPRO Clients
DATADIST A Showcase corporation server. (DATADIST)	ShowCase DATADIST Clients
VISTA_ADMI A Showcase corporation server. (VISTA_ADMI)	ShowCase VISTA_ADMI Clients

## **Pre-filters**

Pre-filters allow you to establish a one-to-one relationship between a specific IP address (location) and a user or user group in order to screen transactions before they are evaluated in full by Network Security, or restrict access to a server altogether. For example, by configuring a Location + User Pre-filter, you can specify whether to allow or not allow a transaction from a specific IP address and user (or user group) — allowing it causes the transaction to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. A Server Pre-filter can perform the same action for all transactions to, for example, the FTP server. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction.

These actions work exactly like their equivalents within the Network Security rules processing scheme.

To configure Pre-filters, see <u>Pre-filters panel</u>, <u>User+Location Pre-filter panel</u>, <u>User+Location Pre-filter panel</u>, <u>User+Location Pre-filter panel</u>, <u>User+Location Pre-filter panel</u>.

## **Object Rules**

IBM defines an object as a named storage space that consists of a set of characteristics that describe it and its data. Thus, an object is anything that occupies space in storage, and on which you can perform operations. Examples of objects include programs, files, libraries, folders, and IFS directories and files. Network Security allows you to define authority rules to control access at the object level.

You can set rules for libraries and the objects in them, or an IFS path. These rules can be specific to a user (or \*PUBLIC) or location and contain the object library, name, and type. Using an object rule, you can define access to both the object and the data contained within the object.

#### NOTE:

Path strings must begin with a slash (/) and must not begin with any of "QSYS.LIB", "QFileSvr.400", "QOpenSys", "QOPT" or "QNTC". These values are not case sensitive, thus QOPENSYS and qopensys are similarly invalid. Also, the virtual directory names "." and ".." are not allowed in the path. Additionally, there must be at least one character between each slash in the path.

Object rules allow you to specify the operation that the rule allows (\*ALL, \*CREATE, \*READ, \*UPDATE, or \*DELETE), and the action to take (\*REJECT, \*OS400, \*SWITCH) for data access and object access. Thus, you can define an object rule for a specific user or location, for a specific object, and for a specific type of access. In addition, you can specify values for auditing, capturing transactions, and messaging in your object rules.

Setting rules at the object level provides a different measure of control than setting rules at the user or location levels. For example, you can set one object rule to restrict all users and locations from accessing a specific file (such as payroll) instead of setting multiple rules at the user or location levels to control access.

## **Object Rules and Network Security**

There is a close relationship between rules in Network Security. Object rules need \*MEMOBJ filter rules to trigger them. When you define an object rule, you select the servers and functions that will enforce the rule. This creates the \*MEMOBJ Authority filter rules for the user or location object rule. The \*MEMOBJ Authority filter rule tells Network Security to check memorized transactions (MTR) for authority. If no MTR authority is found, it then checks the transaction against the object rules.

Whenever any rule changes, Network Security manages the relationships between the filter rules, object rules, and memorized transactions.

If there are no filter rules with \*MEMOBJ authority that refer to a particular active object rule, that object rule is set to \*INACTIVE by the system.

#### NOTE:

When there are no more active object rules for a given user or location, you should remove or modify the filter rules for that user or location. When you select to deactivate (for example, by changing or deleting) the last active object rule for a user or location, Network Security asks you to select how to handle the filter rules that are in place. If you use a command (such as CHGOBJRUL or DLTOBJRUL), you must specify command parameters that define how to handle the filter rules in case they are needed at run time during command processing.

## **Object Rules and the Remote Command Server**

The Remote Command server has some unusual properties. The server only recognizes and reports on object type \*CMD, and does not supply any other object type to the server. This means Network Security cannot identify any other object type to apply to the object rule. Remote Command server Object Rules will not work unless they are for the command itself.

#### Example:

The following remote command issued from a DOS prompt:

```
RMTCMD CRTLIB TESTLIB //mysystem
```

will work if the object rule is for CRTLIB (type \*CMD). It will not work for TESTLIB (type \*LIB).

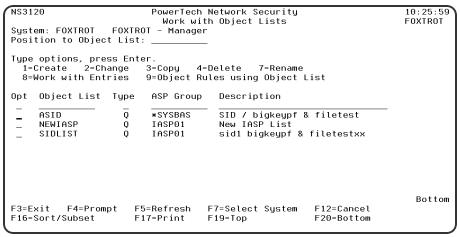
## **Managing Object Lists**

#### To create an object list

1. From the Network Security Main Menu, select option 4 to display the Work with Security by Object screen.

```
LNS3000
                          PowerTech Network Security
                                                                      10:19:03
                         Work with Security by Object
                                                                      HS42
                          Working with system HS72
Select one of the following:
     1. Work with Security by Server
     2. Work with Security by User
     3. Work with Security by Location
Selection or command
===>
F3=Exit F4=Prompt
                     F7=Select System
F13=Information Assistant
                           F16=System Main Menu
                                                   F22=Status
```

2. To work with the object lists you want to secure, select option **1** to display the Work with Object Lists screen. It displays all object lists that have been defined.



- 3. Here, you can add new lists and copy, change, delete, or work with existing lists. See Work with Object Lists screen for details.
- 4. Adding an Object List. Enter a 1 in the Opt column on the first line of the Work with Object Lists screen. You can enter the object list name, type, and description in the blank lines or press Enter to display the Create Object List screen.

See Create Object List screen for more details.

#### To change the Type and Description of an existing object list

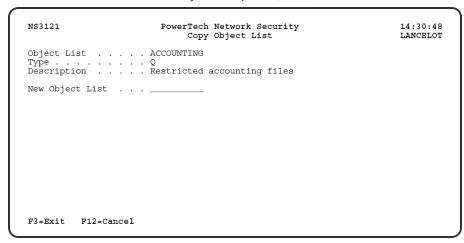
- 1. Enter a **2** next to an object list name on the Work with Object Lists screen to display the Change Object List screen.
- 2. Enter the new type and/ or description and press Enter to save the change.

See Change Object List screen.

#### To copy an existing object list to create a new list with the same object list entries

1. Enter a 3 next to an object list name on the Work with Object Lists screen to display the Copy Object List screen.

2. Enter a name for the new object list press Enter.

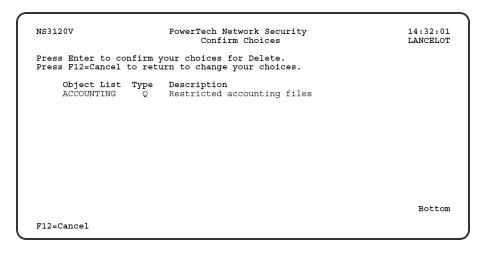


See Copy Object List screen.

#### To delete an object list

Enter a 4 next to one or more object list names on the Work with Object Lists screen. The Confirm Choices screen displays asking you to confirm that you want to delete the selected object list(s).

NOTE: To delete object lists using the web browser interface, see Using the Web Browser Interface.



See Conform Choices screen.

#### To rename an object list

1. Enter a **7** next to an object list name on the Work with Object Lists screen to display the Rename Object List screen.

2. Enter a new name for the object list and press Enter.

```
NS3121 PowerTech Network Security 14:34:04 Rename Object List 14:34:04 LANCELOT

Object List . . . . PAYROLONLY Type . . . . . . . Q
Description . . . . Payroll files only

New Object List . . . ______
```

## **Working with Object List Entries**

The purpose of an object list is to group the objects in a library that you want to secure in one object list to which you then can apply Network Security Object Rules. The object list entries specify the objects that you are securing.

#### To add entries to an object list

- 1. Enter a **1** in the Opt column on the first line of the Work with Object List Entries screen and enter your values in the blank lines or press Enter to display the Add Object List Entry screen.
- 2. Enter the following information to define the object list entry.

```
NS3221 PowerTech Network Security
Add Object List Entry

Object List . . : PERSONNEL Personnel files

Library . . . : ACCTPAY Object . . . : PAYLIST Type . . . . : *FILE

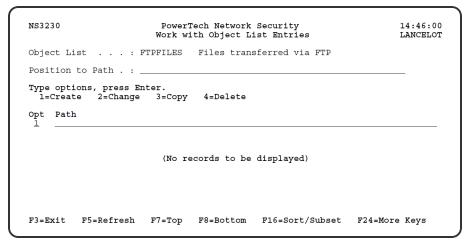
F3=Exit F4=Prompt F12=Cancel
```

See Add Object List Entry screen for more details.

#### To add entries to an IFS-type object list

1. Enter a **1** in the Opt column of the Work with Object List Entries screen and press **Enter** to display the Add Object List Entry screen.

**NOTE**: To add entries to an IFS-type object list using the web browser interface, see <u>To add</u> entries to an object list (web browser) above.



NS3231	PowerTech Network Security Add Object List Entry	14:47:4 LANCELO
Object List	. : FTPFILES Files transferred via FTP	
Path	· :	

2. Enter the path name for the directory you want to secure. Press F4 (Prompt) in the Path field to display the Select Path screen, which allows you to select a path in your IFS. The path name can contain either generic or wildcard characters.

```
NS3240
                             PowerTech Network Security
                                                                                   14:48:55
                                      Select Path
                                                                                  LANCELOT
Directory . . . : / Position to Name: _
Type options, press Enter.
1=Select 5=Display
      Name
                                                                          Type
DIR
DIR
       bin
      bin.prv
                                                                          DIR
DIR
       cume
       darlene
       dev
                                                                          DIR
       dev_rfc.trc
                                                                          STMF
       helpsystems
                                                                          DIR
      home
       images
                                                                          DIR
      jean
                                                                          DIR
F3=Exit F5=Refresh F7=Top F8=Bottom F12=Cancel
                                                                  F24=More Keys
```

3. If the IFS path name is too long to display on the Work with Object List Entries screen, press F22 (Full Name) to display the full path name in a window.

#### Sorting object lists and object list entries

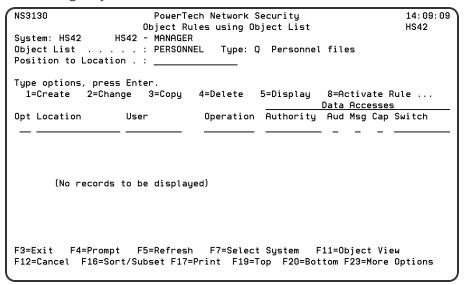
You can subset and sort object lists or object list entries so that you see only the lists or objects that meet the criteria you specify. To display the sort screens, press F16 (Sort/Subset) on the Work with Object Lists or Work with Object List Entries screens. See Sort and Subset Object Lists screen and Sort and Subset Object List Entries screen.

## Creating rules for an object list using the green screen

You can create rules to control access to the objects listed in an object list from the Work with Object Lists screen. Creating a rule adds filter rules for the user or location specified for the rule.

#### To create rules for object lists

1. Enter a **9** in the Opt column next to the object list you want to work with to display the Object Rules using Object List screen.



2. On the Object Rules using Object List screen, enter a 1 in the Opt column and a Location or User name. Press Enter to display the Create Object Rule by Location or User screen.

**NOTE**: Although you can enter your rule directly on the Object Rules using Object Lists screen, the Create Object Rule by Location or User screen makes it easier to see all the fields you need to complete. This example shows how to create a rule by User; creating a rule by location follows the same process.

```
พรรรรา
                          PowerTech Network Security
                                                                        08 - 37 - 10
                          Create Object Rule by User
                                                                        TATOOSH
                                             User profile, *PUBLIC
Payroll master file
F4 for list
           . . . . . . . . MARKJ
Object List . . . . . . PAYROLL
*ACTIVE, *INACTIVE
Data Accesses:
 *OS400, *REJECT, *SWITCH
                                             Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
                                             Y=Yes, N=No, *=Default
User profile, *NONE
Object Accesses:
 *OS400, *REJECT, *SWITCH
                     . . . <u>*OS400</u>
                                             Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
  Capture Transactions . .
  Switch Profile . . . . *NONE
                                             User profile, *NONE
F3=Exit F4=Prompt
```

See Create Object Rule by User screen.

#### Specifying the Server/Functions for an Object Rule

When you've defined your rule, press Enter to display the <u>Select Target Server Functions for Object Rule screen</u>, which allows you to select the <u>servers</u> and <u>functions</u> that will enforce the new user or location filter rule with \*MEMOBJ authority you are creating.

```
NS3350
                            PowerTech Network Security
                                                                               08:39:19
                Select Target Server Functions for Object Rule
User . . . . . . : MARKJ
Select Server Functions to process Object Rules for this User.
Type options, press Enter.
  1=Select Server Function *ALL 2=Select individual Server Functions
                    Server Description
       *DATAQSRV
                    Optimized Data Queue Server
      *DDM
                    DDM Server
      *DQSRV Data Queue Server
*FILESRV File Server
*FTPCLIENT iSeries FTP Client
      *FTPREXEC FTP Execute Remote Command (REXEC)
*FTPSERVER iSeries FTP Server
                    Native Database Request
       *REXEC SO
                   Rmt Execute Command Signon Server
                                                                                More...
F5=Refresh F10=Select Server Function *ALL for all Servers F12=Cancel
```

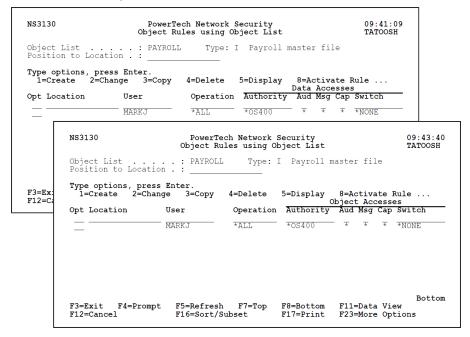
- 1. Enter a **1** next to a server to select server function \*ALL, which tells Network Security to enforce the rule for all functions of the selected server. To select the function \*ALL for all servers, press **F10**. If you have previously selected individual functions for a server, pressing **F10** deselects those functions and selects function \*ALL for the server.
- 2. To select individual server functions, enter a **2** next to the server to display the second <u>Select Target Server Functions for Object rule screen</u>, which displays a list of functions for the selected server.

```
PowerTech Network Security
Select Target Server Functions for Object Rule
NS3351
                                                                                  08 - 42 - 31
                                                                                  TATOOSH
User . . . . . . : MARKJ
Server ID . . . . : *VPRT
                                     Virtual Print Server
Type options, press Enter.
  1=Select Function
                         4=Deselect Function
      Function Function Description
Opt
      *ALL
CHECK
EXTRACT
                     All Functions
Check PRTF/OUTQ Exists/Permissions
                     Perform a list function
      OPEN
                    Open printer file
                                                                                    Bottom
              F10=Select all except *ALL F12=Cancel
```

3. Enter a **1** next to each function that should enforce the object rule. To deselect a function, enter a **4** next to the function. To select all individual server functions, except \*ALL, press **F10**.

**NOTE**: If you've previously set filter rules with \*MEMOBJ authority for the user or location and don't want to create a new filter rule, you can press Enter without making any selections on the Select Target Server Function for Object Rule screens.

4. When you've completed defining your rules, they display on the Object Rules using Object List screen. To switch between the Data Access and Object Access rights, press F11 (Object View/Data View).



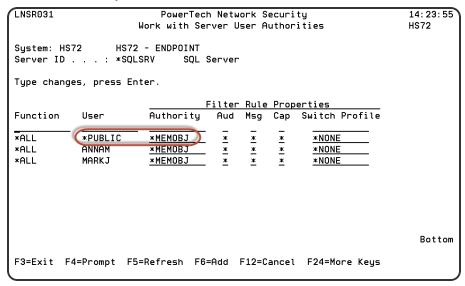
# Example: Blocking access to a library while allowing a specific user to access a specific file within that library

In this example, we will block access to all files in the library PAYROLL but still allow user SHAASE to access the EMPLOYEE file within that library.

To block access using this method, you must change the \*PUBLIC rule for \*SQLSRV to \*MEMOBJ. This instructs Network Security to consult Object Lists to determine access control. Additional Object Lists will need to be created to authorize access to other objects and libraries using \*SQLSVR.

#### Change the \*PUBLIC rule for \*SQLSRV to \*MEMOBJ:

- 1. Choose 1 (Work with Security by Server) from the Network Security Main Menu.
- Enter UA for \*SQLSRV.

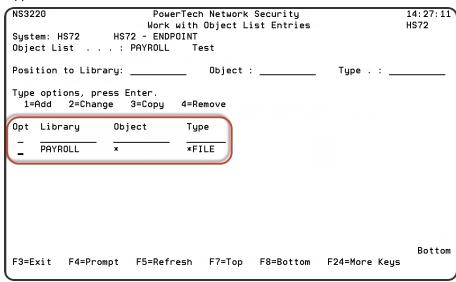


- 3. Change the Authority for User \*PUBLIC to \*MEMOBJ and press Enter.
- 4. Press F3 twice to return to the Main Menu.

#### 2. Create an Object List to block access to all files in the library.

- 1. Select 4 (Work with Security by Object).
- 2. Select 1 (Work with Object Lists).
- 3. Create the Object List PAYROLL using the following values:
  - Opt = 1 (Create)
  - Object List = PAYROLL
  - Type = Q
  - Description = [\*Enter description here\*]
- 4. Press Enter twice to create the PAYROLL Object List.
- 5. Enter Opt 8 (Work with Entries) for the PAYROLL Object List.
- 6. Add an entry for all files using the following values:
  - Opt = 1 (Add)
  - Library = PAYROLL
  - Object = \* (\* indicates ALL objects)

Type = \*FILE



- 7. Press Enter twice to add the Object List Entry to the PAYROLL Object List.
- 8. Press F3 to return to Work with Object Lists.
- 3. Now that the PAYROLL Object List has been successfully added and configured, create another Object List to allow user access to the file EMPLOYEE.
  - 1. Create the Object List EMPLOYEE using the following values:
    - Opt = 1 (Create)
    - Object List = EMPLOYEE
    - Type = Q
    - Description = [\*Enter description here\*]
  - 2. Press Enter twice to create the EMPLOYEE Object List.
  - 3. Enter Opt 8 (Work with Entries) for the EMPLOYEE Object List.
  - 4. Add an entry using the following values:
    - Opt = 1 (Add)
    - Library = PAYROLL
    - Object = EMPLOYEE
    - Type = \*FILE
  - 5. Press Enter twice to add the Object List Entry to the EMPLOYEE Object List.
  - 6. Press F3 to return to Work with Object Lists.
- 4. Give user SHAASE access to the EMPLOYEE Object List.
  - 1. Enter Opt 9 (Object Rules using Object List) next to the object list EMPLOYEE.

- Opt = 1 (Create)
- User = SHAASE
- Operation = \*ALL

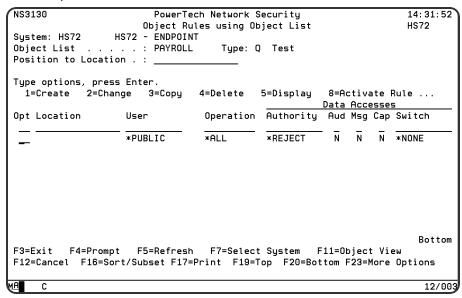
```
NS3130
                                                               14: 29: 33
                      PowerTech Network Security
                     Object Rules using Object List
                                                               HS72
System: HS72
               HS72 - ENDPOINT
                                 Type: Q Test
Object List . . . . : EMPLOYEE
Position to Location . :
Type options, press Enter.
  1=Create 2=Change 3=Copy 4=Delete
                                       5=Display
                                                  8=Activate Rule ...
                                                  Data Accesses
                              Operation Authority Aud Msg Cap Switch
                  User
Opt Location
                              *ALL
   SHAASE
                                        *0S400 *
                                                                 Bottom
F3=Exit F4=Prompt F5=Refresh F7=Select System F11=Object View
F12=Cancel F16=Sort/Subset F17=Print F19=Top F20=Bottom F23=More Options
```

- Authority = \*OS400
- 2. Create a new record using the following values:
- 3. Press Enter to review the information on the Create Object Rule by User screen.
- 4. Press Enter again. The Select Target Server Functions for Object Rule screen appears.
- 5. Enter Opt 1 (Select Server Function \*ALL) next to the server \*SQLSRV and press Enter twice.
- 6. Press F3 to return to the Work with Object Lists.

#### 5. Block user \*PUBLIC access to the PAYROLL Object List.

- 1. Enter Opt 9 (Object Rules using Object List) next to the Object List PAYROLL.
- 2. Create a new record using the following values:
  - Opt = 1 (Create)
  - User = \*PUBLIC
  - Operation = \*ALL

Authority = \*REJECT

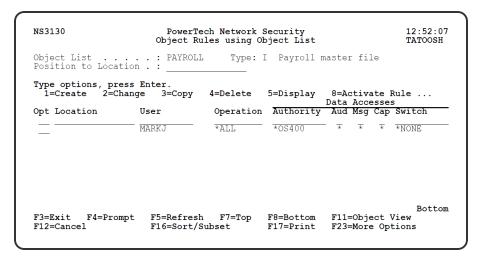


- 3. Create a new record using the following values:
- 4. Press Enter to review the information on the Create Object Rule by User screen.
- 5. Press Enter again. The Select Target Server Functions for Object Rule screen appears.
- 6. Enter Opt 1 (Select Server Function \*ALL) next to the server \*SQLSRV and press Enter twice.
- 7. Press F3 to return to the Work with Object Lists.

Now, only the user SHAASE will have access to the EMPLOYEE file in the library PAYROLL. Access to all other files in PAYROLL will be blocked.

#### 6. Working with Object Rules

To work with the object rules you've created, you can select from the following options. Press F23 (More Options) to see additional options.



#### Using the CRTOBJRUL and CHGOBJRUL Commands

The Create Object Rule (CRTOBJRUL) and Change Object Rule (CHGOBJRUL) commands also allow you

to create or change an object rule.

```
Create Object Rule (CRTOBJRUL)
Type choices, press Enter.
Location . . . . . . .
                                                                         Name, *PUBLIC
BENP102, BENP103, BENP104...
*ALL, *CREATE, *DELETE...
*ACTIVE, *INACTIVE
Object List
Operation . . Status . . . . Data Accesses:
                                                    *ACTIVE
                                                                         *OS400, *REJECT, *SWITCH
*DEFAULT, *YES, *NO
*DEFAULT, *YES, *NO
*DEFAULT, *YES, *NO
Name, *NONE
                                                    *OS400
*DEFAULT
*DEFAULT
  Audit transactions . . . . .
   Send messages
  Send messages . . . . Capture transactions . . . Switch profile . . . . .
                                     Create Object Rule (CRTOBJRUL)
 Type choices, press Enter.
 Object Accesses:
                                                                           *OS400, *REJECT, *SWITCH
*DEFAULT, *YES, *NO
*DEFAULT, *YES, *NO
*DEFAULT, *YES, *NO
Name, *NONE
*NONE, *ALLALL, *SRVLIST
    *DEFAULT
*DEFAULT
 *DEFAULT
                                                      *ALLALL
                                                                                                             Bottom
                                 F5=Refresh F12=Cancel F13=How to use this display
               F4=Prompt
 F24=More keys
```

The commands allow you to specify the location or user, the object list, the operation to which the rule applies, and whether it should be active or inactive. The data access and object access options are the same as on the Create or Change Object Rule by User/Location screens.

The Filter Rule creation style parameter allows you to specify how the \*MEMOBJ filter rules will be created:

#### \*ALLALL

Selects the \*ALL function for all servers.

#### \*SRVLIST

Allows you to specify which servers and functions are populated with \*MEMOBJ filter rules. Use the Server List parameter to specify the servers and functions.

#### \*NONE

If you don't specify any servers/functions and no \*MEMOBJ filter rules already exist when the command is run, no \*MEMOBJ filter rules are created and the object rule is placed in \*INACTIVE status.

If you use the CHGOBJRUL command to inactivate the last active user or location rule, an additional set of parameters displays allowing you to specify how to handle any \*MEMOBJ filter rules that exist at run time.

```
Change Object Rule (CHGOBJRUL)
Type choices, press Enter.
Object Accesses:
                                                              *SAME, *OS400, *REJECT...
*SAME, *DEFAULT, *YES, *NO
*SAME, *DEFAULT, *YES, *NO
*SAME, *DEFAULT, *YES, *NO
Name, *SAME, *NONE
*NONE, *ALLALL, *SRVLIST
  *SAME
  Switch profile . . . . . . Filter Rule creation style . Filter Rule deletion options:
   If Memorized Trans Exist:
                                                             *LEAVE, *ALTER, *DELETE
*SAME, *USER, *OS400...
Name, *NONE, *SRVFCN
     *NONE
                                                            *LEAVE, *ALTER, *DELETE
*SAME, *USER, *OS400...
Name, *NONE, *SRVFCN
                                             *DELETE
                                             *NONE
F3=Exit
           F4=Prompt F5=Refresh
                                            F12=Cancel F13=How to use this display
F24=More keys
```

Use the Filter Rule deletion options to specify how you want Network Security to handle the filter rules.

#### **Deleting an Object Rule**

When you create an object rule, it creates filter rules with \*MEMOBJ authority for the <u>user</u> or <u>location</u>. When you select to delete or deactivate the last active object rule for a user or location, you should review these filter rules to determine if they are still necessary.

**NOTE**: Rules can also be deleted using the Insite web UI. See <u>Deleting</u> under "Using the Web Browser Interface."

When you select to delete the last active object rule, the Confirm Choices screen first asks you to confirm the deletion. If you confirm that you want to delete the rule, the Specify Filter Rule Options screen displays so you can specify how you want Network Security to handle any \*MEMOBJ filter rules that exist for the object rule.

```
NSRRIMDP

PowerTech Network Security
Specify Filter Rule Options

This is the last active Object Rule for User MARKJ.

What would you like to do with the existing filter rules for User MARKJ that have *MEMOBJ Authority?
(select one action in each group using option 1)

If Memorized Transactions exist:

Leave the filter rules as they are.
Change Authority to
Remove the filter rules.

Switch profile *NONE

If no Memorized Transactions exist:
Leave the filter rules as they are.
Change Authority to
Switch profile *NONE
Remove the filter rules.
```

You can specify the following for the filter rules depending on whether or not any memorized transactions exist for the same server, function, and user or location as the object rule you are deleting.

#### If Memorized Transactions exist:

This section controls what happens to the User or Location rules when memorized transactions exist.

#### Leave the filter rules as they are

The \*MEMOBJ User or Location filter rules are not altered or removed.

#### Change Authority to\_\_\_\_\_Switch profile\_\_\_\_\_

Changes the Authority on the filter rules to the value you specify. You must specify a valid Authority value. If you specify \*SWITCH or \*MEMSWITCH, you also must enter a switch profile name.

#### Remove the filter rules

Deletes the \*MEMOBJ User or Location filter rules.

#### If no Memorized Transactions exist:

This section controls what happens to the user or location rules when no memorized transactions exist.

#### Leave the filter rules as they are

The \*MEMOBJ User or Location filter rules are not altered or removed.

Change Authority to \_\_\_\_\_ Switch profile \_\_\_\_\_

Changes the Authority on the filter rules to the value you specify. You must specify a valid Authority value. If you specify \*SWITCH or \*MEMSWITCH, you also must enter a switch profile name.

#### Remove the filter rules

Deletes the \*MEMOBJ user or location filter rules.

#### 9. Using the DLTOBJRUL Command

You also can use the Delete Object Rule (DLTOBJRUL) command to delete an object rule.

Delete Object Rule (DLTOBJRUL)					
Type choices, press Enter.					
Location		Name, *PUBLIC ACCOUNTING, FTPFILES *ALL, *CREATE, *DELETE			
Action to take	*ALTER *MEMOS400 *NONE	*LEAVE, *ALTER, *DELETE *USER, *OS400, *REJECT Name, *NONE, *SRVFCN			
Action to take	*DELETE *NONE *NONE	*LEAVE, *ALTER, *DELETE *USER, *OS400, *REJECT Name, *NONE, *SRVFCN			
F3=Exit F4=Prompt F5=Refresh F24=More keys	F12=Cancel	Bottom F13=How to use this display			

The command allows you to specify the location or user, the object list, and the operation for which you are deleting an object rule. You also must specify how to handle any \*MEMOBJ filter rules currently in existence at run time if the rule being deleted is the last active object rule for the user or location.

The Filter Rule deletion options, for both If Memorized Trans Exist and If no Memorized Trans Exist are:

#### Action to take

Specify the action to take when \*MEMOBJ filter rules exist for the user or location. Valid values are:

- \*LEAVE Leaves the existing filter rules as they are.
- \*ALTER Changes the authority on existing filter rules to the value you specify in the Authority field.
- \*DELETE Deletes the \*MEMOBJ user or location filter rules.

#### **Authority**

If you specified \*ALTER in the Action to take field, enter the Authority value to apply to the user or location rule. Press F4 to select from a list of possible Authority values.

### Switch profile

If you entered \*SWITCH or \*MEMSWITCH in the Authority field, enter the name of the switch profile. If you entered any other value in the Authority field, Switch profile must be \*NONE.

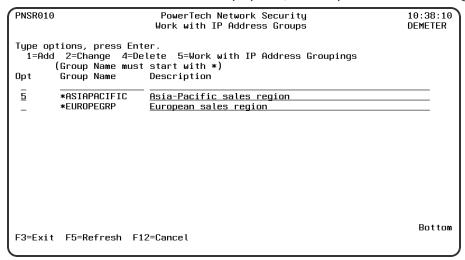
## **IP Address Groups**

IP address groups allow you to set rules when a number of locations need the same location filter rules applied to them. Use the <u>Work with IP Address Groups panel</u> to create, change, and delete groups, as well as define IP address groupings.

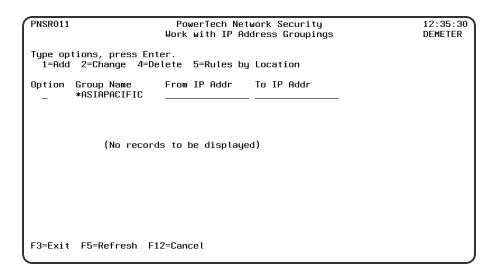
Network Security IP address groupings allow you to associate IP addresses with an IP address group name. Once you've associated a set of IP addresses with a group name, you can specify the group name as a location when entering location rules. Exit programs check to see if an IP address is part of a group. If the address is part of a group and no specific rule for it exists, the group name is used to determine if a specific rule exists.

#### **Entering IP address groups**

- 1. To specify an IP address grouping, select the IP address group to which you want to assign addresses.
- 2. On the Work with IP Address Groups panel, enter option 5 next to the group name.



3. The Work with IP Address Groupings panel displays allowing you to add a range of IP addresses to the specified address group.



## **Switch Profiles**

Network Security's *Switch Profiles* function allows you to customize Network Security authorizations for network access requests.

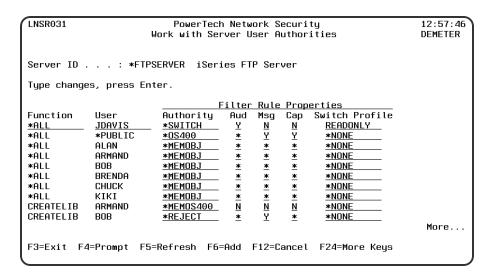
For example, you might use switch profiles in the following situation:

User POWERUSER initiates an incoming FTP request. The POWERUSER profile normally has IBM i authority to change or delete almost any file on the system, and to run most commands using the FTP RMTCMD facility. Because you want to limit the ability of POWERUSER to run FTP requests, you tell Network Security to switch to another user ID, called READONLY, whenever POWERUSER runs FTP. The READONLY user ID has \*USE authority to IBM i files, allowing read-only access to the files, preventing POWERUSER from making any file modifications.

#### Specifying a Switch Profile

- On the Network Security Main Menu, select option 1 to display the Work with Security by Server panel.
- 2. On the Work with Security by Server panel, enter **UA** (Edit User Authority) to display the Work with Security by User panel. (You also can enter **FN**, Work with <u>Functions</u>, or **LA**, Edit Location Authority, to work with server functions or locations.)
- 3. On the Work with Security by User panel, specify a Switch Profile to use for a User rule. To create a new user rule, complete the first blank line. The switch profile you enter must be an active profile residing on the system.

**NOTE**: The administrator specifying the switch profile must have at least \*USE authority to the profile.

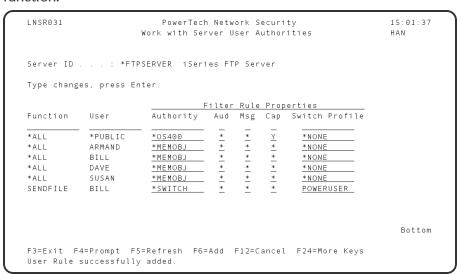


For \*FTPSERVER \*ALL functions run by user JDAVIS, Network Security will switch the request to run under the READONLY user profile

If you want to switch to a different user profile only for a particular server function, such as SENDFILE (PUT), you can specify the switch profile for just that function.

#### Setting a Switch Profile for a Function

- 1. On the Work with Security by Server panel, enter **FN** next to the server to display the Work with Security by Server/Function panel.
- 2. On the Work with Security by Server/Function panel, enter **UA** next to the function you want to work with.
- 3. When the Work with User Authorities panel display, you can specify the switch profile for the function.



For \*FTPSERVER SENDFILE functions run by the specified user, Network Security will switch the request to run under the POWERUSER user profile.

**NOTE:** When you specify a switch profile, all subsequent actions performed in that FTP session are performed using the switch profile until the user performs another FTP function. Then, Network Security switches back and performs the next function as the original user.

#### For example:

- User Bill makes a request to PUT (perform a SENDFILE) a file to the IBM i. Since a rule exists to switch profiles whenever a SENDFILE function is performed, the FTP PUT is switched to run under the user profile POWERUSER.
- All subsequent commands run during Bill's FTP session run under the profile POWERUSER, not Bill.
- As soon Bill performs another FTP function (such as CHGCURLIB or GET), Network Security changes the job to run as Bill.

#### **Creating a Switch Profile**

You probably have user profiles on your system that you can use as a switch profile. However, if you decide to create new user profiles to be used as Network Security Switch Profiles, use the following guidelines.

**NOTE**: The switch profile function is not allowed on the file server. If the file server exit program swaps to another user and does not swap back to the original user, the file server session continues to operate with the user that originally connected to the session. This is because the host file server and IBM i NetServer get credential information for the user who did the initial connection to the session and uses this credential information when doing client requests. With the host file server and IBM i NetServer using the credential information, any swapping of the user profile in the file server exit program is not used by the file server for file system operations.

#### **Creating a Switch Profile to limit user authorities:**

- 1. Create a switch user profile using the following command:
- CRTUSRPRF USRPRF(profile-name) PASSWORD(\*NONE) LMTCPB(\*YES) SPCAUT(\*NONE)
- 2. Restrict the switch profile from sensitive libraries by assigning \*EXCLUDE authority for the library to be restricted:

#### GRTOBJAUT OBJ(library-name) OBJTYPE(\*LIB) USER(profile-name) AUT(\*EXCLUDE)

If you want to allow access to some files in a library, but not others, the switch profile must have at least \*USE authority to the library, or the library must have the \*PUBLIC authority set to AUT (\*USE). If you want read-only access to a file, the switch profile must have at least \*USE authority to the file or the file must have the authority set to AUT(\*USE). If the switch profile will perform record update operations, the profile must have at least \*CHANGE rights, or the file must have the \*PUBLIC authority set to AUT(\*CHANGE).

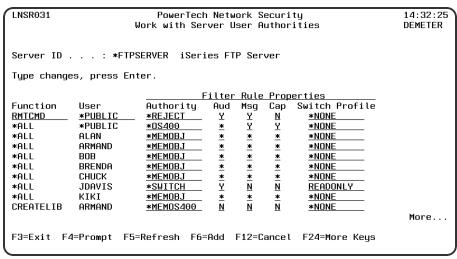
If you want to restrict any files, they must have \*PUBLIC authority set to AUT(\*EXCLUDE) or you must assign \*EXCLUDE authority for the switch profile with the following command:

GRTOBJAUT OBJ(library/file) OBJTVPE(\*FILE) USER(profile-name) AUT(\*EXCLUDE)

#### NOTE:

- If you don't want to set new user authorities, you can use Network Security memorized transactions to control network requests for file access.
- To create a switch profile to increase user authorities, you should grant the special authorities needed, and set LMTCPB(\*NO) if, for example, you want the user to be able to run commands through network interfaces like FTP's RMTCMD.

To restrict the use of RMTCMD, create a Network Security authority rule that rejects the FTP RMTCMD function.



# **Transaction Security**

What is Transaction Security?

You know that Network Security helps you monitor and secure your network traffic based on the user making the request or their location. But, what do you do if you need to control network traffic at a more precise level? For example, you might want to reject all FTP requests except when the accounting manager uses FTP to download the accounts receivable file. Or, you want to allow SQL queries against all files on your system except the payroll file.

These are typical scenarios that many companies encounter every day. They illustrate that what you need is transaction security—the ability to control which transactions are allowed to flow into, or out of, your system.

## **Enabling Transaction Security**

Enabling transaction security requires two steps:

### **Step 1: Capture Transactions**

Enable the Capture Transactions process. This tells Network Security to keep a file of the network transactions that occur on your system.

## **Step 2: Memorize Transactions**

Tell Network Security to memorize transactions from the captured transactions. By memorizing a transaction, Network Security can recognize the transaction when it sees it again, and then allow this particular transaction but reject all others.

# Transaction Security (Insite web UI)

The following instructions demonstrate how to configure transaction security using HelpSystems Insite.

For more information on HelpSystems Insite, see the HelpSystems Insite User Guide.

# **Capturing Transactions**

When a transaction occurs that fits the criteria of a rule that is flagged to capture, a *captured transaction* is created. The captured transaction can be viewed and edited using the <u>Captured Transactions screen</u>. Subsequent identical transactions are recorded in the incremental count within the captured transaction record. Captured transactions can be memorized and associated with rules specific to that transaction. (See Memorizing Transactions.)

## **Prerequisites to Capturing Transactions**

You must do the following before you can begin capturing transactions:

- Activate exit programs. See <u>Activating Powertech Network Security</u>, earlier in this User Guide for complete information on activation.
- The job SUMCAPTRAN, in the PTWRKMGT subsystem, must be running before you can display
  captured transactions. The SUMCAPTRAN job starts automatically when you select option 10,
  Work with Captured Transactions, from the Network Security Main Menu. If it doesn't start
  automatically, which can occur if it previously ended abnormally, enter the command
  LENDCAPSUM to reset the job.

### **Capturing transactions**

- 1. To start capturing transactions, click **Rules** in the Navigation Pane to open the Rules screen.
- 2. To capture user authorities:
  - Click .
  - Under Filter By, choose User Rules.
  - For Search By, choose **User** (then click to close the Filter).
  - Check the box to the left of the Search box to select all rules.
  - In the search box, type \*PUBLIC from the drop-down menu to display the default user rules for each server.

**NOTE**: To capture *location authorities*, in the Filter, select **Filter By > Location Rules** and **Search By > Location**. Then, type \*ALL in the search box.





- 4. In the Edit Rule screen, for Capture, choose Yes.
- 5. Choose **Save**. Now, when any transactions occur using the selected server/function, Network Security will capture them.
- 6. Choose **Captured Transactions** in the Navigation Pane to view all captured transactions. See Captured Transactions screen.

**NOTE**: To memorize a captured transaction, see Memorizing Transactions.

# About SUMCAPTRAN

- You can verify that the SUMCAPTRAN job is running by issuing the WRKACTJOB command.
   SUMCAPTRAN should appear in the Subsystem/Job column on the Work with Active Jobs screen.
   The SUMCAPTRAN job runs in the PTWRKMGT subsystem.
- If necessary, you can start the captured transaction subsystem manually by entering the LSTRCAPSUM command on a command line.
- The default SUMCAPTRAN delay time is 120 seconds. You can use the LCHGCAPSUM command to reset the delay time, journal receiver delete handling, and change the last captured date/time.
- When you display the <u>Work with Captured Transactions panel</u>, the summarization process starts automatically and runs every 5 minutes to check if there are new transactions to consolidate. It can take up to 5 minutes before a new captured transaction displays on the screen.
- To end the summarization process, use the LENDCAPSUM command.

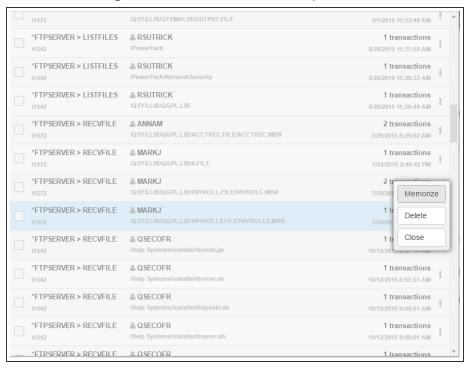
# **Memorizing Transactions**

Network Security memorized transactions allow you to fine-tune the rules for any captured transaction.

**NOTE:** When choosing to memorize a transaction, the user still must have authority to any objects (libraries, files, folders, and so on) that are named in the transaction.

### Memorizing a transaction

- 1. Choose Captured Transactions in the Navigation Pane to open the Captured Transactions screen.
- 2. Click on the right side of the transaction entry and choose **Memorize**.



- 3. Configure settings in the New Memorized Transaction screen.
- 4. Ensure the Status is set to Active.
- 5. Choose **Save** to create the Memorized Transaction.

# Working with Memorized Transactions - Insite web UI

After you've memorized your transactions, you should review them periodically to see whether they are still required or need modification.

### Working with memorized transactions

 On the Navigation Pane, click Memorized Transactions to display the list of memorized transactions. You can use this screen to view and delete your memorized transactions. To delete a memorized transaction, select the box to the left of the transaction(s) you would like to delete and choose Delete. See also Using the Web Browser Interface. 2. Select a memorized transaction to display the <u>Edit Memorize Transaction screen</u> where you can change a memorized transaction.

## Filter Rules Added with Memorized Transactions

Memorized Transactions are processed by Network Security only when a Filter Rule's Authority setting instructs Network Security to check them, and they have an \*ACTIVE status. When the Filter Rule's Authority setting does not begin with \*MEM, Memorized Transactions will not be processed even though some may exist and have an \*ACTIVE status. When a Filter Rule's Authority setting begins with \*MEM, the active Memorized Transactions that have the same Server, Function, and User or Location values will be processed before the Filter Rules. The portion of the Authority setting that follows \*MEM indicates what action to take if no active Memorized Transaction matches the incoming transaction data: REJECT means to reject the transaction, OS400 means to allow it to fall through to the operating system, and SWITCH means to run using the authority of another user profile and fall through to the operating system.

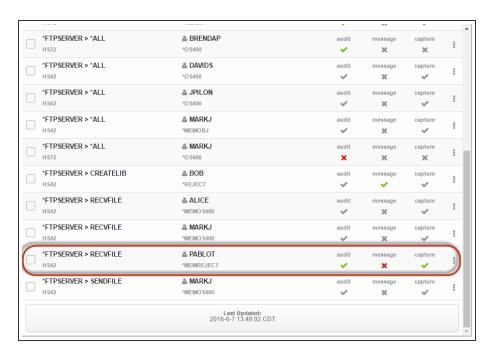
Think of a given combination of Server, Function, and User or Location as the identifier of a "pool" of transactions. There may be a mix of active and inactive transactions in the pool, but only active transactions are matched to incoming transactions.

When a pool of transactions gains its first active transaction, a Filter Rule with matching Server, Function, and User or Location values will be created with \*MEMOS400 authority (if one does not exist), or an existing Filter Rule will have its Authority setting modified to begin with \*MEM. This is done to initially allow processing of Memorized Transactions for the Server, Function, and User or Location. You may subsequently "turn off" processing of Memorized Transactions by removing the "MEM" from the Authority setting on the associated Filter Rule. The "MEM" portion of the Authority settings will be automatically removed when the pool loses its last active transaction (there are no more active transactions to process). Between the time the first active transaction enters the pool and the last active transaction leaves the pool, the Authority setting on the associated Filter Rule will not be altered by Network Security.

# **Authority Filter Properties Example**

For example, any attempt by the general public to use the FTP server is allowed (to the extent that the user's authority allows the transaction to occur). However, if user PABLOT attempts to use the RECFILE function of the FTP server, Network Security looks at the transactions that have been memorized for the FTP server and rejects the attempt—the \*MEM portion of the Authority value of \*MEMREJECT.) The REJECT portion of \*MEMREJECT says that if a memorized transaction is not found for user PABLOT that exactly matches the incoming transaction, the incoming transaction is rejected.

If Network Security finds a memorized transaction that exactly matches the incoming transaction for the specified user, it takes the action defined by the Authority property in the memorized transaction.



Network Security provides the following Authority values for a memorized transaction:

### **\*MEMOS400**

If the transaction does not match any memorized transactions, the transaction is allowed to the extent that OS/400 security allows the transaction.

### \*MEMSWITCH

If the transaction does not match any memorized transactions, the job is switched to the specified user profile before allowing the transaction. A switch profile entry is required.

### \*MEMUSR

If the transaction does not match any memorized transactions, Network Security looks for a user rule to determine whether the transaction is allowed. \*MEMUSR is valid only when working with location authorities.

### \*SRVFCN

The value used is stored in the Server Function File (select SP on the Server Properties screen).

**NOTE**: You can see more information about any of the filter rules shown on the Rules Screen by referencing the <u>Captured Transactions screen</u> and <u>Memorized Transactions screen</u> (using the Navigation Pane).

### \*MEMOBJ

If the transaction does not match any memorized transactions, Network Security looks for an object rule for a user or location.

# **How Network Security Derives Authority Values for Rules**

The action that Network Security takes when an incoming transaction matches a memorized transaction is determined by the Authority property value of the transaction itself. The action Network Security takes when the incoming transaction does not match a memorized transaction is determined by the Filter Rule that caused the Memorized Transactions to be interrogated.

"Matching transactions" means that the Server, Function, and User or Location on a Memorized Transaction all match exactly with the incoming transaction, and that the transaction data either matches the Memorized Transaction data exactly, or matches a generic portion of the transaction data.

### When a transaction MATCHES a memorized transaction

The value you specify for the Memorized Transaction's Authority, \*OS/400, \*REJECT or \*SWITCH, becomes the action taken by Network Security when an incoming transaction matches the memorized transaction.

### When a transaction does NOT MATCH a memorized transaction

The Authority setting on the Filter Rule that caused the Memorized Transactions to be checked will be used.

\*MEMREJECT will reject the non-matching transaction.

\*MEMOS400 will allow the transaction to fall through to the operating system.

\*MEMSWITCH will let the transaction fall through to the operating system on behalf of a different user profile.

\*MEMOBJ will check Object Rules.

\*MEMUSR will check User Rules (this value is valid only for Location Rules).

# **Considerations When Using Memorized Transactions**

Keep the following basic considerations in mind when using memorized transactions.

Keep the following basic considerations in mind when using memorized transactions.

- Captured transactions are always for a specific user. You can change the <u>server</u> properties to capture transactions for the server. However, the user recorded in the captured transaction is the user who attempted the transaction, not \*PUBLIC.
- Captured transactions are always specific for a server function. Many of the servers that Network Security protects have more than one function. For example, the FTP server has several functions including SENDFILE and RECVFILE. You can change the server properties of the FTP server to capture transactions, but when the transaction occurs, the captured transaction specifies the exact function that was requested.

- Network Security recognizes a transaction as matching a memorized transaction only if the transaction data strings match exactly (except for generic strings specified using the % character).
  - For example, although the following SQL statements produce the same query, the requested transaction does not match the memorized transaction because the fields are specified in a different order.
  - Requested transaction: SELECT custno, name, payrate from Production/PAYROLL01
  - Memorized transaction: SELECT custno, payrate, name from Production/PAYROLL01
- You can enter memorized transactions manually. In addition to capturing and memorizing
  transactions, you can enter transactions by typing the transaction string using the green screen.
  However, because you are entering the entire transaction string, it is important that you doublecheck the string contents and spelling to make sure it is accurate. Network Security memorized
  transactions perform an exact string match, and thus rely on the quality of the memorized
  transaction string.
- Memorized transactions are case-sensitive. Because the comparison to a memorized transaction string must match exactly, it is case sensitive. If you are modifying a transaction string or entering a string manually, be aware of the case of the string contents. If the match isn't exact, the rule is ineffective.
- Capturing transactions for some servers doesn't make sense. Capturing and memorizing transactions for some servers doesn't provide any additional security than does a user, location, or object rule. In general, you don't need to capture transactions for servers that don't provide any user transaction data. For example, when a transaction occurs through the Signon Server, no transaction data is provided. All you can do is control whether a user or group is allowed to use the Signon Server. Thus, you do not need to use a captured/memorized transaction to control the Signon Server. You can control the following servers and functions effectively with a user or location rule instead of using a memorized transaction.

Server	Function
*SQL	All
*SIGNON	All
*FTPSIGNON	All
*REXEC_SO	All
QNPSERVR	INIT
*FTPCLIENT	INIT
*FTPSERVER	INIT

## **Performance Considerations**

Using memorized transactions may add some overhead to the authority checking routine performed by Network Security. However, performance is affected only while executing the specific function for the

particular user or location. The extent to which performance is affected depends on a number of variables, including CPU utilization.

# Example: Rejecting All Transactions Except a Specific Transaction

Suppose your company security policy prohibits the use of FTP. However, your accounting associate needs to download the accounts receivable file into an MS Excel spreadsheet. To accommodate this transaction, but prohibit all others, you can capture and memorize the individual transaction, and configure a rule that permits it alone while rejecting all others.

**NOTE**: In order for these steps to work, the server being used for the transaction (in this case \*FTPSERVER) must be active and enabled. See Activating Network Security.

### Rejecting all transactions except a specific transaction

1. In the Navigation Pane, select Rules, then click and set Search By to User. Click to dismiss the search/filter menu. Enter \*PUBLIC into the search field and press Enter. Then, select the \*FTPSERVER > \*ALL,\*PUBLIC rule for a system. This is one of Network Security's default user rules.



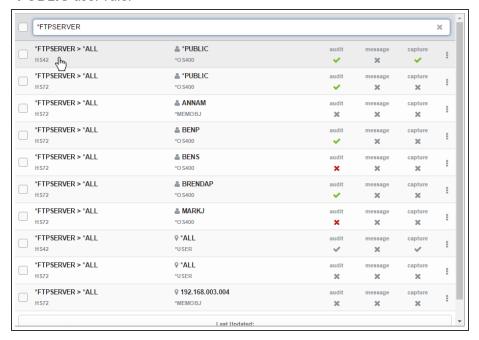
- 2. For Capture, select Yes.
- 3. Choose **Save**. You have just told Network Security to capture a record of all transactions coming through your IBM i system's FTP server.
- 4. Have the accounting associate, (in this example, Bill), download the accounts receivable file. Based on the rule you've set up, Network Security will allow and capture the transaction. For this example, we'll assume Bill has downloaded the file "ACCTREC" using the FTP server's SENDFILE (get) function.
- 5. In the Navigation Pane, select Captured Transactions.

- 6. Click and set Search By to User. Click to dismiss the search/filter menu.
- 7. In the search box, for this example, we will type "bill" to show only the transactions by user BILL.



- 8. Select the SENDFILE transaction. In the <u>View Captured Transaction panel</u>, verify the details of the transaction are accurate and choose **Memorize**.
- 9. In the New Memorized Transaction screen, next the Authority field, click **Lookup** and choose \*OS400. This instructs Network Security to allow the transaction (deferring to the IBM i security settings). (If you have chosen to memorize this transaction for a specific location, specify both the location and the authority. See New Location Memorized Transaction.)
- 10. Set the Status to Active to activate the rule.
- 11. Choose Save.
- 12. You've now created a rule that allows Bill to download the accounts receivable file. However, Bill, or any other user, still has access to all the FTP server functions. Next we will configure Network Security to reject all other transactions coming through the FTP server.

13. Click to open the Navigation Pane, select Rules, then select the \*FTPSERFVER > \*ALL \*PUBLIC user rule.



- 14. In the Edit Rule screen, click **Lookup** for Authority and choose \***REJECT**. This indicates you want to reject all attempts to use the FTP server. Because of the hierarchy of Network Security's rule evaluation procedure, this rule will not apply to the transactions you just captured and memorized. On the Rules screen, you may have noticed the new rules with the authority \*MEMOS400 (see above). These rules were created when we memorized the captured transactions. \*MEMx rules are evaluated after the \*PUBLIC rule, and in this example allow these specific transactions to proceed).
- 15. Set Capture back to Inherit.
- 16. Choose **Save**. Now, only the two transactions specified will be allowed on the FTP server. All others will be rejected.

# Transaction Security (green screen)

The following instructions demonstrate how to configure transaction security using the green screen.

# **Capturing Transactions**

When a transaction occurs that fits the criteria of a rule that is flagged to capture, a *captured transaction* is created. The captured transaction can be viewed and edited using the <u>Work With Captured Transactions panel</u>. Subsequent identical transactions are recorded in the incremental count within the captured transaction record. Captured transactions can be memorized and associated with rules specific to that transaction. (See Memorizing Transactions.)

# **Prerequisites to Capturing Transactions**

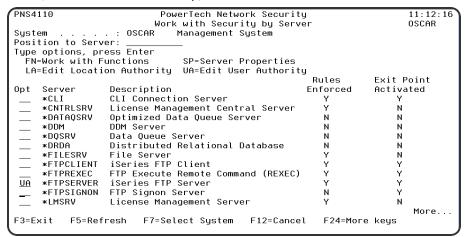
You must do the following before you can begin capturing transactions:

- Activate exit programs. See <u>Activating Powertech Network Security</u>, earlier in this User Guide for complete information on activation.
- The job SUMCAPTRAN, in the PTWRKMGT subsystem, must be running before you can display
  captured transactions. The SUMCAPTRAN job starts automatically when you select option 10,
  Work with Captured Transactions, from the Network Security Main Menu. If it doesn't start
  automatically, which can occur if it previously ended abnormally, enter the command
  LENDCAPSUM to reset the job.

### **Capturing transactions**

**NOTE:** We recommend that you capture the transactions at either the Server or Function level, rather than capturing all transactions for all servers. Capturing all transactions, for all servers, could produce a very large log file in a very short period of time. In addition, you should capture transactions only for the servers, server functions, or the particular user for which you want to enable transaction security.

- 1. To start capturing transactions, select option **1** from the Network Security Main Menu to display the Work with Security by Server panel.
- On the Work with Security by Server panel, select the <u>server</u> for which you want to capture transactions. You can select to capture either user authorities by entering UA, Edit User Authority, or LA, Edit Location Authority, for the server.



3. When the Work with Security by User (or Location) panel displays, choose 2 for the \*PUBLIC rule, set the Capture flag to Y (Yes), and press Enter. The confirmation message User Rule(s) successfully updated displays at the bottom of the panel.

When any transactions occur using the selected server/function, Network Security will capture them.

To memorize a captured transaction, see Memorizing Transactions.

## **About SUMCAPTRAN**

You can verify that the SUMCAPTRAN job is running by issuing the WRKACTJOB command.
 SUMCAPTRAN should appear in the Subsystem/Job column on the Work with Active Jobs panel.
 The SUMCAPTRAN job runs in the PTWRKMGT subsystem.

- If necessary, you can start the captured transaction subsystem manually by entering the LSTRCAPSUM command on a command line.
- The default SUMCAPTRAN delay time is 120 seconds. You can use the LCHGCAPSUM command to reset the delay time, journal receiver delete handling, and change the last captured date/time.
- When you display the <u>Work with Captured Transactions panel</u>, the summarization process starts automatically and runs every 5 minutes to check if there are new transactions to consolidate. It can take up to 5 minutes before a new captured transaction displays on the panel.
- To end the summarization process, use the LENDCAPSUM command.

# **Working with Captured Transactions**

Once you've captured transactions, you can memorize, delete, display, filter, and sort them.

1. From the <u>Network Security Main Menu</u>, select option **10** to display the Work with Captured Transactions panel.

```
PNS4810
                            PowerTech Network Securitu
                                                                             12:12:32
                         Work with Captured Transactions
                                                                            OSCAR
        . . . . . : OSCAR
Sustem
                               Management Sustem
Type options, press Enter
                          5=Displau
  1=Memorize 4=Delete
                  Function
                                           Count Request
     *FTPCLIENT
                  INIT
                               MARK T
                                                1 Server does not supply transaction 1 /QSYS.LIB/QGPL.LIB/PAYROLL.FILE
                  SENDFILE
     *FTPCLIENT
                               MARKJ
                                                                              Bottom
F3=Exit F5=Refresh F7=Select System F11=View2 F12=Cancel F16=Sort/subset
        F18=Bottom
```

2. The Work with Captured Transactions panel provides three views of the transaction data. Each view displays additional parameters and information. Press **F11** to switch to the next view.

```
PNS4810
                           PowerTech Network Security
Work with Captured Transactions
                                                                                   12:52:22
                                                                                   OSCAR
         . . . . . : OSCAR
                                  Management System
Type options, press Enter
1=Memorize 4=Delete 5
0pt
     Server
                    Function
                                               Count Request
     *FTPCLIENT
                                                    1 Server does not supply transactio
2 /QSYS.LIB/QGPL.LIB/ACCTREC.FILE/A
                    INIT
                                  BILL
                    RECVFILE
     *FTPCLIENT
     *FTPSFRVFR
                    TNTT
                                  OTCP
                                                    1 10.60.132.8
                    RECVFILE
                                  QSECOFR
                                                    1 /QSYS.LIB/QGPL.LIB/SELFHELP.FILE
     *FTPSFRVFR
                                                    1 *FTPSIGNONSIGNON
     *FTPSIGNON
                    SIGNON
                                  OSECOFR
                                                                              OSECOFR
                                                                                     Bottom
F3=Exit F5=Refresh F7=Select System F11=View2 F12=Cancel F16=Sort/subset
F17=Top F18=Bottom
```

```
PNS4810
                            PowerTech Network Security
                         Work with Captured Transactions
                                                                             OSCAR
Sustem
                   : OSCAR
                               Management Sustem
Type options, press Enter
  1=Memorize 4=Delete
                          5=Displau
Opt User
                   Request
                   Server does not supply transaction data for the function /QSYS.LIB/QGPL.LIB/ACCTREC.FILE/ACCTREC.MBR
     BILL
     BILL
     QTCP
                   10.60.132.8
                    /QSYS.LIB/QGPL.LIB/SELFHELP.FILE
     OSECOFR
                                                    010.060.036.126
                   *FTPSIGNONSIGNON
     QSECOFR
                                         OSECOFR
                                                                               Bottom
         F5=Refresh F7=Select System F11=View1
                                                        F12=Cancel F16=Sort/subset
F17=Top
         F18=Bottom
                      F19=Left
                                          F20=Right
```

Work with Captured Transactions, Views 1 and 3

NOTE: Press F19 (Left) and F20 (Right) to scroll through the Transaction text to the left or right.

### **Sorting Captured Transactions**

Function key F16 allows you to filter and sort the captured transactions data that displays on the Work with Captured Transactions panel. This lets you see only the data you want to view.

Use the Select by section to filter the view. See Memorized Transactions Subset. See Memorized Transactions Subset panel.

# **Deleting Captured Transactions**

**NOTE**: Captured Transactions can also be deleted using Insite. See <u>Deleting</u> under "Using the Web Browser Interface."

Earlier versions of Network Security required the <u>captured transaction</u> file to be purged on a regular basis to prevent the file from growing too large. You could use the LPWRPURGE command in a scheduled job (PowerPurge) to perform the purge.

Starting with Network Security 6.0, the LPWRPURGE process is no longer available or necessary. Instead, the Capture (transaction) Summarization process cleans up the journal receivers. (Journal PTCAPJRN in the product library stores transactions before summarization.) The DELETE parameter of the command LCHGCAPSUM determines how (and if) the journal receivers are deleted.

Enter the following command and press F4 to display the Summarization Properties panel.

LCHGCAPSUM

The Summarization Properties panel allows you to specify how long the Capture Summarization process waits between runs, how the process handles the journal receivers that have been examined, and the date/time in the journal entries the process starts looking for more information the next time it runs.

## **Summarization Properties Fields**

## **Delay time**

Specify the time (in seconds) between summarizations. The summarization, once started, begins summarizing all new captured information. After completing, it waits the number of seconds you've specified and then summarizes any new captured information. This cycle continues until you end the process.

Possible values are:

\*SAME Do not change the delay time currently in effect.

1-99999 Enter the delay time you want to use.

# Jrn rcvr delete handling

Determines which, if any, fully processed journal receivers should be deleted. The capture summarization process uses a product-specific journal receiver to store captured information that is waiting for summarization. This parameter specifies what the process does with the receivers that have had all of the information summarized.

Possible values are:

- \*SAME Do not change the delete handling.
- \*NONE Do not delete any captured transaction summarization journal receivers.
- \*SAVED Delete any captured transaction summarization journal receivers that have had their information summarized and are in a \*SAVED status.
- \*ONLINE Delete any captured transaction summarization journal receivers that have had their information summarized and are in a \*SAVED or \*ONLINE status.

# Change last captured date/time

Allows you to change the date and time of the last processed captured information. You might use this value to skip over (and ignore) captured information that has not already been summarized.

Possible values are:

- \*SAME Do not change the last transaction date/time.
- \*NO Do not change the last transaction date/time.
- \*YES Change the last transaction date/time. If you enter \*YES, additional parameters for these two fields display.
- \*CURRENT Change the last transaction date/time to the current date and time.

## Last captured date

The date the last transaction (of the journal entry in which it was captured) was summarized. Enter the date in the current date format for your job.

## Last captured time

The time the last transaction (of the journal entry in which it was captured) was summarized. Enter this time in the current time format for your job.

## **Deleting Captured Transactions Manually**

You also can delete <u>captured transaction</u> manually directly from the Work with Captured Transactions panel. Typically, you'd delete a captured transaction after you have memorized all the transactions you want to capture.

From the Network Security Main Menu, select option 10 to display the Work with Captured Transactions panel. Enter option 4, Delete, next to each captured transaction you want to delete and press Enter. You can select to delete one or more captured transactions.

```
PowerTech Network Security
                         Work with Captured Transactions
                   : OSCAR
System
                                Management System
Type options, press Enter
  1=Memorize 4=Delete 5=Display
                  Function
    Server
                                            Count Request
     *FTPCLIENT
                  INIT
                                ADAMS
                                                   Server does not supply transacti
     *FTPCLIENT
                   TNTT
                                MARKH
                                                   Server does not supply transacti
                                                 1 Server does not supply transacti
     *FTPCLIENT
                                MARKJ
     *FTPCLIENT
                                QSECOFR
                                                 3 Server does not supply transacti
                                                 1 /QSYS.LIB/QGPL.LIB/PAYROLL.FILE/
2 /QSYS.LIB/QGPL.LIB/REPLACE.FILE
                   RECVETLE
     *FTPCLIENT
                                ADAMS
     *FTPCLIENT
                   RECVFILE
                                ADAMS
     *FTPCLIENT
                   RECVFILE
                                QSEC0FR
                                                 1 /QSYS.LIB/QGPL.LIB/REPL.FILE
1 /QSYS.LIB/QGPL.LIB/PAYROLL.FILE
     *FTPCLIENT
                   SENDETLE
                                MARKH
                                                 1 /QSYS.LIB/QGPL.LIB/PAYROLL.FILE
     *FTPCLIENT
     *FTPCLIENT
                   SENDFILE
                                QSECOFR
                                                   /QSYS.LIB/QGPL.LIB/PAYROLL.FILE
     *FTPSERVER
                   INIT
                                OTCP
                                                   10.60.132.8
                                                 1 /QSYS.LIB/QGPL.LIB/REPL.FILE
     *FTPSERVER
                  RECVEILE
         F5=Refresh
                       F7=Select System F11=View2
                                                        F12=Cancel F16=Sort/subset
         F18=Bottom
```

# **Memorizing Transactions - green screen**

Network Security memorized transactions allow you to fine-tune the rules for any captured transaction.

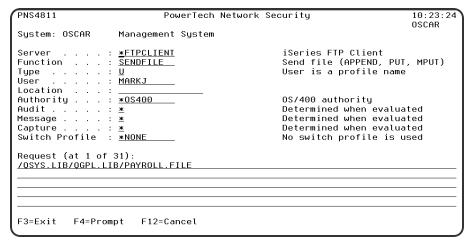
**NOTE:** When choosing to memorize a transaction, the user still must have authority to any objects (libraries, files, folders, and so on) that are named in the transaction.

### Memorizing a transaction

- 1. On the Main Menu, select option 10 to display the Work with Captured Transactions panel.
- 2. Enter a 1 in the Opt column next to the transaction you want to memorize and press Enter.

```
PNS4810
                          PowerTech Network Security
                                                                        10:20:12
                       Work with Captured Transactions
System
        . . . . . : OSCAR
                             Management System
Type options, press Enter
  1=Memorize 4=Delete
                        5=Display
Opt Server Func
_ *FTPCLIENT INIT
                 Function
                                        Count Request
                                             1 Server does not supply transaction
    *FTPCLIENT SENDFILE
                             MARKJ
                                             1 /QSYS.LIB/QGPL.LIB/PAYROLL.FILE
                                                                          Bottom
                     F7=Select System F11=View2 F12=Cancel F16=Sort/subset
F3=Exit
        F5=Refresh
F17=Top F18=Bottom
```

The <u>Memorize Captured Transaction panel</u> displays, allowing you to specify the values for the memorized transaction.



See Work with Captured Transactions panel.

# **Working with Memorized Transactions**

After you've memorized your transactions, you should review them periodically to see whether they are still required or need modification.

### Working with memorized transactions

1. On the <u>Main Menu</u>, select option **11** to display the <u>Work with Memorized Transactions panel</u>. You can use this panel to change, copy, delete, and display your memorized transactions.

```
PNS4910
                         PowerTech Network Security
                                                                     10:26:49
                      Work with Memorized Transactions
                                                                     OSCAR
System
       . . . . . : OSCAR
                            Management Sustem
Type options, press Enter
  2=Change 3=Copy 4=Delete 5=Display
                         Typ User
              Function
                                                         Authority Status
                                        Location
   *FTPCLIENT SENDFILE
                                                                   *ACTIVE
                                                          *0S400
                                                                       Bottom
F3=Exit F5=Refresh F7=Select System F11=View2 F12=Cancel F16=Sort/subset
F17=Top F18=Bottom F21=NS User Groups
```

- 2. The Work with Memorized Transactions panel provides three views of the transaction data. Each view displays additional parameters and information.
- 3. Press **F11** to switch to the next view.

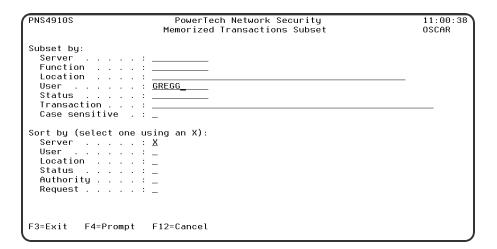
See Work with Memorized Transactions panel.

NOTE: Press F19 (Left) and F20 (Right) to scroll through the Transaction text to the left or right.

# **Sorting Memorized Transactions**

**F16** allows you to filter and sort the memorized transactions data that displays on the Work with Memorized Transactions panel. This lets you see only the data you want to view.

NOTE: See Sorting to learn how to sort records using Network Security's web browser interface.



Use the Select by section to filter the view. See Memorized Transactions Subset panel.

# **Filter Rules Added with Memorized Transactions**

Memorized Transactions are processed by Network Security only when a Filter Rule's Authority setting instructs Network Security to check them, and they have an \*ACTIVE status. When the Filter Rule's

Authority setting does not begin with \*MEM, Memorized Transactions will not be processed even though some may exist and have an \*ACTIVE status. When a Filter Rule's Authority setting begins with \*MEM, the active Memorized Transactions that have the same Server, Function, and User or Location values will be processed before the Filter Rules. The portion of the Authority setting that follows \*MEM indicates what action to take if no active Memorized Transaction matches the incoming transaction data: REJECT means to reject the transaction, OS400 means to allow it to fall through to the operating system, and SWITCH means to run using the authority of another user profile and fall through to the operating system.

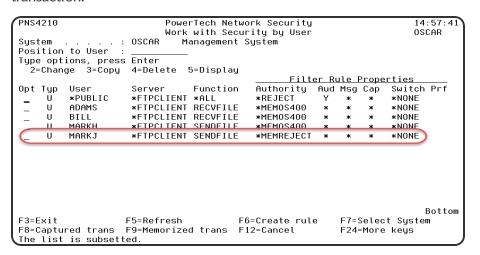
Think of a given combination of Server, Function, and User or Location as the identifier of a "pool" of transactions. There may be a mix of active and inactive transactions in the pool, but only active transactions are matched to incoming transactions.

When a pool of transactions gains its first active transaction, a Filter Rule with matching Server, Function, and User or Location values will be created with \*MEMOS400 authority (if one does not exist), or an existing Filter Rule will have its Authority setting modified to begin with \*MEM. This is done to initially allow processing of Memorized Transactions for the Server, Function, and User or Location. You may subsequently "turn off" processing of Memorized Transactions by removing the "MEM" from the Authority setting on the associated Filter Rule. The "MEM" portion of the Authority settings will be automatically removed when the pool loses its last active transaction (there are no more active transactions to process). Between the time the first active transaction enters the pool and the last active transaction leaves the pool, the Authority setting on the associated Filter Rule will not be altered by Network Security.

## **Authority Filter Properties Example**

By referring to the panel below, you can see that any attempt by the general public to use the FTPCLIENT server is to be rejected. However, if user MARKJ attempts to use the SENDFILE function of the FTPCLIENT server, Network Security looks at the transactions that have been memorized for the FTPCLIENT server first due to the \*MEM portion of the Authority value of \*MEMREJECT. The REJECT portion of \*MEMREJECT instructs Network Security to reject the incoming transaction if a memorized transaction is not found for user MARKJ that exactly matches the incoming transaction.

If Network Security finds a memorized transaction that exactly matches the incoming transaction for the specified user (or user group), it takes the action defined by the Authority property in the memorized transaction.



Network Security provides the following Authority values that enable processing of Memorized Transactions:

### **\*MEMOS400**

If the transaction does not match any memorized transactions, the transaction is allowed to the extent that OS/400 security allows the transaction.

### \*MEMSWITCH

If the transaction does not match any memorized transactions, the job is switched to the specified user profile before allowing the transaction. A switch profile entry is required.

### \*MEMUSR

If the transaction does not match any memorized transactions, Network Security looks for a user rule to determine whether the transaction is allowed. \*MEMUSR is valid only when working with location authorities.

### \*MEMOBJ

If the transaction does not match any memorized transactions, Network Security looks for an object rule for a user or location.

**NOTE**: You can see more information about any of the filter rules shown on the Work with Security by User and Work with Security by Location panels by pressing **F8** and **F9**.

- F8, Captured transactions, displays the <u>Work with Captured Transactions panel</u> where you can view details of a captured transaction, including the authority value, the function, or the exact file specified by the transaction.
- F9, Memorized transactions, displays the <u>Work with Memorized Transactions panel</u> where you can view details of a memorized transaction, including the authority value, the function, or the exact file specified by the transaction.

# **How Network Security Derives Authority Values for Rules**

The action that Network Security takes when an incoming transaction matches a memorized transaction is determined by the Authority property value of the transaction itself. The action Network Security takes when the incoming transaction does not match a memorized transaction is determined by the Filter Rule that caused the Memomrized Transactions to be interrogated.

"Matching transactions" means that the Server, Function, and User or Location on a Memorized Transaction all match exactly with the incoming transaction, and that the transaction data either matches the Memorized Transaction data exactly, or matches a generic portion of the transaction data.

When a transaction MATCHES a memorized transaction

The value you specify for the Memorized Transaction's Authority, \*OS/400, \*REJECT or \*SWITCH, becomes the action taken by Network Security when an incoming transaction matches the memorized transaction.

When a transaction does NOT MATCH a memorized transaction

The Authority setting on the Filter Rule that caused the Memorized Transactions to be checked will be used.

- \*MEMREJECT will reject the non-matching transaction.
- \*MEMOS400 will allow the transaction to fall through to the operating system.
- \*MEMSWITCH will let the transaction fall through to the operating system on behalf of a different user profile.
- \*MEMOBJ will check Object Rules.
- \*MEMUSR will check User Rules (this value is valid only for Location Rules).

# **Considerations When Using Memorized Transactions**

Keep the following basic considerations in mind when using memorized transactions.

- Captured transactions are always for a specific user. You can change the <u>server</u> properties to capture transactions for the server. However, the user recorded in the captured transaction is the user who attempted the transaction, not \*PUBLIC.
- Captured transactions are always specific for a server function. Many of the servers that Network Security protects have more than one function. For example, the FTP server has several functions including SENDFILE and RECVFILE. You can change the server properties of the FTP server to capture transactions, but when the transaction occurs, the captured transaction specifies the exact function that was requested.
- Network Security recognizes a transaction as matching a memorized transaction only if the transaction data strings match exactly (except for generic strings specified using the % character).
  - For example, although the following SQL statements produce the same query, the requested transaction does not match the memorized transaction because the fields are specified in a different order.
  - Requested transaction: SELECT custno, name, payrate from Production/PAYROLL01
  - Memorized transaction: SELECT custno, payrate, name from Production/PAYROLL01
- You can enter memorized transactions manually. In addition to capturing and memorizing
  transactions, you can enter transactions by typing the transaction string using the green screen.
  However, because you are entering the entire transaction string, it is important that you doublecheck the string contents and spelling to make sure it is accurate. Network Security memorized
  transactions perform an exact string match, and thus rely on the quality of the memorized
  transaction string.
- Memorized transactions are case-sensitive. Because the comparison to a memorized transaction string must match exactly, it is case sensitive. If you are modifying a transaction string or entering a string manually, be aware of the case of the string contents. If the match isn't exact, the rule is ineffective.
- Capturing transactions for some servers doesn't make sense. Capturing and memorizing transactions for some servers doesn't provide any additional security than does a user, location, or object rule. In general, you don't need to capture transactions for servers that don't provide any user transaction data. For example, when a transaction occurs through the Signon Server, no

transaction data is provided. All you can do is control whether a user or group is allowed to use the Signon Server. Thus, you do not need to use a captured/memorized transaction to control the Signon Server. You can control the following servers and functions effectively with a user or location rule instead of using a memorized transaction.

Server	Function
*SQL	All
*SIGNON	All
*FTPSIGNON	All
*REXEC_SO	All
QNPSERVR	INIT
*FTPCLIENT	INIT
*FTPSERVER	INIT

# **Performance Considerations**

Using memorized transactions may add some overhead to the authority checking routine performed by Network Security. However, performance is affected only while executing the specific function for the particular user or location. The extent to which performance is affected depends on a number of variables, including CPU utilization.

# **Example 1: Rejecting All Transactions Except a Specific Transaction**

Suppose your company security policy prohibits the use of FTP. However, your accounting associate needs to download the accounts receivable file into an MS Excel spreadsheet. To accommodate this transaction, but prohibit all others, you can capture and memorize the individual transaction, and configure a rule that permits it alone while rejecting all others.

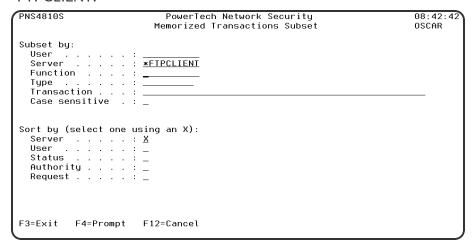
**NOTE:** In order for these steps to work, the server being used for the transaction (in this case \*FTPCLIENT) must be active and enabled. See <u>Activating Network Security</u>.

### Rejecting all transactions except a specific transaction

1. Select option 1 from the Network Security Main Menu to display the Work with Security by Server panel. Enter SP next to \*FTPCLIENT to display the Change Server Function Rule panel. Enter a Y next to Capture to turn on the Capture Transactions filter rule property for the FTP client server.

```
PNS4111
                       PowerTech Network Security
                      Change Server Function Rule
                                                                OSCAR
System: OSCAR
                Management Sustem
       . . . . : *FTPCLIENT iSeries FTP Client
Function . . . : *ALL
Enforce Server rules \dots \dots 
Server filter rule properties:
*SYSTEM
Message
Capture
 Switch Profile
                             *NONE
Supplemental Exit Program . : *NONE
  Library
F3=Exit F4=Prompt F5=Refresh F12=Cancel
```

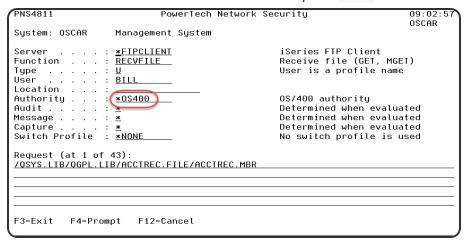
- 2. Have the accounting associate download the accounts receivable file. Based on the current configuration, Network Security will allow the transaction and capture it. For this example, we'll assume Bill has downloaded the file "ACCTREC" using the FTP client server's RECVFILE (get) function.
- 3. Press F3 until you return the Main Menu.
- 4. Choose option 10 to open the Work with Captured Transactions panel.
- 5. press F16 to display the Subset panel. Filter the captured transactions by the server name \*FTPCLIENT.



6. Press Enter to view the transactions. In this case, two FTP transactions were required in order for Bill to download the ACCTREC file: the INIT function required to initialize the FTP session, and the RECVFILE function called to download the file. In order to permit Bill to download the file in the future, both of these transactions must be memorized and allowed. Then, to prevent all other users from downloading the file, the \*PUBLIC rule for the FTP Client server must be set to \*REJECT.

```
PNS4810
                            PowerTech Network Security
                        Work with Captured Transactions
                                                                            OSCAR
Sustem
                 . : OSCAR
                               Management Sustem
Type options, press Enter
  1=Memorize 4=Delete
                          5=Display
                 Function
Opt Server
     *FTPCLIENT INIT
                                                1 Server does not supply transaction 2 /QSYS.LIB/QGPL.LIB/ACCTREC.FILE/
                               BILL
     *FTPCLIENT RECVFILE
                               BILL
                                                                               Bottom
         F5=Refresh F7=Select System F11=View2 F12=Cancel F16=Sort/subset
         F18=Bottom
The list is subsetted
```

7. Enter 1 next to the RECVFILE transaction and press Enter.



- 8. Ensure the Authority is set to \*OS400, in order to allow the transaction, and press Enter to save your changes.
- 9. Repeat steps 7-8 for the INIT transaction. You've now created rules that allow Bill to download the accounts receivable file. However, Bill, or any other user, still has access to all the FTPCLIENT server functions. Next we will configure Network Security to reject all other transactions coming through the FTPCLIENT server by setting the \*PUBLIC user rule to \*REJECT.
- 10. Press F3 to return to the Main Menu and select option 1, Work with Security by Server.
- 11. Type **UA** next to \*FTPCLIENT and press Enter to open the Work with Security by User panel.
- 12. Enter 2 to change the \*PUBLIC rule.
- 13. Change the Authority for \*PUBLIC to \*REJECT and set Capture to \* (to stop capturing transactions).
- 14. Press Enter. Now, only the two transactions specified will be allowed on the FTP server. All others will be rejected.

# **Example 2: Editing Transactions to Make Them Generic**

You can make a <u>memorized transaction</u> generic by editing the transaction data string (on the green screen) so that it ends with the % wildcard character. You might decide to make a transaction generic if,

for example, you want the transaction security to apply to all the objects in a particular library. Making the transaction generic saves you from adding a transaction for each object in the library.

1. From the <u>Network Security Main Menu</u>, select option **11** to display the Work with Memorized Transactions panel.

Press F16 to display the Memorized Transactions Subset panel. Filter the memorized transactions by server \*FTPCLIENT.

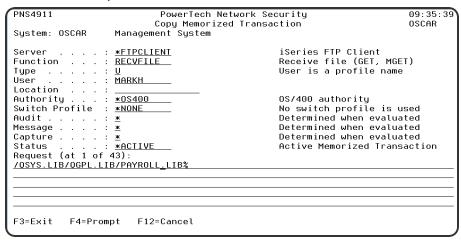
PNS4910S	PowerTech Network Security Memorized Transactions Subset	09:29:35 OSCAR
Subset by: Server Function Location User Status Transaction . Case sensitive  Sort by (select one Server User	: : : : : : : : : : _ : : X	
Location Status Authority Request	· : _ · : _ · : _	

2. On the Work with Memorized Transactions panel, locate the transaction as close as possible to the kind of transaction you want to prohibit. Enter a 3 in the Opt column and press Enter to copy the transaction.

```
PNS4910
                            PowerTech Network Security
                                                                             09:33:39
                         Work with Memorized Transactions
                                                                            OSCAR
        . . . . . : OSCAR
                               Management System
Type options, press Enter
2=Change 3=Copy 4=Delete
                                5=Display
                Function
                                            Location
                                                               Authority Status
                            Typ User
    *FTPCLIENT RECVFILE
                                ADAMS
                                                                *REJECT
                                                                          *ACTIVE
                             ш
                                                                *0S400
   *FTPCLIENT RECVFILE
                                                                          *ACTIVE
                                BILL
    *FTPCLIENT SENDFILE
                                                                 *REJECT
                                                                          *ACTIVE
    *FTPCLIENT SENDFILE
                                MARKJ
                                                                *0S400
                                                                          *ACTIVE
                                                                               Bottom
F3=Exit F5=Refresh F7=Select System F11=View2 F12=Cancel F16=Sort/subset
F17=Top F18=Bottom F21=NS User Groups The list is subsetted.
```

3. On the <u>Copy Memorized Transaction panel</u>, edit the transaction string to end with the % wildcard character, making the transaction generic. Then, edit the contents of the rule accordingly. For example, this new rule rejects user MARKH if he attempts to download any object in the

### PAYROLL library.



# **Example 3: Add a Memorized Transaction for a Profile Group**

**NOTE**: In addition to using IBM i's group profiles as described here, Network Security also offers its own *NS Group Profiles*, which can be used to assign a rule to multiple user profiles at once. See Creating a User Group.

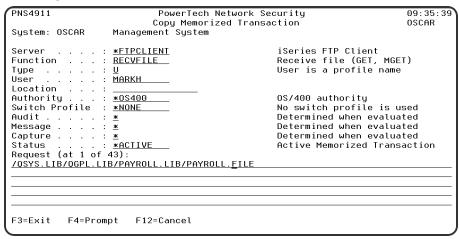
You can use Network Security memorized transactions for users and groups. Suppose you've secured access to the \*FTPCLIENT function RECVFILE by setting authority for \*PUBLIC to \*REJECT. You can allow a single user or a group to GET information (use the RECVFILE function) by memorizing transactions.

**NOTE**: To memorize a transaction for a user using the web browser interface, in the <u>View Transaction</u> screen, choose **Memorize > For User**, then choose the desired user in the User field of the New User Memorized Transaction dialog box.

### Memorized transaction for a user

1. Copy the memorized transaction and modify it to allow the user to GET information (use RECVFILE) from the PAYROLL library. Although user MARKH is allowed to download the payroll

file using FTPCLIENT's GET subcommand, no one else is.

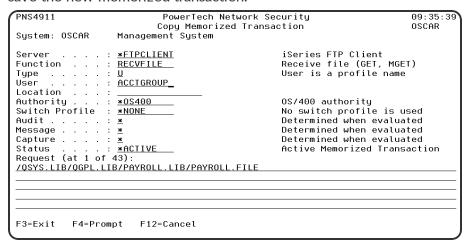


**NOTE**: To memorize a transaction for a group using the web browser interface, in the <u>View</u> <u>Transaction screen</u>, choose **Memorize** > **For Location**, then choose the desired IP Address Group in the Location field of the New Location Memorized Transaction dialog box.

### Memorized transaction for a group

In the previous step, you secured the PAYROLL file from the FTPCLIENT GET command by everyone but MARKH. What if others also need to access the file? By creating a memorized transaction for a group, you authorize the members of that group to use the FTPCLIENT GET command against library PAYROLL.

2. To create a memorized transaction for a group, copy the memorized transaction. On the Copy Memorized Transaction panel, enter the name of the group, ACCTGROUP, in the User field and save the new memorized transaction.



# Reports

Network Security provides a number of reports to help you document your exit point security and activity. These reports show the actions monitored by Network Security. Using the green screen, you

can view spooled reports using the WRKSPLF (Work with Spooled Files) command, or press F15 on many Network Security screens. The Insite Web UI allows you to define, submit, and view reports all from your web browser.

**NOTE**: Network Security submits most reporting jobs to the QBATCH job queue in library QGPL. To submit reports to a different job queue, use the following command:

### CHGJOBD JOBD(POWERLOCK) JOBQ(your-jobq-name)

To display the <u>Reports screen</u> in the Insite web UI, click Reports in the <u>Navigation Pane</u>. The Reports screen allows you to define, edit, and submit reports.

To display the <u>Reports Menu</u> on the green screen, select option **80** on the Network Security Main Menu. The Reports Menu allows you to select from the following reports.

## **Granting Reporting Authority**

The PTNSRPT authorization list grants authority to run Network Security reports. You can use the authorization list to authorize a programmer or other technical user to access report information without providing them with full configuration access.

To add profiles to the authorization list, enter the following command:

### ADDAUTLE AUTL(PTNSRPT) USER(myuser) AUT(\*USE)

Once users are added to the report authorization list, they have all the authorities needed to create Network Security reports. Users can display the Reports Menu with the following command:

PTNSLIB07/PLNSREPORT or PTNSLIB/PLNSREPORT (depending on your product library)

# Working with Reports in Insite

The following topics describe how to create, edit, submit, and view reports using the HelpSystems Insite web browser interface.

# Viewing, Sorting, and Filtering Reports

# **Viewing the Reports Page**

To view the Reports page, click **Reports** under the Network Security menu. If the menu on the left is hidden, click in the upper left corner.

### Things to know and do:

- The total number of saved reports in the list and the server they're on is displayed at the top of the page.
- Click the Refresh button to refresh the information in the display.
- Click the page number and select the page you want to view. Or, click the previous and next arrows.

- Start typing in the Search field to find a specific report. It will find everything that contains what you're typing. See Sorting and filtering below to learn how to filter your search.
- "Shared" indicates whether or not others are allowed to see the report. You can change this preference in the report's settings. For instructions, see <a href="Adding or Editing Reports">Adding or Editing Reports</a>.
- Click Add to set up a new report for printing.

### Actions you can take:

Click the Show Actions button by any report to display the following actions that you can take:

- Select **Preview** to build and open a report to preview its progress. The preview will open a new browser tab.
- Select **Schedule** to open the page where you can schedule the report for printing.
- Select Submit to immediately submit the report for printing.
- Select Edit to open the page where you can edit the report.

## Sorting and Filtering the Display

There are settings for the Reports page that allow you to choose how to sort the list, and what types of data will be searched when you do a search.

Follow these steps:

- 1. Click the Settings button .
- 2. Select how you want the list sorted (**Sort By**). Click your selection again to change the sort order to ascending or descending.
- 3. Select one or more options under Search By to narrow the list of items displayed.
- 4. Click the Close button to close the settings.

# Adding or Editing a Saved Report

You can set up a Network Security report and save the setup so you can print it whenever you need to. For most reports, you can specify selection criteria, such as a date range. The criteria that's available varies depending on the report you choose.

**NOTE**: Some of the following steps may not apply to the specific report you want to set up.

### To add or edit a report:

- 1. Click **Reports** under the Network Security menu. If the menu on the left is hidden, click upper left corner.
- 2. To edit an existing report, find it and click its row.
- 3. To add a new report, click **Add**. Then, select the report you want to set up.
- 4. Enter a Name for the report.

- 5. Slide Shared to "On" if you want others to be able to work with and use this report.
- 6. Select the Transaction Type, User, or other report options. The values available here will depend on the report chosen. For more details on the various reports, see New/Edit Report screen.
  - **Specific** Choose this option if you want to specify a specific To and From date for the range of the report.
  - Non-specific Choose this option if you want to specify a relative To and From date for the range of the report (for example, transactions for the last two days).
- 7. For Date Range, choose from the following:
- 8. Click Save.

You can now preview the report, schedule the report for printing, or print the report immediately.

# **Deleting Reports**

To delete a saved report:

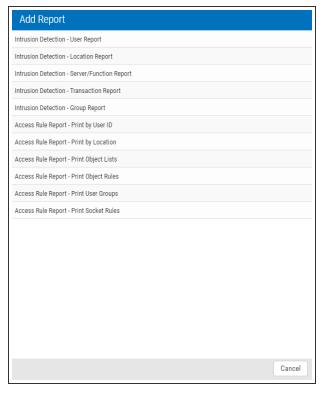
- 1. Click **Reports** under the Network Security menu. If the menu on the left is hidden, click upper left corner.
- 2. Select the reports you need to delete.
- 3. Click Delete.
- 4. Click **Delete** again when asked to confirm the deletion.

# Reporting Access Attempts by User ID

You can generate reports based on access attempts by user ID.

### To create a report based on user access attempts

- 1. On the Navigation Pane, select Reports.
- 2. Click Add and choose Intrusion Detection Server/Function Report.



- 3. Create the report using the following settings:
  - Name: "Server Function Report"
  - Transactions: \*ALL
  - Server \*ALL
  - Function: \*ALL
  - For Date Range, specify the number of days you would like the report to include. For the first report, a week is usually a reasonable duration. For Date Range, choose Specific to indicate the report should include a specific start and end date. Choose Non Specific to include a period of time previous to the time the report is run.
  - For Detail Level, choose Summary.
- 4. Click **Save** to save the report. You return to the Reports screen.
- 5. Click to the right of Server Function Report and choose **Submit**. A message should appear in the lower left indicating the report has been submitted successfully.
- 6. Click **Spooled Files** in the Navigation Pane.

292853/MARKJ/POWERLOCK LNSP087 1 4 1 ♥ » Close License Management Central Server All Functions 0 ( \*CNTRLSRV \*ALL Optimized Data Queue Server 0 ( \*DATAOSRV \*ALL DDM Server All Functions 0 ( \*DDM Data Queue Server All Functions 0 ( \*DQSRV Distributed Relational Database All Functions 0 ( \*DRDA 0 (\*FILESRV \*ALL Reject \*ETPCLIENT \*ALL \*FTPCLIENT \*ALL Set local library or dir (LCD) \*FTPCLIENT CHGCURLIB Clear command channel (CCC) Initialize session (OPEN) Allow \*FTPCLIENT INIT \*FTPCLIENT INIT ( \*FTPCLIENT INIT \*FTPCLIENT RECVFILE
\*FTPCLIENT RECVFILE Receive file (GET, MGET) Reject \*FTPCLIENT RECVFILE Execute remote command (SYSCMD) \*FTPCLIENT RMTCMD Send file (APPEND, PUT, MPUT) ( \*FTPCLIENT SENDFILE FTP Execute Remote Command (REXEC) All Functions 0 (\*FTPREXEC \*ALL 0 (\*FTPSERVER \*ALL iSeries FTP Server All Functions 0 (\*FTPSIGNON \*ALL FTP Signon Server All Functions License Management Server All Functions 0 (\*LMSRV

7. The report you just submitted will be at the top of the list. Click it to view.

# Working with Reports in the green screen

The following topics describe how to create, edit, submit, and view reports using the IBM i green screen.

# **Report Output Options**

Each Network Security report allows you to specify an output type for the report. You can select from the following:

## \*PRINT (Insite web UI or green screen)

Creates a spooled file and sends the report to a print queue. You can view the report using the WRKSPLF command.

# \*OUTFILE (green screen only)

Directs the report output to an IBM i data file using the filename and library you specify when you submit the report.

## \*IFS (green screen only)

The report is output to a Comma Separated Value (.csv) file on the IBM i Integrated File System (IFS). The name of the directory is controlled by the Report Output Control file (PNSGRO in the product library). The default location for the IFS output is "ihome" followed by the name of the user running the report. You must specify the report name when you submit the report.

**NOTE**: Network Security treats IFS output as a file name, not a path name. You cannot use path delimiters (i) to direct the output to another directory. If you include path delimiters (i) in your report name, they are converted to underscore characters.

Network Security uses the following sequence when adding a file to the IFS:

• The IFS Output File Name (report name) is appended to the path defined in the PNSGRO file. If the directory does not exist, it is created by Network Security.

/home

• The user profile is appended to the path name:

/home/USERID

 Appends a date and time stamp (CCYY-MM-DD-HH.MM.SS.mmm) to the file name to make it unique. You cannot specify Replace, Append or Cancel.

If there is no path entry in PNSGRO, the reporting process creates a directory with the name of the user (in all caps), if one does not already exist:

/USERID

### **Examples:**

With PNSGRO set to the default value, the directory is:

/home/USERID/IFSOutputFileName\_2010-06-14-12.42.09.987

Without PNSGRO, the directory is:

/USERID/IFSOutputFileName\_2010-06-14-12.42.09.987

Network Security creates both a .csv and a .rpt file. The .rpt corresponds to a printed report's summary page.

**NOTE**: Selecting output types \*OUTFILE or \*IFS prints reports by Transaction only, regardless of the Detail, Transaction, or Summary setting (DITIS) you selected.

# Reporting Access Attempts by User ID

You can generate reports based on access attempts by user ID.

### To create a report based on user access attempts - green screen

1. Select option **1** on the <u>Reports Menu</u> to display the User Report Menu where you can select the type of report to run.

```
LNS087
                           PowerTech Network Security
                                                                         OSCAR
                                  Reports Menu
                           Working with system OSCAR
Select one of the following:
  Intrusion Detection
                                             Access Rule Reports
     1. User Report Menu
                                             11. Print Rules by User ID
        Location Report Menu
                                             12. Print Rules by Location
13. Print Object Lists
     3. Server Function Report Menu
     4. Transaction Report Menu
                                             14. Print Object Rules
     5. Group Report Menu
                                             15. Print User Groups
     6. PowerTech Audit Report command
       Work with IFS Files
    Reporting Group
    80. Work with Reporting Groups
Selection or command
===>
F3=Exit
          F4=Prompt
                       F7=Select System
                                           F9=Retrieve
                                                     F22=Status
F13=Information Assistant
                            F16=System Main Menu
```

You can select to run a report for all users (options 1-3), or for a specific user (options 4-6). You also can select the types of transactions to include in the report: all transactions, allowed transactions only, or rejected transactions only.

2. To run a report, select an option to display the entry screen for the report type.

LNSD087USR	PowerTech Network Security All Users - All Transactions	15:25:03 DEMETER
From date/time <u>02</u> To date/time <u>02</u> Detail, transaction		
Output type <u>*P</u> l	RINT *PRINT, *OUTFILE, *IFS	
FileLibrary	Option _ A=Add, R=Replace	
IFS report name		
F3=Exit I	F12=Cancel F13=Msgs F14=Submitted jobs F15=Spo	ooled files

You can enter your selection criteria for the report, including:

### From date/time • To date/time

The date range you want to include in the report.

## Detail, transaction, or summary (D/T/S)

The level of detail to include in the report, from most detailed (transaction) to least detailed (summary).

# **Output type**

The output type of the report. Possible values are:

- \*PRINT The report is sent to the specified print queue.
- \*OUTFILE The report is sent to a file. You must specify the file name and library for the report.
- \*IFS The report is placed in the IFS. You must specify the report name.

## File/Library/Member

The file name for the report, and the library for the file. Enter Y to create the report or N to not create a report. You also can specify if you want to add (A) to the file member, or replace (R) the existing member.

## **IFS** report name

If you specified \*IFS as the output type, enter a name for the report.

**NOTE**: If you selected to create a report for a specific user (options 4-6), you're also asked to enter the user name.

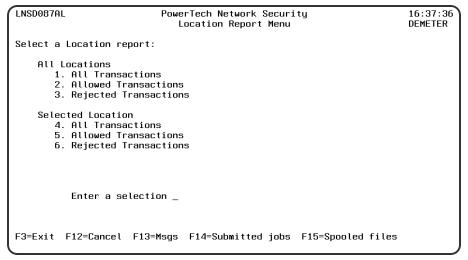
# **Reporting Access Attempts by Location**

You can generate reports based on access attempts by location. Network Security refers to IP addresses and SNA device names as *locations*. Some servers, like FTP, send client IP address information to Network Security. Others can send IP address or SNA name information.

However, not all server programs communicate location information. The location reports allow you to see information on your servers that do provide location information.

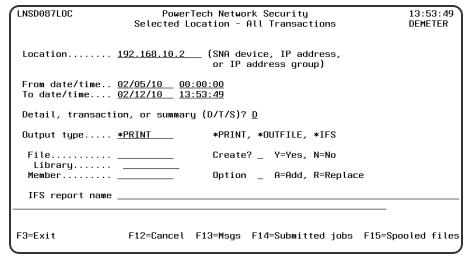
### To create a report based on user access attempts

1. On the Reports menu, select option 2 to display the Location Report Menu where you can select the type of report to run.



2. You can select to run a report for all locations (options 1-3), or for a specific location (options 4-6). You also can select the types of transactions to include in the report: all transactions, allowed transactions only, or rejected transactions only.

3. To run a report, select an option to display the entry screen for the report type.



You can enter your selection criteria for the report, including:

### From date/time • To date/time

The date range you want to include in the report.

## **Detail, transaction, or summary (D/T/S)**

The level of detail to include in the report, from most detailed (transaction) to least detailed (summary).

## **Output type**

The output type of the report. Possible values are:

- \*PRINT The report is sent to the specified print queue.
- \*OUTFILE The report is sent to a file. You must specify the file name and library for the report.
- \*IFS The report is placed in the IFS. You must specify the report name.

# File/Library/Member

The file name for the report, and the library for the file. Enter Y to create the report or N to not create a report. You also can specify if you want to add (A) to the file member, or replace (R) the existing member.

# IFS report name

**NOTE**: If you selected to create a report for a specific location (options 4-6), you're also asked to enter the location, which can be an SNA device name, an IP address, or an IP address group. Generic IP addresses (for example, 192.168.1\*.\*) also are supported. When you enter a generic IP address, Network Security reports transactions for any IP address that starts with the characters before the asterisk (\*).

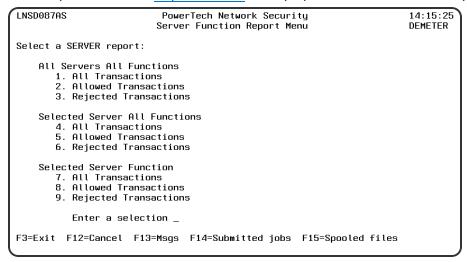
If you specified \*IFS as the output type, enter a name for the report.

# Reporting Access Attempts by Server / Function

Some of the network servers (such as FTP and Telnet) audited and controlled by Network Security have several functions that you can perform. For example, when you request the FTP GET subcommand, the FTP server runs the SENDFILE internal function. (See <u>Appendix A</u> for a list of all servers and functions that Network Security can audit and control.)

### To create reports based on attempts to access an individual server, or server/function combination

1. Select option 3 on the Reports Menu to display the Server Function Report Menu.



The Server Function reporting option in Network Security sorts the Journal by Server/Function and allows you to select a Specific Server and a Specific Function. Date range and type of transactions to be viewed can also be specified to narrow or expand the report to your specific requirements.

You can select to run a report for all servers and all functions (options 1-3), for all functions on a specific server (options 4-6), or for a specified function on a server (options 7-9). You also can select the types of transactions to include in the report: all transactions, allowed transactions only, or rejected transactions only.

2. To run a report, select an option to display the entry screen for the report type.

LNSD087SVF PowerTech Network Security Selected Server Function - All Transactions	14:29:08 DEMETER
Server <u>*FTPSERVER</u> Function <u>SENDFILE</u>	
From date/time <u>02/05/10</u>	
Detail, transaction, or summary (D/T/S)? <u>D</u>	
Output type <u>*PRINT</u> *PRINT, *OUTFILE, *IFS	
File Create? _ Y=Yes, N=No	
LibraryOption _ A=Add, R=Replace	
IFS report name	
F3=Exit F4=Prompt F12=Cancel F13=Msgs F14=Submitted jobs F15=Spo	ooled files

You can enter your selection criteria for the report, including:

#### From date/time • To date/time

The date range you want to include in the report.

## Detail, transaction, or summary (D/T/S)

The level of detail to include in the report, from most detailed (transaction) to least detailed (summary).

## **Output type**

The output type of the report. Possible values are:

- \*PRINT The report is sent to the specified print queue.
- \*OUTFILE The report is sent to a file. You must specify the file name and library for the report.
- \*IFS The report is placed in the IFS. You must specify the report name.

## File/Library/Member

The file name for the report, and the library for the file. Enter Y to create the report or N to not create a report. You also can specify if you want to add (A) to the file member, or replace (R) the existing member.

## IFS report name

**NOTE**: If you selected to create a report for a specific server (options 4-6) or a specific function on a server (options 7-9), you're also asked to enter the server/function names.

If you specified \*IFS as the output type, enter a name for the report.

## **Reporting Transactions**

Network tools like FTP, ODBC, DDM, and Remote SQL pose serious security risks to your IBM i system. For example, each of these network tools can access and change database files, run programs and commands, and even modify objects. Network Security is designed to audit and report on access attempts by these tools, and to control access according to the rules you've specified.

# To create reports based on attempts to run commands and programs, to access or manipulate data, or to modify objects

1. Select option 4 on the Reports Menu to display the Transaction Report Menu.

```
LNSD087AC

PowerTech Network Security
Transaction Report Menu

DEMETER

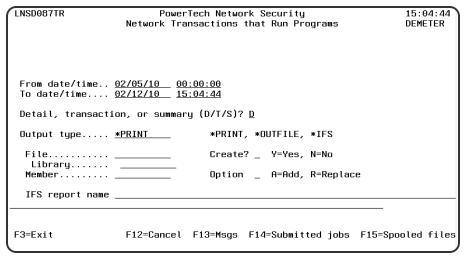
Select a transaction type report:

Network Transactions that:
1. Run commands and programs
2. Update data
3. Read data
4. Modify objects

Enter a selection _

F3=Exit F12=Cancel F13=Msgs F14=Submitted jobs F15=Spooled files
```

2. Select the desired option on the <u>Transaction Report Menu</u>. Each of the four options displays a report entry screen where you can enter the selection criteria for the report.



The transaction report entry screens ask for the following information:

#### From date/time • To date/time

The date range you want to include in the report.

## Detail, transaction, or summary (D/T/S)

The level of detail to include in the report, from most detailed (transaction) to least detailed (summary).

## **Output type**

The output type of the report. Possible values are:

- \*PRINT The report is sent to the specified print gueue.
- \*OUTFILE The report is sent to a file. You must specify the file name and library for the report.
- \*IFS The report is placed in the IFS. You must specify the report name.

## File/Library/Member

The file name for the report, and the library for the file. Enter Y to create the report or N to not create a report. You also can specify if you want to add (A) to the file member, or replace (R) the existing member.

## **IFS** report name

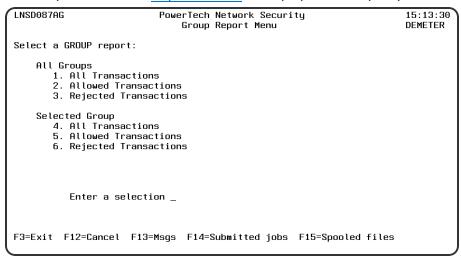
If you specified \*IFS as the output type, enter a name for the report.

## Reporting Access Attempts by Groups of Users

The <u>Group Report Menu</u> allows you to create reports by accounting code, operating system group profile, or Powertech group.

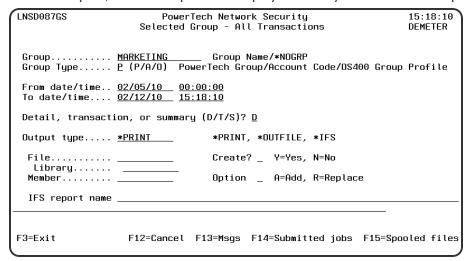
### To create reports based on groups of users.

1. Select option 5 on the Reports Menu to display the Group Report Menu.



You can select to run a report for all groups of a specified type (options 1-3), or for a specific group (options 4-6). You also can select the types of transactions to include in the report: all transactions, allowed transactions only, or rejected transactions only.

2. To run a report, select an option to display the entry screen for the report type.



You can enter your selection criteria for the report, including:

### From date/time • To date/time

The date range you want to include in the report.

## Detail, transaction, or summary (D/T/S)

The level of detail to include in the report, from most detailed (transaction) to least detailed (summary).

## **Output type**

The output type of the report. Possible values are:

- \*PRINT The report is sent to the specified print queue.
- \*OUTFILE The report is sent to a file. You must specify the file name and library for the report.
- \*IFS The report is placed in the IFS. You must specify the report name.

**NOTE**: If you selected to create a report for All Groups (options 1-3), you're asked to enter the group type. If you selected to create a report for a specific group (options 4-6), you're also asked to enter the group name or accounting code.

## File/Library/Member

The file name for the report, and the library for the file. Enter Y to create the report or N to not create a report. You also can specify if you want to add (A) to the file member, or replace (R) the existing member.

## **IFS** report name

If you specified \*IFS as the output type, enter a name for the report.

## **Using the Audit Report Command (LPWRRPT)**

In addition to running reports from the Reports Menu, you also can use the LPWRRPT command to run reports from your own programs, or to include Network Security reporting in your job scheduler.

To display the LPWRRPT command prompt panel, enter the command on a command line and press F4, or select option 7, Powertech Audit Report command, on the Reports Menu.

```
PowerTech Audit Report command (LPWRRPT)
Type choices, press Enter.
                                                                                                                                                                                                                                         *USER, *LOCATION, *SERVER...
Report Tupe
User Type
                                                                                                                                                                                                                                         Name, *ALL
 User Id
                                                                                                                                                                       *ALL
Group Name
Location Id
                                                                                                                                                                        *ALL
                                                                                                                                                                       *ALL
                                                                                                                                                                                                                                           Server name, *ALL
 Server to report
                                                                                                                                                                       *ALL
                                                                                                                                                                                                                                        **RLL **RLL **RLL **RUN, **UPDATE, **READ, **MODIFY **ALL, **ALLOW, **REJECT **YES, **NO, **TRAN Date, **BEGIN, **NONE TIME, **BEGIN, **NONE TIME, **BEGIN **DESTRUCTURE TIME, **DESTRUCTURE
         Function to report
                                                                                                                                                                        *ALL
Transaction type .
                                                                                                                                                                       *RUN
  Journal type
                                                                                                                                                                        *ALL
Detail Report
                                                                                                                                                                        *N0
From date
From time
                                                                                                                                                                        *NONE
                                                                                                                                                                        *BEGIN
 To date
                                                                                                                                                                        *NONE
                                                                                                                                                                                                                                         Date, *END, *NONE
To time
                                                                                                                                                                        *FND
                                                                                                                                                                                                                                          Time, *END
                                                                                                                                                                                                                                          *DAY, *WEEK, *MONTH, *NONE
 Prior Period
                                                                                                                                                                        *WEEK
                                                                                                                                                                                                                                          *SUN, *MON, *TUE, *WED...
Week start day
                                                                                                                                                                       *SUN
F3=Exit
                                             F4=Prompt
                                                                                                                                                                      F12=Cancel
                                                                                                                                                                                                                                    F13=How to use this display
                                                                                                   F5=Refresh
F24=More keys
```

Specify the report type you want to run and press **Enter**. The parameters for that report type display, allowing you to specify your selection criteria.

See Powertech Audit Report Command panel for a complete description of all the command parameters.

#### **Command Keys**

**F3** (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

**F24** (More keys): Shows additional function keys that can be used for this display.

## **Work with IFS Files**

When you specify an output type of \*IFS on any of the report selection entry panels, you must specify an IFS file name. The Work with IFS Files panel allows you to work with the IFS files produced when you run the reports. To display the Work with IFS Files panel, select option **10** on the Reports Menu.

```
NS6310
                          PowerTech Network Security
                                                                       13:03:26
                              Work with IFS Files
                                                                       DEMETER
Type options, press Enter.
  4=Delete
             5=Display
                         6=Display Summary
Opt User
                Status
                               Date
    ARMAND
                            2010-01-15 08.20.03
                Complete
     /home/ARMAND/REPORT_2010-01-15-08.20.03.527
    ARMAND
                            2010-01-15 08.21.12
                Complete
     /home/ARMAND/REPORT_2010-01-15-08.21.12.499
                Complete
                            2010-01-15 08.22.17
     /home/ARMAND/REPORT_2010-01-15-08.22.17.572
                Complete
                            2010-02-16 11.53.52
     /home/KIKI/usertransactions_2010-02-16-11.53.52.292
                                                                         Bottom
F3=Exit
          F5=Refresh
                       F11=Fold/Unfold
                                         F12=Cancel
                                                       F16=Sort/Subset
```

The Work with IFS Files panel displays the name of the user who ran the report, the report status, the date and time the report was run, and the name of the IFS file produced. Press F11 to display or hide the file name information. Press F16 to sort the IFS files listed on the panel.

You can select from the following options for an IFS file:

#### 4=Delete

Deletes the file from the IFS directory.

## 5=Display

Displays the Display Object Links panel, from which you can display the .csv file.

## 6=Display Summary

Displays the Display Object Links panel, from which you can display the .rpt file.

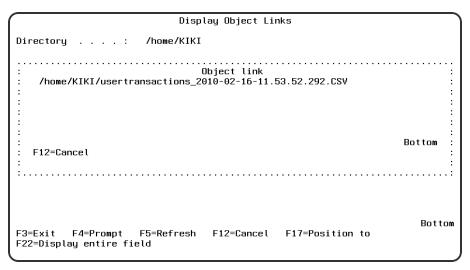
## Displaying an IFS File

When you select option 5, Display, or option 6, Display Summary, on the Work with IFS Reports panel, the Display Object Links panel displays.

The Display Object Links panel lists the names of objects in a directory and allows you to see additional information about those objects.

```
Display Object Links
Directory . . . . :
Type options, press Enter.
  5=Display
            8=Display attributes
                                    9=Display authority
     Object link
                                     Attribute
                                                  Text
                            Tupe
     usertransactions_2 > STMF
                                                                      Bottom
                    F5=Refresh
         F4=Prompt
                                 F12=Cancel
                                              F17=Position to
F22=Display entire field
```

Press F22, Display entire field, to display a window that contains the full path IFS file name of the file produced when you ran the report.



You can select from the following options on the Display Object Links panel:

## Option 5 = Display

Displays your report data.

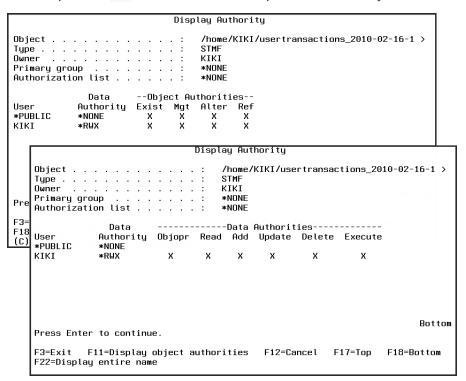
## **Option 8 = Display attributes**

Displays the Display Attributes panel, which shows the attributes of an object. Page down to see all the attributes for the selected file.

```
Display Attributes
                        /home/KIKI/usertransactions_2010-02-16-14.28.28. >
                                               STMF
                                               KIKI
System object is on
                                               Local
Auxiliary storage pool . . . . . . . :
  Object overflowed . . . . . . .
                                              No
Coded character set ID . . . . . .
                                               819
Hidden file
              . . . . . . . . . . . .
PC system file . . . . . . . . . . .
Read only
                                               Nο
Need to archive (PC) . . . . . . . . . . Need to archive (System) . . . . . . .
                                               Yes
                                               Yes
                                                                             More...
Press Enter to continue.
F3=Exit F12=Cancel F22=Display entire field
```

## **Option 9 = Display Authority**

Displays the Display Authority panel, which shows a list of users that have authority to the requested object and the users' authorities. It also shows the public authority, owner authority, and primary group authority. Press F11 to switch between system-defined object authorities and data authorities.



## **Printing Rules by User ID**

You can use the Reports menu to print access rules by the location to which they apply. A location can be an IP address, an IP address group, or an SNA device name. To create a report by location, select option **12**, Print Rules by Location, on the Reports Menu to display the Authorities by Location Report (SBMLOCREP) prompt screen. You also can enter the SBMLOCREP command on a command line and press F4 to display the prompt screen.

```
Authorities by User Report (SBMUSRREP)
Type choices, press Enter.
User Type
                                                   U, G
                                                   Name, *ALL, *PUBLIC
*YES, *NO
User Name
Include Object Rules . .
                                    *N0
Include Memorized Transactions
                                                                           Bottom
F3=Exit
          F4=Prompt
                      F5=Refresh
                                    F12=Cancel
                                                  F13=How to use this display
F24=More keys
```

## **Printing Rules by Location**

You can use the Reports menu to print access rules by the location to which they apply. A location can be an IP address, an IP address group, or an SNA device name. To create a report by location, select option 12, Print Rules by Location, on the Reports Menu to display the Authorities by Location Report (SBMLOCREP) prompt screen. You also can enter the SBMLOCREP command on a command line and press F4 to display the prompt screen.

## **Print Object List**

The Print Object List (PRTOBJL) command allows you to print a listing of the Object Lists you have configured. The Object List Entries can be printed, as well as the Object Rules that protect a given Object List.

```
Print Object List (PRTOBJL)
Type choices, press Enter.
Subset by:
  Object List
                                      <u>ACCOUNTING</u>
                                                      ASID, NEWIASP, SIDLIST
  Tupe
  ASP Group
                                       *SYSBAS
                                                      Character value
  Description
                                      Restricted accounting files
Sort bu:
  Object List
                                                      1-3, *NO
1-3, *NO
  ASP Group
Description
Include Entries
Include Usage
                                                      *YES, *NO
                                                                                Bottom
          F4=Prompt
F3=Exit
                       F5=Refresh
                                      F12=Cancel
                                                     F13=How to use this display
F24=More keus
```

#### **Options**

## **Subset by (SUBSET):**

This is a multi-part parameter consisting of three elements. If you leave any of the elements blank, the report will not be subset using that element. The elements are:

### **Object List**

Specify criteria to subset by Object List name. You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the Wildcard Character to indicate that a partial value is to be used for selection.

#### **Type**

Specify criteria to subset by Object List Type.

#### **ASP Group**

Specify criteria to subset by ASP.

#### Description

Specify criteria to subset by Object List Description. You can use the Generic Character to indicate that a partial value is to be used for selection. In some circumstances you may also use the Wildcard Character to indicate that a partial value is to be used for selection.

## Sort by (SORTBY)

This is a multi-part parameter consisting of three elements. Indicate the order in which you would like the Object Lists to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

#### **Object List**

Specify the sort order for Object List name.

#### **Type**

Specify the sort order for Object List Description.

#### **iASP**

Specify criteria to subset by iASP.

#### Description

Specify the sort order for the Object List description.

## **Include Entries (INCLENT)**

Specify if you want to include Object List entries for each Object List in the report. The default value is \*YES.

## Include Usage (INCLUSG)

**NOTE**: If you specify \*YES for Include Entries and Include Usage, additional fields display allowing you to further sort and subset the information to appear in the report.

Indicate whether you would like the Object List Usage information for each Object list to be printed on the report. If you specify \*NO, do not enter any subset or sorting criteria for Object List Usage information.

The valid values are:

- \*YES The Object List Usage information is printed on the report.
- \*NO The Object List Usage information is not printed on the report.

## **Object List Entries (ENTRIES)**

This is a multi-part parameter consisting of two groups of elements, one for subsetting the report and one for sorting it. This parameter is valid only when INCLENT(\*YES) is specified on the command.

The elements are:

## Subset by

This is a multi-part parameter consisting of four elements. If you leave any of the elements blank, the report will not be subset using that element.

The elements are:

#### Library

Specify criteria to subset by Library name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to select Object List Entries that pertain only to unqualified objects whose library cannot be determined.

#### **Object**

Specify criteria to subset by Object name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Type

Specify criteria to subset by Object Type.

#### **Path**

Specify criteria to subset by Path. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## Sort by

This is a multi-part parameter consisting of four elements. Indicate the order in which you would like the Object List Entries to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

#### Library

Specify the sort order for Library name.

#### **Object**

Specify the sort order for Object name.

#### **Type**

Specify the sort order for Object Type.

#### **Path**

Specify the sort order for Path.

## **Object List Usage (USAGE)**

This is a multi-part parameter consisting of three groups of elements, one for subsetting the report, one for broadly selecting User or Location rules, and one for sorting the report. This parameter is valid only when INCLUSG(\*YES) is specified on the command.

The elements are:

## Subset by

This is a multi-part parameter consisting of five elements. If you leave any of the elements blank, the report will not be subset using that element.

The elements are:

#### Location

Specify criteria to subset by Location. Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any

Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. You can use the Generic Character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Style of Location value

When the value you key begins with an asterisk, this element allows you to format your request to find a single IP Address Group or any Location value that ends with the value you keyed (after the asterisk).

Valid values are:

- \*GROUP List only rules that have the specified IP Address Group on them.
- \*ENDSWITH List rules with any value that ends with the value you keyed.

#### Operation

Specify criteria to subset by operation.

#### Show rules for

This is a multi-part parameter consisting of two elements. This parameter allows you to show Object List Usage information listing only Location-based or User-based Object Rules. At least one of these elements must be \*YES when you have specified INCLUSG(\*YES).

The elements are:

#### Location

Indicate whether you want Location—based Object Rules to appear in the Usage section of the report. If you have specified subset criteria for Location, this value must be \*YES. The valid values are:

- \*YES Location—based Object Rules will be included.
- \*NO Location—based Object Rules will not be included.

#### User

Indicate whether you want User—based Object Rules to appear in the Usage section of the report. If you have specified subset criteria for User, this value must be \*YES. The valid values are:

- \*YES User—based Object Rules will be included.
- \*NO User—based Object Rules will not be included.

## Sort by

This is a multi-part parameter consisting of three elements. Indicate the order in which you would like the Object List Usage information to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

#### Location

Specify the sort order for Location. If you specified \*NO for Show rules for Locations then this value must be \*NO.

#### User

Specify the sort order for User. If you specified \*NO for Show rules for Users then this value must be \*NO.

#### Operation

Specify the sort order for Operation.

### **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

**F24** (More keys): Shows additional function keys that can be used for this display.

## **Print Object Rules**

The Print Object Rule (PRTOBJRUL) command allows you to print a listing of the Object Rules you have configured. The Object List Entries contained in the Object List named on the rule can also be listed.

Print Object Rule (PRTOBJRUL)							
Type choices, press Enter.							
Subset by: Location	*ENDSWITH  *ENDSWITH	*GROUP, *ENDSWITH Character value *PUBLIC, *ENDSWITH ACCOUNTING, FTPFILES *ALL, *CREATE, *READ					
Show rules for:	*YES *YES	*YES, *NO *YES, *NO					
Location	1 2 3 4 *NO	1-4, *NO 1-4, *NO 1-4, *NO 1-4, *NO *YES, *NO					
F3=Exit F4=Prompt F5=Refresh F24=More keys	F12=Cancel	Bottom F13=How to use this display					

#### **Options**

## Subset by (SUBSET):

Use this parameter to subset the Object Rules printed on the report. This is a multi-part parameter consisting of six elements. If you leave any of the elements blank, the report will not be subset using that element. The elements are:

#### Location

Specify criteria to subset by Location. Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value

\*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. You can use the <u>generic character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Style of Location value

When the value you key begins with an asterisk, this element allows you to format your request to find a single IP Address Group or any Location value that ends with the value you keyed (after the asterisk).

Valid values are:

- \*GROUP List only rules that have the specified IP Address Group on them.
- \*ENDSWITH List rules with any value that ends with the value you keyed.

#### User

Specify criteria to subset by User. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Style of User value

When the value you key is \*PUBLIC, this element allows you to format your request to find only rules for \*PUBLIC or any User value that ends with PUBLIC (like JIMPUBLIC, XPUBLIC, etc).

Valid values are:

- \*PUBLIC List only rules that have \*PUBLIC as the User value.
- \*ENDSWITH List rules with any value that ends with PUBLIC.

#### **Object List**

Specify criteria to subset by Object list name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Operation

Specify criteria to subset by Operation.

## **Show rules for (SHOWFOR)**

Use this parameter to include only Location—based rules or User—based rules in the report. You must select at least one by specifying \*YES. This is a multi-part parameter consisting of two elements.

The elements are:

#### Locations

Indicate whether you want Location—based Object Rules to appear in the report. The valid values are:

- \*YES Location—based Object Rules will be included.
- \*NO Location—based Object Rules will not be included.

#### User

Indicate whether you want User—based Object Rules to appear in the report.

The valid values are:

- \*YES User—based Object Rules will be included.
- \*NO User—based Object Rules will not be included.

## Sort by (SORTBY)

Use this parameter to sort the Object Rules printed on the report. Indicate the order in which you would like the Object Rules listed on the report. To omit an element from the sort, specify \*NO for that element. Duplicate values are not allowed; you cannot sort more than one field at any given position. This is a multi-part parameter consisting of four elements.

The elements are:

#### Location

Specify the sort order for Location.

#### User

Specify the sort order for User.

#### **Object List**

Specify the sort order for Object List name.

#### Operation

Specify the sort order for Operation.

**NOTE**: If you specify \*YES for Include Entries and Include Usage, additional fields display allowing you to further sort and subset the information to appear in the report.

## **Include Entries (INCLENT)**

Indicate whether you would like the Object List Entries for each Object list to be printed on the report. The valid values are:

- \*YES The Object List Entries are printed on the report.
- \*NO The Object List Entries are not printed on the report.

## **Object List Entries (ENTRIES)**

This is a multi-part parameter consisting of two groups of elements, one for subsetting the report and one for sorting it. This parameter is valid only when INCLENT(\*YES) is specified on the command.

The elements are:

## **Subset by**

This is a multi-part parameter consisting of four elements. If you leave any of the elements blank, the report will not be subset using that element.

The elements are:

#### Library

Specify criteria to subset by Library name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to select Object List Entries that pertain only to unqualified objects whose library cannot be determined.

#### **Object**

Specify criteria to subset by Object name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Type

Specify criteria to subset by Object Type.

#### **Path**

Specify criteria to subset by Path. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## Sort by

This is a multi-part parameter consisting of four elements. Indicate the order in which you would like the Object List Entries to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

#### Library

Specify the sort order for Library name.

#### **Object**

Specify the sort order for Object name.

#### **Type**

Specify the sort order for Object Type.

#### **Path**

Specify the sort order for Path.

#### **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

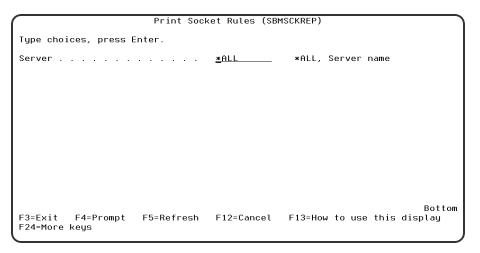
F12 (Cancel): Exit the screen without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

**F24** (More keys): Shows additional function keys that can be used for this display.

## **Print Socket Rules**

The Socket Rules Report (SBMSCKREP) command produces the "Socket Rules" report. This report lists Socket Rules and their Conditions.



### **Options**

## Server (SERVER)

Selects the server for which the rules are to print.

Allowed values are:

**QSOLISTEN** The report prints rules for the QSOLISTEN server. **QSOCONNECT** The report prints rules for the QSOCONNECT server. **QSOACCEPT** The report prints rules for the QSOACCEPT server. \***ALL** The report prints rules for the all servers.

#### **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

F24 (More keys): Shows additional function keys that can be used for this display.

## **Work with Reporting Groups**

```
PNSR013
                           PowerTech Network Security
                                                                         10:48:57
                           Work with Reporting Groups
 System: HS72
                   HS72 - ENDPOINT
Type options, press Enter.
                             5=Work with Network Security Group Members
  1=Add 2=Change 4=Delete
         Group Name
                         Description
         ACCOUNTING
                         Accounting Group
 <u>1</u>
         MARKETING
                         Marketing Group
                                                                           Bottom
F3=Exit F5=Refresh F7=Select System
                                         F12=Cancel
```

#### What it Does

Network Security's Work with Reporting Groups is used when a number of Profiles are required to be reported on together. To add a new Network Reporting Group, enter the information on the blank line below the column headings. Once a Network Reporting Group is created a list of Profiles may be associated to it. Option 5 displays a list of profiles associated to the group. Type an option next to a specific group and press Enter. You can type option numbers next to more than one group at a time. This allows you to run more than one task at a time. If you see 'More...' in the lower right corner of your display, there is more information to be listed. Press the Page Down (Roll Up) key to move toward the end of the Network Reporting Groups. Press the Page Up (Roll Down) key to move toward the beginning of the Network Reporting Groups.

#### **Options**

Type the option number you want and press Enter.

#### 1=Add

Add a Network Security Group. Valid for line 1 only.

## 2=Change

Change a Network Security Group.

**NOTE:** You cannot use option 2 to change the reporting group name.

#### 4=Delete

Use option 4 to delete a reporting group.

**NOTE**: If the reporting group has user profiles assigned to it, you must remove the user profiles before you can delete the group.

## 5=Work with Network Security Group Members

Work with the Profiles associated with the group.

### **Field Descriptions**

## **Group Name**

The name of a group of Profiles.

## **Group Description**

The description of a Reporting Group. It is a required entry.

### **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select system): Use this command to work with data from a different System.

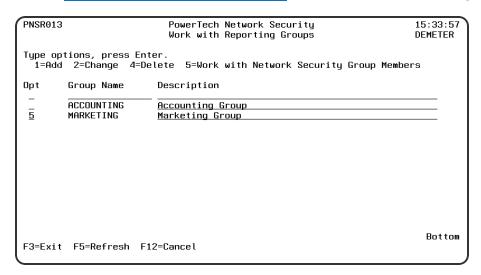
**F12** (Cancel): Exit the current panel without processing any pending changes.

## **Working with Report Group Members**

A Network Security reporting group allows you to assign users (user profiles) to the reporting groups you have created. Once you've associated user profiles with the group, you can run a report on the entire group.

#### **Entering Reporting Group Members**

- 1. To add members to a reporting group, select the group to which you want to add.
- 2. On the Work with Reporting Groups panel, enter option 5 next to the group name.



The Work with Network Security Group Members panel displays allowing you to add user profiles to a specified reporting group.

PNSR014		Tech Network Security etwork Security Group Members	13: 28: 49 HS42
System: HS72 HS Working with group f 1=Add to group 4=F	ACCOUNTING	Accounting Group	
Filter on Profile		:	
Opt User Group	ALERTSH ANNAM ARMINE ARTUR BENP BENS BRENDAP DANAH DANSCHULTZ	Password Self Help Administrator Intern Tech Writer Armine - Sourcio Artur - Sourcio Ben Peter Marketing Ben Singer HR Brenda Peroutka IT Dana Halvorson Rooms	SIGN ON) More
F3=Exit F5=Refresh	F8=Toggle	Group Members/Avail	

## **Work with Network Security Group Members Fields**

## **Group Name**

The name of the reporting group you selected.

#### **User Profile**

The user profiles that are members of the reporting group.

## **Work with Network Security Group Members Options**

You can select from the following options to work with the reporting group members.

## 1=Add to group

Enter a **1** in the Opt column and enter the user profile you want to add to the reporting group. You can select the users you want to add by pressing **F8**, which switches views between group members and available users. In the Available Users view, you can enter a **1** next to multiple user profiles and add them to the group at one time.

## 4=Remove from group

Enter option 4 to remove a user from the reporting group.

## **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

**F12 (Cancel):** Exit the current panel without processing any pending changes.

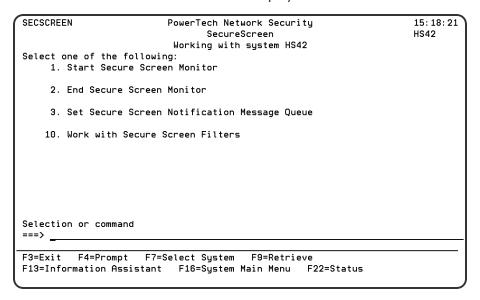
# **Utilities**

The topics in this section describe utilities included with Powertech Network Security.

# Working with Powertech SecureScreen

The Powertech Secure Screen Main Menu allows you to start and end the Secure Screen monitor, set the notification message queue, and work with Secure Screen filters.

Select option **1** on the Work with Utilities menu to display the Secure Screen main menu. You also can enter the WRKSECSCR command to display the main menu.



You can select from the following options on the Secure Screen Main Menu:

**1. Start Secure Screen Monitor.** Starts the session inactivity monitor job. The job runs in the PWRWRKMGT subsystem; the subsystem starts if it is not currently active.

The monitor receives messages from the message queue specified in the QINACTMSGQ system value. The messages describe jobs that have been inactive the interval specified in the QINACTITV system value. Information from the message is used to retrieve attributes of the inactive job, and compare them against the Secure Screen filters to determine the action to take.

#### Notes:

- You must configure the QINACTMSGQ and QINACTITV system values before starting the Secure Screen monitor. Use the WRKSYSVAL QINA\* command to locate the system values.
- You should not define QSYSOPR as the message queue in QINACTMSGQ. Secure Screen monitors for inactivity messages and sees other messages as garbage. The QSYSOPR message queue will be locked any time QSYSOPR signs on.

You also can use the STRPLSSMON command to start the Secure Screen monitor job.

2. End Secure Screen Monitor. Ends the session inactivity monitor job. When the monitor ends, inactive sessions are no longer processed against the Secure Screen filters, and sessions are not disconnected or ended.

**NOTE:** You can end the monitor job using the ENDJOB command or ENDSBS for the PWRWRKMGT subsystem. If you specify \*CNTRLD, the monitor detects the request and ends normally.

You also can use the ENDPLSSMON command to end the monitor job.

3. Set Secure Screen Notification Message Queue. Allows the administrator to set the name of the message queue to receive Secure Screen notifications when selected sessions time out. The monitor sends notification messages when a session times out and matches a filter that has the Notify Administrator value set to \*MSG. You also can use the LSETPSSNFQ command to set a notification message queue.

```
Set Secure Screen notify *msgq (LSETPSSNFQ)

Type choices, press Enter.

Message queue name . . . . . . *JOBUSR Name, *NONE, *USER, *JOBUSR Name, *LIBL

Bottom
F3=Exit F4=Prompt F5=Refresh F12=Cancel F13=How to use this display F24=More keys
```

Enter the following message queue information:

## Message queue name

Enter the name of the message queue to use. Possible values are:

- \*JOBUSER Use the message queue of the user running the job.
- \*USER Use the message queue of the user associated with monitor job.
- \*NONE No messages are sent.

Name Enter a message queue name to use.

## **Command Keys**

F3 (Exit): Exit the menu.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

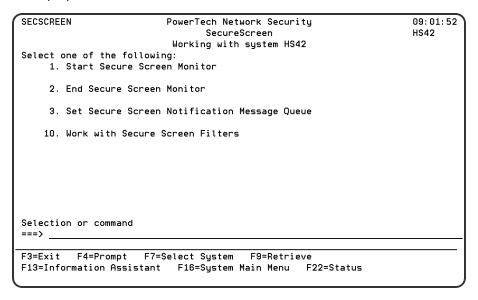
**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IMB i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# Working with Powertech SecureScreen

The Powertech Secure Screen Main Menu allows you to start and end the Secure Screen monitor, set the notification message queue, and work with Secure Screen filters. Select option 1 on the Work with Utilities menu to display the Secure Screen main menu. You also can enter the WRKSECSCR command to display the main menu.



You can select from the following options on the Secure Screen Main Menu:

**1. Start Secure Screen Monitor.** Starts the session inactivity monitor job. The job runs in the PWRWRKMGT subsystem; the subsystem starts if it is not currently active.

The monitor receives messages from the message queue specified in the QINACTMSGQ system value. The messages describe jobs that have been inactive the interval specified in the QINACTITV system value. Information from the message is used to retrieve attributes of the inactive job, and compare them against the Secure Screen filters to determine the action to take.

#### NOTE:

- You must configure the QINACTMSGQ and QINACTITV system values before starting the Secure Screen monitor. Use the WRKSYSVAL QINA\* command to locate the system values.
- You should not define QSYSOPR as the message queue in QINACTMSGQ. Secure Screen
  monitors for inactivity messages and sees other messages as garbage. The QSYSOPR message
  queue will be locked any time QSYSOPR signs on.

You also can use the STRPLSSMON command to start the Secure Screen monitor job.

2. End Secure Screen Monitor. Ends the session inactivity monitor job. When the monitor ends, inactive sessions are no longer processed against the Secure Screen filters, and sessions are not disconnected or ended. You also can use the ENDPLSSMON command to end the monitor job.

**NOTE**: You can end the monitor job using the ENDJOB command or ENDSBS for the PWRWRKMGT subsystem. If you specify \*CNTRLD, the monitor detects the request and ends normally.

3. Set Secure Screen Notification Message Queue. Allows the administrator to set the name of the message queue to receive Secure Screen notifications when selected sessions time out. The monitor sends notification messages when a session times out and matches a filter that has the Notify Administrator value set to \*MSG. You also can use the LSETPSSNFQ command to set a notification message queue.

Set Secure Scre	en notify *msgo	(LSETPSSNFQ)	
Type choices, press Enter.			
Message queue name Library	*JOBUSR	Name, *NONE, * Name, *LIBL	·USER, *JOBUSR
F3=Exit F4=Prompt F5=Refresh F24=More keys	F12=Cancel	F13=How to use	Bottom this display

Enter the following message queue information:

## Message queue name

Enter the name of the message queue to use. Possible values are:

\*JOBUSER Use the message queue of the user running the job.

\*USER Use the message queue of the user associated with monitor job.

\*NONE No messages are sent.

Name Enter a message queue name to use.

## Library

Enter a library name. Possible values are:

\*LIBL No messages are sent.

library-name Enter the name of the library where the message queue exists.

## **Working with Secure Screen Filters**

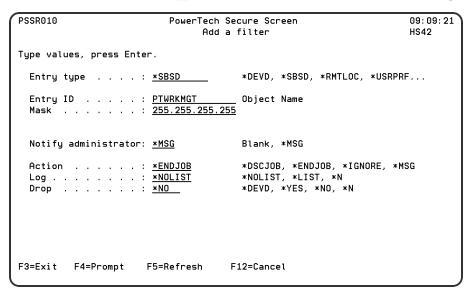
You can set up rules for using Secure Screen by defining filters. Select option **10**, Work with Secure Screen Filters, on the Secure Screen Main Menu to display the Rules Maintenance screen. You also can enter the command, LEDTPSSFTR, to display the Rules Maintenance screen.

```
PSSR010
                          PowerTech Secure Screen
                            Rules Maintenance
System: HS42
                HS42 - MANAGER
Type options, press Enter.
  2=Change 3=Copy 4=Delete 5=Display
              Identifier
                                              Nfy Action
Opt Type
                                                            Log
  _ *DEVD
                             255.255.255.255 *MSG *ENDJOB *NOLIST *YES
              BJOHNSON1
                                                                     Bottom
           F5=Refresh
                          F6=Add
                                    F7=Select System
                                                         F12=Cancel
F3=Exit
F17=Top
           F18=Bottom
                         F20=Position List
```

The Rules Maintenance screen lists all filters you currently have in place, and their values. From the screen you can add, change, copy, delete, and display filters.

## **Adding A Filter**

To add a new filter, press F6. The Add a filter screen displays, allowing you to specify the filter rules.



Enter the following information for the filter:

## **Entry Type**

The type of filter. There are six types of filters; possible values are:

- \*DEVD Device Description
- \*SBSD Subsystem Description
- \*RMTLOC Remote Location
- \*USRPRF User Profile
- \*GRPPRF Group User Profile
- \*ACGCDE Accounting Code

## **Entry ID**

Enter the name of the device, subsystem, user profile, remote location, group profile, or accounting code. Press F4 to select from a list of values. For a remote location, enter the IP address of the location.

#### Mask

The subnet mask to be combined with an IP address. The value in this field is used only when you entered an IP address for \*RMTLOC. An IP address from a Telnet device is masked to compare with the IP address entered for a filter. This allows the filtering of a range of IP addresses.

## **Notify Administrator**

Tells Secure Screen whether to send a message to the administrator's message queue. Enter \*MSG to send a message when a timeout occurs; leave this field blank if you do not want a notification message sent.

#### **Action**

Specify what will happen when a timeout occurs for a session. Possible values are:

- \*DSCJOB Device Description
- \*ENDJOB Subsystem Description
- \*MSG Remote Location
- \*IGNORE User Profile

### Log

When the Action is \*DSCJOB, this specifies whether or not to print the job log. Possible values are:

- \*LIST Print the job log
- \*NOLIST Do not print the job log
- \*N Use the default from the \*DSCJOB command on your system

## **Drop**

When the Action is \*DSCJOB, this specifies whether the connection should be dropped if the session time out. Possible values are:

- \*DEVD Handle the connection as it's specified on the session device
- \*YES Force the connection to drop

\*NO Leave the connection available

\*N Use the default from the \*DSCJOB command on your system

## **Changing A Filter**

To change an existing filter, enter option 2 next to the filter on the Rules Maintenance screen. This displays the Change a filter screen.

Use the Change a filter screen to change the filter settings. You cannot change the filter Type or Entry ID.

```
PSSR010
                               PowerTech Secure Screen
                                                                                  12:31:22
                                    Change a filter
                                                                                  DEMETER
Type values, press Enter.
  Entry type . . . . : *RMTLOC
                                               *DEVD, *SBSD, *RMTLOC, *USRPRF...
  Entry ID . . . . . : 198.196.5.0
Mask . . . . . . : <u>255.255.255.0</u>
                                              Object Name
  Notify administrator: *MSG
                                              Blank, *MSG
  Action . . . . . : <u>*IGNORE</u>
                                              *DSCJOB, *ENDJOB, *IGNORE, *MSG
                                              *NOLIST, *LIST, *N
*DEVD, *YES, *NO, *N
                          F5=Refresh
                                            F12=Cancel
F3=Exit
           F4=Prompt
```

## **Copying A Filter**

You can copy an existing filter to copy the filter's settings and modify them to define a new filter. To copy a filter, enter option 3 next to the filter you want to copy.

## **Deleting a Filter**

To delete a filter, enter option 4 next to the filter you want to delete.

## Displaying a Filter

You can display the settings for a filter. Enter option 5 next to a filter to display the Display a filter screen.

PSSR010 PowerTech Secure Screen 12:59:28
Display a filter DEMETER

Press enter to continue.

Entry type . . . : \*RMTLOC Entry ID . . . : 192.192.1.0 Mask . . . : 255.255.255.0

Notify administrator: \*MSG

Action . . . . : \*DSCJOB
Log . . . . . : \*LIST
Drop . . . : \*YES

F3=Exit F12=Cancel

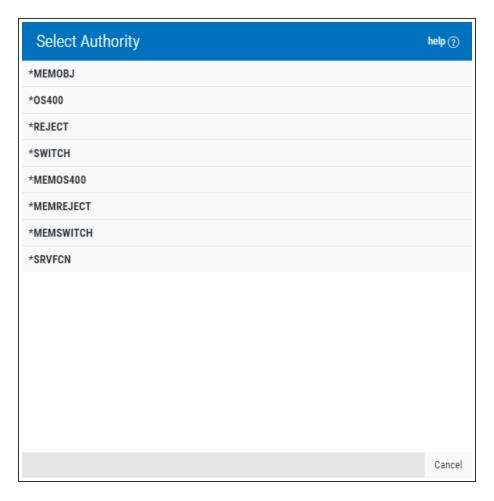
# Reference

The topics in this section include descriptions of Network Security's options and controls.

# **Browser Interface Reference**

The following topics list descriptions for all fields and options on Network Security's screens when using the web browser interface. To view green screen panels, see Green Screen Panel Descriptions.

## Authorities selection window



#### **How to Get There**

Choose **Lookup** next to the Authorities field when adding or changing a rule.

#### What it Does

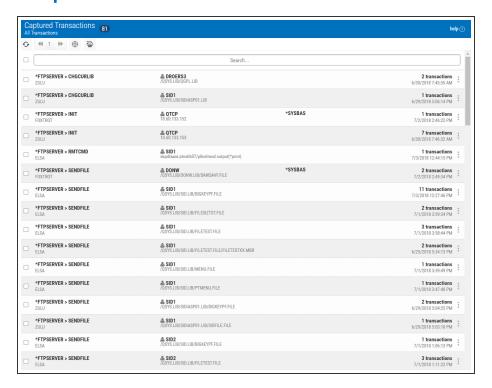
This window allows you to specify an authority when adding or changing a rule.

## **Options**

This list may include all or some of the following authorities, depending on the rule being defined.

- \*OS400 Network Security will use normal IBM i authority for the location. This is valid for both location and user.
- \*REJECT Network Security will reject requests for the specified location. This is valid for both location and user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user. To view the profile to be switched to view the expanded rule properties.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location. This is valid for both location and user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMUSR Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will check server user authority. This is only valid for location.
- \*USER Network Security will check server user authority.
  - **NOTE:** When \*USER is selected for a Location rule, the Audit, Message, and Capture flags are always set to Inherit (green screen = \*). Flags defer to the User Rule that applies to the transaction's incoming user profile.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SRVFCN Network Security will use the authority defined for the server/function. This is valid for both location and user.
- \*SAME Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

# Captured Transactions screen



#### **How to Get There**

Click the **Captured Transactions** tab on the navigation pane on the left side of the Insite window.

#### What it Does

The Captured Transactions screen allows you to view, sort, and delete Captured Transactions. The list is ordered chronologically by time stamp with the most recent transaction at the top of the list. Captured transactions are displayed in pages with 200 records to a page (or 100 when viewing with a mobile device).

Network Security caches captured transactions on the web server to facilitate speedy page viewing and navigation. The cache is created upon the initial page load, on refresh, or when a filter search is submitted (even if the search query is the same). The cache is used only for navigating through pages (i.e. when using the previous button, next button, or page select drop-down menu). The cache expires 10 minutes from creation. After cache expiration, a message appears indicating the cache has expired, after which the cache is recreated with the same page displayed (or the closest possible page).

See Capturing Transactions for details on how to capture a transaction.

## **Options**

Choose a transaction record to open the <u>View Transaction screen</u> where you can view and <u>memorize</u> a captured transaction.

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>.

#### Refresh



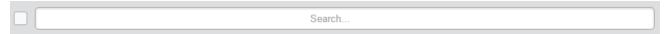
Choose this button to refresh from the server to display the latest captured transactions.

## **Page Scroll**



Select the left and right arrows to move forward and backward through pages.

### Search and filter box



Select the records you want to filter (choose the check box next to the Search field to Select All), then enter text in the Search box and press Enter to query captured transactions. Use the button to identify other Search Filter and Sorting criteria.

#### Memorize

Click next to a record and select **Memorize**.



This opens the New Memorized Transaction screen, which allows you to memorize the transaction for a user or location. See also Memorizing a Transaction.

## **Field Descriptions**

The Captured Transaction screen allows you to change some of the values for the captured transaction to fine tune it to your specification before you memorize it.

#### **Server > Function**



A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### **User/Location**



User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This is the User that initiated the transaction.

**NOTE:** The count includes only transactions that you've specified should be captured by Network Security. It does not reflect all network traffic and cannot be used for a general statistical analysis of network traffic.

## **ASP Group**

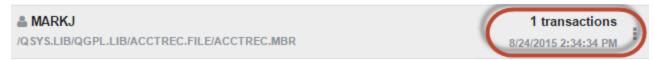


This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Possible values are:

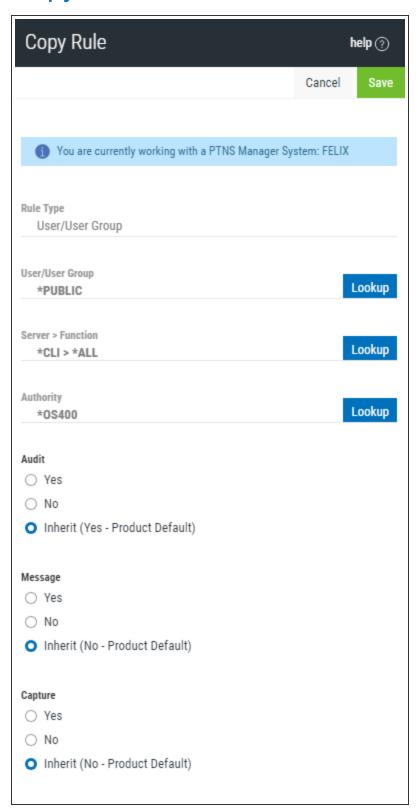
- \*SYSBAS The Object List entries refer to those objects in \*SYSBAS.
- \*ALL The Object List entries refer to those objects in any namespace.

#### Count



The number of times this exact transaction has been captured.

# Copy Rules screen



#### **How to Get There**

- 1. On the Navigation Pane, choose Rules.
- 2. Click the icon adjacent to the Rules you would like to copy and choose **Copy**.

#### What it Does

Use the Copy Rules screen to copy Rules to one or more managed systems.

**NOTE**: To add Endpoints to your Network Security configuration, see <u>Adding and Configuring Managed Systems</u>.

## **Options**

## Rule Type

Specifies whether this is a User or Location rule.

## **User/User Group; Select**

Choose this **Lookup** button to open the <u>Users selection window</u> where you can choose from a list of user profiles.

## Server > Function; Lookup

Choose this **Lookup** button to open the <u>Servers selection window</u> where you can choose from a list of servers and server functions. For a description of servers and functions, see <u>Appendix B: Servers and Functions</u>.

## **Authority**; Lookup

Choose this **Lookup** button to open the <u>Authorities selection window</u> where you can choose from a list of Authorities.

#### **Audit**

The audit property controls the type of requests Network Security will log.

Possible values are:

Yes Log all requests by the location/server/function.

**No** Only log authority failures for the location/server/function.

Inherit Inherit the value.

## Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Possible values are:

Yes A message is sent to the Network Security message queue.

**No** No message is sent.

Inherit Inherit the value.

## **Capture**

Capture transactions for Memorized Transaction Request.

Possible values are:

**Yes** Capture transactions. **No** Do not capture transactions. **Inherit** Inherit the value.

## Select which systems to save to

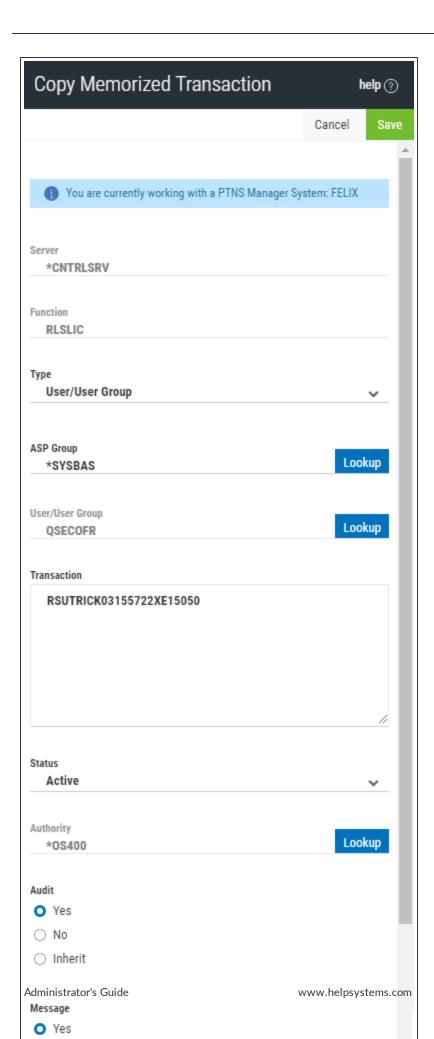
All managed systems are listed here. Check the systems you would like to save the Rule to. Or, check Select All to copy the Rule to all managed systems.

If the rule already exists on the system you are using (the Manager system), uncheck the checkbox for that system. Otherwise you will get an error stating that the rule already exists on that system.

#### Save · Cancel

Click **Save** to copy the Rule to the selected system(s). Choose **Cancel** to dismiss the screen without making changes.

# Copy Memorized Transaction screen



A screen similar to the one shown above is used to copy Rules, Object Lists, Memorized Transactions, and Pre-filters.

#### **How to Get There**

- 1. On the Navigation Pane, choose Memorized Transactions.
- 2. Click the icon adjacent to the IP Address Group you would like to copy and choose **Copy**.

#### What it Does

Use the Copy screen to copy Rules (User/Location/Object), Object Lists, Memorized Transactions, and Pre-filters to one or more Endpoints.

**NOTE**: To add Endpoints to your Network Security configuration, see <u>Adding and Configuring</u> Managed Systems.

## **Options**

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Type**

Specifies whether this is a User or Location rule.

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. Specify the name of the User for the memorized transaction. You can create a memorized transaction that applies to all Users by specifying the special value \*PUBLIC.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. Specify the Location for the memorized transaction. You can create a memorized transaction that applies to all Locations by specifying the special value \*ALL.

## **ASP Group; Lookup**

This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Click **Lookup** to open the Select ASP Group screen where you can choose from the following ASP Groups:

\*SYSBAS The Object List entries refer to those objects in \*SYSBAS.

\*ALL The Object List entries refer to those objects in any namespace.

## User/User Group; Select

Choose this **Lookup** button to open the <u>Users selection window</u> where you can choose from a list of user profiles.

#### **Transaction**

The transaction data.

#### **Status**

This is the status of the Memorized Transaction.

Possible values are:

#### \*ACTIVE

Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

#### \*INACTIVE

Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action;

\*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

Specify the Authority value for the new memorized transaction.

The list of valid values may include one or more of these values:

- \*USER Current user authority is used.
- \*0S400 Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS400 Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.

- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

#### Audit

The Audit flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel.

Specify one of these values for Audit:

- \*INHERIT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Logs all requests when this rule is enforced.
- \*NO Logs only access failures (rejects) for this rule.

## Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

- \*INHERIT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Sends a message when this rule is enforced.
- \*NO Does not send a message when this rule is enforced.

## Capture

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

Specify one of these values for Capture transactions:

- \*INHERIT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Captures the transaction when this rule is enforced.
- \*NO Does not capture the transaction when this rule is enforced.

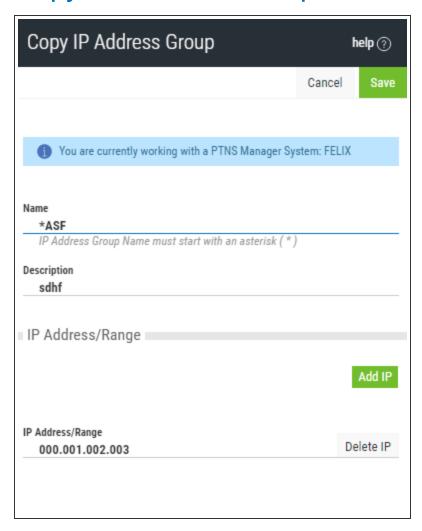
## Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the IP Address group to. Or, check Select All to copy the IP Address Group to all managed systems.

#### Save • Cancel

Click **Save** to copy the IP Address Group to the selected system(s). Choose **Cancel** to dismiss the screen without making changes.

# Copy IP Address Group screen



#### **How to Get There**

- 1. On the Navigation Pane, choose IP Address Groups.
- 2. Click the icon adjacent to the IP Address Group you would like to copy and choose **Copy**.

#### What it Does

Use the Copy IP Address Group screen to copy an IP Address Group to one or more managed systems.

**NOTE**: To add Endpoints to your Network Security configuration, see <u>Adding and Configuring Managed Systems</u>.

## **Options**

#### Name

The name of the IP Address Group.

## **Description**

The IP Address Group's description.

## **IP Address Group Range**

The range of IP addresses included in the group. Click **Add** IP to add an IP Address or IP Address range to the group. Click Delete to delete an existing IP address or IP address range.

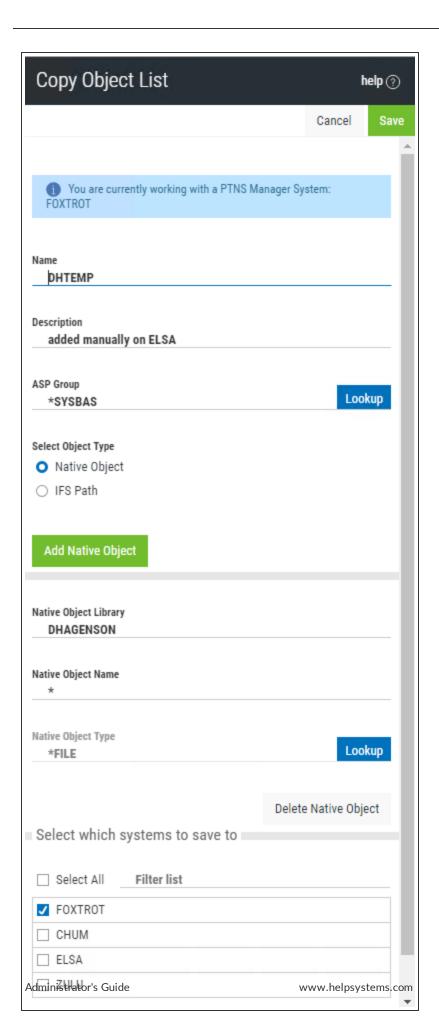
## Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the IP Address group to. Or, check Select All to copy the IP Address Group to all managed systems.

#### Save · Cancel

Click **Save** to copy the IP Address Group to the selected system(s). Choose **Cancel** to dismiss the screen without making changes.

# Copy Object List screen



#### **How to Get There**

- 1. On the Navigation Pane, choose Object Lists.
- 2. Click the icon adjacent to the Object List you would like to copy and choose **Copy**.

#### What it Does

Use the Copy Object List screen to copy an Object List to one or more managed systems.

**NOTE**: To add Endpoints to your Network Security configuration, see <u>Adding and Configuring Managed Systems</u>.

## **Options**

#### Name

The name of the Object List.

## **Description**

The Object List's description.

## **ASP Group; Lookup**

This is the name of an ASP group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Click **Lookup** to open the Select ASP Group screen where you can choose from the following ASP Groups:

\*SYSBAS The Object List entries refer to those objects in \*SYSBAS.

\*ALL The Object List entries refer to those objects in any namespace.

## **Select Object Type**

This is the type of Object List; Native Object, or IFS Path. The Object List type determines what type of entries can be added to an Object List. Object lists can hold <u>native object specifications</u> (library, object and type) or paths to IFS objects.

## Native Object Library, Name, and Type (for Native Object Lists only)

In the first two fields, enter the Library and Name of the object. Choose **Lookup** to open the <u>Types of Object Entries selection window</u> where you can select the Object type.

## IFS Path (for IFS Object Lists only)

Each slot includes the path of an IFS object in the Object List. This name is required to be a valid OS name.

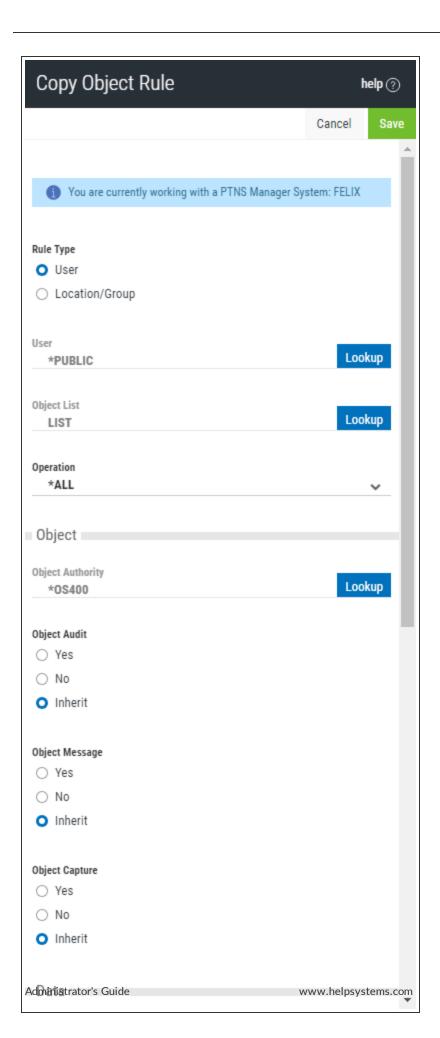
## Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the IP Address group to. Or, check Select All to copy the IP Address Group to all managed systems.

## Save • Cancel

Click **Save** to copy the IP Address Group to the selected system(s). Choose **Cancel** to dismiss the screen without making changes.

# Copy Object Rule screen



#### **How to Get There**

- 1. On the Navigation Pane, choose Object Rules.
- 2. Click the icon adjacent to the Object Rule you would like to copy and choose **Copy**.

#### What it Does

Use the Copy Object Rules screen to copy Object Rules to one or more managed systems.

**NOTE**: To add Endpoints to your Network Security configuration, see <u>Adding and Configuring Managed Systems</u>.

## **Options**

#### Cancel

Choose Cancel to return to the Object Rules screen without making changes.

#### Save

Choose Save to save the Rule and return to the Object Rules screen.

## Rule Type

Specifies whether this is a User or Location Object rule.

## **User**; Lookup

This field is available when creating a new Object Rule. Choose this **Lookup** button to open the <u>Users</u> <u>selection window</u> where you can choose from a list of user profiles.

## **Object List; Lookup**

This field is available when creating a new Object Rule. Choose this **Lookup** button to open the <u>Object Lists selection window</u> where you can choose from a list of Object Lists. Object Lists can be added and changed using the <u>Object Lists screen</u>.

## **Operation**

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non-modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

## **Object Authority; Lookup**

Choose this **Lookup** button to open the <u>Authorities selection window</u> where you can choose from a list of Authorities.

## **Object Audit**

This audit property controls the type of requests Network Security will log. This Audit Transaction flag pertains to Object Accesses.

Possible values are:

Yes - Log all requests by the location/server/function.

No - Only log authority failures for the location/server/function.

Inherit - Inherit the value.

## **Object Message**

The message property entry will determine if Network Security sends a message to the Network Security message queue.

This Send Messages flag pertains to Object Accesses.

Yes - A message is sent to the Network Security message queue.

No - No message is sent.

Inherit - Inherit the value.

## **Object Capture**

Capture transactions for Memorized Transaction Request.

This Capture Transactions flag pertains to Data Accesses.

Yes - Capture transactions.

No - Do not capture transactions.

Inherit - Inherit the value.

#### **Data Audit**

This audit property controls the type of requests Network Security will log. This Audit Transaction flag pertains to Data Accesses.

Possible values are:

Yes - Log all requests by the location/server/function.

No - Only log authority failures for the location/server/function.

Inherit - Inherit the value.

## **Data Message**

The message property entry will determine if Network Security sends a message to the Network Security message queue.

This Send Messages flag pertains to Data Accesses.

Yes - A message is sent to the Network Security message queue.

No - No message is sent.

Inherit - Inherit the value.

## **Data Capture**

Capture transactions for Memorized Transaction Request.

This Capture Transactions flag pertains to Data Accesses

Yes - Capture transactions.

No - Do not capture transactions.

**Inherit** - Inherit the value.

#### **Active**

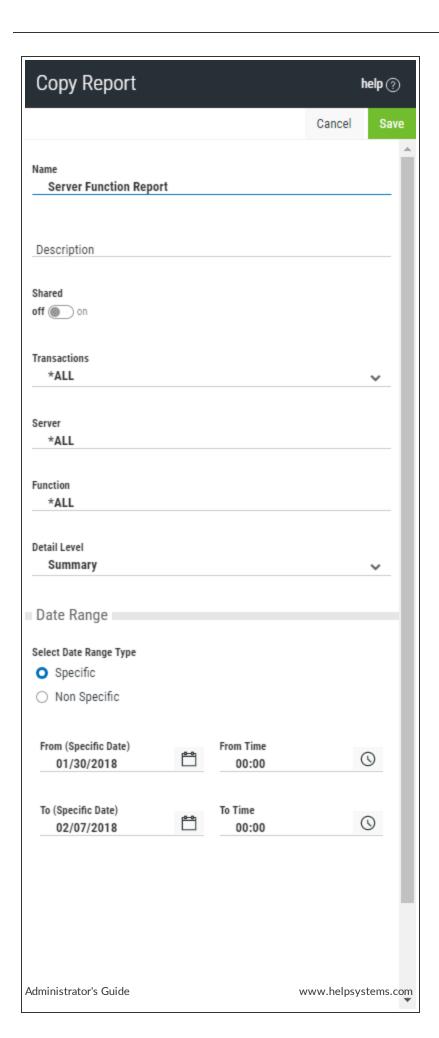
Choose Yes to activate the rule. Choose No to make the rule inactive.

#### Add Server > Function

Click this button to open the Select Server/Function screen, where you can choose additional Servers/Functions.

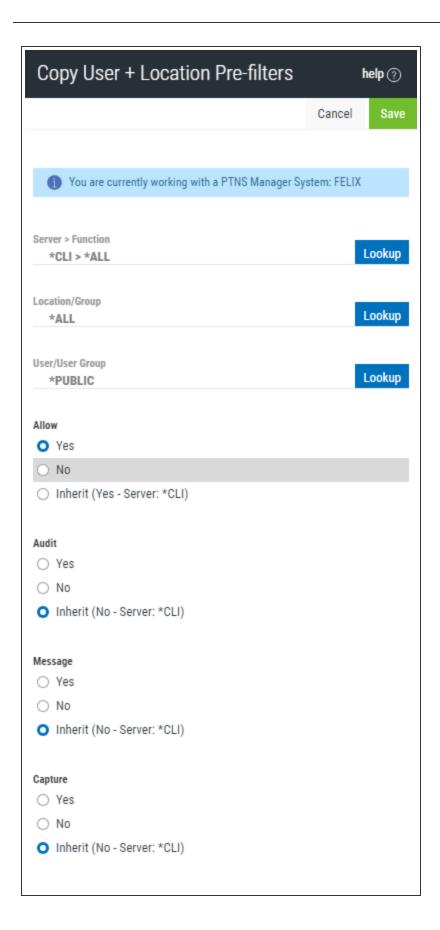
# Copy Report screen

Use this screen to confirm the settings of the report you want to copy.



For a description of the Report options, see the New/Edit Report screen.

# Copy User+Location Pre-filter screen



#### **How to Get There**

- 1. On the Navigation Pane, choose User+Location Pre-filters.
- 2. Click the icon adjacent to the Pre-filter you would like to copy and choose **Copy**.

#### What it Does

Use the Copy User+Location Pre-filter screen to copy User+Location Pre-filters to one or more managed systems.

## **Options**

#### **Server > Function**

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## Location/Group

Select the <u>location</u> of the Pre-filter. The location is the name of the location for which authority is being specified. IP Address Groups must be established prior to their entry on this screen (see <u>IP Address Groups</u>).

#### User

Select the User. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a Pre-filter, means that the Pre-filter applies to any User lacking a specific Pre-filter.

#### **Allow**

The setting for whether transactions matching this record should be allowed to continue to be processed by Network Security. Select Yes to indicate that Network Security rules should evaluate this transaction, which may or may not cause it to be rejected. Select No to reject the transaction. Select Inherit to inherit the value from the System (\*ALL) Pre-filter.

#### Audit

The Audit flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. Specify one of these values for Audit:

- \*INHERIT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Logs all requests when this rule is enforced.
- \*NO Logs only access failures (rejects) for this rule.

#### Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

- \*INHERIT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Sends a message when this rule is enforced.
- \*NO Does not send a message when this rule is enforced.

## **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. Specify one of these values for Capture transactions:

- \*INHERIT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Captures the transaction when this rule is enforced.
- \*NO Does not capture the transaction when this rule is enforced.

## Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the Pre-filter to. Or, check Select All to copy the Pre-filter to all managed systems.

#### Save · Cancel

Click **Save** to copy the Pre-filter to the selected system(s). Choose **Cancel** to dismiss the screen without making changes.

# Appendix N: Network Security Dashboard Asset Descriptions

Assets represent the type of Network Security data for which you can generate a visual representation within an Insite Dashboard, via a widget.

While defining <u>Dashboard Widgets</u> in Insite, once a Data Source has been selected, you can then select the asset that the widget will represent. Only the assets from the selected Data Source are available. If the required asset is not displayed, it must first be created in the Data Source before it is available within Insite. See <u>Assets</u> in the Insite help for more details.

## **Network Security Assets**

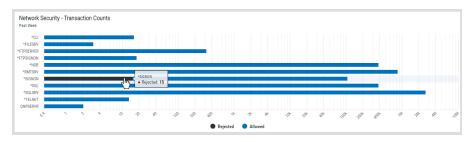
## **Hourly Stats**

This widget displays a timeline of recent activity can be displayed for any number of servers and shows all activity that has occurred on active servers in the past 24-hours.

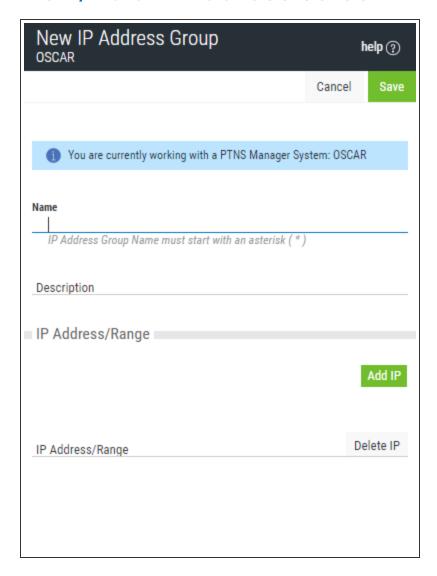


## **Transaction Counts**

The Transaction Count asset lists the number of accepted and rejected transactions for each server over a specified time range.



## New/Edit IP Address screen



#### **How to Get There**

Choose the **IP Address Groups** tab on the navigation pane on the left side of the Insite window, then clicktap **Add**. Or, click an existing IP Address Group to edit it.

#### What it Does

The New IP Address screen is used to associate multiple locations to one (group) identifier. The Edit IP Address screen is used to edit an existing (group) identifier.

## **Options**

## Delete (edit only)

When editing an existing IP Address Group, choose **Delete** to delete the Group.

#### Save

Choose Save to save the IP Address Group and return to the IP Address Groups screen.

#### Cancel

Choose Cancel to return to the IP Address Groups screen without making changes.

## Field descriptions

#### Name

This is the short name of the IP Address Group (max 14 characters).

## **Description**

The description of the Address Group. It is a required entry.

## IP Address/Range

An IP Address Group can include one IP address or a range of IP addresses. To delete values, click the 'X' icon to the right of each input. IP Address ranges can be separated by a dash or a colon.

### **Examples:**

Single: 192.168.0.1

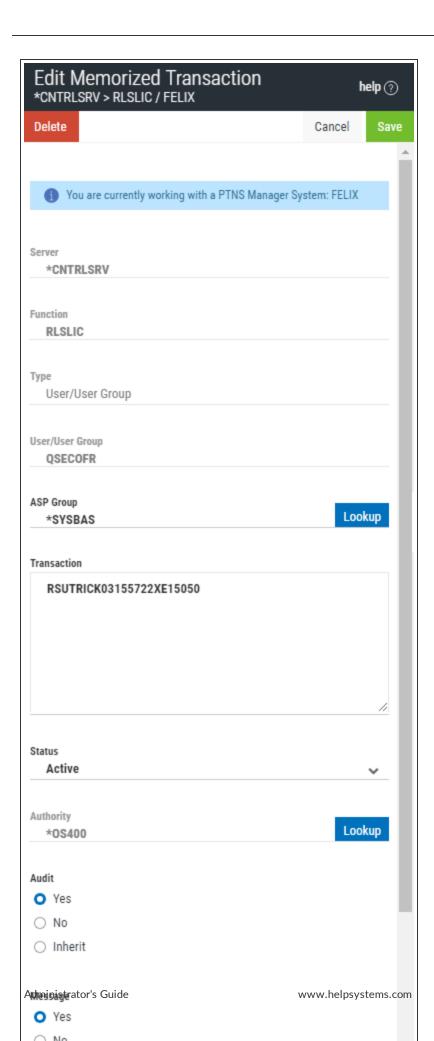
Range 192.168.0.1-192.168.0.255 or 192.168.0.1:192.168.0.255

**NOTE:** If the IP address group has IP address groupings and has been applied to a location rule, you must delete all rules and groupings before you can delete the address group.

#### Add values

Choose **Add IP** to display a text field where additional IP addresses or IP address ranges can be added to the group.

# New/Edit Memorized Transaction screen



#### **How to Get There**

Click the **Memorized Transactions** tab on the navigation pane on the left side of the Network Security window. In the Memorized Transaction screen, click a memorized transaction.

#### What it Does

The Edit Memorized Transaction screen allows you to make changes to some of the attributes of a Memorized Transaction. Those attributes that are input capable can be changed.

## **Options**

#### Save

Choose Save to save the Memorized Transaction and return to the Memorized Transactions screen.

#### Cancel

Choose Cancel to return to the Memorized Transactions screen without making changes.

## **Field Descriptions**

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points. This field cannot be changed.

#### **Function**

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE. This field cannot be changed.

## Type

Specifies whether this is a User or Location rule.

## **User/Location**

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This displays the User to which this Memorized Transaction applies. If blank, then this is for a specific Location. If the value is \*PUBLIC, the transaction applies to all users. This field cannot be changed.

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. This displays the Location to which this Memorized Transaction applies. If blank, then this is for a specific User. If the value is \*ALL, the transaction applies to all locations. This field cannot be changed.

## ASP Group; Lookup

This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Click **Lookup** to open the Select ASP Group screen where you can choose from the following ASP Groups:

\*SYSBAS The Object List entries refer to those objects in \*SYSBAS.

\*ALL The Object List entries refer to those objects in any namespace.

#### **Transaction**

The Memorized Transaction against which incoming transactions are tested. If a match is found, then this rule will be invoked. Undisplayable characters in the transaction data are replaced by the mid—dot character (-).

You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string. When you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded.

#### **Status**

This is the status of the Memorized Transaction.

If this box is checked, the memorized transaction is "Active," and Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

If this box is not checked, the memorized transaction is "Inactive," and Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

Choose **Lookup** to specify the new Authority value for the rule. The list of valid values may include one or more of these values:

\*OS400 Network Security will use normal operating system authority for the user.

\*REJECT Network Security will reject requests.

\*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.

#### Audit

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. Specify one of these values for Audit transactions:

Yes Logs all requests when this rule is enforced.

No Logs only access failures (rejects) for this rule.

**Inherit** Uses the value found in the rule above this one in the rule hierarchy.

## Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

Yes Sends a message when this rule is enforced.

No Does not send a message when this rule is enforced.

**Inherit** Uses the value found in the rule above this one in the rule hierarchy.

## **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. Specify one of these values for Captured transactions:

Yes Captures the transaction when this rule is enforced.

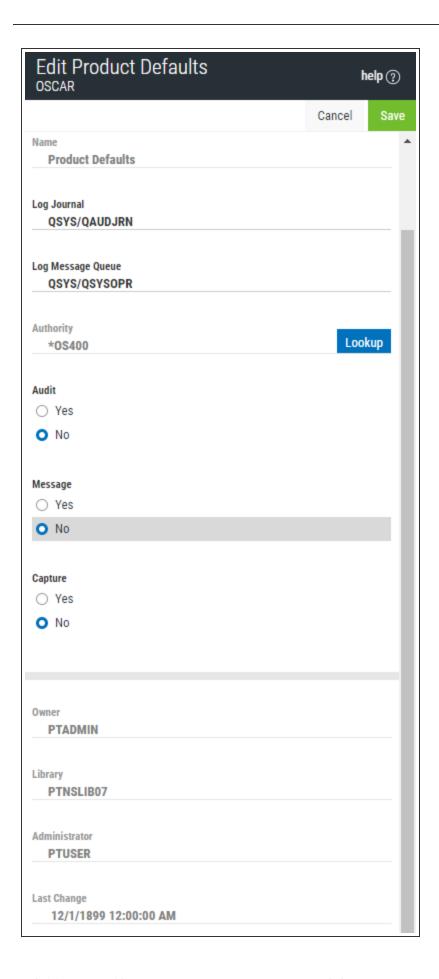
No Does not capture the transaction when this rule is enforced.

**Inherit** Uses the value found in the rule above this one in the rule hierarchy.

## Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the memorized transaction to. Or, check Select All to copy the memorized transaction to all managed systems.

# Edit Product Defaults screen



#### How to Get There

Click the **Product Configuration** tab on the navigation pane on the left side of the Insite window. On the **Product Configuration** screen, select **System Values (Product Defaults)**.

#### What it Does

The Edit System Values screen allows you to maintain product defaults for Powertech Network Security. The system values for Log Journal and Log Message Queue can be maintained any time.

On the green screen interface, these values can be found at Work with System Values.

## **Field Descriptions**

The following describes the parameters and allowable values for each field on the Work with System Values screen.

#### Name

Indicates you are viewing Product Defaults.

## Log Journal

This is the library/name of the journal that Powertech Network Security will log information. You can control the level of detail with the audit flag when you specify location and user authorities. Most installations will specify QSYS/QUADJRN.

The Log Journal Library specifies the library where the log journal is located.

#### Notes:

- 1. You also can specify \*NONE in the Log Journal Name field. However, if a journal name of \*NONE is found in the Network Security system values, network transactions are not journaled.
- 2. Some versions of Powertech Compliance Monitor expect Network Security audit entries to be written to QSYS/QAUDJRN. Contact Powertech technical support if you need further information concerning log journal entries.

## Log Message Queue

This is the library/name of the message queue, where Powertech Network Security sends messages. Messages are sent to this queue when specified on location and user authority records. Most installations specify QSYS/QSYSOPR.

The log message queue library is the library where the log message queue is located.

## Authority

The authority assigned if no other authority is found for a server or function. Possible values are:

- \*OS400 Network Security allows the transaction without taking any action
- \*REJECT Network Security rejects requests for the transaction
- \*SWITCH Network Security switches the job to run as the user profile specified in the Switch field.

#### **Audit**

Controls the type of requests Network Security will log. Network Security uses this value if no other value is entered for a server or function. Possible values are:

**Yes** Log All requests **No** Only log authority failures

#### Message

Determines if Network Security sends a message to the log message queue. Network Security uses this value if no other value is entered for a server or function. Possible values are:

**Yes** A message is sent to the specified queue **No** No message is sent

## Capture

Capture transactions for Memorized Transaction Request (MTR). Network Security uses this value if no other value is entered for a server or function. Possible values are:

**Yes** Capture transactions **No** Do not capture transactions

#### **Owner**

The product owner is the name of the user profile that owns all data objects and exit programs in the Powertech Network Security product.

## Library

The product library is the library that contains all of the Powertech Network Security objects.

#### Administrator

The product administrator is the name of the user profile that owns administrative program objects in the Powertech Network Security product. We recommend granting administrators \*USE authority to the PTADMIN authorization list using the following command, where myuser is the administrator profile to add.

ADDAUTLE AUTL(PTADMIN) USER(myuser) AUT(\*USE)

**NOTE**: To access reporting functions, administrators must be authorized to the PTNSRPT authorization list.

For more information, see Granting Reporting Authority.

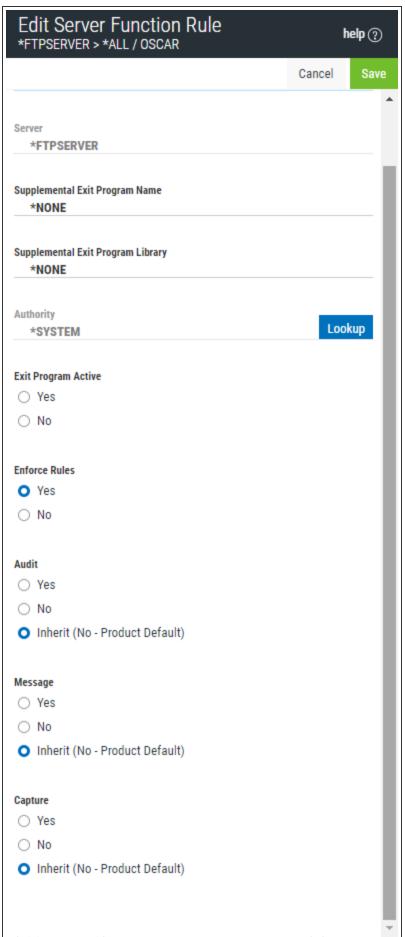
Once authorized to the PTADMIN and PTNSRPT authorization lists, the administrator has all the authorities needed to administer Powertech Network Security. Product administrators have \*CHANGE authority to Network Security data and \*USE authority to Network Security programs.

**NOTE**: Users set to the \*SECOFR User Class do not need to be members of the PTADMIN or PTNSRPT authorizations lists to use Network Security or Network Security Reports.

## Last Change User/Date/Time

The user profile that changed the Network Security system values and the date and time the changes were made.

# Edit Server Function Rule screen



Administrator's Guide www.helpsystems.com page: 210

### **How to Get There**

Click the **Product Configuration** tab on the navigation pane on the left side of the Insite window. In the <u>Product Configuration window</u>, click a server. This screen opens with \*ALL appended to the title, indicating the settings apply to all server functions.

Alternatively, in the Product Configuration screen, click to display a server's functions, then click a function to display settings specific to that function.

#### What it Does

The Edit Server Function Rule screen is used to maintain the server function's properties. Server function options provide processing control to Powertech's exit programs. They also act as defaults for location, user, and memorized transaction request level values.

## **Field Descriptions**

#### Server

The server ID is the name of the server that options are being specified for.

### **Function**

The function is the name of the function for a server that options are being specified for.

# **Function Description**

The description of the server function whose options are being displayed.

# **Options**

# **Supplemental Exit Program Name and Library**

The name and library of the supplemental exit program for the selected server. Supplemental exit programs are executed after Network Security has processed the server transaction.

The default value for both the Supplemental Exit Program Name and the Supplemental Exit Program Library field is \*NONE.

# **Authority**

The authority assigned to the server function. The value entered here is used when \*SRVFCN authority is placed on a location, user, or memorized transaction request.

Possible values are:

- \*SERVER Use the authority defined for the function's server, which you can display and change by displaying this screen for \*ALL functions of the selected server. This value can only be selected when the screen is displayed for a specific function of the selected server.
- \*SYSTEM Use the authority defined in Network Security <u>Product Defaults</u>. This value can only be selected when displaying the settings for \*ALL functions of the selected server.
- \*OS400 Use normal IBM i authority for the server.
- \*REJECT Reject all requests to the server.
- \*SWITCH Use the authority of the switch profile for the server. A switch profile entry is required.
- \*MEMOS400 Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal IBM i authority for the server.

- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the server.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the server. A switch profile entry is required.
- \*MEMOBJ Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will check the transaction against the Object Rules.

**NOTE**: If the server has not been activated, you will not be able to save with Enforce Rules checked. See Activating Powertech Network Security.

## **Exit Program Active**

Indicates whether the Powertech Network Security exit program is activated for this server. This is a display-only setting. If you wish to deactivate the exit program for a server, refer to the help on Activating Powertech Network Security.

This option is only displayed if the screen is displayed for \*ALL functions of the selected server. If a specific function is selected, this option is not displayed.

#### **Enforce Rules**

Check this box to enforce Network Security rules defined for this server. This option is only displayed if the screen is displayed for \*ALL functions of the selected server. If a specific function is selected, this option is not displayed.

#### **Audit**

Controls the type of requests Network Security will log to the server/for the function. Possible values are:

- ✓ Log all requests to the server for the server/function.
- X Log only authority failures for the server/function.
- ✓ or ✗ (inherit) Product defaults.

# Message

Specifies if Network Security sends a message to the message queue specified in Powertech's <u>System Values</u>. Possible values are:

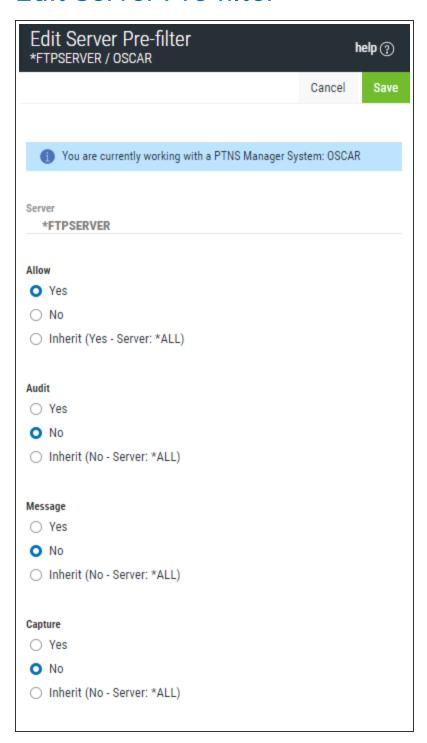
- A message is sent to the specified queue.
- 🗶 No message is sent.
- or X (inherit) Product defaults.

# **Capture Transactions**

Capture transactions for Memorized Transaction Requests (MTRs). Possible values are:

- Capture transactions.
- X Do not capture transactions.
- ✓ or ✗ (inherit) Product Defaults.

# **Edit Server Pre-filter**



## **How to Get There**

Click the **Server Pre-filters** tab on the navigation pane on the left side of the Network Security window. Click a Server Pre-filter.

### What it Does

Use this screen to edit a Server Pre-filter.

# **Options**

### **Allow**

The setting for whether transactions matching this record should be allowed to continue to be processed by Network Security. Valid settings are

**Yes** Network Security rules should evaluate this transaction, which may or may not cause it to be rejected,

**No** Reject the transaction, and Inherit — inherit the value from the System (\*ALL) Pre-filter.

## **Audit**

The audit property controls the type of requests Network Security will log. Possible values are:

- ✓ Log all requests by the location/server/function.
- X Only log authority failures for the location/server/function.
- ✓ or 🗶 (inherit) Uses the value found in the rule above this one in the rule hierarchy.

## Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

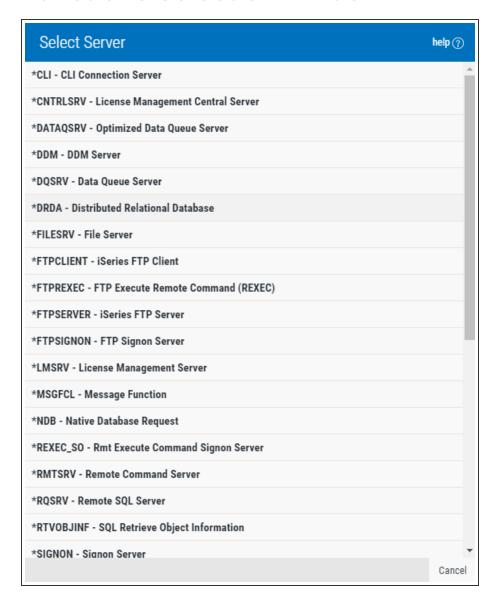
- ✓ A message is sent to the Network Security message queue.
- X No message is sent.
- ✓ or 🗶 (inherit) Uses the value found in the rule above this one in the rule hierarchy.

# Capture

Capture transactions for Memorized Transaction Request (MTR).

- Capture transactions.
- X Do not capture transactions.
- ✓ or X (inherit) Uses the value found in the rule above this one in the rule hierarchy.

# **Functions Selection window**



## **How to Get There**

This window is available by choosing a server in the Servers selection window.

#### What it Does

This window allows you to select a server function when adding or changing a Rule or User+Location Pre-filter.

# IP Address Groups screen



## **How to Get There**

Click the IP Address Groups tab on the navigation pane on the left side of the Network Security window.

## **What it Does**

The IP Address Groups panel is used when a number of IP addresses need the same group filter rules applied. You can use this panel to add or change IP Address groups.

## **Options**

Selection, sorting, filtering, deleting, and navigation features on this panel are described in <u>Using the Web Browser Interface</u>.

#### Add

Choose **Add** to open the New IP Address panel where you can add a new IP Address Group. See New IP Address panel.

# **Column Descriptions**

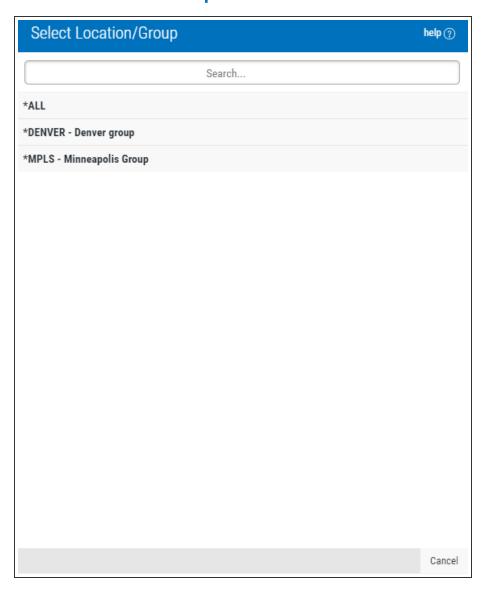
# **Group Name**

The name of an IP Address Group. It must begin with special character "\*".

# Description

The description of the Address Group as defined in the New/Edit IP Address panel.

# Location/Group Selection window



## **How to Get There**

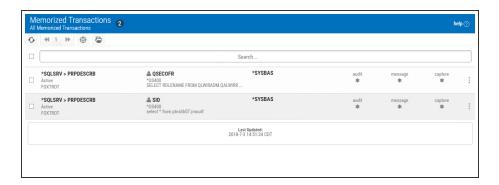
Choose Lookup next to the Location/Group field when adding or changing location rules.

## **What it Does**

This window allows you to select an IP address group.

This list includes all existing IP Address Groups. IP Address Groups are defined in the <u>IP Address Groups</u> screen.

# **Memorized Transactions screen**



## **How to Get There**

Click the Memorized Transactions tab on the navigation pane on the left side of the Insite window.

#### What it Does

The Memorized Transactions screen enables you to maintain memorized transactions. To create a Memorized Transaction, you can select the **Memorize** button on the View Transaction screen.

# **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>.

Click a memorized transaction to open the <u>Edit Memorized Transaction screen</u> where you can view and edit a memorized transaction.

# **Field Descriptions**

### **Server > Function**

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### **Status**

This is the status of the Memorized Transaction, listed directly under the Server and Function. Possible values are:

\*ACTIVE Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

\*INACTIVE Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

## **User /Location**

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This displays the User to which this Memorized Transaction applies. If blank, then this is for a specific Location. If the value is \*PUBLIC, the transaction applies to all users.

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. This displays the Location to which this Memorized Transaction applies. If blank, then this is for a specific User. If the value is \*ALL, the transaction applies to all Users.

## ASP Group

This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Possible values are:

- \*SYSBAS The Object List entries refer to those objects in \*SYSBAS.
- \*ALL The Object List entries refer to those objects in any namespace.

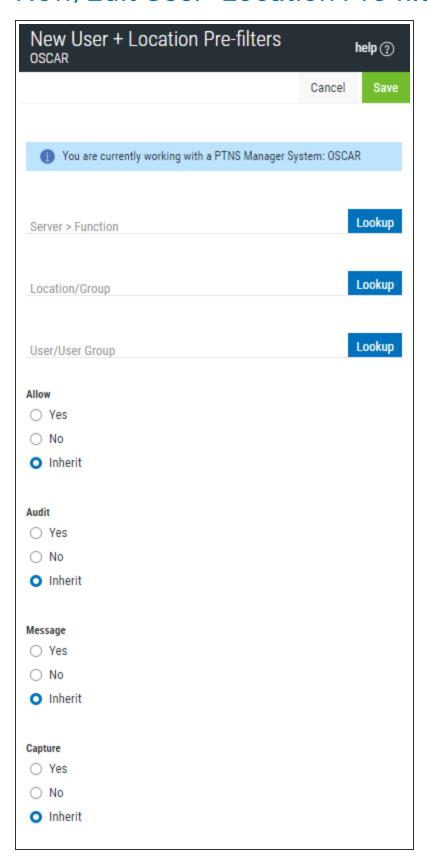
# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This field may hold one of these values:

- \*USER Current user authority is used.
- \*OS400 Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS400 Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.
- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.

- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

# New/Edit User+Location Pre-filter screen



## **How to Get There**

Choose the **User+Location Pre-filters** on the navigation pane on the left side of the Insite window. Choose **Add** to add a new Pre-filter. Or, click an existing Pre-filter to edit it.

#### What it Does

The New User+Location Pre-filter screen is used to add new User+Location Pre-filters. The Edit User+Location Pre-filter screen includes identical options and is used to edit an existing User+Location Pre-filter.

# **Options**

# Delete (edit only)

Choose Delete to delete the Pre-filter.

# **Server > Function; Lookup**

Choose this **Lookup** button to open the <u>Servers selection window</u> where you can choose from a list of servers and server functions. For a description of servers and functions, see <u>Appendix B: Servers and Functions</u>.

# Location/Group; Lookup

Choose this **Lookup** button to open the <u>Location/Group selection window</u> where you can choose from a list of IP Address Groups.

Unlike most fields in Network Security, the Location field can be populated by manually typing into the field. You type an IP address, SNA device, or IP Address group manually. The following lists the syntax criteria for valid IP address values and other devices:

- Must have 4 nodes separated by periods.
- Each node can have a value between 0 and 255.
- No Alpha characters allowed in the IP address other than "." And "\*"
- Therefore The IP address cannot be more than 15 chars long including the three "."
- The wild card character "\*" is allowed as the last character of an address, in which case the IP address can have fewer than 4 nodes. If using the "\*" wild card then the node cannot have more than 3 characters.
  - Valid IP addresses have to follow the following format where n=number: n.n.n.n n can be 1 digit, 2 digit or 3 digit numbers.
    - 192.168.1.1
    - 192.168.001.001
    - 192.000.000.000
  - Invalid IP addresses
    - 923.4.1.1 (1923 > 255)
    - 192.0000.1.1 (0000 is a 4 digit number)
    - 192.168.R.3 (R is an invalid character for an IP address.)

- Valid IP addresses with wildcard
  - 192.\*
  - 19\*
  - 192.168.\*
  - 192.168.1.\*
  - 192.168.1.00\*
- Invalid IP addresses with wildcard
  - 192\* (too many characters in the first node.
  - 192.\*.0.0 (No characters allowed after the "\*".
  - 192.\*.\* (No characters allowed after the first "\*".
- Others
  - \*192.168.1.1 (Would be validated server side as a IP Address group name because the first character is a "\*")
  - Nameofdevice (Would be validated server side as a SNA device name because first character is alpha)

# **User/User Group; Lookup**

Choose this **Lookup** button to open the <u>Users selection window</u> where you can choose from a list of Users.

## **Allow**

The setting for whether transactions matching this record should be allowed to continue to be processed by Network Security. Valid settings are Yes - Network Security rules should evaluate this transaction, which may or may not cause it to be rejected, No - reject the transaction, and Inherit - inherit the value from the System (\*ALL) Pre-filter.

#### Audit

The audit property controls the type of requests Network Security will log. Possible values are:

**Yes** Log all requests by the location/server/function.

No Only log authority failures for the location/server/function.

**Inherit** Inherit the value.

# Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Yes A message is sent to the Network Security message queue.

No No message is sent.

Inherit Inherit the value.

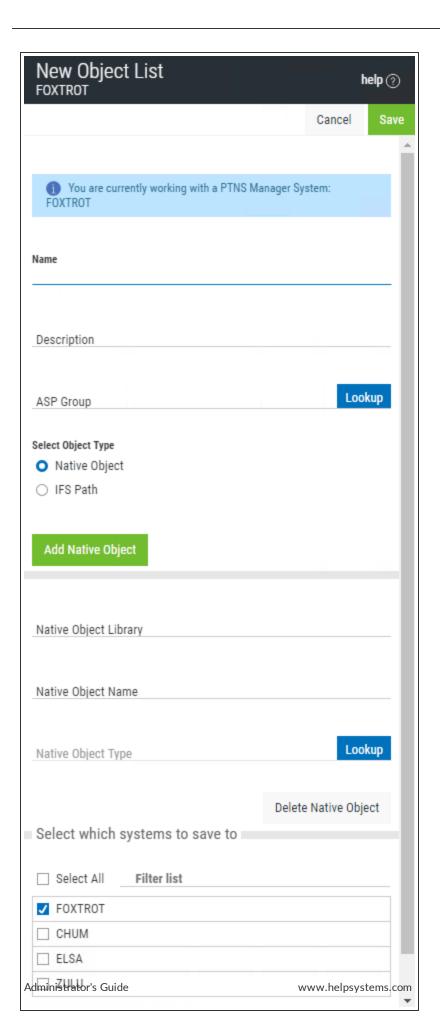
# Capture

Capture transactions for Memorized Transaction Request (MTR).

Yes Capture transactions.

**No** Do not capture transactions. **Inherit** Inherit the value.

# New/Edit Object List



## **How to Get There**

Choose the **Object Lists** tab on the navigation pane on the left side of the Network Security window, then choose **Add**.

## What it Does

The New Native Object List screen is used to define a new Native Object List. The Edit Native Object List screen is used to edit an existing Native Object List.

## **Options**

## Cancel

Choose Cancel to return to the Object Lists screen without making changes.

#### Save

Choose Save to save the Object list

# **Field Descriptions**

## Name

The name of the Object List.

# **Description**

The Object List's description.

# **ASP Group; Lookup**

This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Click **Lookup** to open the Select ASP Group screen where you can choose from the following ASP Groups:

\*SYSBAS The Object List entries refer to those objects in \*SYSBAS.

\*ALL The Object List entries refer to those objects in any namespace.

# **Select Object Type**

This is the type of Object List; Native Object, or IFS Path. The Object List type determines what type of entries can be added to an Object List. Object lists can hold <u>native object specifications</u> (library, object and type) or <u>paths to IFS objects</u>.

# Native Object Library, Name, and Type (for Native Object Lists only)

In the first two fields, enter the Library and Name of the object. Choose **Lookup** to open the <u>Types of Object Entries selection window</u> where you can select the Object type.

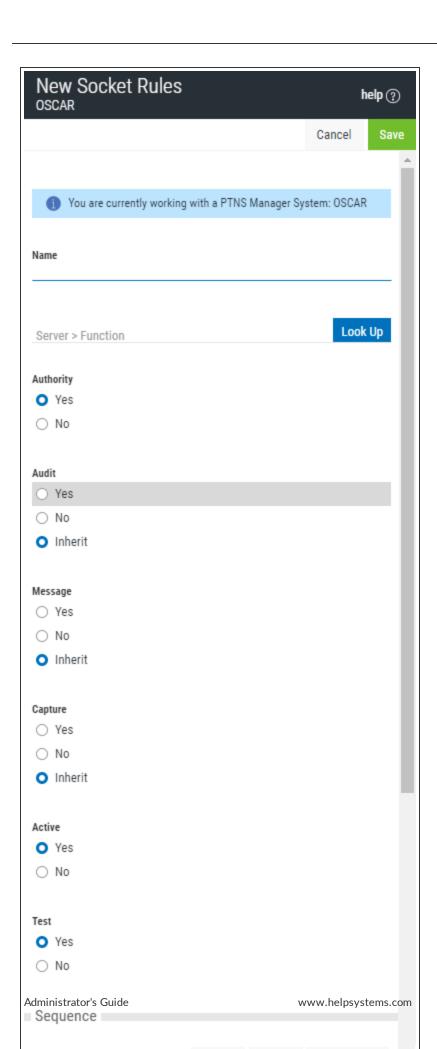
# IFS Path (for IFS Object Lists only)

Each slot includes the path of an IFS object in the Object List. This name is required to be a valid OS name.

# Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the Object List to. Or, check Select All to copy the Object List to all managed systems.

# New/Edit Socket Rule screen



## **How to Get There**

- 1. On the Navigation Pane, choose Socket Rules.
- 2. Click **Add**. Or, to edit an existing Socket Rule, click the icon adjacent to the Socket Rule you would like to change and choose **Edit**.

## What it Does

The New Socket Rule screen is used to add new Socket Rules. The Edit Socket Rule screen is used to edit Socket Rules.

# **Options**

#### Name

The name of the Socket Rule.

## **Server > Function; Lookup**

Choose **Lookup** for a new rule to open the <u>Servers selection window</u> where you can choose from the socket Accept (QSOACCEPT), Connect (QSOCONNECT), and Listen (QSOLISTEN) servers. For a description of servers and functions, see <u>Appendix B</u>: <u>Servers and Functions</u>.

# **Authority**; Lookup

Y Network Security will allow requests when this rule is enforced. N Network Security will reject requests when this rule is enforced.

## **Audit**

The audit property controls the type of requests Network Security will log.

Possible values are:

**Yes** Log all requests by the location/server/function. **No** Only log authority failures for the location/server/function. **Inherit** Inherit the value.

# Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Possible values are:

**Yes** A message is sent to the Network Security message queue. **No** No message is sent.

**Inherit** Inherit the value.

# Capture

Capture transactions for Memorized Transaction Request.

Possible values are:

Yes Capture transactions.

**No** Do not capture transactions. **Inherit** Inherit the value.

## **Active**

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

**Yes** Network Security will evaluate the rule. **No** Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

## **Test**

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

The valid values are:

**Yes** The Socket Rule test facility will evaluate the rule. **No** The Socket Rule test facility will not evaluate the rule.

## Sequence

#### Set First • Set Last • Go To Current

This list shows the sequence used to determine the order in which this Socket Rule will be evaluated.

For example, if there are three Socket Rules for a specific Server/Function (e.g. QSOACCEPT), then the Socket Rule at the top of this list will be used.

Click Set First to move the current Socket Rule to the top of the list.

Click **Set Last** to move the current Socket Rule to the bottom of the list.

Click **Go To Current** to automatically scroll the list so that the currently selected Socket Rule is visible.

## **Conditions**

Use this section to view or change Socket Rule conditions.

# [Conditions list]

The sequence number of a Socket Condition determines the order in which it is combined with other Socket Conditions for a Socket Rule.

#### Connector

The connector determines how a Socket Condition relates to other Socket Conditions for a Socket Rule.

Socket Conditions with a higher order of precedence are evaluated before ones with a lower order of precedence.

The connector for the Socket Condition with the lowest sequence number is ignored.

The valid values are:

**OR** This Socket Condition is OR'ed with others. An OR has the lowest order of precedence (evaluated last).

**AND** This Socket Condition is AND'ed with others. An AND has a higher order of precedence than an OR, but lower than an ORAND.

**ORAND** This Socket Condition is OR'ed with others. An ORAND has the highest order of precedence (evaluated first).

# [Field]

This is the name of the field to be evaluated at run time.

The valid values are dependent on the Socket Rule.

## Valid values for the QSOLISTEN server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

LCL\_USR The user profile associated with the job issuing the listen.

LCL\_USR\_GRP A User Group containing the user profile associated with the job issuing the listen.

### Valid values for the QSOCONNECT server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

**RMT\_PORT** The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security IP address groups.

**LCL USR** The user profile associated with the job issuing the connect.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the connect.

## Valid values for the QSOACCEPT server are:

LCL IN PORT The local incoming port number; an integer between 1 and 65535.

LCL\_BND\_PORT The local bound port number; an integer between 1 and 65535.

**RMT\_PORT** The remote port number; an integer between 1 and 65535.

RMT\_ADDR The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security IP address groups.

**LCL USR** The user profile associated with the job issuing the accept.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the accept.

# [Operator]

The test used for the value of the field and the criteria to evaluate this Socket Condition.

- = The value of the field is equal to the criteria, or, if the criteria can be a list, the value of the field is found in that list.
- <> The value of the field is not equal to the criteria, or, if the criteria can be a list, the value of the field is not found in that list.
- > The value of the field is greater than the criteria.
- < The value of the field is less than the criteria.
- >= The value of the field is greater than or equal to the criteria.

<= The value of the field is less than or equal to the criteria.

**ALWAYS** This will cause the condition to always match. It is used on the Socket Condition of the default Socket Rule, and may be used on non-default Socket Rules. If present, it must be the only Socket Condition for a Socket Rule.

# [Criteria]

This is the value against which the value of the selected field will be compared at run time.

The valid values are dependent on the selected Field.

## Delete · Add · Edit · OK

Select a condition and press **Delete** to remove the condition.

Select Add to add a new condition.

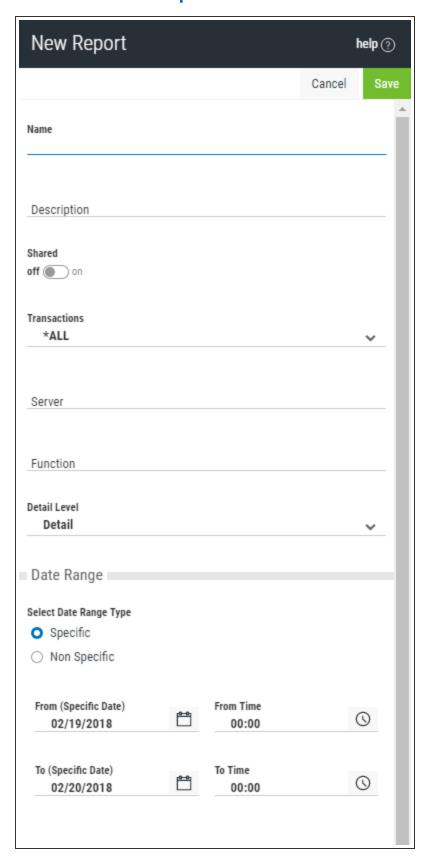
Select **Edit** to change a condition.

Select **OK** to confirm a condition.

## Save · Cancel

Click **Save** to save the Socket Rule to the database. Choose **Cancel** to dismiss the screen without making changes.

# New/Edit Report screen



## **How to Get There**

In the Navigation Pane, click **Reports**, then click **Add**. The <u>Add Report screen</u> appears. Select the kind of report you would like to create.

Or, to edit an existing report, on the Reports screen, click the report you would like to edit.

#### What it Does

Use the New Report screen to define a new report. Use the Edit Report screen to edit an existing report.

## **Options**

Intrusion Detection - User Report

## Name

The name of the report.

# **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

## **Transactions**

- \*ALL This option produces a report of all current transactions for the User.
- \*ALLOW This option produces a report of all current allowed transactions for the User.
- \*REJECT This option produces a report of all current rejected transactions for the User.

## User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

# **Date Range**

- **Specific** Choose this option if you want to specify a specific To and From date for the range of the report.
- **Non-specific** Choose this option if you want to specify a relative To and From date for the range of the report (for example, transactions for the last two days).

# From (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the oldest specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

## **From Time**

This field is the oldest transaction time you wish to see on the chosen From Date.

# To Date (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the most recent specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

### To Time

This field is the most recent transaction time you wish to see on the chosen To Date.

#### **Detail Level**

This field controls the amount of information shown on the report. Select one of the following values:

**Detail** Detail information.

Summary Summary information (least amount of detail)

**Transaction** Transaction information (greatest amount of detail).

Intrusion Detection - Location Report

#### Name

The name of the report.

## **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

## **Transactions**

- \*ALL This option produces a report of all current transactions for the Location.
- \*ALLOW This option produces a report of all current allowed transactions for the Location.
- \*REJECT This option produces a report of all current rejected transactions for the Location.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

# **Date Range**

- **Specific** Choose this option if you want to specify a specific To and From date for the range of the report.
- Non-specific Choose this option if you want to specify a relative To and From date for the range of the report (for example, transactions for the last two days).

# From (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the oldest specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

## **From Time**

This field is the oldest transaction time you wish to see on the chosen From Date.

# To Date (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the most recent specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

#### To Time

This field is the most recent transaction time you wish to see on the chosen To Date.

#### **Detail Level**

This field controls the amount of information shown on the report. Select one of the following values:

**Detail** Detail information.

Summary Summary information (least amount of detail)

**Transaction** Transaction information (greatest amount of detail).

Intrusion Detection - Server/Function Report

#### Name

The name of the report.

# **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

## **Transactions**

- \*ALL This option produces a report of all current transactions for the server and function.
- \*ALLOW This option produces a report of all current allowed transactions for the server and function.
- \*REJECT This option produces a report of all current rejected transactions for the server and function.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

Specify the name of the Server to include in the report. Leave this field blank to select all Servers.

### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Date Range**

- **Specific** Choose this option if you want to specify a specific To and From date for the range of the report.
- Non-specific Choose this option if you want to specify a relative To and From date for the range of the report (for example, transactions for the last two days).

# From (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the oldest specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

## From Time

This field is the oldest transaction time you wish to see on the chosen From Date.

# To Date (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the most recent specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

#### To Time

This field is the most recent transaction time you wish to see on the chosen To Date.

#### **Detail Level**

This field controls the amount of information shown on the report. Select one of the following values:

**Detail** Detail information.

Summary Summary information (least amount of detail)

**Transaction** Transaction information (greatest amount of detail).

Intrusion Detection - Transaction Report

## Name

The name of the report.

# **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

# **Transaction Type**

- \*RUN Network Transactions that Run commands and programs. This option produces a report of transactions that resulted in a command or program being executed and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- \*UPDATE Network Transactions that Update data. This option produces a report of transactions that resulted in data being updated and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- \*READ Network Transactions that Read data. This option produces a report of transactions that
  resulted in data being read and sorts by Server/Function/Date/Time. You will be prompted for
  Type and Date/Time range on a later screen.
- \*MODIFY Network Transactions that Modify objects. This option produces a report of transactions that resulted in objects being modified and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.

## **Date Range**

- **Specific** Choose this option if you want to specify a specific To and From date for the range of the report.
- Non-specific Choose this option if you want to specify a relative To and From date for the range of the report (for example, transactions for the last two days).

# From (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the oldest specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

## **From Time**

This field is the oldest transaction time you wish to see on the chosen From Date.

# To Date (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the most recent specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

## To Time

This field is the most recent transaction time you wish to see on the chosen To Date.

## **Detail Level**

This field controls the amount of information shown on the report. Select one of the following values:

**Detail** Detail information.

**Summary** Summary information (least amount of detail)

**Transaction** Transaction information (greatest amount of detail).

**Intrusion Detection - Group Report** 

## Name

The name of the report.

## **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

### **Transactions**

- \*ALL This option produces a report of all the transactions for the Group.
- \*ALLOW This option produces a report of all the allowed transactions for the Group.
- \*REJECT This option produces a report of all the rejected transactions for the Group.

## Group

Specify the name of the group of users you would like listed in your report. This value can be a Powertech Group, accounting code or group profile. Indicate the type of name in the following field, Group Type.

**NOTE**: You can specify \*NOGRP to list only those users that are NOT part of a group.

# **Group Type**

Specify the type of user group you would like listed in your report using one of these values:

- Powertech Group The name is a Powertech Group name.
- Account Code The name is an accounting code.
- OS/400 Group Profile The name is an operating system group profile.

# **Date Range**

- **Specific** Choose this option if you want to specify a specific To and From date for the range of the report.
- **Non-specific** Choose this option if you want to specify a relative To and From date for the range of the report (for example, transactions for the last two days).

# From (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the oldest specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

## **From Time**

This field is the oldest transaction time you wish to see on the chosen From Date.

# To Date (Specific Date/Days Ago)

If Date Range is set to Specific, this field is the most recent specific transaction date you wish to see. If Date Range is set to Non-specific, this value represents the number of days previous to the current date. A value of 0 is the current date.

### To Time

This field is the most recent transaction time you wish to see on the chosen To Date.

## **Detail Level**

This field controls the amount of information shown on the report. Select one of the following values:

**Detail** Detail information.

Summary Summary information (least amount of detail)

Transaction Transaction information (greatest amount of detail).

Access Rule Report - Print by User ID

## Name

The name of the report.

## **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

#### User

Specify the name of a user whose rules are to be listed.

The valid values are:

Name A specific user profile.

\*ALL All users.

\*PUBLIC The user level for non-specific users.

# **Object Rules**

Indicate whether you would like the Object Rules printed on the report for each User Rule printed.

The valid values are:

\*YES Object Rules are printed on the report.

\*NO Object Rules are not printed on the report.

## **Memorized Transactions**

Indicate whether you would like the Memorized Transactions printed on the report for each User Rule printed.

The valid values are:

\*YES Memorized Transactions are printed on the report.

\*NO Memorized Transactions are not printed on the report.

### Access Rule Report - Print by Location

## Name

The name of the report.

# **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

#### Location

Specifies the location or locations whose rules will be included in the report. Specifying \*ALL for this parameter will include rules for all locations.

The valid values are:

Location Specify a Location (an IP address, IP Address Group name, SNA device).

\*ALL Includes rules for all locations.

# **Object Rules**

Indicate whether you would like the Object Rules printed on the report for each Location Rule printed.

The valid values are:

\*YES Object Rules are printed on the report.

\*NO Object Rules are not printed on the report.

## **Memorized Transactions**

Indicate whether you would like the Memorized Transactions printed on the report for each Location Rule printed.

The valid values are:

\*YES Memorized Transactions are printed on the report.

\*NO Memorized Transactions are not printed on the report.

Access Rule Report - Print Object Lists

The Print Object Lists report allows you to print a listing of the Object Lists you have configured.

#### Name

The name of the report.

# **Description**

The description of the report.

## Shared: On/Off

This allows you to choose whether or not you want to share the report.

# Sort By:

Specify how you want to sort the Object Lists. Click and drag the  $\Rightarrow$  icon to change the sort order. Uncheck an item to omit it.

## **Object List**

Check this box to sort by Object List name.

### Description

Check this box to sort by Object List description.

## **ASP Group**

Check this box to sort by ASP Group.

## **Include Entries**

Specify if you want to include Object List entries for each Object List in the report. The default value is \*YES.

# Include Usage

**NOTE**: If you specify \*YES for Include Entries and Include Usage, additional fields display allowing you to further sort and subset the information to appear in the report.

Indicate whether you would like the Object List Usage information for each Object list to be printed on the report. If you specify \*NO, do not enter any subset or sorting criteria for Object List Usage information.

The valid values are:

\*YES The Object List Usage information is printed on the report.

\*NO The Object List Usage information is not printed on the report.

# **Object List Entries - Sort By**

Specify how you want to sort Object List Entries. Click and drag the  $\stackrel{\clubsuit}{=}$  icon to change the sort order. Uncheck an item to omit it.

The elements are:

#### Library

Check to sort by Library name.

#### **Object**

Check to sort by Object name.

### **Type**

Check to sort by Object Type.

#### **Path**

Check to sort by Path.

# **Object List Usage - Subset By Location Style**

When the value you key begins with an asterisk, this element allows you to format your request to find a single IP Address Group or any Location value that ends with the value you keyed (after the asterisk).

Valid values are:

- \*GROUP List only rules that have the specified IP Address Group on them.
- \*ENDSWITH List rules with any value that ends with the value you keyed.

# **Object List Usage - Subset By User Style**

When the value you key is \*PUBLIC, this element allows you to format your request to find only rules for \*PUBLIC or any User value that ends with PUBLIC (like JIMPUBLIC, XPUBLIC, etc).

Valid values are:

- \*PUBLIC List only rules that have \*PUBLIC as the User value.
- \*ENDSWITH List rules with any value that ends with PUBLIC.

# **Object List Usage - Show Location Rules**

Indicate whether you want Location-based Object Rules to appear in the Usage section of the report. If you have specified subset criteria for Location, this value must be \*YES. The valid values are:

- \*YES Location-based Object Rules will be included.
- \*NO Location-based Object Rules will not be included.

# **Object List Usage - Show User Rules**

Indicate whether you want User-based Object Rules to appear in the Usage section of the report. If you have specified subset criteria for User, this value must be \*YES. The valid values are:

- \*YES User-based Object Rules will be included.
- \*NO User-based Object Rules will not be included.

# **Object List Usage - Sort By**

Click and drag the icon to change the sort order. Uncheck an item to omit it.

#### Location

Check to specify the sort order for Location.

#### User

Check to specify the sort order for User.

#### Operation

Check to specify the sort order for Operation.

# **Optional Fields**

Toggle this switch to On in order to access the following additional, optional fields.

### **Subset By Object List**

Specify criteria to subset by Object list name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## Subset By Object Type

Choose to subset by Native Object or IFS Path.

## **Subset By Description**

Choose to subset by the description.

## Object List Entries - Subset By Library

Specify criteria to subset by Library name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to select Object List Entries that pertain only to unqualified objects whose library cannot be determined.

## **Object List Entries - Subset by Object**

Specify criteria to subset by Object name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## **Object List Entries - Subset By Type**

Specify criteria to subset by Object Type.

# **Object List Entries - Subset By Path**

Specify criteria to subset by Path. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

# **Object List Usage - Subset By Location**

Specify criteria to subset by Location. Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. You can use the Generic Character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

# **Object List Usage - Subset By User**

Specify criteria to subset by User.

# **Object List Usage - Subset By Operation**

\*ALL Subset by all types of operations.

\*CREATE Subset by operations that apply to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.

- \*READ Subset by operations that apply to non-modifying accesses of objects or the reading of an object's data.
- \*UPDATE Subset by operations that apply to changes to objects or changes to their data.
- \*DELETE Subset by operations that apply to deletion of objects or deletion of their data; for example, deleting records from a database file.

Access Rule Report - Print Object Rules

The Print Object Rule report allows you to print a listing of the Object Rules you have configured.

## **Subset By Location Style**

When the value you key begins with an asterisk, this element allows you to format your request to find a single IP Address Group or any Location value that ends with the value you keyed (after the asterisk).

Valid values are:

- \*GROUP List only rules that have the specified IP Address Group on them.
- \*ENDSWITH List rules with any value that ends with the value you keyed.

## **Subset by User Style**

When the value you key is \*PUBLIC, this element allows you to format your request to find only rules for \*PUBLIC or any User value that ends with PUBLIC (like JIMPUBLIC, XPUBLIC, etc).

Valid values are:

- \*PUBLIC List only rules that have \*PUBLIC as the User value.
- \*ENDSWITH List rules with any value that ends with PUBLIC.

#### **Show Location Rules**

Indicate whether you want Location-based Object Rules to appear in the report. The valid values are:

- \*YES Location-based Object Rules will be included.
- \*NO Location-based Object Rules will not be included.

#### **Show User Rules**

Indicate whether you want User-based Object Rules to appear in the report.

The valid values are:

- \*YES User-based Object Rules will be included.
- \*NO User-based Object Rules will not be included.

# Sort By

Use these check boxes to sort the Object Rules printed on the report. Click and drag the icon up or down to change the sort order. To omit an element from the sort, uncheck that element. Duplicate values are not allowed; you cannot sort more than one field at any given position. This is a multi-part parameter consisting of four elements.

The elements are:

#### Location

Specify the sort order for Location.

#### User

Specify the sort order for User.

#### **Object List**

Specify the sort order for Object List name.

#### Operation

Specify the sort order for Operation.

### **Include Entries**

Indicate whether you would like the Object List Entries for each Object list to be printed on the report.

The valid values are:

- \*YES The Object List Entries are printed on the report.
- \*NO The Object List Entries are not printed on the report.

# **Object List Entries - Sort By**

Specify how you want to sort Object List Entries. Click and drag the  $\overline{\phantom{a}}$  icon to change the sort order. Uncheck an item to omit it.

The elements are:

### Library

Check to sort by Library name.

#### **Object**

Check to sort by Object name.

#### Type

Check to sort by Object Type.

#### **Path**

Check to sort by Path.

# **Optional Fields**

Toggle this switch to On in order to access the following additional, optional fields.

# Subset by Location

Specify criteria to subset by Location. Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. You can use the <a href="mailto:generalcerta">generic character</a> to indicate that a partial value is to be used for selection.

### **Subset By User**

Specify criteria to subset by User. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## **Subset By Object List**

Specify criteria to subset by Object list name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## **Subset By Operation**

Specify criteria to subset by Operation.

# **Object List Entries - Subset By Library**

Specify criteria to subset by Library name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to select Object List Entries that pertain only to unqualified objects whose library cannot be determined.

# **Object List Entries - Subset by Object**

Specify criteria to subset by Object name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

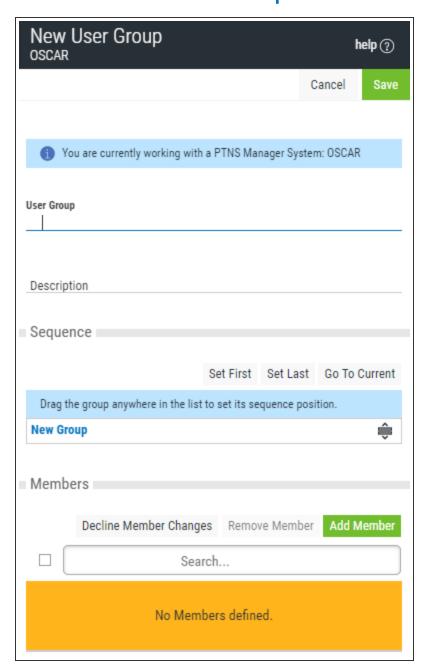
# **Object List Entries - Subset By Type**

Specify criteria to subset by Object Type.

# **Object List Entries - Subset By Path**

Specify criteria to subset by Path. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

# New/Edit User Group screen



### **How to Get There**

Choose the **User Groups** tab on the navigation pane on the left side of the Insite window to open the **User Groups screen**, then click **Add**. Or, click an existing User Group to edit it.

### What it Does

Use this screen to create or edit an User Group.

## Field descriptions

### **User Group**

The User Group name is a short name you assign to a group of user profiles to help you identify the group. This name is required to be a valid OS name.

### **Description**

The User Group description is a short textual description of the User Group. It is typically used to indicate the purpose or contents of the User Group.

## **Options**

# Delete (edit only)

When editing an existing User Group, choose **Delete** to delete the Group.

#### Save

Choose **Save** to save the User Group and return to the User Groups screen.

### Cancel

Choose Cancel to return to the User Groups screen without making changes.

### Sequence: Set First • Set Last • Go To Current

This list shows the sequence used to determine the order in which this User Group will be evaluated by the exit point programs.

For example, if there are three User Rules with User Groups for a specific Server/Function, and all three have USER1 as a member, then the User Rule at the top of this list will be used by the exit programs (if a User Rule with the specific user name of 'USER1' is not found).

Click **Set First** to move the current User Group to the top of the list.

Click Set Last to move the current User Group to the bottom of the list.

Click Go To Current to automatically scroll the list so that the currently selected group is visible.

TIP: Click and drag the User Group to quickly change its position in the list.

# Members: Remove Member • Add Member • Decline Member Changes • Search

This section lists the members of the User Group, and allows you to add and remove profiles to the User Group.

Select one or more members and choose **Remove Member** to remove the profile from the User Group.

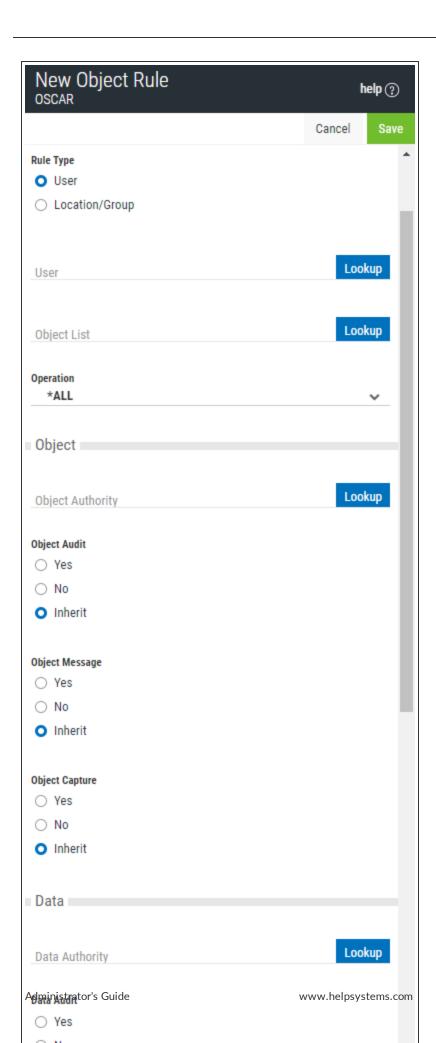
Click **Add Member** to open the Select User screen where you can select one or more profiles to add to the User Group.

Click **Decline Member Changes** to remove all profiles added to the membership list during this session (i.e. that have not been applied to the database).

Type a profile name, or a portion of a profile name, into the **Search** field to subset the list of available profiles.

[Member List]: Click Groups adjacent to a member profile to display all other groups that include the profile.

# New/Edit Object Rule screen



#### **How to Get There**

Choose the **Object Rules** tab on the navigation pane on the left side of the Network Security window, then choose **Add**. Or, select an existing User Object Rule to edit it.

#### What it Does

The New User Object Rule screen is used to add new User Object Rules. The Edit User Object Rule screen includes identical options and is used to edit an existing User Object rule. Object rules are used to control access to Object Lists. See Object Rules.

### **Options**

## **Delete (Edit User Object Rule screen only)**

Choose **Delete** to delete the User Object Rule. When asked to confirm, Choose **Delete** again. If the Object Rule is Active, you will be prompted with the <u>Object Rules Delete screen</u>, where you can select how to handle associated \*MEMOBJ rules and other Rules that constitute the Object Rule.

#### Cancel

Choose Cancel to return to the Object Rules screen without making changes.

#### Save

Choose Save to save the Rule and return to the Object Rules screen.

## Rule Type

Specifies whether this is a User or Location Object rule.

# **User**; Lookup

This field is available when creating a new Object Rule. Choose this **Lookup** button to open the <u>Users</u> <u>selection window</u> where you can choose from a list of user profiles.

# **Object List; Lookup**

This field is available when creating a new Object Rule. Choose this **Lookup** button to open the <u>Object Lists selection window</u> where you can choose from a list of Object Lists. Object Lists can be added and changed using the <u>Object Lists screen</u>.

# **Operation**

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non-modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data, for example, deleting records from a database file.

# **Object Authority; Lookup**

Choose this **Lookup** button to open the <u>Authorities selection window</u> where you can choose from a list of Authorities.

## **Object Audit**

This audit property controls the type of requests Network Security will log. This Audit Transaction flag pertains to Object Accesses. Possible values are:

Yes - Log all requests by the location/server/function.

No - Only log authority failures for the location/server/function.

Inherit - Inherit the value.

# **Object Message**

The message property entry will determine if Network Security sends a message to the Network Security message queue. This Send Messages flag pertains to Object Accesses.

Yes - A message is sent to the Network Security message queue.

No - No message is sent.

**Inherit** - Inherit the value.

# **Capture Transactions**

Capture transactions for Memorized Transaction Request. This Capture Transactions flag pertains to Data Accesses.

Yes - Capture transactions.

No - Do not capture transactions.

Inherit - Inherit the value.

#### **Data Audit**

This audit property controls the type of requests Network Security will log. This Audit Transaction flag pertains to Data Accesses. Possible values are:

Yes - Log all requests by the location/server/function.

No - Only log authority failures for the location/server/function.

Inherit - Inherit the value.

# Data Message

The message property entry will determine if Network Security sends a message to the Network Security message queue. This Send Messages flag pertains to Data Accesses.

Yes - A message is sent to the Network Security message queue.

**No** - No message is sent.

Inherit - Inherit the value.

# **Data Capture**

Capture transactions for Memorized Transaction Request. This Capture Transactions flag pertains to Data Accesses

**Yes** - Capture transactions.

No - Do not capture transactions.

Inherit - Inherit the value.

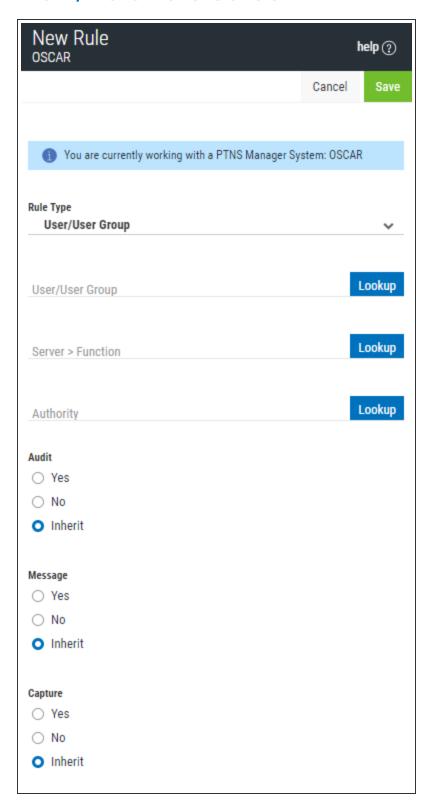
#### **Active**

Choose **Yes** to activate the rule. Choose **No** to make the rule inactive.

# Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the Object Rule to. Or, check Select All to copy the Object Rule to all managed systems.

# New/Edit Rule screen



### **How to Get There**

Choose the **Rules** tab on the navigation pane on the left side of the Network Security window. Choose **Add** to add a new Rule. Or, click an existing user rule to edit it.

#### What it Does

The New Rule screen is used to add new server/function filter rules for a user or location.

### **Options**

#### Delete

When editing an existing User Rule, choose **Delete** to delete the Rule.

### Cancel

Choose Cancel to return to the Rules screen without making changes.

#### Save

Choose Save to save the User Rule and return to the Rules screen.

### Rule Type

Specifies whether this is a User or Location rule.

## **User/User Group; Lookup**

Choose this **Lookup** button to open the <u>Users selection window</u> where you can choose from a list of user profiles.

# **Server > Function; Lookup**

Choose this **Lookup** button to open the <u>Servers selection window</u> where you can choose from a list of servers and server functions. For a description of servers and functions, see <u>Appendix B: Servers and Functions</u>.

# **Authority**; Lookup

Choose this **Lookup** button to open the <u>Authorities selection window</u> where you can choose from a list of Authorities.

#### Audit

The audit property controls the type of requests Network Security will log. Possible values are:

**Yes** Log all requests by the location/server/function.

**No** Only log authority failures for the location/server/function.

Inherit Inherit the value.

# Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Yes A message is sent to the Network Security message queue.

**No** No message is sent.

Inherit Inherit the value.

### Capture

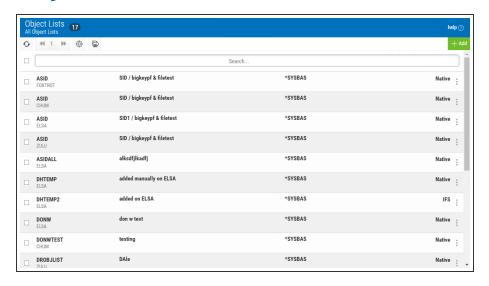
Capture transactions for Memorized Transaction Request.

Yes Capture transactions. No Do not capture transactions. Inherit Inherit the value.

### Select which systems to save to

All managed systems are listed here. Check the systems you would like to save the Rule to. Or, check Select All to copy the Rule to all managed systems.

# **Object List screen**



#### How to Get There

Click the **Object Lists** tab on the navigation pane on the left side of the Insite window.

#### What it Does

The Object List screen enables you to add and edit Object Lists.

# **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>. Click an Object List to open the Edit IFS Object List screen or Edit Native Object List screen where you can edit the Object List.

#### Add

Choose Add to open the New Object List screen where you can define a new Object List.

### **Column Descriptions**

## Name/System



The Object List name as defined in the New/Edit IFS Object List screen or New/Edit Native Object List screen. The system of the Object List is indicated beneath its name.

# **Description**



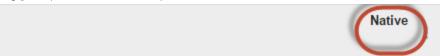
The Object List description as defined in the New/Edit IFS Object List screen or New/Edit Native Object List screen.

# **ASP Group**



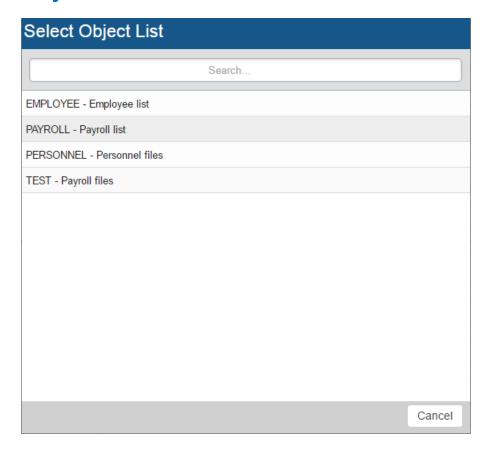
This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

# Type (Native or IFS)



The Object List type determines what type of entries can be added to an Object List. Object lists can hold native object specifications (library, object and type) or paths to IFS objects.

# **Object Lists Selection Window**



### **How to Get There**

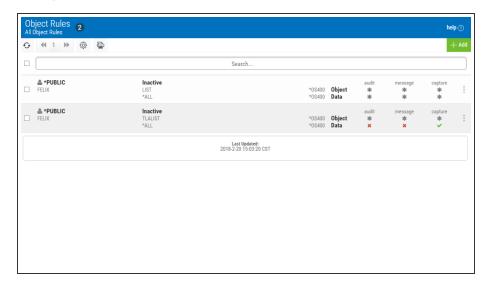
Choose **Lookup** next to the Object List Name field when adding or changing an Object Rule rule in the New/Edit Object Rule screen.

### What it Does

This window allows you to specify an Object List when adding or changing an object rule.

This list includes all existing Object Lists. Object Lists can be added and changed using the Object Lists screen.

# Object Rules screen



### **How to Get There**

Click the **Object Rules** tab on the Navigation Pane on the left side of the browser window.

### What it Does

The Object Rules screen allows you to create, modify, and delete Object Rules that pertain to Users or Locations. Object Rules can be active or inactive.

## **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>. Click an Object Rule to open the Edit Object Rules screen where you can edit the Object Rule.

### Add

Choose Add to open the New Object Rule screen where you can define a new user rule.

# [Actions]

Click next to an Object Rule to show Actions.

- Edit. Choose Edit to open the Edit Object Rule screen where you can edit the Object Rule.
- Copy. Choose Copy to open the Copy Object Rule screen, where you can select the system(s) you would like to copy the Object Rule to.
- **Delete.** Choose **Delete** to delete the Object Rule.
- Close. Choose Close to dismiss the Action Pane.

## **Column Descriptions**

### **User/Location column**



This column lists the user or location of the rule.

A 2 icon indicates a User rule. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

An vicon indicates a Location Rule. The location is the name of the location for which authority is being specified. The location can be an SNA device, an IP address, an IP Address Group, or the special value '\*ALL'. If specifying an IP address, enter either the full IP address or a generic IP address using an asterisk as the final character. IP Address Groups must be established prior to their entry on this screen (see IP Address Groups).

## Status/Object List Name/Operation

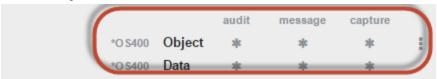


The name of the Object List assigned to the object rule. See Object Lists screen.

The operation to which the rule applies.

- \*ALL The rule applies to all operations.
- \*CREATE The rule applies to attempts to create an object matching an entry defined in the Object List.
- \*READ The rule applies to attempts to read an object matching an entry defined in the Object List.
- \*UPDATE The rule applies to attempts to update an object matching an entry defined in the Object List.
- \*DELETE The rule applies to attempts to delete an object matching an entry defined in the Object List.

# **Authority**



Authority represents the action to be taken when a rule is found that matches the data present on a transaction. Two values are listed for each Object Rule, one for Object Accesses and one for Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

### **Audit**

The Audit flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. Two values are listed for each Object Rule, one for Object Accesses and one for Data Accesses.

The valid values are:

- The transaction will be logged to the Log Journal.
- X The transaction will not be logged to the Log Journal.
- The default value from a prior rule will control the logging.

### Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. Two values are listed for each Object Rule, one for Object Accesses and one for Data Accesses.

The valid values are:

- ✓ A log message will be sent to the Log Message Queue.
- X A log message will not be sent to the Log Message Queue.
- The default value from a prior rule will control the logging.

### Capture

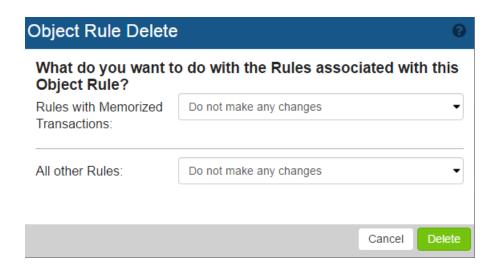
Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. Two values are listed for each Object Rule: one for Object Accesses and one for Data Accesses.

The valid values are:

- ✓ A log message will be sent to the Log Message Queue.
- X A log message will not be sent to the Log Message Queue.
- The default value from a prior rule will control the logging.

# Object Rule Delete screen

This screen appears after choosing to delete an active object Rule on the Object Rules screen.



## **Options**

#### **Rules with Memorized Transactions**

From this drop-down list, choose how you want to handle \*MEMOBJ rules associated with this Object Rule.

- Choose 'Do not make any changes' to leave other \*MEMOBJ Rules as-is.
- Choose 'Switch to a different authority' to update existing \*MEMOBJ rules so that they have a different authority setting.
- Choose 'Delete rules associated with this Object Rule' to delete the associated \*MEMOBJ rules.

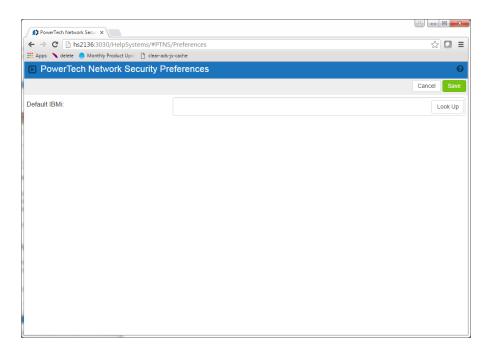
#### **All other Rules**

From this drop-down list, choose how you want to handle other Rules associated with this Object Rule.

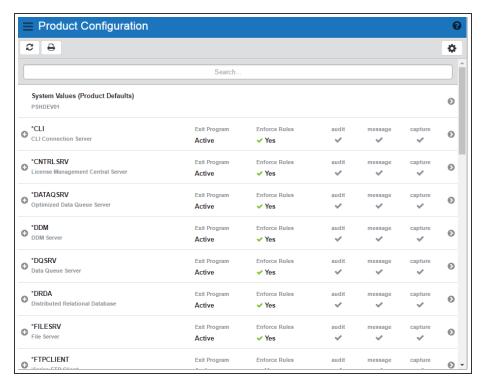
- Choose 'Do not make any changes' to leave other \*Rules as-is.
- Choose 'Switch to a different authority' to update existing rules so that they have a different authority setting.
- Choose 'Delete rules associated with this Object Rule' to delete the associated rules.

# Preferences screen

Use this screen to define the default IBM i system. Click **Look Up** to select the system you want to assign as the default system.



# **Product Configuration screen**



#### **How to Get There**

Click the **Product Configuration** tab on the navigation pane on the left side of the Insite window.

#### What it Does

The Product Configuration window allows you to view each servers' default properties.

**NOTE**: This screen is equivalent to using the **SP** (Server Properties) option for servers in the Work with Security by Server screen when using the green screen.

## **Options**

Sorting, filtering, and navigation features on this screen are described in <u>Using the Web Browser</u> Interface.

Click to display a server's functions. Click a function to open the <u>Edit Server Function Rule screen</u> where you can edit the server function's properties.

## **Field Descriptions**

#### Server

The server ID is the name of the IBM server that authority is being specified.

## **Server Description**

The description of the IBM server.

#### **Active**

Indicates that Powertech Network Security will enforce rules for this server. See also Exit Pgm Enrolled.

Yes Network Security will enforce rules for this server.

No Network Security will not enforce rules for this server.

#### **Audit**

Controls the type of requests Network Security will log. Possible values are:

- Log all requests to the server.
- X Log only authority failures for the server.
- ✓ or ➤ Product defaults.

# Message

Specifies if Network Security sends a message to the message queue specified in Powertech's System Values. Possible values are:

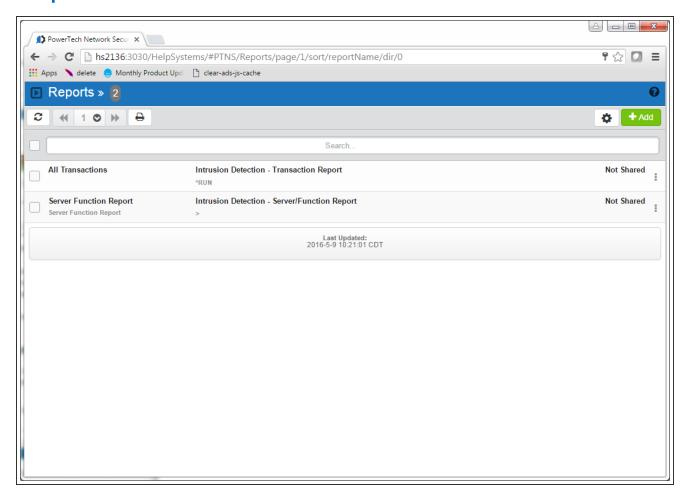
- A message is sent to the specified queue.
- X No message is sent.
- or X Product defaults.

# **Capture Transactions**

Capture transactions for Memorized Transaction Request (MTR). Possible values are:

- Capture transactions.
- X Do not capture transactions.
- or X Product defaults.

# Reports screen



### **How to Get There**

Choose **Reports** in the Insite Navigation Pane.

# **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Insite Web Browser Interface</u>.

Click an existing report to open the Edit Report screen, where you can edit the report.

#### Add

Choose **Add** to open the <u>Add Report screen</u> where you can choose the type of report you would like to add.

# [Actions]

Click next to a report to show Actions.

- Submit. Choose Submit to run the report. After the report has been run, it is available in the list of Spooled Files. See Spooled Files screen.
- Edit. Choose Edit to open the Edit Report screen where you can edit the report.
- Copy. Choose Copy to open the Copy Report screen, where you can select the system(s) you would like to copy the report to.
- **Delete.** Choose **Delete** to delete the report.
- Close. Choose Close to dismiss the Action Pane.

## **Column Descriptions**

### Name/Description



This column lists the name and description of the report.

# **Transaction Type**



This is the Type of the transaction.

- \*RUN Network Transactions that Run commands and programs. This option produces a report of transactions that resulted in a command or program being executed and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- \*UPDATE Network Transactions that Update data. This option produces a report of transactions that resulted in data being updated and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- \*READ Network Transactions that Read data. This option produces a report of transactions that resulted in data being read and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- \*MODIFY Network Transactions that Modify objects. This option produces a report of transactions that resulted in objects being modified and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.

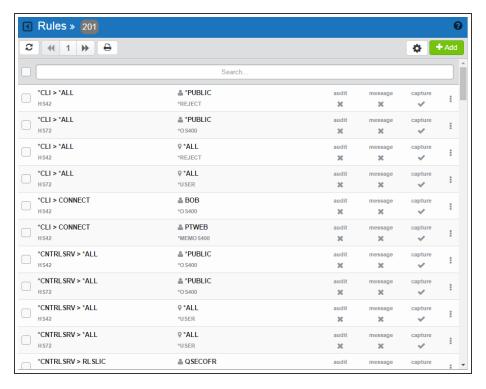
# Shared/Not Shared



This column indicates whether the report is shared or not.

# Rules screen

The Rules screen lists all the rules defined by Network Security, including the server and function, user or location, and properties for each. From this screen, you can easily identify specific rules, and edit, add, or delete them.



#### **How to Get There**

Click the Rules tab on the navigation pane on the left side of the Network Security window.

# **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>.

Click a User or Location rule to open the Edit Rule screen, where you can edit the rule.

#### Add

Choose Add to open the New Rule screen where you can define a new user rule.

#### **Show Actions**

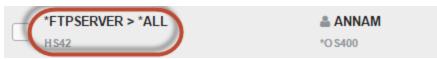
Click next to a rule to show Actions.

- Edit. Choose Edit to open the Edit Rule screen where you can edit the rule.
- Copy. Choose Copy to open the Copy Rule screen, where you can select the system(s) you would like to copy the rule to.

- **Delete.** Choose **Delete** to delete the rule.
- Close. Choose Close to dismiss the Action Pane.

## **Column Descriptions**

### Server/Function column



This column lists the server ID for each rule. The server ID is the name of the IBM server for which authority is being specified. For a description of servers and functions, see <u>Appendix B: Servers and Functions</u>.

# User/Location/Authority column



This column lists the user or location of the rule.

A 2 icon indicates a User rule. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

An Picon indicates a Location Rule. The location is the name of the location for which authority is being specified. The location can be an SNA device, an IP address, an IP Address Group, or the special value '\*ALL'. If specifying an IP address, enter either the full IP address or a generic IP address using an asterisk as the final character. IP Address Groups must be established prior to their entry on this screen (see IP Address Groups).

The authority assigned for servers and their functions.

#### Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*REJECT Network Security will reject requests for the specified location. This is valid for both location and user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user. To view the profile to be switched to view the expanded rule properties.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location. This is valid for both location and user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.

- \*MEMUSR Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will check server user authority. This is only valid for location.
- \*USER Network Security will check server user authority. This is only valid for location.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user.
- \*SRVFCN Network Security will use the authority defined for the server/function. This is valid for both location and user.
- \*SAME Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

### Aud/Msg/Cap columns



A  $\checkmark$  or  $\times$  in one of these columns indicates the value is explicitly defined in the rule. A [gray]  $\checkmark$  or  $\times$  indicates the value is not defined in the rule itself, but is inheriting the actual value from another location (much like \*SYSVAL in the OS). See Active Rule and Rule derivation.

#### Audit

The audit property controls the type of requests Network Security will log. Possible values are:

- ✓ Log all requests by the location/server/function.
- X Only log authority failures for the location/server/function.

## Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

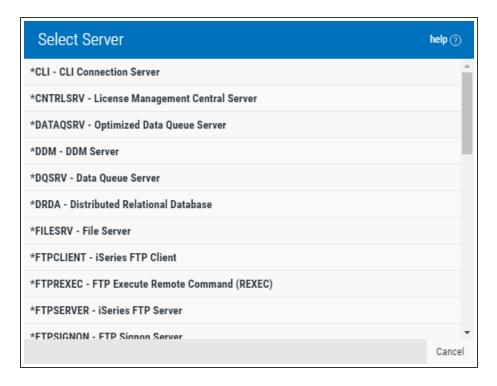
- X No message is sent.
- A message is sent to the Network Security message queue.

### **Capture**

Capture transactions for Memorized Transaction Request (MTR).

- X Do not capture transactions.
- Capture transactions.

# Servers Selection window



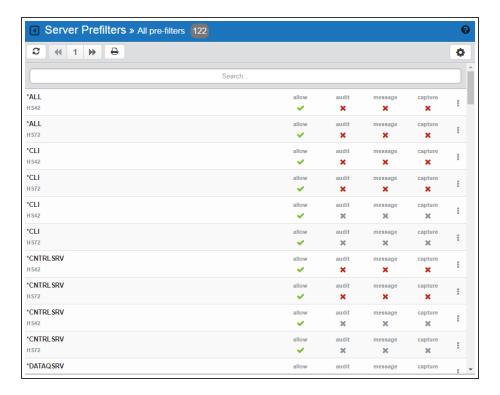
### **How to Get There**

Click **Lookup** next to the Server > Function field when adding or changing rules.

#### What it Does

This window allows you to select a server. By selecting a server, you are directed to the <u>Function</u> selection window where you can select a specific function of that server.

# Server Pre-filters screen



### **How to Get There**

Click the **Server Pre-filters** tab on the navigation pane on the left side of the Insite window.

### What it Does

The Server Pre-filters screen allows you to specify certain actions for transactions before they are evaluated by the regular Powertech Network Security rules. The primary action is to allow or not allow a transaction — allowing it causes it to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction. These actions work exactly like their equivalents within Network Security rules processing. The Server Pre-filter function allows you to specify settings by server. The default system Pre-filter record has a Server of \*ALL. These records can be changed but not deleted. The system (\*ALL) record must have either a 'Y' or an 'N' for each of the settings (allow, audit, message, and capture). The subsequent individual server Pre-filters can inherit from this system value.

The Pre-filter function attempts to match the most specific record to the transaction. Once a match is found, the Pre-filter function processes the transaction based on those settings.

# **Options**

Sorting, filtering, and navigation features on this screen are described in <u>Using the Web Browser</u> <u>Interface</u>.

Click a Pre-filter to open the Edit Server Pre-filter screen where you can edit a Server Pre-filter.

## **Column Descriptions**

#### Allow

The setting for whether transactions matching this record should be allowed to continue to be processed by Network Security. Valid settings are 'Y' (Yes - Network Security rules should evaluate this transaction, which may or may not cause it to be rejected, 'N' (No - reject the transaction), and a gray Y or N (inherit the value from the System (\*ALL) Pre-filter).

## Aud/Msg/Cap columns

A v or x in one of these columns indicates the value is explicitly defined in the rule. A gray v or indicates the value is not defined in the Pre-filter itself, but is inheriting the actual value from the default System Pre-filter (\*ALL). See Active Rule and Rule derivation.

### **Audit**

The audit property controls the type of requests Network Security will log. Possible values are:

- ✓ Log all requests by the location/server/function.
- **✗** Only log authority failures for the location/server/function.

### Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

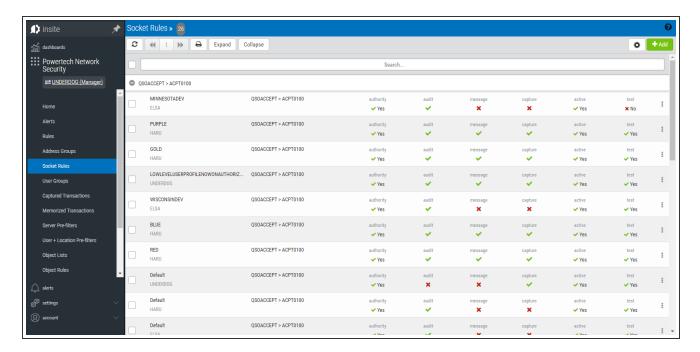
- X No message is sent.
- ✓ A message is sent to the Network Security message queue.

## **Capture**

Capture transactions for Memorized Transaction Request (MTR).

- X Do not capture transactions.
- Capture transactions.

# Socket Rules screen



#### **How to Get There**

Click the Socket Rules tab on the Navigation Pane on the left side of the browser window.

#### What it Does

The Socket Rules screen allows you to create, modify, and delete Socket Rules.

# **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>. Click a Socket Rule to open the Socket Rules screen where you can edit the Socket Rule.

#### Add

Choose Add to open the New Socket Rule screen where you can define a new socket rule.

# [Actions]

Click next to an Object Rule to show Actions.

- Edit. Choose Edit to open the Edit Socket Rule screen where you can edit the Socket Rule.
- Copy. Choose Copy to open the Copy Object Rule screen, where you can select the system(s) you would like to copy the Object Rule to.
- Delete. Choose Delete to delete the Object Rule.
- Close. Choose Close to dismiss the Action Pane.

## **Column Descriptions**

### **User/Location column**



This column lists the user or location of the rule.

A 2 icon indicates a User rule. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

An vicon indicates a Location Rule. The location is the name of the location for which authority is being specified. The location can be an SNA device, an IP address, an IP Address Group, or the special value '\*ALL'. If specifying an IP address, enter either the full IP address or a generic IP address using an asterisk as the final character. IP Address Groups must be established prior to their entry on this screen (see IP Address Groups).

## Status/Object List Name/Operation

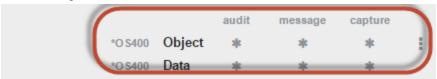


The name of the Object List assigned to the object rule. See Object Lists screen.

The operation to which the rule applies.

- \*ALL The rule applies to all operations.
- \*CREATE The rule applies to attempts to create an object matching an entry defined in the Object List.
- \*READ The rule applies to attempts to read an object matching an entry defined in the Object List.
- \*UPDATE The rule applies to attempts to update an object matching an entry defined in the Object List.
- \*DELETE The rule applies to attempts to delete an object matching an entry defined in the Object List.

# **Authority**



Authority represents the action to be taken when a rule is found that matches the data present on a transaction. Two values are listed for each Object Rule, one for Object Accesses and one for Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

### **Audit**

The Audit flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. Two values are listed for each Object Rule, one for Object Accesses and one for Data Accesses.

The valid values are:

- The transaction will be logged to the Log Journal.
- X The transaction will not be logged to the Log Journal.
- The default value from a prior rule will control the logging.

### Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. Two values are listed for each Object Rule, one for Object Accesses and one for Data Accesses.

The valid values are:

- ✓ A log message will be sent to the Log Message Queue.
- 💢 A log message will not be sent to the Log Message Queue.
- The default value from a prior rule will control the logging.

### Capture

Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. Two values are listed for each Object Rule: one for Object Accesses and one for Data Accesses.

The valid values are:

- ✓ A log message will be sent to the Log Message Queue.
- X A log message will not be sent to the Log Message Queue.
- The default value from a prior rule will control the logging.

# **Spooled Files**

This panel displays spooled files the current user has created by submitting reports on the <u>Reports panel</u>. You can use the Spooled Files page to view, print, and delete these spooled files on your system.

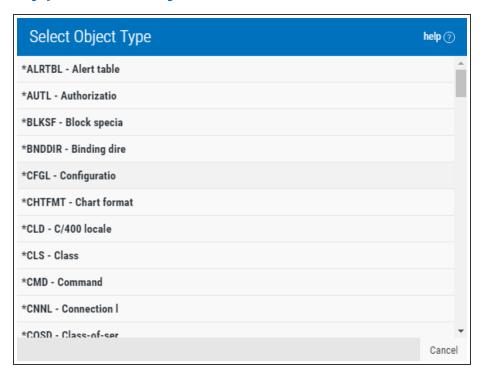
# View Spooled File

This panel displays the report chosen on the <u>Spooled Files panel</u>. The report must have first been submitted. See <u>Reports panel</u>. Use the View Spooled File page to view the contents of a spooled file and search the text in it.

# **Spooled Files Properties**

Use the Spooled Files Properties page to display and edit the properties of a spooled file.

# Types of Object Entries selection window



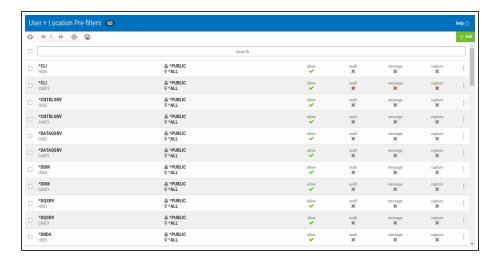
#### **How to Get There**

Click **Lookup** next to the Native Object Type field when adding or changing an Object List rule in the New/Edit Native Object List screen.

### What it Does

This window allows you to specify the object type when defining an object in a Native Object List.

# **User+Location Pre-filters screen**



#### How to Get There

Click the **User+Location** tab on the navigation pane on the left side of the Network Security window.

### What it Does

The User+Location Pre-filters screen allows you to specify certain actions for transactions before they are evaluated by the regular Powertech Network Security rules. The primary action is to allow or not allow a transaction — allowing it causes it to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction. These actions work exactly like their equivalents within Network Security rules processing. The Location + User Pre-filter function allows you to specify settings by function, location, and user. These records can be changed but not deleted. System record must have either a 'Y' or an 'N' for each of the settings (allow, audit, message, and capture).

The Pre-filter function attempts to match the most specific record to the transaction. Once a match is found, the Pre-filter function processes the transaction based on those settings.

# **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>. Click a Pre-filter to open the <u>Edit Location + User Pre-filter screen</u> where you can edit the Pre-filter.

### Add

Choose **Add** to open the New Location + User Pre-filters screen where you can define a new User+Location Pre-filter.

### **Field Descriptions**

### Server > Function column

This column lists the server and function IDs for each Pre-filter. For a description of servers and functions, see Appendix B: Servers and Functions.

### Location/User/User Group Column

This column lists the <u>location</u> of the Pre-filter. The location is the name of the location for which authority is being specified. The location can be an SNA device, an IP address, an IP Address Group, or the special value '\*ALL'. If specifging an IP address, enter either the full IP address or a generic IP address using an asterisk as the final character. IP Address Groups must be established prior to their entry on this screen (see IP Address Groups).

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a Pre-filter, means that the Pre-filter applies to any User lacking a specific Pre-filter.

### **Allow**

The setting for whether transactions matching this record should be allowed to continue to be processed by Network Security. Valid settings are 'Y' (Yes — Network Security rules should evaluate this transaction, which may or may not cause it to be rejected, 'N' (No — reject the transaction), and a gray Y or N (inherit the value from the System (\*ALL) Pre-filter).

## Aud/Msg/Cap columns

A  $\checkmark$  or  $\times$  in one of these columns indicates the value is explicitly defined in the rule. A gray  $\checkmark$  or  $\times$  indicates the value is not defined in the Pre-filter itself, but is inheriting the actual value from the default System Pre-filter (\*ALL). See Active Rule and Rule derivation.

### Audit

The audit property controls the type of requests Network Security will log. Possible values are:

**Yes** Log all requests by the location/server/function.

**No** Only log authority failures for the location/server/function.

Inherit Inherit the value.

## Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Yes A message is sent to the Network Security message queue.

No No message is sent.

Inherit Inherit the value.

### Capture

Capture transactions for Memorized Transaction Request (MTR).

Yes Capture transactions.

**No** Do not capture transactions.

Inherit Inherit the value.

# **User Groups**



### **How to Get There**

Click the User Groups tab on the navigation pane on the left side of the Network Security window.

### What it Does

The User Group screen allows you to maintain User Groups.

An User Group is simply a container for a group of user profile names. An User Group can be used in place of a user profile name in user rules.

The *sequence number* of a User Group determines the order in which it will be used by the exit point programs.

For example, if there are three User Rules with User Groups for a specific Server/Function, and all three have USER1 as a member, then the User Rule for the User Group with the lowest sequence number will be used by the exit programs (if a User Rule with the specific user name of 'USER1' is not found).

**NOTE**: Adding OS User Groups to a Network Security Group is not recommended.

## **Options**

Selection, sorting, filtering, deleting, and navigation features on this screen are described in <u>Using the Web Browser Interface</u>.

### Add

Choose **Add** to open the New User Groups screen where you can add a new User Group. See New User Groups screen.

See also Creating User Groups.

# **Column Descriptions**

## **Group Name**

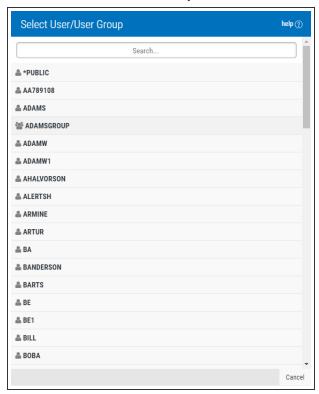
The name of the User Group.

# **Description**

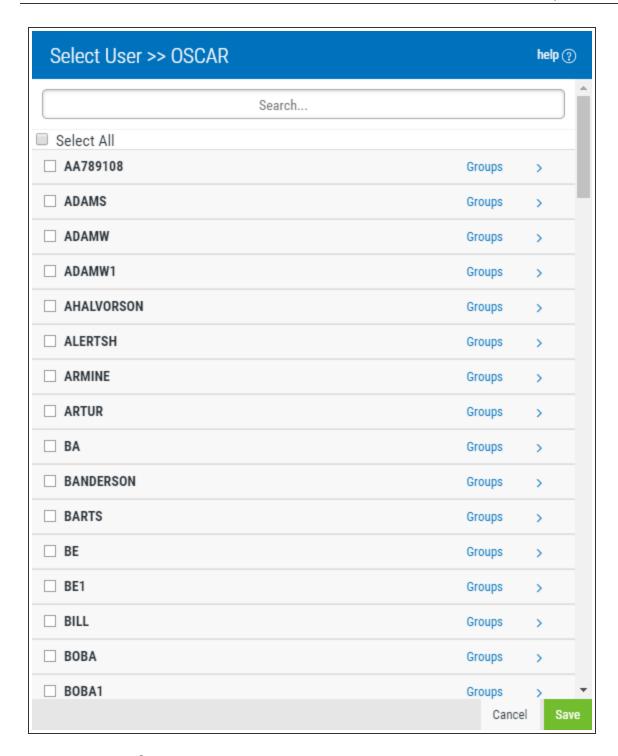
The description of the User Group.

# Select User/User Group window

Rule Select User/User Group Window



Select User



### **How to Get There**

Click **Lookup** next to the User field in the New/Edit Rule screen when adding or changing a rule.

Or, in the New/Edit User Groups screen, click Add Member.

### What it Does

This window allows you to select a user or User Group when adding or changing rules. When accessed while creating or editing a User Group, it allows you to select the profiles you would like to add to the

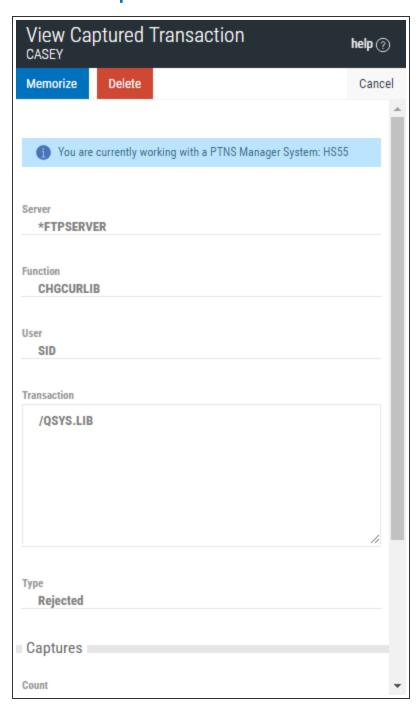
User Group and display all other groups that include each profile.

## **Options**

[User/Group List]: When creating or editing a user rule, choose the user or User Group in the list that you would like the rule to apply to. When creating or editing an User Group, check the user or users you would like to add as members to the User Group.

**Save:**Click **save** to add the user or User Group to the rule, or, if creating or editing an User Group, add the profile(s) as members to the User Group.

# **View Captured Transaction**



### **How to Get There**

Click the **Captured Transactions** tab on the navigation pane on the left side of the Network Security window and click a captured transaction.

### What it Does

The View Captured Transaction screen allows you to view the details of a memorized transaction.

## **Options**

### Memorize

Select **Memorize** to save this captured transaction as a Memorized Transaction for the user. See Memorized Transactions screen.

### **Delete**

Choose **Delete** to delete the Memorized Transaction.

### Cancel

Click Cancel to dismiss the View Transaction screen and return to the Captured Transactions screen.

## **Field Descriptions**

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### **User /Location**

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This displays the User to which this Memorized Transaction applies. If blank, then this is for a specific Location. If the value is \*PUBLIC, the transaction applies to all users.

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. This displays the Location to which this Memorized Transaction applies. If blank, then this is for a specific User. If the value is \*ALL, the transaction applies to all Users.

### **Transaction**

The data portion of the transactions that were summarized into this record.

## **Type**

The type of action performed by Network Security for the transactions summarized into this record.

Type values:

- NA Accepted
- NR Rejected
- NF Network Failure

### **Captures**

### Count

The number of times this transaction was recorded.

### **First Collected**

The date and time when a transaction was first summarized into this record.

### **Last Collected**

The date and time when a transaction was last summarized into this record.

# Green Screen Panel Reference

The following topics list descriptions for all fields and options on Network Security's green screen panels. To view screens for the browser interface, see Browser Interface Screen Descriptions.

# Add Object List Entry panel

```
NS3221 PowerTech Network Security 14:38:31 Add Object List Entry LANCELOT

Object List . . : PERSONNEL Personnel files

Library . . . : ACCTPAY name, *generic*, <UNKNOWN> name, *generic*

Type . . . . : *FILE 

F3=Exit F4=Prompt F12=Cancel
```

#### How to Get There

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter a 8 in the Opt column on one of the Object Lists, then enter a 1 in the top slot under the Opt column and press Enter.

### What it Does

The Add Object List Entry panel allows you to add an entry to an Object List.

## **Options**

## Library

The Library is the name of the library in which an object exists. This name is required to be a valid OS name. You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the Wildcard Character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to indicate that the Object List Entry pertains only to unqualified objects whose library cannot be determined.

## **Object**

Object is the name of an object in a library. This name is required to be a valid OS name. You can use the Generic Character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard Character to indicate that a partial value is to be used for selection.

## **Type**

Object Type is the type of an object in a library.

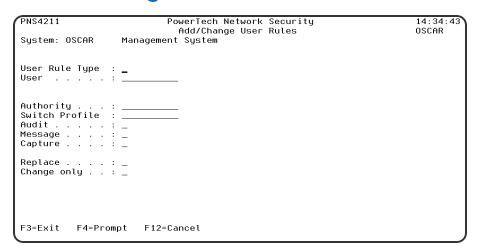
## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel)** Exit the panel without processing any pending changes.

# Add/Change User Rules



### **How to Get There**

Enter option 2 on the <u>Network Security Main Menu</u> to display the <u>Work with Security by User panel</u>. Press F2 to display the Add User Rules panel.

### **What it Does**

The Global Rule Facility panel allows you to create user rules for all Servers.

These rules will have a Function of \*ALL.

## **Options**

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

### User

User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

# **Authority Property**

The authority assigned for servers and their functions.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*REJECT Network Security will reject requests for the specified location. This is valid for both location and user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user.

- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location. This is valid for both location and user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user.
- \*SRVFCN Network Security will use the authority defined for the server/function. This is valid for both location and user.

### Switch

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

### **Audit Property**

The audit property controls the type of requests Network Security will log.

Possible values are:

- \* Use the audit value for the server/function.
- Y Log all requests by the location/server/function.
- N Only log authority failures for the location/server/function.

Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

## Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Possible values are:

- \* Use the audit value for the server/function.
- Y A message is sent to the Network Security message queue.
- N No message is sent.

## Capture

Capture transactions for Memorized Transaction Request (MTR).

Possible values are:

- \* Use the audit value for the server/function.
- Y Capture transactions.
- N Do not capture transactions.

### **Change existing**

The Change existing option controls whether any existing rules are updated or not updated.

The valid values are Y and N.

## **Change only**

If this is set to 'N' then new rules are added and (depending on the setting for Replace) existing rules are changed.

If this is set to 'Y' then only existing rules are changed.

The valid values are Y and N.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel) Exit the panel without processing any pending changes.

# **Add Location Rules**

PNS4311	PowerTech Network Security Add/Change Location Rules	14:44:08 0SCAR
System: OSCAR	Management System	OSCHIN
Location :		
Authority : Switch Profile : Audit : Message : Capture :	<del></del>	
Replace : Change only :		
F3=Exit F4=Promp	ot F12=Cancel	

### **How to Get There**

Enter option 3 on the Network Security Main Menu to display the Work with Security by Location panel. Press F2 to display the Add Location Rules panel.

### What it Does

The Global Rule Facility panel allows you to create location rules for all Servers.

# **Options**

These rules will have a Function of \*ALL.

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Authority Property**

The authority assigned for servers and their functions.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*REJECT Network Security will reject requests for the specified location. This is valid for both location and user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location. This is valid for both location and user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMUSR Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will check server user authority. This is only valid for location.
- \*USER Network Security will check server user authority. This is only valid for location.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required. This is valid for both location and user.
- \*SRVFCN Network Security will use the authority defined for the server/function. This is valid for both location and user.
- \*SAME Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

### **Switch Profile Property**

The name of a switch profile for this location/server/function. If a profile name is supplied, processing is swapped to run under this profile's authority. This is only valid for authorities \*SWITCH and \*MEMSWITCH.

Possible values are:

\*NONE No switch profile is being used.

**switch-profile** The switch profile to process under. It must be an active profile residing on the AS/400.

\*SRVFCN Network Security will use the switch profile defined for the server/function. Network Security will use the switch profile defined for the server/function.

\*SAME Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

## **Audit Property**

The <u>audit property</u> controls the type of requests Network Security will log.

Possible values are:

**Y** Log all requests by the location/server/function.

**N** Only log authority failures for the location/server/function.

\* Use the audit value for the server/function.

Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

## Message

The message property entry will determine if Network Security sends a message to the Network Security message queue.

Possible values are:

**N** No message is sent.

**Y** A message is sent to the Network Security message queue.

\* Use the audit value for the server/function.

**S** Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

### **Capture**

Capture transactions for Memorized Transaction Request (MTR).

Possible values are:

**N** Do not capture transactions.

Y Capture transactions.

\* Use the audit value for the server/function.

**S** Network Security will not change the existing settings and will not create new rules when the All Servers option is taken. This is valid for both location and user.

# Change existing

The Change existing option controls whether any existing rules are updated or not updated.

The valid values are Y and N.

## Change only

If this is set to 'N' then new rules are added and (depending on the setting for Replace) existing rules are changed.

If this is set to 'Y' then only existing rules are changed.

The valid values are Y and N.

# Powertech Audit Report Command

The LPWRRPT command provides a command-line interface to Network Security audit reports. This allows scheduling reports through the job scheduler or other scheduling function.

PowerTech Audit	Report comma	and (LPWRRPT)		
Type choices, press Enter.				
Report Type	<u>u</u>	_ *USER, *LOCATION, *SERVER U, G		
User Id	*ALL	Name, *ALL		
Group Name	<u>*ALL</u> *ALL			
Server to report	*ALL	Server name, *ALL		
Function to report Transaction type	<u>∗ALL</u> ∗RUN	Function name, *ALL *RUN, *UPDATE, *READ, *MODIFY		
Journal type	*ALL	*ALL, *ALLOW, *REJECT		
Detail Report	<u>∗NO</u> ∗NONE	*YES, *NO, *TRAN Date. *BEGIN. *NONE		
From date	*BEGIN_	Time, *BEGIN		
To date	*NONE	Date, *END, *NONE		
To time	<u>*END</u> *WEEK	Time, *END *DAY, *WEEK, *MONTH, *NONE		
Week start day	*SUN	*SUN, *MON, *TUE, *WED		
F3=Exit F4=Prompt F5=Refresh	F12=Cancel	More		
F24=More keys	112 dancet	To now to doc this disptag		

## **Field Descriptions**

## Report type (RPTTYP)

Specifies the name of the basic report type. This requests a report selecting by user, location, server/function, user group or transaction. This is a required parameter.

The possible values are:

- \*USER A report by user should be run.
- \*LOCATION A report by location should be run.
- \*SERVER A report by server/function should be run.
- \*TRANSACTION A report by network transaction type should be run.
- \*GRPPRF A report by iSeries Group Profile should be run.
- \*ACCNTCDE A report by iSeries Recount Code should be run.
- \*PWRLCKGRP A report by Network Security Group should be run.

# **User Type (USERTYPE)**

Specifies whether the user field is a user profile or a User Group.

Allowed values are:

**U** The user field is a user profile.

**G** The user field is a User Group

## **User ID (USER)**

Specifies the user profile to run the report over.

This is an optional parameter.

Allowed values are:

\*ALL Print the report including all user profiles.
user IDEnter a valid user profile identifier. Or, if the User Type is G, enter the User Group name.

## **Group Name (GROUP)**

Specifies group (Account Code, Network Security, Grp Profile) for the report.

This is an optional parameter.

Allowed values are:

\*ALL Print the report including all Groups of selected type.

**Group ID** Enter a valid user Group identifier for selected group type.

\*NOGRP Print the report and do not include records associated with groups.

## Location ID (LOCATION)

Specifies the SNA or TCP/IP location to run the report over.

This is an optional parameter.

Allowed values are:

\*ALL Print the report including all locations.

location Enter a valid SNA or TCP/IP location. This can be a location name or a TCP/IP address.

# **Server to Report (SVR)**

Specifies the server to run the report over.

This is an optional parameter.

Allowed values for server are:

\*ALL Print the report including all servers. server-name Enter a valid server name.

# **Function to Report (FNC)**

Specifies the function to run the report over. This is an optional parameter.

Allowed values for function are:

\*ALL Print the report including all functions. function-name Enter a valid function name.

# Transaction type (TRNTYP)

Specifies the network transaction type to run the report over. This is an optional parameter.

#### Allowed values are:

- \*RUN The report shows requests to run commands and/or programs.
- \*UPDATE The report shows requests to update data.
- \*READ The report shows requests to read data.
- \*MODIFY The report shows requests to modify data.

## Journal type (JRNTYP)

Specifies the type of journal entry to include in the report.

This is an optional parameter.

Allowed values are:

- \*ALL The report includes all Network Security journal entries.
- \*ALLOW The report shows only Network Security allowed entries.
- \*REJECT The report shows only Network Security rejected entries.

## **Detail report (DTLREPORT)**

Specifies whether a detail-level report is generated. This is an optional parameter.

Allowed values are:

- \*NO A detail-level report will not be generated.
- \*YES A detail-level report will be generated.
- \*TRAN A detail-level report will be generated and the transaction will be printed.

## From date (FRMDAT)

Specifies the beginning date to include in the report when a date range is requested. This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

- \*BEGIN The report will begin with the oldest transactions in the journal.
- \*NONE The from-date is not specified. Note: This is only meaningful when a PERIOD report is requested.

date The report will begin with transactions from [including] this date. Note: If old journal receivers have been deleted, they must be restored if entries are to be included from their dates.

# From time (FRMTIM)

Specifies the beginning time to include in the report when a date range is requested. This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

\*BEGIN The report will begin with transactions in the journal with no time limit. time The report will begin with transactions from (including) this time.

# To date (TODAT)

Specifies the ending date to include in the report when a date range is requested. This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

- \*END The report will end with the newest transactions in the journal.
- \*NONE The to-date is not specified. Note: This is only meaningful when a PERIOD report is requested.

date The report will end with transactions from (including) this date.

## To time [TOTIM]

Specifies the ending time to include in the report when a date range is requested. This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

\*END The report will end with transactions in the journal with no time limit. time The report will end with transactions up to (including) this time.

## **Prior Period [PERIOD]**

Specifies the period type when a period report is requested. Period reports are intended to be run at regularly scheduled intervals. The available periods are day, week and month. Periods are considered as "prior day", "prior week" and "prior month" and are used to avoid specifying dates for every scheduled run. Use the COUNT parameter to request multiple periods. This is an optional parameter. This parameter is mutually exclusive with FRMDAT and TODAT.

Allowed values are:

- \*DAY The report includes entries for the dag prior to the run-date of this command.
- \*WEEK The report includes entries for the week period prior to the run-date of this command.
- \*MONTH The report includes entries for the month period prior to the run-date of this command.

## week start day (STRDAY)

Specifies the starting dag for a weekly period report.

When a period report is requested and the period type is \*WEEK, this specifies the first day of each weekly period. The default is set for Sunday, but any day can be chosen. This allows weekly reports based on the customer definition of a "week". This is an optional parameter. This parameter is meaningful only when period is \*WEEK.

Allowed values are:

- **\*SUN** The report includes entries for a week period beginning on Sunday and ending on Saturday.
- \*MON The \*WEEK report begins on a Monday.
- \*TUE The \*WEEK report begins on a Tuesday.
- \*WED The \*WEEK report begins on a Wednesday.
- \*THU The \*WEEK report begins on a Thursday.
- \*FRI The \*WEEK report begins on a Friday.
- \*SAT The \*WEEK report begins on a Saturday.

## Period count [COUNT]

Specifies the number of prior periods to include when a period report is requested. This is an optional parameter.

Allowed values are:

**1** The report will include a single period.

**count** The report will include as many periods as are given here. Note: If old journal receivers have been deleted, they must be restored if entries are to be included from their dates.

## Output type [OUTPUT] Specifies the form of output.

This requests the output in either printed or database form or in a .CSV streamfile.

This is a required parameter.

The possible values are:

\*PRINT The output should be a printed report.

\*OUTFILE The output should be directed to a database file.

\*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location identified in the GNUI Report Output control file (PNSGRO).

## **Create file [CRTFILE]**

Specifies whether the output file should be created if it does not exist. This is an optional parameter.

Allowed values are:

\*NO The output file should not be created. The command will fail if the file does not exist.

\*YES The file will be created if it does not exist when the command executes.

## IFS report name (RPTNAM)

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible values are:

**report-name** Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in the users home directory. This report name identifies report requests.

# Output file (OUTFILE)

Specifies the name of the database file that will contain the selected output.

The possible values are:

database-file-name Enter the name of the database file that will contain the selected output.

The possible library values are:

\*CURLIB The current library will be used. If you have not assigned a library as the current library, OGPL will be used.

**library-name** Enter the name of the library where the database file is located.

## **Output member options (OUTMBR)**

Specifies the member name and option when output is directed to a database file. This is an optional parameter. This is only meaningful when OUTPUT[\*OUTFILE] is selected.

Allowed values for member are:

\*FIRST The first [or only] member receives the output. member-name Enter a valid member name.

Allowed values for option are:

\*REPLACE The member data is replaced by this output.

\*ADD The existing member data is kept and this output is added to the end of the member.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F9 (All Parameters): Shows all available parameters.

F11 (Keywords): Displays command keywords on the entry fields.

F12 (Cancel): Exit the panel without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

F14 (Command string): Displays the command string based on the panel configuration.

F24 (More keys): Shows additional function keys that can be used for this display.

# Authorities by Location Report (SBMLOCREP)

The Authorities by Location Report (SBMLOCREP) command produces the "Location/IP Address Rules Listing" report. The report can optionally list the Object Rules and Memorized Transaction associated with the Locations printed on the report.

# **Options**

## **Location (LOC)**

Specifies the location or locations whose rules will be included in the report. Specifying \*ALL for this parameter will include rules for all locations.

The valid values are:

**Location** Specify a Location (an IP address, IP Address Group name, SNA device). \***ALL** Includes rules for all locations.

### **Include Object Rules (INCLOBJRUL)**

Indicate whether you would like the Object Rules printed on the report for each Location Rule printed.

The valid values are:

- \*YES Object Rules are printed on the report.
- \*NO Object Rules are not printed on the report.

## **Include Memorized Transactions (INCLMEMTRN)**

Indicate whether you would like the Memorized Transactions printed on the report for each Location Rule printed.

The valid values are:

- \*YES Memorized Transactions are printed on the report.
- \*NO Memorized Transactions are not printed on the report.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

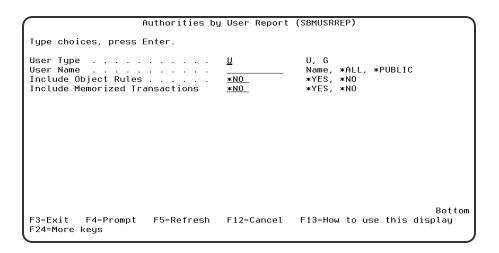
F12 (Cancel): Exit the panel without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

F24 (More keys): Shows additional function keys that can be used for this display.

# Authorities by User Report (SBMUSRREP)

The Authorities by User Report (SBMUSRREP) command produces the "User Rules Listing" report. The report can optionally list the Object Rules and Memorized Transaction associated with the users printed on the report.



### **Options**

### **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

**U** The associated User field refers to an O/S user profile.

**G** The associated User field refers to a Network Security user group.

## **User Name (USR)**

Specify the name of a user whose rules are to be listed.

The valid values are:

Name A specific user profile.

\*ALL All users.

\*PUBLIC The user level for non-specific users.

# **Include Object Rules (INCLOBJRUL)**

Indicate whether you would like the Object Rules printed on the report for each User Rule printed.

The valid values are:

\*YES Object Rules are printed on the report.

\*NO Object Rules are not printed on the report.

# Include Memorized Transactions (INCLMEMTRN)

Indicate whether you would like the Memorized Transactions printed on the report for each User Rule printed.

The valid values are:

\*YES Memorized Transactions are printed on the report.

\*NO Memorized Transactions are not printed on the report.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

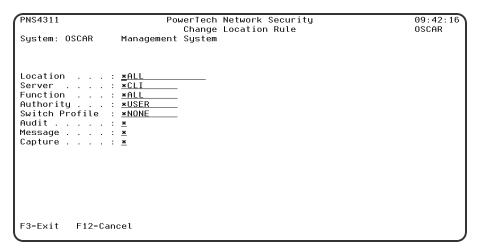
F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

F23 (More keys): Shows additional function keys that can be used for this display.

# **Change Location Rule panel**



### How to Get There

On the Work with Security by Location panel, choose 2 for a Location Rule.

### What it Does

The Change Location Rule panel allows you to modify a Location Rule's attributes.

# **Field Descriptions**

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Authority Property**

The authority assigned to the location for this server/function. If authority is left blank, Network Security will remove the location's entry.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the location.
- \*REJECT Network Security will reject requests for the specified location.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required.
- \*SRVFCN Network Security will use the authority defined for the server/function. Switch The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- N Logs only access failures (rejects) for this rule.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

### **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

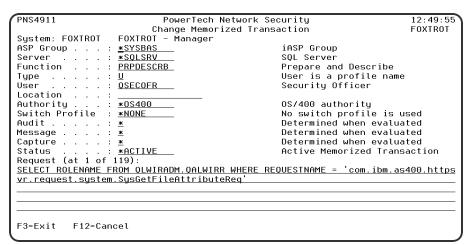
### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Change Memorized Transaction



### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **11**. On the <u>Work with Memorized Transactions</u> panel, choose option **2** for a Memorized Transaction and press Enter.

### What it Does

The Change a Memorized Transaction panel allows you to make changes to some of the attributes of a Memorized Transaction. Those attributes that are input capable can be changed.

### **Options**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

## **ASP Group**

When a memorized transaction is evaluated, this ASP Group name will be compared to the current ASP Group name of the job issuing the transaction. These need to be the same (or this must be set to the special value \*ALL) for this memorized transaction to be considered a match.

### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points. This field cannot be changed.

### **Function**

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE. This field cannot be changed.

## **Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This displays the User to which this Memorized Transaction applies. If blank, then this is for a specific Location. If the value is \*PUBLIC, the transaction applies to all users. This field cannot be changed.

### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used

as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. This displays the Location to which this Memorized Transaction applies. If blank, then this is for a specific User. If the value is \*ALL, the transaction applies to all locations. This field cannot be changed.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

Specify the new Authority value for the rule. You can press F4 to see a list of valid values that can be specified. The list of valid values may include one or more of these values:

- \*USER Current user authority is used.
- \*0S400 Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS400 Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.
- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

### **Audit transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. Specify one of these values for Audit transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Logs all requests when this rule is enforced.
- \*NO Logs only access failures (rejects) for this rule.

## Send messages

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Sends a message when this rule is enforced.
- \*NO Does not send a message when this rule is enforced.

### **Capture transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. Specify one of these values for Capture transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Captures the transaction when this rule is enforced.
- \*NO Does not capture the transaction when this rule is enforced.

### Switch profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. Specify the new Switch profile value or one of these special values:

- \*NONE No Switch profile is to be used. This is the only value allowed unless you have specified \*SWITCH or \*MEMSWITCH for Authority.
- \*SRVFCN Use the Switch profile specified for the Server Function.

#### **Status**

This is the status of the Memorized Transaction.

Possible values are:

\*ACTIVE Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

\*INACTIVE Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

### **Transaction**

The Memorized Transaction against which incoming transactions are tested. If a match is found, then this rule will be invoked. Undisplayable characters in the transaction data are replaced by the mid—dot character (-).

You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string, when you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded.

# **Command Keys**

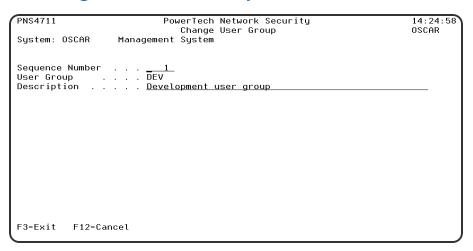
F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

Page Up/Page down: Rolls the transaction data up and down.

# **Change User Group**



### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **7**, Work with User Groups, then choose **2** for a Group.

### What it Does

The Change User Group panel allows you to modify a User Group's attributes.

# **Field Descriptions**

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

## Seq

Enter the sequence number used that will be used to determine the order in which this User Group will be evaluated by the exit point programs.

For example, if there are three User Rules with User Groups for a specific Server/Function, and all three have USER1 as a member, then the User Rule for the User Group with the lowest sequence number will be used by the exit programs (if a User Rule with the specific user name of 'USER1' is not found).

### Name

The User Group name is a short name you assign to a group of user profiles to help you identify the group.

This name is required to be a valid OS name.

### **Description**

The User Group description is a short textual description of the User Group. It is typically used to indicate the purpose or contents of the User Group.

## **Command Keys**

**F3=Exit:** Exit the current panel without processing any pending changes.

**F12=Cancel:** Exit the current panel without processing any pending changes.

# **Change Object List**

### **How to Get There**

To view these options, from the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter a 2 in the Opt column on one of the Object Lists.

### What it Does

The Change Object List panel allows you to modify an Object List's attributes.

# **Field Descriptions**

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

## **Type**

The Object List type determines what type of entries can be added to an Object List. Object lists can hold native object specifications (library, object and type) or paths to IFS objects.

Valid values are:

**Q** The Object List entries are native object specifiers.

I The Object List entries are paths to IFS objects.

### **Description**

The Object List description is a short textual description of the Object List. It is typically used to indicate the purpose or contents of the Object List.

## **Command Keys**

**F3** (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# **Change Object List Entry**

```
PowerTech Network Security
Change Object List Entry

Object List . . : BENVPRT2

Library . . . : DUPLIB
Object . . . : DUPOBJ
Type . . . . : *PDFMAP

F3=Exit F4=Prompt F12=Cancel
```

### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 8 in the Opt column on one of the Object Lists. In the <u>Work with Object List Entries panel</u>, enter 2 in the Opt column for one of the libraries.

### What it Does

The Change Object List Entry panel allows you to modify an Object List Entry's attributes.

# **Options**

## Library

The Library is the name of the library in which an object exists. This name is required to be a valid OS name. You can use the <u>Generic Character</u> (\*) to indicate that a partial value is to be used for selection. In some circumstances you may also use the Wildcard Character (?) to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to indicate that the Object List Entry pertains only to unqualified objects whose library cannot be determined.

## **Object**

Object is the name of an object in a library. This name is required to be a valid OS name. You can use the Generic Character (\*) to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard Character (?) to indicate that a partial value is to be used for selection.

## **Type**

Object Type is the type of an object in a library.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Change Object Rule by User

```
NS3331
                                                                                                                 13:05:14
                                        PowerTech Network Security
                                        Change Object Rule by User
                                                                                                                TATOOSH
                                            *PUBLIC
Object List . . . . . . STEVE
                                                                      STEVES LIST
Operation .
Status . . .
                                                                      F4 for list *ACTIVE, *INACTIVE
                              *INACTIVE
  Data Accesses:
                                                                      *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
   Send Messages . . . N
Capture Transactions . N
Switch Profile . . . *NONE
Object Accesses:
   Authority
Audit Transactions . . *

Audit Transactions . . *
                                                                      *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
   Send Messages . . . . . Capture Transactions . .
   Switch Profile . . . . *NONE
F3=Exit F4=Prompt F12=Cancel
```

### How to Get There

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter an 9 in the Opt column on one of the Object Lists. On the Object Rules using Object List panel, enter a 2 in the Opt column and a User. Press Enter to display the Change Object Rule by User panel.

### What it Does

The Change Object Rule by User panel allows you to modify an Object Rule's attributes.

## **Options**

### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used

as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

### Operation

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non—modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

### **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

Y The transaction will be logged to the Log Journal.

N The transaction will not be logged to the Log Journal.

\* The default value from a prior rule will control the logging.

## **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

## **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

# **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

\*OS400 The transaction will be allowed and object authority will be determined by the operating system.

\*REJECT The transaction will not be allowed.

\*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

Y The transaction will be logged to the Log Journal.

N The transaction will not be logged to the Log Journal.

\* The default value from a prior rule will control the logging.

### **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### Capture Transactions

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Change Object Rule by Location

```
NS3321
                                                                                                 13:06:24
                                  PowerTech Network Security
                               Change Object Rule by Location
                                    *BENGRP
Location .
                                                            IP Address Group
. . . . . BENP112
                                                            rest is fun. F4 for list
                                                            *ACTIVE, *INACTIVE
Data Accesses:
                                                            *OS400, *REJECT, *SWITCH
  Authority ..... Audit Transactions . . .
                                     *0S400
                                                            Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
   Send Messages
   Send Messages . . . . . No Capture Transactions . . No
  Switch Profile . . . . \underline{\underline{*}}NONE
Object Accesses:
  *OS400, *REJECT, *SWITCH
                                                            Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
  Send Messages . . . . N
Capture Transactions . . N
   Switch Profile . . . . *NONE
F3=Exit F4=Prompt F12=Cancel
```

### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a 2 in the Opt column and a Location. Press Enter to display the Change Object Rule by Location panel.

### What it Does

The Change Object Rule by Location panel allows you to modify an Object Rule's attributes.

## **Options**

### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

# **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

## Operation

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

\*ALL Applies to all of the above types of operations.

- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non-modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

#### **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- Y The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

### Send Messages

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

### **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

**Y** A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

### **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

\*OS400 The transaction will be allowed and object authority will be determined by the operating system.

\*REJECT The transaction will not be allowed.

\*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

**Y** The transaction will be logged to the Log Journal.

N The transaction will not be logged to the Log Journal.

\* The default value from a prior rule will control the logging.

### **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

### Command Keys

**F3** (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Change Server Function Rule panel

```
PNS4111
                        PowerTech Network Security
                       Change Server Function Rule
Sustem: OSCAR
                Management Sustem
                *FTPSERVER iSeries FTP Server
Function . . . : *ALL
Enforce Server rules . . . . : Y
Server filter rule properties:
*SYSTEM
                             <u>*</u>
N
 Message
 Switch Profile
                              *NONE
 Supplemental Exit Program
                              *NONE
F3=Exit F4=Prompt F5=Refresh F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 1, <u>Work with Security by Server</u>. Under the Options column, type **SP** for a server and press **Enter** to access the Change Server Function Rule panel.

#### What it Does

The Change Server Function Rule panel allows you to change one or more of the properties for a selected server. To change a server property, type over the existing value and press Enter. Server Function Rules provide processing control to Powertech's exit programs and also act as defaults for server function values.

### **Options**

You can enter the following values in the Change Server Function Rule panel. The server name and description display at the top of the window and cannot be changed.

### **Network Security rules enforced?**

The Powertech rules defined for this server are enforced. (This value is referenced under the "Rules Active" column in the Work with Security by Server panel. This value is referenced under the "Rules Active" column of the Product Configuration panel in the Insite web UI.)

Possible values are:

**Y** Enter Y to activate the Powertech rules defined for this server.

N Enter N if you don't want to activate the Powertech rules for this server.

### **Authority**

The authority assigned to the server. The value you enter is used when \*SERVER authority is placed on a server function.

Possible values are:

- \*SYSTEM Use the authority defined for the system.
- \*OS400 Allow the transaction without taking any action.
- \*REJECT Reject all requests for the transaction.
- \*SWITCH Switch the job to run as the user profile specified in the switch profile field. A switch profile entry is required.
- \*MEMOS400 Check Memorized Transactions (MTR) for authority. If no MTR authority is found, allow the transaction.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is found, reject requests for the server.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is found, use the authority of the switch profile for the server. A switch profile entry is required.
- \*MEMOBJ Check Memorized Transactions (MTR) for authority. If no MTR authority is found, check the transaction against the object rules.

#### **Audit**

Controls the type of requests Network Security will log.

Possible values are:

Y Log all requests to the server.

N Log only authority failures for the server.

\* Use the default system value for the system.

### Send message on rejected request

Specifies if Network Security sends a message to the message queue specified in Powertech's System Values.

Possible values are:

**Y** A message is sent to the specified queue.

**N** No message is sent.

\* Use the default system value for the system.

### **Capture Transactions**

Capture transactions for Memorized Transaction Request (MTR).

Possible values are:

Y Capture transactions.

**N** Do not capture transactions.

\* Use the default system value for the system.

#### **Switch Profile**

The name of a switch profile for this server. If you enter a profile name, processing is swapped to run under this profile's authority. This is only valid for authorities \*SWITCH and \*MEMSWITCH.

Possible values are:

\*NONE No switch profile is being used.

switch-profile The switch profile to process under. It must be an active profile on the IBM i system.

\*SYSTEM Use the switch profile defined for the system.

### **Supplemental Exit Program**

The exit program to run after Powertech's exit program has processed a request successfully. The supplemental exit program is called only for authorities \*OS400, \*MEMOS400, \*SWITCH, and \*MEMSWITCH if the transaction has not been rejected by Network Security rules. Network Security's rules must be enforced for a supplemental exit program to run.

Possible values are:

\*NONE No supplemental exit program is to run.

exit-program-name The name of the supplemental exit program to run after Powertech's exit program completes normally. It must be a valid object name and exist on the IBM i system.

### Library

Enter the name of the library where the supplemental exit program is found. It must be a valid object name and exist on the IBM i system.

### **Command Keys**

**F3** (Exit): Exit the program without processing any pending changes.

F4 (Prompt): Displays a list of values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

# Change Socket Rule panel

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

```
PNS4A11
                            Powertech Network Security
                                                                            14:03:51
                                  Change Socket Rule
                                                                            OSCAR
Sustem
                     OSCAR
                               Management System
                     QSOLISTEN
                     LSTN0100
              . : <u>99999</u>
Sequence
Description .
Authority .
Message
.
Capture .
Active . . . .
Test
F3=Exit
          F12=Cancel
```

#### **How to Get There**

On the Work with Socket Rules panel, choose option 1, 2, or 3. Then choose option 2.

#### What it Does

The Change Socket Rule panel allows you to modify a Socket Rule's attributes.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing

passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### Sequence

The sequence number of a Socket Rule determines the order in which it will be evaluated by the exit program, with the lowest sequence number being evaluated first. Socket Rules are evaluated until a match is found.

### **Description**

The Socket Rule description is a short textual description of the Socket Rule. It is typically used to indicate the purpose of the Socket Rule.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The valid values are:

Y Network Security will allow requests when this rule is enforced.

N Network Security will reject requests when this rule is enforced.

\* Uses the value found in the rule above this one in the rule hierarchy when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Audit

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Logs all requests when this rule is enforced.

N Logs only access failures (rejects) for this rule.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Capture

The Capture transactions flag controls whether transactions are remembered in Network Security. Unlike some other rule types, a captured Socket Rule cannot be memorized.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### **Active**

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

- Y Network Security will evaluate the rule.
- N Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

#### **Test**

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

The valid values are:

Y The Socket Rule test facility will evaluate the rule.

N The Socket Rule test facility will not evaluate the rule.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# Change Socket Rule Condition panel

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

PNS4B11	Powertech Network Security Change Socket Rule Condition	13:48:25 OSCAR
System	: OSCAR Management System	oseine
	: QSOLISTEN	
	: LSTN0100	
Socket Rule .	: 99999 Default	
Sequence	: <u>1</u>	
Connector	: <del></del>	
Field		
	: <u>ALWAYS</u>	
Criteria	· · ·	
F3=Exit F4=	=Prompt F12=Cancel	
	•	

#### **How to Get There**

On the Work with Socket Conditions panel, choose 2 for a condition.

#### What it Does

The Change Socket Condition panel allows you to modify the attributes of a Socket Condition.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### Socket Rule

The Socket Rule to which this Socket Condition belongs. A Socket Rule without a Socket Condition, or with an invalid Socket Condition, will not be enforced.

### Sequence

The sequence number of a Socket Condition determines the order in which it is combined with other Socket Conditions for a Socket Rule.

#### Connector

The connector determines how a Socket Condition relates to other Socket Conditions for a Socket Rule.

Socket Conditions with a higher order of precedence are evaluated before ones with a lower order of precedence.

The connector for the Socket Condition with the lowest sequence number is ignored.

#### **EXAMPLE:**

Given three Socket Conditions:

```
Seq = 10 Connector = <ignored> evaluates to False
Seq = 20 Connector = AND evaluates to True
Seq = 30 Connector = OR evaluates to True
```

This will return True as it is equivalent to:

(False AND True) OR True

If the OR were evaluated first then it would return False as it would be equivalent to:

False AND (True OR True)

The valid values are:

**OR** This Socket Condition is OR'ed with others. An OR has the lowest order of precedence (evaluated last).

**AND** This Socket Condition is AND'ed with others. An AND has a higher order of precedence than an OR, but lower than an ORAND.

**ORAND** This Socket Condition is OR'ed with others. An ORAND has the highest order of precedence (evaluated first).

#### **Field**

This is the name of the field to be evaluated at run time.

The valid values are dependent on the Socket Rule.

#### Valid values for the QSOLISTEN server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

**LCL USR** The user profile associated with the job issuing the listen.

LCL\_USR\_GRP A User Group containing the user profile associated with the job issuing the listen.

#### Valid values for the QSOCONNECT server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

RMT PORT The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security ip address groups.

**LCL\_USR** The user profile associated with the job issuing the connect.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the connect.

#### Valid values for the QSOACCEPT server are:

LCL\_IN\_PORT The local incoming port number; an integer between 1 and 65535. LCL\_BND\_PORT The local bound port number; an integer between 1 and 65535.

RMT PORT The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security ip address groups.

**LCL\_USR** The user profile associated with the job issuing the accept.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the accept.

### **Operator**

The test used for the value of the field and the criteria to evaluate this Socket Condition.

- = The value of the field is equal to the criteria, or, if the criteria can be a list, the value of the field is found in that list.
- <> The value of the field is not equal to the criteria, or, if the criteria can be a list, the value of the field is not found in that list.
- > The value of the field is greater than the criteria.
- < The value of the field is less than the criteria.
- >= The value of the field is greater than or equal to the criteria.
- <= The value of the field is less than or equal to the criteria.

#### **ALWAYS**

This will cause the condition to always match. It is used on the Socket Condition of the default Socket Rule, and may be used on non-default Socket Rules.

If present, it must be the only Socket Condition for a Socket Rule.

#### Criteria

This is the value against which the value of the selected field will be compared at run time.

The valid values are dependent on the selected Field.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of items from which one or more may be selected.

**F5** (Refresh): Refreshes the panel with the most current data.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Change User Rule panel

```
PNS4211
                                PowerTech Network Security
                                                                                        09:43:21
                                    Change User Rule
                                                                                        OSCAR
System: OSCAR
User Rule Type
                      MARKJ
User
Server
                      *FTPSERVER
Function
                      *0S400
Authority . . . : \underline{*0S40}
Switch Profile : \underline{*NONE}
Audit
Message
Capture
F3=Exit
            F12=Cancel
```

#### **How to Get There**

On the Work with Security by User panel, choose 2 for a User Rule.

#### What it Does

The Change User Rule panel allows you to modify a User Rule's attributes.

### **Field Descriptions**

### System

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

#### User

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### **Authority Property**

The authority assigned to the user for this server/function. If authority is left blank, Network Security will remove the user's entry.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the user.
- \*REJECT Network Security will reject requests for the specified user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*SRVFCN Network Security will use the authority defined for the server/function.

  Switch The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- N Logs only access failures (rejects) for this rule.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

### **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

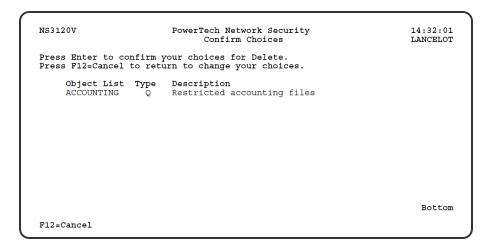
### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

## **Confirm Choices**



#### **How to Get There**

Variations of this panel appear, depending on the option chosen, whenever Network Security prompts you to confirm your choice.

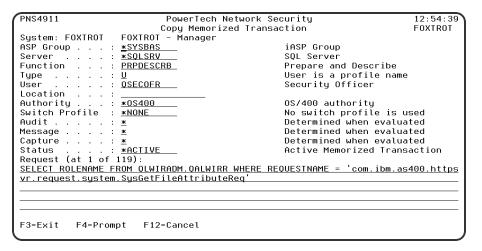
#### What it Does

The Confirm Choices panel asks you to confirm that you intend to delete the listed items. Press Enter to confirm your choices for Delete, or press F12 to return to change your choices.

### **Command Keys**

F12 (Cancel): Exit the panel without processing any pending changes.

# **Copy Memorized Transaction**



### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **11**. On the <u>Work with Memorized Transactions</u> panel, choose option **3** for a Memorized Transaction and press Enter.

#### What it Does

The Copy a Memorized Transaction enables you to copy a memorized transaction.

### **Options**

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### **Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. Specify the name of the User for the memorized transaction. You can create a memorized transaction that applies to all Users by specifying the special value \*PUBLIC. You can press F4 to see a list of valid values that can be specified.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. Specify the Location for the memorized transaction. You can create a memorized transaction that applies to all Locations by specifying the special value \*ALL.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

Specify the Authority value for the new memorized transaction. You can press F4 to see a list of valid values that can be specified.

The list of valid values may include one or more of these values:

- \*USER Current user authority is used.
- \*0S400 Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS400 Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.
- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.

- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

#### **Audit transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel.

Specify one of these values for Audit transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Logs all requests when this rule is enforced.
- \*NO Logs only access failures (rejects) for this rule.

### Send messages

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Sends a message when this rule is enforced.
- \*NO Does not send a message when this rule is enforced.

### Capture transactions

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

Specify one of these values for Capture transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Captures the transaction when this rule is enforced.
- \*NO Does not capture the transaction when this rule is enforced.

### Switch profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

Specify the new Switch profile value or one of these special values:

- \*NONE No Switch profile is to be used. This is the only value allowed unless you have specified
- \*SWITCH or \*MEMSWITCH for Authority.
- \*SRVFCN Use the Switch profile specified for the Server Function.

#### **Status**

This is the status of the Memorized Transaction.

#### Possible values are:

\*ACTIVE Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

\*INACTIVE Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

#### **Transaction**

The Memorized Transaction against which incoming transactions are tested. If a match is found, then this rule will be invoked. Undisplayable characters in the transaction data are replaced by the mid—dot character (-).

You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string, when you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded.

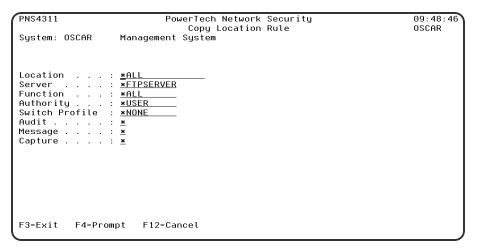
### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Copy Location Rule panel



#### **How to Get There**

On the Work with Security by Location panel, choose 3 for a Location Rule.

#### What it Does

The Copy Location Rule panel allows you to copy a Location Rule to a new Location.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### **Authority Property**

The authority assigned to the user for this server/function. If authority is left blank, Network Security will remove the user's entry.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the user.
- \*REJECT Network Security will reject requests for the specified user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*SRVFCN Network Security will use the authority defined for the server/function.

**Switch** The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- **N** Logs only access failures (rejects) for this rule.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

### Capture

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

### Command Keys

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Copy User Group

PNS4711	PowerTech Network Security	14:56:13 OSCAR
System: OSCAR	Copy User Group Management System	ОЗСНК
Sequence Number User Group Description		
New Sequence Num New User Group New Description	nber 	
F3=Exit F12=Ca	ancel	_

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **7**, Work with User Groups, then choose **3** for a Group.

#### What it Does

The Copy User Group panel allows you to copy a User Group to a new name. No entries currently in the User Group being copied will be copied to the new User Group as a user profile can only be in one User Group.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### **Sequence Number**

The sequence number that determines the order in which User Groups are evaluated by the exit point programs.

### **User Group**

The User Group name is a short name you assign to a group of user profiles to help you identify the group.

This name is required to be a <u>valid OS name</u>.

### **Description**

The User Group description is a short textual description of the User Group. It is typically used to indicate the purpose or contents of the User Group.

### **New Sequence Number**

The sequence number that determines the order in which User Groups are evaluated by the exit point programs.

### **New User Group**

The new name for the User Group.

### **New User Group Description**

The new description for the User Group.

### **Command Keys**

**F3=Exit:** Exit the current panel without processing any pending changes.

**F12=Cancel:** Exit the current panel without processing any pending changes.

# Copy Object List

NS3121	PowerTech Network Security Copy Object List	14:30:48 LANCELOT
Object List Type		
New Object List	·	
F3=Exit F12=Cancel		

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter a 3 in the Opt column on one of the Object Lists.

#### What it Does

The Copy Object List panel allows you to copy an Object List to a new name. All entries currently in the Object List being copied will be copied to the new Object List.

### **Field Descriptions**

### **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

### **Type**

The Object List type determines what type of entries can be added to an Object List. Object lists can hold native object specifications (library, object and type) or paths to IFS objects.

Valid values are:

**Q** The Object List entries are native object specifiers.

I The Object List entries are paths to IFS objects.

### **Description**

The Object List description is a short textual description of the Object List. It is typically used to indicate the purpose or contents of the Object List.

### **New Object List**

Specify the new name for the Object List.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# Copy Object List Entry

```
NS3221

PowerTech Network Security
Copy Object List Entry

Object List . . : BENVPRT2

Uses VPRT

Library . . . : DUPLIB
Object . . . . : DUPOBJ
Type . . . . : *PDFMAP

F3=Exit F4=Prompt F12=Cancel
```

#### **How to Get There**

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter an 8 in the Opt column on one of the Object Lists. In the Work with Object List Entries panel, enter 3 in the Opt column for one of the libraries.

#### What it Does

The Copy Object List Entry panel allows you to copy an Object List Entry to a new entry.

### **Options**

### Library

The Library is the name of the library in which an object exists. This name is required to be a valid OS name. You can use the <u>Generic Character</u> (\*) to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard Character (?) to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to indicate that the Object List Entry library name. Circumstances arise when an unqualified object reference cannot be resolved to the actual object on the system, so the library name cannot be determined. Network Security allows you to make Object Rules to cover these circumstances by specifying the <UNKNOWN> special value for the library portion of an Object List Entry.

### **Object**

Object is the name of an object in a library. This name is required to be a valid OS name.

### **Type**

Object Type is the type of an object in a library.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Copy Object Rule by Location

```
NS3321
                                                                                                     13:02:16
                                    PowerTech Network Security
                                   Copy Object Rule by Location
Ubject List BENP112
Operation *DELETE
Status . **BENGRP
**DELETE
                   . . . . . . *BENGRP
                                                               Location, *ALL
                                                               F4 for list
F4 for list
*ACTIVE, *INACTIVE
                              *INACTIVE
Data Accesses:
  *OS400, *REJECT, *SWITCH
                                                               Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
   User profile, *NONE
Object Accesses:
                                                               *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
  Authority . . . . . . \star Audit Transactions . . . \overline{N}
   Send Messages . . . . . Capture Transactions . .
   Switch Profile . . . . *NONE
F3=Exit F4=Prompt F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on

one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a **3** in the Opt column and a Location. Press Enter to display the Copy Object Rule by Location panel.

#### What it Does

The Copy Object Rule by Location panel allows you to create a new Object Rule using an existing <u>rule</u> as the basis for the new rule.

### **Options**

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

### **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

### **Operation**

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non-modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

### **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

### **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

### **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- **N** A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

### **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### Audit Transactions

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

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The valid values are:

- Y A log message will be sent to the Log Message Queue.
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The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### Switch Profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or

\*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Copy Object Rule by User

NS3331	PowerTech Network Security Create Object Rule by User		13:01:08 TATOOSH
User	· PAYROLL · *ALL	User profile, *PUBLIC F4 for list F4 for list *ACTIVE, *INACTIVE	
Data Accesses: Authority Audit Transactions Send Messages Capture Transactions . Switch Profile	· * · * · *	*OS400, *REJECT, *SWITCH Y=Yes, N=No, *=Default Y=Yes, N=No, *=Default Y=Yes, N=No, *=Default User profile, *NONE	
Object Accesses: Authority	· * · * · *	*OS400, *REJECT, *SWITCH Y=Yes, N=No, *=Default Y=Yes, N=No, *=Default Y=Yes, N=No, *=Default User profile, *NONE	

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a 3 in the Opt column and a User. Press Enter to display the Copy Object Rule by User panel.

#### What it Does

The Copy Object Rule by User panel allows you to create a new Object Rule using an existing <u>rule</u> as the basis for the new rule.

### **Options**

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

### **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

### Operation

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non—modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

#### **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- Y The transaction will be logged to the Log Journal.
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### **Send Messages**

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The valid values are:

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N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### **Capture Transactions**

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The valid values are:

Y A log message will be sent to the Log Message Queue.

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\* The default value from a prior rule will control the logging.

#### Switch Profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

### **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

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Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

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\*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

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- \* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

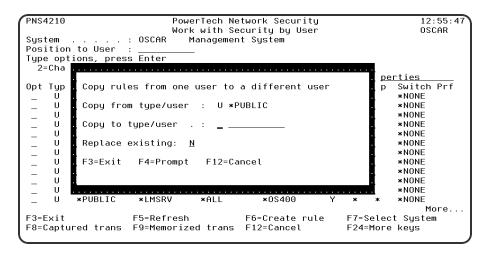
### Command Keys

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Copy Rules from One User to a Different User window



#### **How to Get There**

On the Work with Security by User panel, choose F10.

#### What it Does

This window supports copying user rules from one user to another.

### **Field Descriptions**

### Copy from type/user

This field lists the user or User Group being copied from.

### Copy to type/user • Replace Existing

If the target user is a user profile, enter a 'U' in the type field. If the target user is a User Group, enter an 'G' in the type field. The target user profile, or User Group, must exist for the rules to be copied.

Any existing rules for the target user, specified in the 'Copy to' field, may be replaced by selecting 'Y' for 'Replace existing'.

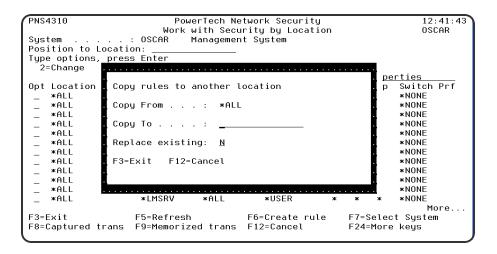
### **Command Keys**

F3 (Exit): Exit the window without processing any pending changes.

**F4** (**Prompt**): Will prompt for user profiles unless a 'G' is entered in the type field, in which case it will prompt for User Groups.

**F12** (Cancel): Exit the window without processing any pending changes.

# Copy Rules to Another Location window



#### **How to Get There**

On the Work with Security by Location panel, choose F10.

#### What it Does

This window supports copying location rules from one location to another.

### **Field Descriptions**

### **Copy From**

This field lists the location being copied from.

### Copy To · Replace Existing

Any existing rules for the target location, specified in the 'Copy to' field, may be replaced by selecting 'Y' for 'Replace existing'.

### **Command Keys**

F3 (Exit): Exit the window without processing any pending changes.

**F12 (Cancel):** Exit the window without processing any pending changes.

# Copy Socket Rule panel

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

```
PNS4A11
                             Powertech Network
                                Copy Socket Rule
Management System
                                                                                OSCAR
                      OSCAR
Sustem
                      QSOLISTEN
Function
                      LSTN0100
                    99999
Description .
                    Defaul
Authority .
Message
Capture
Test
           F12=Cancel
F3=Exit
```

#### **How to Get There**

On the Work with Socket Rules panel, choose option 1, 2, or 3. Then choose option 3.

#### What it Does

The Copy Socket Rule panel allows you to copy a Socket Rule.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### Sequence

The sequence number of a Socket Rule determines the order in which it will be evaluated by the exit program, with the lowest sequence number being evaluated first. Socket Rules are evaluated until a match is found.

### **Description**

The Socket Rule description is a short textual description of the Socket Rule. It is typically used to indicate the purpose of the Socket Rule.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The valid values are:

Y Network Security will allow requests when this rule is enforced.

N Network Security will reject requests when this rule is enforced.

\* Uses the value found in the rule above this one in the rule hierarchy when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### **Audit**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Logs all requests when this rule is enforced.

N Logs only access failures (rejects) for this rule.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Sends a message when this rule is enforced.

N Does not send a message when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security. Unlike some other rule types, a captured Socket Rule cannot be memorized.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### **Active**

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

Y Network Security will evaluate the rule.

N Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

#### **Test**

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

The valid values are:

Y The Socket Rule test facility will evaluate the rule.

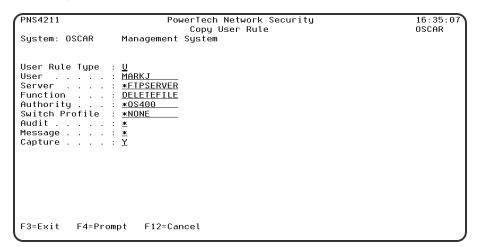
N The Socket Rule test facility will not evaluate the rule.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# Copy User Rule panel



#### **How to Get There**

On the Work with Security by User panel, choose 3 for a User Rule.

#### What it Does

The Copy User Rule panel allows you to copy a User Rule to a new User.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

#### User

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

# **Authority Property**

The authority assigned to the user for this server/function. If authority is left blank, Network Security will remove the user's entry.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the user.
- \*REJECT Network Security will reject requests for the specified user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified user.

\*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.

\*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.

\*SRVFCN Network Security will use the authority defined for the server/function. Switch The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Logs all requests when this rule is enforced.

N Logs only access failures (rejects) for this rule.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Sends a message when this rule is enforced.

N Does not send a message when this rule is enforced.

## Capture

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

**Y** Captures the transaction when this rule is enforced.

N Does not capture the transaction when this rule is enforced.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Create Object Rule by Location

```
NS3321
                                                                                                                                                            PowerTech Network Security
                                                                                                                                                                                                                                                                                                                                                                                                                                                    12:59:17
                                                                                                                                               Create Object Rule by Location
                                                                                                                                                                                                                                                                                                                                                                                                                                                    TATOOSH
                                                                                                                                                                                                                                                                               Location, *ALL
                                                                                                                                                                                                                                                                                F4 for list
F4 for list
*ACTIVE, *INACTIVE
                                                                            .....BENP102
Data Accesses:
                                                                                                                                                                                                                                                                               *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
          Send Messages . . . *
Capture Transactions . . *
Switch Profile . . . *
*NONE
Object Accesses:
           Authority
Audit Transactions . *

Audit Transactions . *

The state of the state of
                                                                                                                                                                                                                                                                                *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
                                                                                                                                  ...*OS400
            Send Messages . . . . . Capture Transactions . .
           Switch Profile . . . . *NONE
                                                                                                                                                                                                                                                                                 User profile, *NONE
F3=Exit F4=Prompt F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a 1 in the Opt column and a Location. Press Enter to display the Create Object Rule by Location panel.

#### What it Does

The Create Object Rule by Location panel allows you to create an Object Rule linking a Location to an Object List.

# **Options**

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

# **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

# **Operation**

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non—modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

#### **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- Y The transaction will be logged to the Log Journal.
- **N** The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

# **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as

rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

**Y** A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

# **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

\*OS400 The transaction will be allowed and object authority will be determined by the operating system.

\*REJECT The transaction will not be allowed.

\*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

**Y** The transaction will be logged to the Log Journal.

N The transaction will not be logged to the Log Journal.

\* The default value from a prior rule will control the logging.

# **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

#### Switch Profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Create Object Rule by Location

```
NS3321
                                     PowerTech Network Security
                                  Create Object Rule by Location
                                                                                                       TATOOSH
                                       TATOOSH
Location . . Object List
                                                                Location *ALL
                                                                F4 for list
*ACTIVE, *INACTIVE
Operation .
Status . . .
Data Accesses:
                                                                *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
User profile, *NONE
  *OS400
   Send Messages . . . . . Capture Transactions . .
   Switch Profile
Object Accesses:
                                                                *OS400, *REJECT, *SWITCH
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
Y=Yes, N=No, *=Default
                              . . . *OS400
   Send Messages . . . . . Capture Transactions . .
  Switch Profile . . . . \overline{*}NONE
                                                                User profile, *NONE
F3=Exit F4=Prompt F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object</u> panel. Select option 1 to display the Work with Object Lists panel, then enter an 9 in the Opt column on

one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a **1** in the Opt column and a Location. Press Enter to display the Create Object Rule by Location panel.

#### What it Does

The Create Object Rule by Location panel allows you to create an Object Rule linking a Location to an Object List.

## **Options**

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

## **Operation**

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non-modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

#### Data Accesses

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

### **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- **Y** A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

## **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- **N** A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

# **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### Audit Transactions

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

## **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### Switch Profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or

\*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# **Create Object List**

```
NS3121
                          PowerTech Network Securitu
                                                                          10:29:44
                              Create Object List
System: FOXTROT
                           - Manager
                  FOXTROT
                 . . . <u>ACCOUNTING</u>
Object List
                        Q
                        *SYSBAS
ASP Group
                        Restricted accounting files
Description
F3=Exit
          F4=Prompt
                       F12=Cancel
```

### **How to Get There**

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter a 1 in the Opt column on the first line of the Work with Object Lists panel. You can enter the Object List name, type, and description in the blank lines or press Enter to display the Create Object List panel.

#### What it Does

The Create Object List panel allows you to create an Object List. An Object list is simply a list of names of <u>objects</u>. These lists of objects are attached to Users or Locations on Object Rules. These rules help protect objects from outside access.

# **Field Descriptions**

# **Object List**

Enter a name for the Object List.

# Type

Specify the type of the Object List. An Object List can be one of the following types:

QSYS	The list contains objects in an IBM i library.
IFS	The Object List contains objects from the IFS.

To select an Object List type, press F4 to display the Select Object List Type window. Highlight a list type and press Enter to save your selection.



### **ASP Group**

This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Valid values:

\*SYSBAS The Object List entries refer to those objects in \*SYSBAS.

\*ALL The Object List entries refer to those objects in any namespace.

### **Description**

Enter a brief description of the Object List.

When you press enter to add the Object List, the message Object List successfully created displays at the bottom of the Work with Object Lists panel.

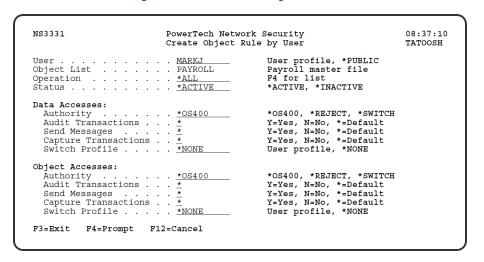
# **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the screen without processing any pending changes.

# Create Object Rule by User



#### How to Get There

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a 1 in the Opt column and a User name. Press Enter to display the Create Object Rule by User panel.

#### What it Does

The Create Object Rule by User panel allows you to create an Object Rule linking a User to an Object List. The Copy Object List Entry panel allows you to copy an Object List Entry to a new entry.

## **Options**

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

# **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

## **Operation**

Operation represents the type of action being performed upon an object or upon the data in an object.



Valid values and their meanings are:

- \*ALL Applies to all of the above types of operations.
- \*CREATE Applies to objects when they are being created or to their data when they are being added to an object; for example, when writing records to a database.
- \*READ Applies to non—modifying accesses of objects or the reading of an object's data.
- \*UPDATE Applies to changes to objects or changes to their data.
- \*DELETE Applies to deletion of objects or deletion of their data; for example, deleting records from a database file.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

# **Data Access Rights**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- Y The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

## **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- **N** A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

# **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### Audit Transactions

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

## **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- **N** A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### Switch Profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or

\*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# **Create Location Rule panel**

PNS4311	PowerTech	Network Security	13:12:09
System: OSCAR	Create Management System	Location Rule	OSCAR
Location : : Server : : Function : : Authority : : Switch Profile : Audit : : Message : : Capture : :	<u> </u>		
F3=Exit F12=Can	cel		

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **3** to display the <u>Work with Security by Location</u> panel. Press **F6** to create a new Location rule.

#### What it Does

The Create Location Rule panel allows you to create a Location Rule.

# **Field Descriptions**

# **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Authority Property**

The authority assigned to the location for this server/function. If authority is left blank, Network Security will remove the location's entry.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the location.
- \*REJECT Network Security will reject requests for the specified location.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required.
- \*SRVFCN Network Security will use the authority defined for the server/function. Switch The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- N Logs only access failures (rejects) for this rule.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

### **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

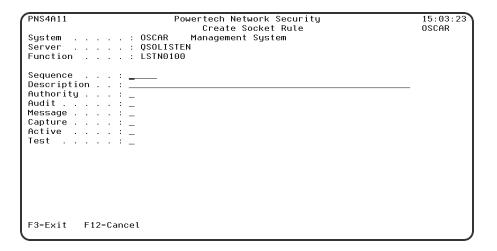
- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

### **Command Keys**

- F3 (Exit): Exit the panel without processing any pending changes.
- **F4** (**Prompt**): Displays a list of possible values from which you may select one.
- F12 (Cancel): Exit the panel without processing any pending changes.

# Create Socket Rule panel

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).



#### **How to Get There**

On the Work with Socket Rules panel, choose option 1, 2, or 3. Then press F6.

#### What it Does

The Create Socket Rule panel allows you to create a new Socket Rule.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### Sequence

The sequence number of a Socket Rule determines the order in which it will be evaluated by the exit program, with the lowest sequence number being evaluated first. Socket Rules are evaluated until a match is found.

# **Description**

The Socket Rule description is a short textual description of the Socket Rule. It is typically used to indicate the purpose of the Socket Rule.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The valid values are:

- Y Network Security will allow requests when this rule is enforced.
- N Network Security will reject requests when this rule is enforced.
- \* Uses the value found in the rule above this one in the rule hierarchy when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### **Audit**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Logs all requests when this rule is enforced.

**N** Logs only access failures (rejects) for this rule.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Message

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Sends a message when this rule is enforced.

N Does not send a message when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Capture

The Capture transactions flag controls whether transactions are remembered in Network Security. Unlike some other rule types, a captured Socket Rule cannot be memorized.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### **Active**

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

Y Network Security will evaluate the rule.

N Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

#### **Test**

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

The valid values are:

Y The Socket Rule test facility will evaluate the rule. N The Socket Rule test facility will not evaluate the rule.

### **Command Keys**

**F3** (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# **Create Socket Rule Condition panel**

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

PNS4B11	Powertech Network Security Create Socket Rule Condition	14:21:43 OSCAR
System Server	: OSCAR Management System : QSOLISTEN	
Function Socket Rule		
Sequence : Connector :		
Field :		
Operator : Criteria :	<del></del>	
F3=Exit F4=Promp	ot F12=Cancel	

#### **How to Get There**

On the Work with Socket Conditions panel, press F6.

#### What it Does

The Create Socket Condition panel allows you to create a Socket Condition.

# **Field Descriptions**

# **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### Socket Rule

The Socket Rule to which this Socket Condition belongs. A Socket Rule without a Socket Condition, or with an invalid Socket Condition, will not be enforced.

### Sequence

The sequence number of a Socket Condition determines the order in which it is combined with other Socket Conditions for a Socket Rule.

#### Connector

The connector determines how a Socket Condition relates to other Socket Conditions for a Socket Rule.

Socket Conditions with a higher order of precedence are evaluated before ones with a lower order of precedence.

The connector for the Socket Condition with the lowest sequence number is ignored.

#### **EXAMPLE:**

Given three Socket Conditions:

```
Seq = 10 Connector = <ignored> evaluates to False
Seq = 20 Connector = AND evaluates to True
Seq = 30 Connector = OR evaluates to True
```

This will return True as it is equivalent to:

(False AND True) OR True

If the OR were evaluated first then it would return False as it would be equivalent to:

False AND (True OR True)

The valid values are:

**OR** This Socket Condition is OR'ed with others. An OR has the lowest order of precedence (evaluated last).

**AND** This Socket Condition is AND'ed with others. An AND has a higher order of precedence than an OR, but lower than an ORAND.

**ORAND** This Socket Condition is OR'ed with others. An ORAND has the highest order of precedence (evaluated first).

#### **Field**

This is the name of the field to be evaluated at run time.

The valid values are dependent on the Socket Rule.

#### Valid values for the QSOLISTEN server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

LCL\_USR The user profile associated with the job issuing the listen.

LCL\_USR\_GRP A User Group containing the user profile associated with the job issuing the listen.

#### Valid values for the QSOCONNECT server are:

**LCL\_PORT** The local port number; an integer between 1 and 65535.

**RMT\_PORT** The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security IP address groups.

LCL\_USR The user profile associated with the job issuing the connect.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the connect.

#### Valid values for the QSOACCEPT server are:

LCL\_IN\_PORT The local incoming port number; an integer between 1 and 65535.

LCL\_BND\_PORT The local bound port number; an integer between 1 and 65535.

**RMT\_PORT** The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security IP address groups.

LCL\_USR The user profile associated with the job issuing the accept.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the accept.

### **Operator**

The test used for the value of the field and the criteria to evaluate this Socket Condition.

- = The value of the field is equal to the criteria, or, if the criteria can be a list, the value of the field is found in that list.
- <> The value of the field is not equal to the criteria, or, if the criteria can be a list, the value of the field is not found in that list.
- > The value of the field is greater than the criteria.
- < The value of the field is less than the criteria.
- >= The value of the field is greater than or equal to the criteria.
- <= The value of the field is less than or equal to the criteria.

#### **ALWAYS**

This will cause the condition to always match. It is used on the Socket Condition of the default Socket Rule, and may be used on non-default Socket Rules.

If present, it must be the only Socket Condition for a Socket Rule.

#### Criteria

This is the value against which the value of the selected field will be compared at run time.

The valid values are dependent on the selected Field.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of items from which one or more may be selected.

**F12 (Cancel):** Exit the panel without processing any pending changes.

# Create User Rule panel

PNS4211		Network Security User Rule	13:18:28 OSCAR
System: OSCAR	Management System		
User Rule Type: User: :: Server: :: Function: :: Authority: :: Switch Profile: Audit: :: Message: :: Capture: ::			
F3=Exit F12=Cand	el		

### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **2** to display the <u>Work with Security by User panel</u>. Press **F6** to create a new user rule.

#### What it Does

The Create User Rule panel allows you to create a User Rule.

# **Field Descriptions**

# **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

# **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

**U** The associated User field refers to an O/S user profile.

**G** The associated User field refers to a Network Security user group.

#### User

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Authority Property**

The authority assigned to the user for this server/function. If authority is left blank, Network Security will remove the user's entry.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the user.
- \*REJECT Network Security will reject requests for the specified user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMOS4OO Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location. This is valid for both location and user.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*SRVFCN Network Security will use the authority defined for the server/function.

  Switch The Switch profile holds the name of a user profile whose authority is used.
- **Switch** The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Logs all requests when this rule is enforced.

N Logs only access failures (rejects) for this rule.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Sends a message when this rule is enforced.

N Does not send a message when this rule is enforced.

### **Capture**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

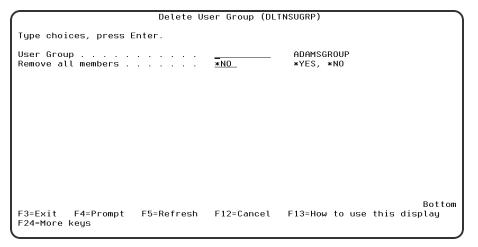
## **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the screen without processing any pending changes.

# Delete User Group (DLTNSUGRP)



#### What it Does

The Delete User Group command allows you to delete a User Group. Optionally you can also remove each User Group Member from the group prior to attempting to delete it.

### **Options**

# **User Group (NAME)**

Specify the name of the User Group to be deleted. The User Group must be empty unless you specify RMVMBRS(\*YES) on the command.

The User Group name is a short name you assign to a group of user profiles to help you identify the group.

This name is required to be a valid OS name.

# Remove all members (RMVMBRS)

This parameter allows you to remove each User Group Member from the group prior to attempting to delete it. Normally you must manually remove each User Group Member from the User Group prior to attempting to delete it. Specify one of the following values:

#### \*YES

Deletes each User Group Member from the User Group, then attempts to delete the User Group

#### \*NO

Attempts to delete the User Group as it exists when the command is executed. The command may fail if the User Group contains even a single User Group Member.

## **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the current panel without processing any pending changes.

# **Display Memorized Transaction panel**

```
PNS4911
                           PowerTech Network Security
                         Display Memorized Transaction
                                                                         FOXTROT
System: FOXTROT
                   FOXTROT
                             Manager
ASP Group .
                   *SYSBAS
                                                  iASP Group
Server
                   *SOLSRV
                                                 SQL Server
                   PRPDESCRB
                                                 Prepare and Describe
Function
                                                 User is a profile name
Type
                   OSECOFR
User
                                                 Security Officer
Location
                   *0$400
Authority
Switch Profile
                   *NONE
                                                 No switch profile is used
Audit
                                                 Determined when evaluated
Message
                                                 Determined when evaluated
                                                 Determined when evaluated
Capture
Status
                   *ACTIVE
                                                 Active Memorized Transaction
SELECT ROLENAME FROM QLWIRADM.QALWIRR WHERE REQUESTNAME = 'com.ibm.as400.https
vr.request.system.SysGetFileAttributeReq
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **11**. On the <u>Work with Memorized Transactions</u> panel, choose option **5** for a Memorized Transaction and press Enter.

#### What it Does

The Display Memorized Transaction panel enables you to display a memorized transaction.

### **Options**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

### **ASP Group**

When a memorized transaction is evaluated, this ASP Group name will be compared to the current ASP Group name of the job issuing the transaction. These need to be the same (or this must be set to the special value \*ALL) for this memorized transaction to be considered a match.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE. This field cannot be changed.

Special values are:

\*ALL The rule will apply to all Functions of the specified Server.

# **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

Special values are:

\*PUBLIC The rule will apply to all Users.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

Special values are:

\*ALL The rule will apply to all Locations.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The list of valid values may include one or more of these values:

- \*USER Current user authority is used.
- \*0S400 Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS400 Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.
- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

#### **Audit transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. Specify one of these values for Audit transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Logs all requests when this rule is enforced.
- \*NO Logs only access failures (rejects) for this rule.

# Send messages

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Sends a message when this rule is enforced.
- \*NO Does not send a message when this rule is enforced.

### **Capture transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. Specify one of these values for Capture transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Captures the transaction when this rule is enforced.
- \*NO Does not capture the transaction when this rule is enforced.

## Switch profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This field may hold the special value \*NONE in which case no Switch profile will be used.

#### **Status**

This is the status of the Memorized Transaction.

Possible values are:

\*ACTIVE Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

\*INACTIVE Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

#### **Transaction**

The Memorized Transaction against which incoming transactions are tested. If a match is found, then this rule will be invoked. Undisplayable characters in the transaction data are replaced by the mid—dot character (-).

You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string, when you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# **Display Captured Transaction panel**

```
PNS4815
                           PowerTech Network Security
                         Display Captured Transaction
                                                                        FOXTROT
System: FOXTROT
                  FOXTROT
                            Manager
ASP Group . .
                  *SYSBAS
                                                SQL Server
Server
                  *SQLSRV
Function
                                                 Prepare and Describe
User
                  OSECOFR
                                                Security Officer
Location
Journal Type
                  2018-06-29-18.06.39.567000
First Captured
Latest Capture
                  2018-07-02-08.48.32.936000
Request (at 1 of 119)
SELECT ROLENAME FROM OLWIRADM.OALWIRR WHERE REQUESTNAME = 'com.ibm.as400.https
vr.request.system.SysGetFileAttributeReq
F3=Fxit
          F12=Cancel
```

#### **How to Get There**

On the Work with Captured Transactions screen, choose 5 for a transaction.

#### What it Does

The Display Captured Transaction panel shows the properties for the captured transaction, including the Server, Function, User name, Location, Count (how many times this transaction has occurred), First Collected (the date and time when a transaction was first summarized into this record), Last Collected (the date and time when a transaction was last summarized into this record), and the type of action performed by Network Security for the transactions summarized into this record. The actual transaction string also displays.

# **Field Descriptions**

The Display Captured Transaction panel allows you to change some of the values for the captured transaction to fine tune it to your specification before you memorize it.

# **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

# **ASP Group**

This is the name of the ASP Group to which the job was set when the transaction was captured.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This is the User that initiated the transaction.

**NOTE:** The count includes only transactions that you've specified should be captured by Network Security. It does not reflect all network traffic and cannot be used for a general statistical analysis of network traffic.

#### Count

The number of times this exact transaction has been captured.

#### **Transaction**

This is the data handed to Network Security by the operating system. Much of this transaction data is binary in nature and may not be human-readable. Undisplayable characters in the transaction data are replaced by the mid—dot character (-).

## **Command Keys**

**F3** (Exit): Exit the current panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

**F11 (View):** Switches views of the list so that you can see other data.

**F12** (Cancel): Exit the current panel without processing any pending changes.

F16 (Sort/Subset): Allows you to sort and subset information by user, server, type, and/or transaction.

**F17** (**Top**): Positions the list panel to the first record.

**F18** (Bottom): Positions the list panel to the last record.

F19 (Left): Shifts the transaction data to the left.

**F20** (Right): Shifts the transaction date to the right.

# Display Object Rule by Location

```
NS3321
                                                                                                    12:51:06
                                    PowerTech Network Security
                                 Display Object Rule by Location
                                                                                                    TATOOSH
                                   . *BENGRP
Location . . Object List
                                                              IP Address Group
                  . . . . . . . BENP112
                                                               rest is fun.
Operation .
                                                              Deletion operations
Rule is not being enforced
Data Accesses:
  Use OS object authority
Transactions will not be audited
  Send Messages . . . N
Capture Transactions . N
Switch Profile . . . *NONE
                                                               Messages will not be sent
                                                              Transactions will not be captured
No switch profile specified
Object Accesses:
  Authority . . . . *0S400
Audit Transactions . . N
                                                              Use OS object authority
Transactions will not be audited
Messages will not be sent
Transactions will not be captured
  Send Messages . . . . N
Capture Transactions . . N
  Switch Profile . . . .
                                                              No switch profile specified
F3=Exit F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on one of the Object Lists. On the <u>Object Rules using Object List panel</u>, enter a 5 in the Opt column. Press <u>Enter</u> to display the Display Object Rule by Location panel.

#### What it Does

The Display Object Rule by Location panel shows you the detailed attributes of an Object Rule.

# **Column Descriptions**

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

# **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list.

# Operation

Operation represents the type of action being performed upon an object or upon the data in an object.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

# **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### Audit Transactions

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

## **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### Switch Profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

### **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

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The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

## Send Messages

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- **N** A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

See Specifying the Server/Functions for an Object Rule.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# Display Object Rule by User

NS3331	PowerTech Network Display Object Rul	
User Object List Operation	. DHQPADEV . *ALL	Darren Hagenson Helpsystems Programmen QPADEVxxxx All operations Rule is not being enforced
Data Accesses: Authority Audit Transactions Send Messages Capture Transactions Switch Profile	. Y . N . N	Block the transaction Transactions will be audited Messages will not be sent Transactions will not be captured No switch profile specified
Object Accesses: Authority Audit Transactions . Send Messages Capture Transactions . Switch Profile	. * . * . *	Reject the transaction Default value will be used Default value will be used Default value will be used No switch profile specified

#### **How to Get There**

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter an 9 in the Opt column on one of the Object Lists. On the Object Rules using Object List panel, enter a 5 in the Opt column and a User. Press Enter to display the Display Object Rule by User panel.

#### What it Does

The Display Object Rule by User panel shows you the detailed attributes of an Object Rule.

# **Column Descriptions**

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

### **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

### Operation

Operation represents the type of action being performed upon an object or upon the data in an object.

#### **Status**

Status indicates that an Object Rule is active (being enforced) or inactive (not being enforced).

#### **Data Accesses**

Use the data access rights to specify user rights to the data in the objects contained in the Object List.

### **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

#### **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Data Accesses.

The valid values are:

- **Y** The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

# **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Send Messages flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- **N** A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

# **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as

rules. This Capture Transactions flag pertains to Data Accesses.

The valid values are:

**Y** A log message will be sent to the Log Message Queue.

**N** A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

## **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

This Switch Profile pertains to Data Accesses.

## **Object Access Rights**

Use the object access rights to specify user rights to the objects in the Object List.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Object Accesses.

The valid values are:

\*OS400 The transaction will be allowed and object authority will be determined by the operating system.

\*REJECT The transaction will not be allowed.

\*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

## **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit Transaction flag pertains to Object Accesses.

The valid values are:

**Y** The transaction will be logged to the Log Journal.

N The transaction will not be logged to the Log Journal.

\* The default value from a prior rule will control the logging.

# **Send Messages**

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

## **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Send Messages flag pertains to Object Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

## **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch Profile pertains to Object Accesses.

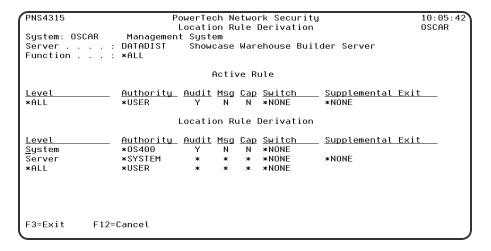
See Specifying the Server/Functions for an Object Rule.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

# **Location Rule Derivation**



### **How to Get There**

On the Work with Security by Location panel, choose 5 for a Location Rule.

### What it Does

The Powertech Location Rule Derivation panel displays the hierarchical inheritance of the current Location rule.

## **Options**

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

## Server

The server to which the current rule applies.

### **Function**

The name of the IBM server function to which the current rule applies.

#### Level

The Level column indicates from which inheritance level the specific values listed are derived.

The last entry in the Rule Derivation section is the level from which the Location Rule Display was requested. For example, if this display were requested from "Work with Authorities by Location", the last level would represent a location.

Valid values are:

System The system values level.

**Server** The server level.

**Function** The server function level.

\*ALL The location level for all locations.

## **Authority**

The authority assigned to the user for this rule.

#### **Switch**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

#### Audit

The audit property controls the type of requests Network Security will log.

Possible values are:

Y Log all requests by the location/server/function.

N Only log authority failures for the location/server/function.

\* Use the audit value from the prior level.

## Msg

The message property entry will determine if Network Security sends a message to the specified message queue for the user/server/function. Possible values are:

\* Use the audit value from the prior level.

**Y** A message is sent to the specified queue.

N No message is sent.

## Cap

Capture transactions for Memorized Transaction Request (MTR).

Possible values are:

- \* Use the audit value from the prior level.
- Y Capture transactions.

**N** Do not capture transactions.

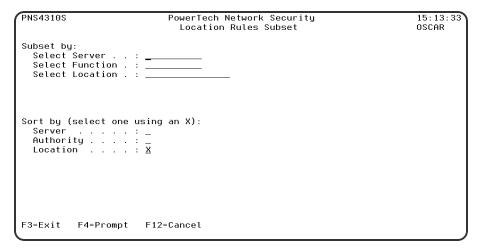
# **Command Keys**

F3 (Exit): Exit the program without processing any pending changes.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

# **Location Rules Subset panel**



### **How to Get There**

From the Work with Security by Location panel, press F16, Sort/Subset.

## **What it Does**

The Location Rules Subset panel allows you to select Location Rules for display that meet certain criteria. You can select Location Rules by Server, Function, or Location.

# **Options**

## **Select Server**

Specify the criteria for selection by Server name. Leaving this field blank includes all Server values.

You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the <u>Wildcard Character</u> to indicate that a partial value is to be used for

selection. Generic and Wildcard characters can be used at the beginning, end, or within a value and can be freely intermixed (you can use both characters in the same value).

### **Select Function**

Specify the criteria for selection by Function name. Leaving this field blank includes all Function values.

You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the <u>Wildcard Character</u> to indicate that a partial value is to be used for selection. Generic and Wildcard characters can be used at the beginning, end, or within a value and can be freely intermixed (you can use both characters in the same value).

### **Select Location**

Specify the criteria for selection by Location. Leaving this field blank includes all Location values.

You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the <u>Wildcard Character</u> to indicate that a partial value is to be used for selection. Generic and Wildcard characters can be used at the beginning, end, or within a value and can be freely intermixed (you can use both characters in the same value).

## Sort by (select one using an X):

The Location Rules Subset panel allows you to select Location Rules for that meet certain criteria. You can select a sort by one of the available fields.

## Server • Authority • Location

Select whether you would like to sort by Server name, Authority, or Location.

# **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

**F12 (Cancel):** Exit the screen without processing any pending changes.

# Location + User Pre-filter test

NS5200 System: HS42	PowerTech Network Security Loc+User Pre-Filter - Test HS42 - MANAGER	13:59:54 HS42
Server: Function: Location: User: Allow: Audit: Message: Capture:		
F3=Exit F4=Prompt	: F5=Refresh F7=Select System F	F12=Cancel

### How to Get There

From the Network Security Main Menu, choose option 6, Work with Pre-filters. Choose option 3.

## What it Does

The Loc+User Pre-filter test panel allows you to test a <u>server, function, location</u>, and <u>user</u>. It returns the Pre-filter settings of allow, audit, message, and capture as they would be computed had a transaction come into the system with those settings. The exit point is not checked to see if Network Security is active; this allows you to test without activating a server. This Pre-filter function allows you to specify certain actions for transactions before they are evaluated by the regular Powertech Network Security rules. The primary action is to allow or not allow a transaction — allowing it causes it to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction. These actions work exactly like their equivalents within Network Security rules processing. The Pre-filter function allows you to specify settings by server, function, location, and user. Records are shipped for a default system setting (this record has a server of \*ALL) and for default server settings. These records can be changed but not deleted. The system record must have either a 'Y' or an 'N' for each of the settings (allow, audit, message, and capture).

The Pre-filter function attempts to match the most specific record to the transaction. Once a match is found, the Pre-filter function processes the transaction based on those settings.

# **Field Descriptions**

#### Server

The name of the Powertech Network Security server for this Pre-filter record. You can prompt this field.

## **Function**

The name of the Powertech Network Security server function for this Pre-filter record. You can prompt this field. If the server field is not already on the screen, it would be prompted for first. A value of \*ALL shows on the prompt, but cannot be used for a test transaction.

#### Location

The location for this Pre-filter record. Valid special values are a Network Security location group. \*ALL is not allowed.

### User

The user profile or Network Security User Group for this Pre-filter record. A group profile is allowed. \*PUBLIC is not allowed.

### **Allow**

The returned setting for whether transactions matching this record should be allowed to continue to be processed by Network Security.

## **Audit**

The returned setting for whether transactions matching this record should have a journal entry written to the journal specified by the Network Security configuration.

## Message

The returned setting for whether transactions matching this record should have a message sent to the message queue specified by the Network Security configuration.

# Capture

The returned setting for whether transactions matching this record should be captured.

# **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

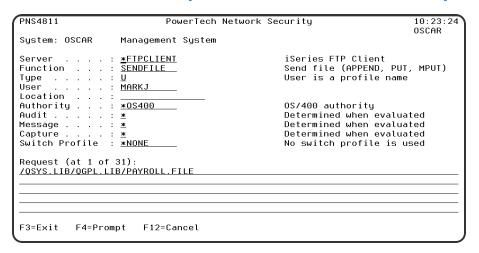
**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

**F12 (Cancel):** Exit the screen without processing any pending changes.

# Memorize Captured Transaction panel



### **How to Get There**

On the <u>Main Menu</u>, select option **10**. Then, in the <u>Work with Captured Transactions panel</u>, enter a **1** in the Opt column next to the transaction you want to memorize and press **Enter**.

## What it Does

The Memorize Captured Transaction panel enables you to memorize a <u>captured transaction</u> and specify the Authority that should be used whenever this transaction is processed in the future.

## **Field Descriptions**

### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

## **Function**

A <u>Function</u>, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

# **User Type (USERTYPE)**

Specifies whether the user field is a user profile or a Network Security User Group.

Allowed values are:

**U** The user field is a user profile.

**G** The user field is a Network Security User Group

#### User

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

## Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. Specify the Location to which this rule applies. Special values are:

\*ALL The rule will apply to all Locations.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. Specify the Authority value for the rule. You can press F4 to see a list of valid values that can be specified. The list of valid values may include one or more of these values:

- \*USER Current user authority is used.
- \*05400 Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS40O Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.
- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

## **Audit Transactions**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

Specify one of these values for Audit transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Logs all requests when this rule is enforced.
- \*NO Logs only access failures (rejects) for this rule.

## Send messages

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel.

Specify one of these values for Send messages:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Sends a message when this rule is enforced.
- \*NO Does not send a message when this rule is enforced. Capture transactions

## **Capture Transactions**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

Specify one of these values for Capture transactions:

- \*DEFAULT Uses the value found in the rule above this one in the rule hierarchy.
- \*YES Captures the transaction when this rule is enforced.
- \*NO Does not capture the transaction when this rule is enforced.

## Switch profile

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

Specify the new Switch profile value or one of these special values:

- \*SAME The Switch profile value on the rule will not be changed.
- \*NONE No Switch profile is to be used. This is the only value allowed unless you have specified \*SWITCH or \*MEMSWITCH for Authority.
- \*SRVFCN Use the Switch profile specified for the Server Function.

#### **Transaction**

This is the data handed to Network Security by the operating system. Much of this transaction data is binary in nature and may not be human—readable. Undisplayable characters in the transaction data are replaced by the mid—dot character (-). You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string, when you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded.

# **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the screen without processing any pending changes.

# Merge Data from Prior Version (MRGPRVNS)

```
Merge data from prior version (MRGPRVNS)
Type choices, press Enter.
                                                *NONE, *FORCE
Force run option . . . . . . .
                                  *NONE
Database conversion options:
 Add missing data .
                                  *ADD
                                                *ADD, *NOADD
  Update existing data . . . .
                                  *UPDATE
                                                *UPDATE, *NOUPDATE
 Delete extra data . . . . .
                                                *DELETE, *NODELETE
                                  *NODELETE
                                                *YES, *NO
Convert reporting users . . . .
                                  *NO
                                                                      Bottom
F3=Exit
        F4=Prompt
                   F5=Refresh
                                 F12=Cancel
                                               F13=How to use this displau
F24=More keys
```

## **How to Get There**

Prompt the command MRGPRVNS.

## What it Does

The installation program installs Network Security 7, but does not automatically import information from a previous version. The previous version exit programs remain active, allowing you to continue to use it as you become familiar with version 7 (as long as you do not activate Network Security 7). Once you've familiarized yourself with Network Security 7, use the Merge Previous NS (MRGPRVNS) command to merge rules from your previous version to version 7. You should review these rules and make any modifications necessary before activating version 7.

Merging data from a previous version of Network Security does not automatically activate version 7. You must still run the activation process on Network Security 7 to start using it. See Reactivating Network Security After an Upgrade (below).

**NOTE:** The files PLKCAP, PLKCAPCNT, and LNSCAP can be very large due to extensive data retention, and may extend the duration of the merge as Network Security converts data to the new data format. To expedite the merge process, we recommend clearing these files using CLRPFM. (These files include captured data that is essentially a duplicate of the Audit data for reporting. Captured transactions can go back years/decades and may not be relevant to current traffic.)

# **Options**

# **Force Run option**

Specifies that certain limitations in the migration are to be bypassed. This is useful if you have performed a migration once, made some changes, and need to run the migration again. Normally, the

migration is allowed to be executed only once.

\*NONE This value indicates that no options are being specified. The migration performs normally. \*FORCE This value indicates that the migration should be allowed to proceed even if it has been run already.

## **Database conversion options (CVTOPTS)**

This parameter contains some settings you may use to limit the amount of data migrated to the new version. This is a multi-part parameter consisting of the following elements:

Add missing data: Specify \*ADD to add data to the new version that is in the prior version but is missing from the new version. Specifying \*NOADD will not migrate missing data from the prior version.

**Update existing data:** Specify \*UPDATE to update data in the new version that exists in the prior version but is different to that in the prior version. Specifying \*NOUPDATE will Leave the data in the new version alone.

**Delete extra data** Specify \*DELETE to remove data from the new version that does not exist in the prior version. Specifying \*NODELETE will leave the data in the new version alone.

## Convert reporting users (CVTAUTH)

Reporting-only users were registered as members of a particular Authorization List in prior versions. Newer versions of Network Security employ the internal Product Security functions contained in Central Administration to control access to parts of the software.

\*NO This value indicates that no users will be transferred from the reporting Authorization List. \*YES This value indicates that the members of the reporting Authorization List named in the CVTAUTL() parameter will be attached to the Product Security Role you name on the CVTROLE() parameter.

# **Authorization list (CVTAUTL)**

Specifies the name of the Authorization list whose member users will be attached to the Role you name in the CVTROLE() parameter.

\*VERDFT This value indicates that the standard reporting Authorization list present in the prior version of the software will be used.

name: Specify the name of a different Authorization list to use.

# "Reports-only" Role name (CVTROLE)

Specifies the name of the Product Security Role to which the members of the Authorization list will be attached. You must have already created this Role in Product Security in Central Administration; this command will not create it for you.

**name** Specify the name of the Product Security Role to which the members of the Authorization list will be attached.

# **Command Keys**

**F3 (Exit):** Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

F24 (More keys): Exit the screen without processing any pending changes.

# **Object Rules using Object List**

```
NS3130
                                                                    14:09:09
                        PowerTech Network Security
                      Object Rules using Object List
                                                                    HS42
                 HS42 - MANAGER
Sustem: HS42
Object List . . . . : PERSONNEL
                                   Tupe: O Personnel files
Position to Location . :
Type options, press Enter
  1=Create 2=Change 3=Copy 4=Delete
                                           5=Display
                                                      8=Activate Rule ...
                                                     Data Accesses
                                 Operation Authority Aud Msg Cap Switch
Opt Location
                   User
       (No records to be displayed)
                                 F7=Select System
         F4=Prompt
                     F5=Refresh
                                                   F11=Object View
F12=Cancel F16=Sort/Subset F17=Print F19=Top F20=Bottom F23=More Options
```

## **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 9 in the Opt column on one of the Object Lists.

# **Object List**

The Object List named at the top of the screen is the Object List to which all the listed rules apply. The Object List name is followed by the Object List Type and description.

#### What it Does

The Object Rules using Object List panels allow you to work with Object Rules that refer to a single Object List. The Object List is named at the top of the screen. Only rules that refer to the Object List named at the top of the screen are listed. Any new rules that you add from this screen will apply to the Object List named at the top of this screen. Object Rules can be active or inactive. On this panel, the inactive rules are colored yellow and the active rules are colored green.

# **Options**

You can select from the following options on the Work with Object List Entries panel.

#### 1=Create

Enter a 1 next to an object rule to display the Create Object Rule by User panel, which allows you to create an Object Rule linking a User to an Object List. See <u>Create Object Rule by User panel</u> and <u>Create Object Rule by Location panel</u>.

## 2=Change

Enter a 2 next to an object rule to display the Change Object Rule by User or Change Object Rule by Location panels. Enter the changes you want to make and press <a href="Enter">Enter</a> to display the Select Target Server Functions for Object Rule panels. Select the servers/functions to create a new filter rule. Or, press <a href="Enter">Enter</a> without making a selection if you don't want to create a new filter rule. See <a href="Change Object Rule by User">Change Object Rule by User</a> panel and Change Object Rule by Location panel.

**NOTE**: If you don't select any servers/functions, no \*MEMOBJ filter rules are created. If no other \*MEMOBJ filter rules already exist for the user or location, the object rule is placed in \*INACTIVE status. If there are other \*MEMOBJ filter rules, the rule remains active.

## 3=Copy

Enter a 3 next an object rule to display the Copy Object Rule by User or Location panel. You can enter a new user or location name and make other changes to the values specified in the rule. Press Enter to display the Select Target Server Functions for Object Rule panels. Select the servers/functions to create a new filter rule. See Copy Object Rule by User panel and Copy Object Rule by Location panel.

**NOTE**: If you don't select any servers/functions, no \*MEMOBJ filter rules are created. If no other \*MEMOBJ filter rules already exist for the user or location, the object rule is placed in \*INACTIVE status. If there are existing \*MEMOBJ filter rules, the rule remains active.

### 4=Delete

Enter a 4 next to an object rule to delete it. A confirmation screen displays asking you to confirm the deletion. See <u>Deleting an Object Rule</u> for more information.

# 5=Display

Enter a 5 next to a rule to display the Display Object Rule by User or Location panel. You cannot make any changes on this screen, it is information only. See <u>Display Object Rule by User panel</u> and <u>Display Object Rule by Location panel</u>.

#### 8=Activate Rule

Enter an 8 next to a rule to activate it if it is inactive. A confirmation screen displays asking you to confirm the activation request. The Select Target Server Functions for Object Rule panels display allowing you to define a new filter rule. See <u>Confirm Choices screen</u>.

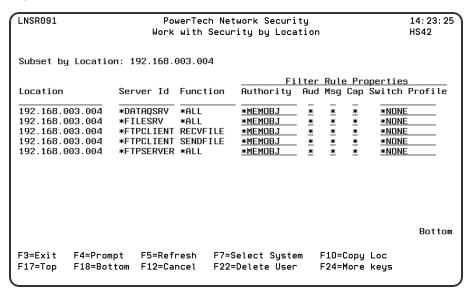
#### 9=Deactivate Rule

Enter a 9 next to a rule to deactivate it. A confirmation screen displays asking you to confirm the deactivation request. See <u>Confirm Choices screen</u>. If the rule is the last active rule for the user or location, the Specify Filter Rule Options screen displays so you can specify how to handle any \*MEMOBJ filter rules that exist for the object rule. See <u>Deleting an Object Rule</u> for more information.

# **LA=Location Authority**

**NOTE**: This option is not valid for a user rule.

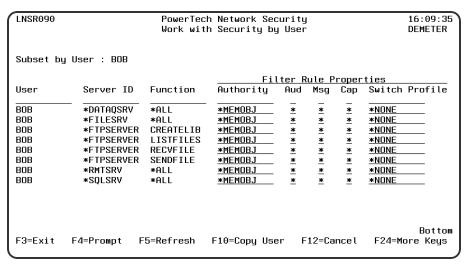
Enter LA next to a location rule to display the <u>Work with Security by Location panel</u>, which shows that the location object rule is now used for the servers/functions you selected. The Authority filter rules property is set to \*MEMOBJ for each server/function. This tells Network Security to check memorized transactions (MTR) for authority. If no MTR authority is found, it then checks the transaction against the object rules.



## **UA=User Authority**

NOTE: This option is not valid for a location rule.

Enter UA next to a user rule to display the Work with Security by User panel, which shows that the user object rule is now used for the servers/functions you selected. The Authority filter rules property is set to \*MEMOBJ for each server/function. This tells Network Security to check memorized transactions (MTR) for authority. If no MTR authority is found, it then checks the transaction against the object rules.



## **Field Descriptions**

## Opt

Enter a valid option from the list of options provided on the list panel.

### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

## Operation

The operation to which the rule applies.

- \*ALL The rule applies to all operations.
- \*CREATE The rule applies to attempts to create an object matching an entry defined in the Object List.
- \*READ The rule applies to attempts to read an object matching an entry defined in the Object List.
- \*UPDATE The rule applies to attempts to update an object matching an entry defined in the Object List.
- \*DELETE The rule applies to attempts to delete an object matching an entry defined in the Object List.

# **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

## **Audit**

The Audit flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Audit flag pertains to Data Accesses.

The valid values are:

- Y The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

## Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel. This Msg flag pertains to Data Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

## Cap

Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Cap flag pertains to Data Accesses.

The valid values are:

Y A log message will be sent to the Log Message Queue.

N A log message will not be sent to the Log Message Queue.

\* The default value from a prior rule will control the logging.

### **Switch**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile. Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch pertains to Data Accesses.

# **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

**F11 (Object View/Data Accesses):** Use this command key to switch between Object View and Data Accesses.

F12 (Cancel): Exit the screen without processing any pending changes.

**F16** (Sort/Subset): Allows you to sort and subset information location, user, and/or operation.

**F17** (**Print**): Prompts the PRTOBJL command to print the list of Object List Entries using your current sort/subset criteria.

**F19** (**Top**): Positions the list screen to the first record.

F20 (Bottom): Positions the list screen to the last record.

**F23 (More Options):** Displays more options at the top of the screen.

# **Operational Status panel**

## **How to Get There**

Press F22.

## What it Does

The Operational Status panel allows you to View and perform actions on the operational resources.

## **Column Descriptions**

## Opt

Enter a valid option from the list of options provided on the panel.

## Module

Shows the name of the software module that published the operational resource.

#### Resource

Shows the name of the operational resource.

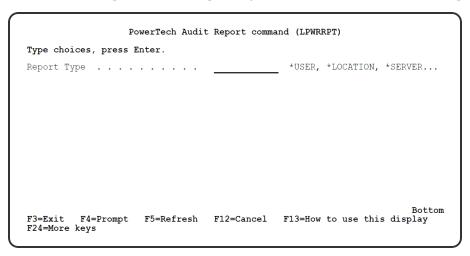
### Sts

Shows the status for the operational resource.

- Green: The resource is active.
- Red: The resource is not active.

# Powertech Audit Report Command

The LPWRRPT command provides a command-line interface to Network Security audit reports. This allows scheduling reports through the job scheduler or other scheduling function.



## **Field Description**

# **Report Type**

Specifies the name of the basic report type. This requests a report selecting by <u>user</u>, <u>location</u>, <u>server/function</u>, user group or transaction. This is a required parameter.

The possible values are:

- \*USER A report by user should be run.
- \*LOCATION A report by location should be run.
- \*SERVER A report by server/function should be run.
- \*GRPPRF A report by iSeries Group Profile should be run.
- \*ACCNTCDE A report by iSeries Account Code should be run.
- \*PWRLCKGRP A report by Network Security Group should be run.
- \*TRANSACTION A report by network transaction type should be run.

## **\*USER**

Specifies the user profile to run the report over.

This is an optional parameter.

Allowed values are:

\*ALL Print the report including all user profiles. user ID Enter a valid user profile identifier.

## **Group (GROUP)**

Specifies group (Account Code, Network Security, Grp Profile) for the report. This is an optional parameter.

Allowed values are:

\*ALL Print the report including all Groups of selected type.

**Group ID** Enter a valid user Group identifier for selected group type.

\*NOGRP Print the report and do not include records associated with groups.

# **Location (LOCATION)**

Specifies the SNA or TCP/IP location to run the report over. This is an optional parameter.

Allowed values are:

\*ALL Print the report including all locations.

location Enter a valid SNA or TCP/IP location. This can be a location name or a TCP/IP address.

# Server (SVR)

Specifies the server to run the report over. This is an optional parameter.

Allowed values for server are:

\*ALL Print the report including all servers. server-name Enter a valid server name.

## **Function (FNC)**

Specifies the function to run the report over.

This is an optional parameter.

Allowed values for function are:

\*ALL Print the report including all functions. function-name Enter a valid function name.

## **Transaction type (TRNTYP)**

Specifies the network transaction type to run the report over. This is an optional parameter.

Allowed values are:

- \*RUN The report shows requests to run commands and/or programs.
- \*UPDATE The report shows requests to update data.
- \*READ The report shows requests to read data.
- \*MODIFY The report shows requests to modify data.

# Journal entry type (JRNTYP)

Specifies the type of journal entry to include in the report.

This is an optional parameter.

Allowed values are:

- \*ALL The report includes all Network Security journal entries.
- \*ALLOW The report shows only Network Security allowed entries.
- \*REJECT The report shows only Network Security rejected entries.

# **Detail report (DTLREPORT)**

Specifies whether a detail—level report is generated.

This is an optional parameter.

Allowed values are:

- \*NO A detail—level report will not be generated.
- \*YES A detail—level report will be generated.

# From date (FRMDAT)

Specifies the beginning date to include in the report when a date range is requested.

This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

- \*BEGIN The report will begin with the oldest transactions in the journal.
- \*NONE The from-date is not specified.

**NOTE:** This is only meaningful when a PERIOD report is requested.

date The report will begin with transactions from (including) this date.

**NOTE**: If old journal receivers have been deleted, they must be restored if entries are to be included from their dates.

## From time (FRMTIM)

Specifies the beginning time to include in the report when a date range is requested. This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

\*BEGIN The report will begin with transactions in the journal with no time limit. time The report will begin with transactions from (including) this time.

## To date (TODAT)

Specifies the ending date to include in the report when a date range is requested.

This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

\*END The report will end with the newest transactions in the journal.

\*NONE The to-date is not specified.

**NOTE:** This is only meaningful when a PERIOD report is requested.

date The report will end with transactions from (including) this date.

# To time (TOTIM)

Specifies the ending time to include in the report when a date range is requested. This is an optional parameter. This parameter is mutually exclusive with PERIOD.

Allowed values are:

\*END The report will end with transactions in the journal with no time limit. time The report will end with transactions up to (including) this time.

# Period (PERIOD)

Specifies the period type when a period report is requested. Period reports are intended to be run at regularly scheduled intervals. The available periods are day, week and month. Periods are considered as "prior day", "prior week" and "prior month" and are used to avoid specifying dates for every scheduled run. Use the COUNT parameter to request multiple periods. This is an optional parameter. This parameter is mutually exclusive with FRMDAT and TODAT.

Allowed values are:

- \*DAY The report includes entries for the day prior to the run—date of this command.
- \*WEEK The report includes entries for the week period prior to the run—date of this command.
- \*MONTH The report includes entries for the month period prior to the run—date of this command.

## Week start day (STRDAY)

Specifies the starting day for a weekly period report. when a period report is requested and the period type is \*WEEK, this specifies the first day of each weekly period. The default is set for Sunday, but any day can be chosen. This allows weekly reports based on the customer definition of a "week". This is an optional parameter. This parameter is meaningful only when period is \*WEEK.

#### Allowed values are:

- \*SUN The report includes entries for a week period beginning on Sunday and ending on Saturday.
- \*MON The \*WEEK report begins on a Monday.
- \*TUE The \*WEEK report begins on a Tuesday.
- \*WED The \*WEEK report begins on a Wednesday.
- \*THU The \*WEEK report begins on a Thursday.
- \*FRI The \*WEEK report begins on a Friday.
- \*SAT The \*WEEK report begins on a Saturday.

## Period count (COUNT)

Specifies the number of prior periods to include when a period report is requested. This is an optional parameter.

Allowed values are:

**1** The report will include a single period. **count** The report will include as many periods as are given here.

**NOTE**: If old journal receivers have been deleted, they must be restored if entries are to be included from their dates. Output type (OUTPUT) Specifies the form of output.

# **Output type (OUTPUT)**

Specifies the form of output.

This requests the output in either printed or database form or in a .CSV streamfile.

This is a required parameter.

The possible values are:

- \*PRINT The output should be a printed report.
- \*OUTFILE The output should be directed to a database file.
- \*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location identified in the GNUI Report Output control file (PNSGRO).

# **Create file (CRTFILE)**

Specifies whether the output file should be created exist. This is Allowed an optional parameter.

Allowed values are:

- \*NO The output file should not be created. The command will fail if the file does not exist.
- \*YES The file will be created if it does not exist when the command executes.

## IFS report name (RPTNAM)

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible values are:

**report**—**name** Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in the users home directory. This report name identifies report requests.

## **Output file (OUTFILE)**

Specifies the name of the database file that will contain the selected output. The possible values are: database—file—name Enter the name of the database file that will contain the selected output.

The possible library values are:

database—file—name Enter the name of the database file that will contain the selected output. The possible library values are:

\*CURLIB The current library will be used. If you have not assigned a library as the current library, QGPL will be used.

**library—name** Enter the name of the library where the database file is located.

## **Output member options (OUTMBR)**

Specifies the member name and option when output is directed to a database file.

This is an optional parameter. This is only meaningful when OUTPUT(\*OUTFILE) is selected.

Allowed values for member are:

\*FIRST The first (or only) member receives the output. member-name Enter a valid member name.

Allowed values for option are:

\*REPLACE The member data is replaced by this output.

\*ADD The existing member data is kept and this output is added to the end of the member.

# **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

**F24** (More keys): Shows additional function keys that can be used for this display.

# Work with Pre-filters

```
PNS4600
R07M081170124
                                PowerTech Network Security
                                                                                      09:53:19
                                  Work with Pre-Filters
                                                                                      OSCAR
                               Working with system OSCAR
Select one of the following:
1. Work with Server Pre-filters
2. Work with Loc+User Pre-filters
      3. Test Loc+User
Selection or command
                                                  F9=Retrieve
           F4=Prompt
                          F7=Select System
                                                              F22=Status
                                 F16=System Main Menu
F13=Information Assistant
(c) Copyright The PowerTech Group, Inc.
```

## **How to Get There**

From the Network Security Main Menu, select option 6, Work with Pre-filters.

## **Options**

Option 1 Use this option to work with Server Pre-filters. See Location + User Pre-filter panel.

Option 2 Use this option to work with Pre-filters. See Location + User Pre-filter panel.

Option 3 Use this option to test Pre-filter setup. See Location + User Pre-filter Test panel.

## What it Does

The Powertech Network Security Work with Pre-Filters offers a launchpad for maintaining Network Security settings and for reporting on Network Security activities.

The pre-filter functions allow you to specify certain actions for transactions before they are evaluated by the regular Powertech Network Security rules. The primary action is to allow or not allow a transaction - allowing it causes it to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction. These actions work exactly like their equivalents within Network Security rules processing.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IBM i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# **Print Object List**

The Print Object List (PRTOBJL) command allows you to print a listing of the Object Lists you have configured. The Object List Entries can be printed, as well as the Object Rules that protect a given Object List.

```
Print Object List (PRTOBJL)
Type choices, press Enter.
Subset by:
  Object List
                                      <u>ACCOUNTING</u>
                                                      ASID, NEWIASP, SIDLIST
  Tupe
  ASP Group
                                      *SYSBAS
                                                      Character value
  Description
                                      Restricted accounting files
Sort by:
  Object List
ASP Group
                                                      1-3, *NO
                                                      1-3, *NO
1-3, *NO
  Description
Include Usage
                                                      *YES, *NO
                                                                                Bottom
          F4=Prompt
F3=Exit
                        F5=Refresh
                                      F12=Cancel
                                                     F13=How to use this display
F24=More keys
```

# **Options**

# Subset by (SUBSET):

This is a multi-part parameter consisting of three elements. If you leave any of the elements blank, the report will not be subset using that element. The elements are:

## **Object List**

Specify criteria to subset by Object List name. You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the Wildcard Character to indicate that a partial value is to be used for selection.

#### **Type**

Specify criteria to subset by Object List Type.

#### **ASP Group**

Specify criteria to subset by ASP.

#### **Description**

Specify criteria to subset by Object List Description. You can use the Generic Character to indicate that a partial value is to be used for selection. In some circumstances you may also use the Wildcard Character to indicate that a partial value is to be used for selection.

## Sort by (SORTBY)

This is a multi-part parameter consisting of three elements. Indicate the order in which you would like the Object Lists to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

### **Object List**

Specify the sort order for Object List name.

### **Type**

Specify the sort order for Object List Description.

#### **iASP**

Specify criteria to subset by iASP.

### Description

Specify the sort order for the Object List description.

## **Include Entries (INCLENT)**

Specify if you want to include Object List entries for each Object List in the report. The default value is \*YES.

# Include Usage (INCLUSG)

**NOTE**: If you specify \*YES for Include Entries and Include Usage, additional fields display allowing you to further sort and subset the information to appear in the report.

Indicate whether you would like the Object List Usage information for each Object list to be printed on the report. If you specify \*NO, do not enter any subset or sorting criteria for Object List Usage information.

The valid values are:

- \*YES The Object List Usage information is printed on the report.
- \*NO The Object List Usage information is not printed on the report.

# **Object List Entries (ENTRIES)**

This is a multi-part parameter consisting of two groups of elements, one for subsetting the report and one for sorting it. This parameter is valid only when INCLENT(\*YES) is specified on the command.

The elements are:

## Subset by

This is a multi-part parameter consisting of four elements. If you leave any of the elements blank, the report will not be subset using that element.

The elements are:

### Library

Specify criteria to subset by Library name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to select Object List Entries that pertain only to unqualified objects whose library cannot be determined.

## **Object**

Specify criteria to subset by Object name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Type

Specify criteria to subset by Object Type.

#### **Path**

Specify criteria to subset by Path. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

# Sort by

This is a multi-part parameter consisting of four elements. Indicate the order in which you would like the Object List Entries to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

## Library

Specify the sort order for Library name.

### Object

Specify the sort order for Object name.

#### Type

Specify the sort order for Object Type.

#### **Path**

Specify the sort order for Path.

# **Object List Usage (USAGE)**

This is a multi-part parameter consisting of three groups of elements, one for subsetting the report, one for broadly selecting User or Location rules, and one for sorting the report. This parameter is valid only

when INCLUSG(\*YES) is specified on the command.

The elements are:

## Subset by

This is a multi-part parameter consisting of five elements. If you leave any of the elements blank, the report will not be subset using that element.

The elements are:

#### Location

Specify criteria to subset by Location. Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. You can use the Generic Character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Style of Location value

When the value you key begins with an asterisk, this element allows you to format your request to find a single IP Address Group or any Location value that ends with the value you keyed (after the asterisk).

Valid values are:

- \*GROUP List only rules that have the specified IP Address Group on them.
- \*ENDSWITH List rules with any value that ends with the value you keyed.

## Operation

Specify criteria to subset by operation.

## Show rules for

This is a multi-part parameter consisting of two elements. This parameter allows you to show Object List Usage information listing only Location-based or User-based Object Rules. At least one of these elements must be \*YES when you have specified INCLUSG(\*YES).

The elements are:

#### Location

Indicate whether you want Location—based Object Rules to appear in the Usage section of the report. If you have specified subset criteria for Location, this value must be \*YES. The valid values are:

- \*YES Location—based Object Rules will be included.
- \*NO Location—based Object Rules will not be included.

#### User

Indicate whether you want User—based Object Rules to appear in the Usage section of the report. If you have specified subset criteria for User, this value must be \*YES. The valid values are:

\*YES User—based Object Rules will be included.

\*NO User—based Object Rules will not be included.

## Sort by

This is a multi-part parameter consisting of three elements. Indicate the order in which you would like the Object List Usage information to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

#### Location

Specify the sort order for Location. If you specified \*NO for Show rules for Locations then this value must be \*NO.

#### User

Specify the sort order for User. If you specified \*NO for Show rules for Users then this value must be \*NO.

## Operation

Specify the sort order for Operation.

## **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

F24 (More keys): Shows additional function keys that can be used for this display.

# **Print Object Rules**

The Print Object Rule (PRTOBJRUL) command allows you to print a listing of the Object Rules you have configured. The Object List Entries contained in the Object List named on the rule can also be listed.

Print Object Rule (PRTOBJRUL)			
Type choices, press Enter.			
Subset by:			
Location			
Style of Location value	*ENDSWITH	*GROUP, *ENDSWITH	
User		Character value	
Style of User value	*ENDSWITH	*PUBLIC, *ENDSWITH	
Object List		ACCOUNTING, FTPFILES	
Operation		*ALL, *CREATE, *READ	
Show rules for:			
Locations	*YES	*YES, *NO	
Users	*YES	*YES, *NO	
Sort by:			
Location	1	1-4, *NO	
User	2	1-4, *NO	
Object List	3	1-4, *NO	
Operation	4	1-4, *NO	
Include Entries	<u>*NO</u>	*YES, *NO	
		Bottom	
F3=Exit F4=Prompt F5=Refresh	F12=Cancel	F13=How to use this display	
F24=More keys			

## **Options**

# Subset by (SUBSET):

Use this parameter to subset the Object Rules printed on the report. This is a multi-part parameter consisting of six elements. If you leave any of the elements blank, the report will not be subset using that element. The elements are:

#### Location

Specify criteria to subset by Location. Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. You can use the <a href="mailto:generalcert">generic character</a> to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## Style of Location value

When the value you key begins with an asterisk, this element allows you to format your request to find a single IP Address Group or any Location value that ends with the value you keyed (after the asterisk).

Valid values are:

- \*GROUP List only rules that have the specified IP Address Group on them.
- **\*ENDSWITH** List rules with any value that ends with the value you keyed.

#### User

Specify criteria to subset by User. User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Style of User value

When the value you key is \*PUBLIC, this element allows you to format your request to find only rules for \*PUBLIC or any User value that ends with PUBLIC (like JIMPUBLIC, XPUBLIC, etc).

Valid values are:

- \*PUBLIC List only rules that have \*PUBLIC as the User value.
- \*ENDSWITH List rules with any value that ends with PUBLIC.

### **Object List**

Specify criteria to subset by Object list name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### Operation

Specify criteria to subset by Operation.

## Show rules for (SHOWFOR)

Use this parameter to include only Location—based rules or User—based rules in the report. You must select at least one by specifying \*YES. This is a multi-part parameter consisting of two elements.

The elements are:

#### Locations

Indicate whether you want Location—based Object Rules to appear in the report. The valid values are:

- \*YES Location—based Object Rules will be included.
- \*NO Location—based Object Rules will not be included.

#### User

Indicate whether you want User-based Object Rules to appear in the report.

The valid values are:

- \*YES User—based Object Rules will be included.
- \*NO User—based Object Rules will not be included.

# Sort by (SORTBY)

Use this parameter to sort the Object Rules printed on the report. Indicate the order in which you would like the Object Rules listed on the report. To omit an element from the sort, specify \*NO for that element. Duplicate values are not allowed; you cannot sort more than one field at any given position. This is a multi-part parameter consisting of four elements.

The elements are:

#### Location

Specify the sort order for Location.

#### User

Specify the sort order for User.

#### **Object List**

Specify the sort order for Object List name.

### Operation

Specify the sort order for Operation.

**NOTE:** If you specify \*YES for Include Entries and Include Usage, additional fields display allowing you to further sort and subset the information to appear in the report.

## **Include Entries (INCLENT)**

Indicate whether you would like the Object List Entries for each Object list to be printed on the report. The valid values are:

- \*YES The Object List Entries are printed on the report.
- \*NO The Object List Entries are not printed on the report.

## **Object List Entries (ENTRIES)**

This is a multi-part parameter consisting of two groups of elements, one for subsetting the report and one for sorting it. This parameter is valid only when INCLENT(\*YES) is specified on the command.

The elements are:

## Subset by

This is a multi-part parameter consisting of four elements. If you leave any of the elements blank, the report will not be subset using that element.

The elements are:

#### Library

Specify criteria to subset by Library name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection. You may specify <UNKNOWN> to select Object List Entries that pertain only to unqualified objects whose library cannot be determined.

#### Object

Specify criteria to subset by Object name. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

#### **Type**

Specify criteria to subset by Object Type.

#### **Path**

Specify criteria to subset by Path. You can use the generic character to indicate that a partial value is to be used for selection. In some circumstances you may also use the wildcard character to indicate that a partial value is to be used for selection.

## Sort by

This is a multi-part parameter consisting of four elements. Indicate the order in which you would like the Object List Entries to be listed on the report. To omit an element from the sort, specify \*NO for that element.

The elements are:

## Library

Specify the sort order for Library name.

### **Object**

Specify the sort order for Object name.

#### **Type**

Specify the sort order for Object Type.

#### **Path**

Specify the sort order for Path.

## **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

**F24** (More keys): Shows additional function keys that can be used for this display.

# **Print User Groups**

The User Group Report (SBMNSGREP) command produces the "User Group" report. This report lists User Groups and their members. User Groups Members are user profiles that have been added to User Groups.

# **Options**

# **Sort Order (SORTORDER)**

Specifies whether the report is to print in order of User Groups or by User Group Members.

Allowed values are:

**GROUP** The report is sorted by User Groups **MEMBER** The report is sorted by User Group Members.

## Select MEMBER (MEMBER)

Allows selection by member name. Only those members that match will print. This will not affect the selection and printing of the User Groups.

# **Select User Group (GROUP)**

Allows selection by User Group. Only those User Groups that match will print. This will not affect the selection and printing of members.

# Rename Object List

```
NS3121 PowerTech Network Security 14:34:04 LANCELOT

Object List ... PAYROLONLY Type ... .. . Q
Description ... Payroll files only

New Object List ... _____
```

## **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 7 in the Opt column on one of the Object Lists.

#### What it Does

The Rename Object List panel allows you to change the name of an existing Object List.

## **Field Descriptions**

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

## **Type**

The Object List type determines what type of entries can be added to an Object List. Object lists can hold native object specifications (library, object and type) or paths to IFS objects.

Valid values are:

**Q** The Object List entries are native object specifiers.

I The Object List entries are paths to IFS objects.

## **Description**

The Object List description is a short textual description of the Object List. It is typically used to indicate the purpose or contents of the Object List.

# **New Object List**

Specify the new name for the Object List.

# **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

F12 (Cancel): Exit the screen without processing any pending changes.

# Rules Maintenance panel

```
PSSR010
                           PowerTech Secure Screen
                                                                      09:07:42
                              Rules Maintenance
                                                                      HS42
System: HS42
                 HS42 - MANAGER
Type options, press Enter.
  2=Change 3=Copy
                    4=Delete
                                5=Display
               Identifier
                               Mask
                                                Nfy
                                                     Action
                                                                        Drop
Opt Tupe
                                                              Loa
  *DEVD
               BJOHNSON1
                               255.255.255.255 *MSG *ENDJOB *NOLIST
                                                                       *YES
                                                                        Bottom
F3=Exit
            F5=Refresh
                           F6=Add
                                     F7=Select System
                                                           F12=Cancel
F17=Top
            F18=Bottom
                           F20=Position List
```

### SecureScreen's Rules Maintenance panel

You can set up rules for using Secure Screen by defining filters. This function maintains the filters file PSSNAP. Filters are applied to jobs that reach an inactive timeout. The action taken depends on the filter that first matches the characteristics of the inactive job.

## **How to Get There**

To display the Rules Maintenance panel, select option **10** from the <u>SecureScreen menu</u>, or enter LEDTPSSFTR in the command line.

#### What it Does

The Rules Maintenance panel lists all filters you currently have in place, and their values. From the panel, you can add, change, copy, delete, and display filters.

# **Column Descriptions**

## Opt

Possible values are:

- 2=Change The selected filter is to be changed. See the Change a Filter panel
- **3=Copy** The selected filter is to be copied. See the Copy a Filter panel
- 4=Delete The selected filter is to be deleted
- 5=Display The selected filter is to be displayed. See the Display a Filter panel

# **Type**

The type of filter. There are six types of filters; possible values are:

\*DEVD Device Description

\*SBSD Subsystem Description

\*RMTLOC Remote Location

\*USRPRF User Profile

\*GRPPRF Group User Profile

\*ACGCDE Accounting Code

#### **Identifier**

Specifies the name of a device, subsystem or user, a remote location or an accounting code. A user can be either an individual user profile or a group profile. A location can be either an SNA location or an IP address. An accounting code is used by system job accounting and is normally found as an attribute of a user profile or a job description. Note that accounting codes may also be set dynamically by programs when a job is running. An IP address location should have an IP mask also specified.

#### Mask

Specifies the subnet mask to apply against an incoming IP address. If the incoming IP address masks to the IP address of the filter, the rule is enforced.

**Examples:** 

IP location: 10.0.1.5

Mask: 255.255.255.255

Matches: 10.0.1.5

IP location: 10.0.1.5

Mask: 255.255.255.0

Matches: 10.0.1.0 thru 10.0.1.255

IP location: 10.0.1.5

Mask: 255.255.255.254

Matches: 10.0.1.4 thru 10.0.1.5

IP location: 10.0.1.5

Mask: 255.255.255.128

Matches: 10.0.1.128 thru 10.0.1.255

**NOTE**: The last two examples show that the subnet mask must be applied by the monitor program to the filter IP address as well as to the remote location address. Because of this, the mask is applied when the filter is entered, and the masked address is what is actually stored in the filter record.

### **Notify Administrator**

Specifies to send a message to the administrator message queue when the job is inactive. The message queue name comes from the PSSANFYMQ data area.

The possible values are:

\*MSG The inactive message will be copied to the administrator message queue blank The value is ignored

#### Action

Specifies the action to take when an identifier is matched.

The possible values are:

- \*DSCJOB The job will be disconnected
- \*ENDJOB The job will be ended
- \*MSG A \*break message is sent to the workstation message queue of the inactive job. This is used when all that is wanted is a warning
- \*IGNORE No action is taken if a job matches this filter

### Log

Specifies the joblog option. This is only meaningful when the action is \*DSCJOB.

The possible values are:

- \*LIST Print the job log
- \*NOLIST Do not print the job log
- \*N Use the default from the \*DSCJOB command on your system

## Drop

Specifies whether or not the connection is to be dropped if the job is disconnected or ended.

The possible values are:

- \***DEVD** The drop value is taken from the device description
- \*YES The connection will be dropped
- \*NO The connection will be left available
- \*N The default from the \*DSCJOB command on your system is used

## **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F6 (Add): Add a new SecureScreen filter.

**F12 (Cancel):** Exit the screen without processing any pending changes.

**F17** (**Top**): Positions the list screen to the first record.

F18 (Bottom): Positions the list screen to the last record.

F20 (Position List):

## SecureScreen

SECSCREEN	PowerTech Network Security SecureScreen	10: 24: 56 HS42
	Working with system HS42	
Select one of the foll	-	
1. Start Secure S	creen Monitor	
2. End Secure Scr	een Monitor	
3. Set Secure Scr	een Notification Message Queue	
10. Work with Secu	re Screen Filters	
Selection or command		
	F7=Select System F9=Retrieve	
F13=INTORMATION HSSIST	ant F16=System Main Menu F22=Status	

### **How to Get There**

To display the SecureScreen menu, select option 1 from the Utilities Menu.

#### What it Does

The Powertech Network Security SecureScreen menu offers a launchpad for SecureScreen settings.

#### SecureScreen Main Menu

You can select the following options from the SecureScreen panel:

- 1. **Start Secure Screen Monitor:** Select option **1** or use the command (STRPLSSMON) to start the Secure Screen monitor job.
- 2. **End Secure Screen Monitor:** Select option **2** or use the command (ENDPLSSMON) to end the SecureScreen monitor job.
- 3. Set Secure Screen Notification Message Queue: Select option 3 or use the command (LSETPSSNFQ) to open the <u>Set Secure Screen Notification Message Queue panel</u>. This panel allows you to set the notification message queue for SecureScreen to the message queue you specify on the MSGQ() parameter.
- 10. Work with Secure Screen Filters: Select option 10 to open the <u>Rules Maintenance panel</u>. The Edit Secure Screen filters function maintains the filters file PSSNAP. Filters are applied to jobs that reach an inactive timeout. The action taken depends on the filter that first matches the characteristics of the inactive job.

#### **LCKDSP Command**

The LCKDSP command can be used to lock your own screen, or it can be run with a qualified job name to lock some other screen (i.e. it can be run by a monitor program that detects screen inactivity).

It can be used instead of the IBM DSCJOB command. DSCJOB is not allowed if you are using an emulator or pass-through with an automatically assigned workstation ID. LCKDSP can be used anywhere.

To unlock, press Enter and type your password.

There are a limited number of password attempts allowed. If you make too many incorrect attempts, no further attempts will be allowed and you will either have to sign-off using sysreq-90, or you can contact your support desk to unlock you using the UNLDSP command.

You need system value QALWJOBITP = 2 to use this utility.

#### LCKDSP JOB Command

You can use the LCKDSP JOB(nbr/user/job) to lock some other display. To do so, you need \*JOBCTL special authority unless the other job is the same job user.

When a screen is locked, a screen saver is displayed. The screen saver is comprised of a small window that moves to a random position every 5 seconds. Press Enter and enter your password to unlock. If you type the incorrect password enough times (see system value QMAXSIGN), the error "You have used the maximum allowed number of attempts to enter your password." is displayed and the password input field is no longer shown. To recover, either sign-off using Sysreq-90 or get an authorized user to unlock your display using UNLDSP command.

Once you have used up your password attempts, you must sign off and on to get more attempts.

If your display gets locked after you have already used up all your password attempts (see system value QMAXSIGN), you get the usual window and the usual Unlock Display screen when you press Enter, with the password input field showing. But, the password will be ignored even if correct, and the password field will then disappear.

While the display is locked, system request-2,4,5 and 6 are disabled, whether done via the system request menu or directly. All other system request options are allowed. Attention key is also disabled.

#### **UNLDSP Command**

You can use the UNLDSP JOB(nbr/user/job) to unlock a display that was locked by the LCKDSP command. You need \*ALLOBJ special authority, or specific \*USE authority on the command.

NOTE: See Appendix A: Network Security Commands for additional SecureScreen Commands.

## **Command Keys**

F3 (Exit): Exit the menu.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IMB i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

## SecureScreen: Add a filter

```
PSSR010
                            PowerTech Secure Screen
                                                                        09:09:21
                                  Add a filter
Type values, press Enter.
  Entry type . . . : <u>*SBSD</u>
                                         *DEVD, *SBSD, *RMTLOC, *USRPRF...
  Entry ID . . . . : PTWRKMGT
                                         Object Name
       . . . . . . . : <u>255.255.255.255</u>
  Notify administrator: *MSG
                                         Blank, *MSG
 Action . . . . . : <u>*ENDJOB</u>
                                         *DSCJOB, *ENDJOB, *IGNORE, *MSG
                                         *NOLIST, *LIST, *N
  Log . . . . . . . : <u>*NOLIST</u>
                                         *DEVD, *YES, *NO, *N
  Drop . . . . . . : <u>*NO</u>
F3=Exit F4=Prompt
                       F5=Refresh
                                       F12=Cancel
```

You can set up rules for using Secure Screen by defining filters. This function maintains the filters file PSSNAP. Filters are applied to jobs that reach an inactive timeout. The action taken depends on the filter that first matches the characteristics of the inactive job.

#### How to Get There

From the Rules Maintenance panel, select F6, Add.

#### What it Does

The Add a Filter panel allows you to create a new filter and specify the filter rules.

## **Column Descriptions**

Enter the following information for the filter:

## **Entry Type**

The type of filter. There are six types of filters; possible values are:

- \*DEVD Device Description
- \*SBSD Subsystem Description
- \*RMTLOC Remote Location
- \*USRPRF User Profile
- \*GRPPRF Group User Profile
- \*ACGCDE Accounting Code

### **Entry ID**

Specifies the name of a device, subsystem or user, a remote location or an accounting code. Press F4 to select from a list of values. A user can be either an individual user profile or a group profile. A location can be either an SNA location or an IP address. An accounting code is used by system job accounting and is normally found as an attribute of a user profile or a job description. Note that accounting codes mag also be set dynamically by programs when a job is running. An IP address location should have an IP mask also specified.

#### Mask

Specifies the subnet mask to apply against an incoming IP address. If the incoming IP address masks to the IP address of the filter, the rule is enforced.

Examples:

IP location: 10.0.1.5

Mask: 255.255.255.255

Matches: 10.0.1.5

IP location: 10.0.1.5

Mask: 255.255.255.0

Matches: 10.0.1.0 thru 10.0.1.255

IP location: 10.0.1.5

Mask: 255.255.255.254

Matches: 10.0.1.4 thru 10.0.1.5

IP location: 10.0.1.5

Mask: 255.255.255.128

Matches: 10.0.1.128 thru 10.0.1.255

**NOTE:** The last two examples show that the subnet mask must be applied by the monitor program to the filter IP address as well as to the remote location address. Because of this, the mask is applied when the filter is entered, and the masked address is what is actually stored in the filter record.

## **Notify Administrator**

Specifies to send a message to the administrator message queue when the job is inactive. The message queue name comes from the PSSANFYMQ data area.

The possible values are:

\*MSG The inactive message will be copied to the administrator message queue

blank The value is ignored

#### Action

Specifies the action to take when an identifier is matched.

The possible values are:

- \*DSCJOB The job will be disconnected
- \*ENDJOB The job will be ended
- \*MSG A \*break message is sent to the workstation message queue of the inactive job. This is used when all that is wanted is a warning
- \*IGNORE No action is taken if a job matches this filter

### Log

Specifies the joblog option. This is only meaningful when the action is \*DSCJOB.

The possible values are:

- \*LIST Print the job log
- \*NOLIST Do not print the job log
- \*N Use the default from the \*DSCJOB command on your system

### **Drop**

Specifies whether or not the connection is to be dropped if the job is disconnected or ended.

The possible values are:

- \***DEVD** The drop value is taken from the device description
- \*YES The connection will be dropped
- \*NO The connection will be left available
- \*N The default from the \*DSCJOB command on your system is used

## **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

**F5** (Refresh): Refreshes the screen and resets all available text fields.

F4 (Prompt): Displays a list of possible values from which you may choose one.

**F12 (Cancel):** Exit the screen without processing any pending changes.

# SecureScreen: Change a filter

```
PSSR010
                                  PowerTech Secure Screen
                                                                                        12:36:52
                                        Copy a filter
                                                                                        DEMETER
Type values, press Enter.
                                                  *DEVD. *SBSD. *RMTLOC. *USRPRF...
  Entry type . . . . : *RMTLOC
  Entry ID . . . . . : <u>192.192.1.0</u>
Mask . . . . . . . : <u>255.255.255.0</u>
                                                _ Object Name
  Notify administrator: *MSG
                                                  Blank, *MSG
                                                  *DSCJOB, *ENDJOB, *IGNORE, *MSG
*NOLIST, *LIST, *N
  Action . . . . . : <u>*DSCJOB</u>
  Log . . . . . . . : <u>*LIST</u>
Drop . . . . . . : <u>*YES</u>
                                                  *DEVD, *YES, *NO, *N
F3=Exit F4=Prompt
                            F5=Refresh
                                               F12=Cancel
```

#### How to Get There

From the Rules Maintenance panel, enter option 2 next to a filter, then press Enter.

#### **What it Does**

Use the Change a filter screen to change the filter settings. You cannot change the filter Type or Entry ID.

## **Command Keys**

F3 (Exit): Exit the menu.

F12 (Cancel): Exit the screen without processing any pending changes.

# SecureScreen: Copy a filter

```
PSSR010
                             PowerTech Secure Screen
                                                                             12:36:52
                                   Copy a filter
Type values, press Enter.
                                           *DEVD. *SBSD. *RMTLOC. *USRPRF...
  Entry type . . . . : *RMTLOC
  Entry ID . . . . : 192.192.1.0 Object Name
        . . . . . . . : <u>255.255.255.0</u>
  Notify administrator: *MSG
                                           Blank, *MSG
  Action . . . . . . : <u>*DSCJOB</u>
                                           *DSCJOB, *ENDJOB, *IGNORE, *MSG
                                            *NOLIST, *LIST, *N
  Log . . . . . . . : <u>*LIST</u>
Drop . . . . . . : <u>*YES</u>
                                            *DEVD, *YES, *NO, *N
                         F5=Refresh
                                         F12=Cancel
F3=Exit
          F4=Prompt
```

#### **How to Get There**

From the Rules Maintenance panel, enter option 3 next to a filter, then press Enter.

#### What it Does

You can copy an existing filter to copy the filter's settings and modify them to define a new filter.

### **Command Keys**

F3 (Exit): Exit the menu.

**F12 (Cancel):** Exit the screen without processing any pending changes.

# SecureScreen: Display a filter

```
PSSR010

PowerTech Secure Screen
Display a filter

Press enter to continue.

Entry type . . . : *RMTLOC
Entry ID . . . . : 192.192.1.0
Mask . . . . . : 255.255.255.0

Notify administrator: *MSG
Action . . . : *DSCJOB
Log . . . . : *LIST
Drop . . . . : *YES
```

#### **How to Get There**

From the Rules Maintenance panel, enter option 5 next to a filter, then press Enter.

#### What it Does

This panel displays the settings for an already-existing filter. Fields on this panel cannot be changed.

## **Command Keys**

F3 (Exit): Exit the menu.

F12 (Cancel): Exit the screen without processing any pending changes.

# Select Systems panel

PPL3315	PowerTech Central Administration Select Systems	15: 14: 50 HS42
Position to System Select one System w	: ith a 1.	
Opt System HS42 HS72	Description HS42 - MANAGER HS72 - ENDPOINT	
F3=Exit F5=Refr	esh F12=Cancel	Bottom

#### **How to Get There**

Press F7 (Select System) on any panel that includes this command.

#### **What it Does**

The Select Systems panels allow you to select a System. A System represents an installation of an operating system on a piece of hardware.

## **Column Descriptions**

## Opt

Enter a valid option from the list of options provided on the panel.

## **System**

System is a name you assign to a System.

## **Description**

Description is a short description of the System.

## **Command Keys**

**F3 (Exit):** Exit the program.

**F5** (Refresh): Refreshes the panel with the most current data.

F12 (Cancel): Discards changes and returns to the prior panel.

# Select Target Functions for Object Rule

```
NS3351
                                                                                  08:42:31
                             PowerTech Network Security
                  Select Target Server Functions for Object Rule
User . . . . . : MARKJ
Server ID . . . : *VPRT
                                     Virtual Print Server
Type options, press Enter.
1=Select Function 4=De
                         4=Deselect Function
       Function
                     Function Description
                     All Functions
       CHECK
                     Check PRTF/OUTQ Exists/Permissions
       EXTRACT
                    Perform a list function
Open printer file
                                                                                     Bottom
F5=Refresh
             F10=Select all except *ALL F12=Cancel
```

#### **How to Get There**

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter an 9 in the Opt column on one of the Object Lists. On the Object Rules using Object List panel, enter a 1 in the Opt column and a Location or User name. Press Enter to display the Create Object Rule by Location or User panel. Define a rule (see Object Rules) and press Enter. Enter option 2 for a server and press Enter.

#### What it Does

This prompt list allows you to specify the target Functions for which Location or User filter rules will be created with \*MEMOBJ authority on them. Pressing F12 discards your changes and returns to the Select Target Servers for Object Rule list.

## **Options**

#### 1=Select Function

Choose option 1 to select target Functions for your Object Rule.

#### 4=Deselect Function

Choose option 4 to deselect a selected Function.

#### **Enter**

Pressing Enter without changing anything returns your selections to the Select Target Servers for Object Rule list.

## Command Keys

F5 (Refresh): Refreshes the screen and resets all available text fields.

**F10** (Select all except \*ALL): Selects all individual functions (excluding \*ALL).

**F12 (Cancel):** Exit the screen without processing any pending changes.

# Select Target Server Functions for Object Rule

```
08:39:19
NS3350
                              PowerTech Network Security
                  Select Target Server Functions for Object Rule
                                                                                     TATOOSH
          . . . . : MARKJ
Select Server Functions to process Object Rules for this User.
Type options, press Enter.
  1=Select Server Function *ALL 2=Select individual Server Functions
       Server
                     Server Description
       *DATAQSRV
                     Optimized Data Queue Server
DDM Server
       *DDM
       *POSRV Data Queue Server
*FILESRV File Server
*FTPCLIENT iSeries FTP Client
       *FTPREXEC FTP Execute Remote Command (REXEC)
*FTPSERVER iSeries FTP Server
                     Native Database Request
Rmt Execute Command Signon Server
       *REXEC SO
                                                                                      More...
F5=Refresh F10=Select Server Function *ALL for all Servers
```

#### **How to Get There**

From the Network Security Main Menu, select option 4 to display the Work with Security by Object panel. Select option 1 to display the Work with Object Lists panel, then enter an 9 in the Opt column on one of the Object Lists. On the Object Rules using Object List panel, enter a 1 in the Opt column and a Location or User name. Press Enter to display the Create Object Rule by Location or User panel. Define a rule (see Object Rules) and press Enter.

#### What it Does

This prompt list allows you to specify the target Servers and Functions for which Location or User filter rules will be created with \*MEMOBJ authority on them. Select target Servers for your Object Rule from the list of selections provided.

## **Options**

#### 1=Select Server Function \*ALL

Choose option 1 to select a Server with Function \*ALL.

#### 2=Select individual Server Functions

Choose option 2 to select individual Server Functions.

#### **Enter**

Pressing Enter without changing anything returns your selections to the Object Rule processing routine. That routine will use your selections to distribute Location or User filter rules.

## Command Keys

F5 (Refresh): Refreshes the screen and resets all available text fields.

**F10** (Select Server Function \*ALL for all Servers): Selects Function \*ALL for each Server and deselects all other Functions.

F12 (Cancel): Exit the screen without processing any pending changes.

# Change Server Function Rule panel

```
PNS4111
                          PowerTech Network Securitu
                         Change Server Function Rule
Sustem: OSCAR
                  Management System
        . . . : *FTPSERVER iSeries FTP Server
         . . . : *ALL
Function
Enforce Server rules . . . . : Y
Server filter rule properties:
                               *SYSTEM
 Authority . . . . . . . . . . .
 Message
                             : N
 Capture
 Switch Profile
                                *NONE
 Supplemental Exit Program
   Libraru
F3=Exit F4=Prompt F5=Refresh F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 1, <u>Work with Security by Server</u>. Under the Options column, type **SP** for a server and press **Enter** to access the Change Server Function Rule panel.

#### What it Does

The Change Server Function Rule panel allows you to change one or more of the properties for a selected server. To change a server property, type over the existing value and press Enter. Server Function Rules provide processing control to Powertech's exit programs and also act as defaults for server function values.

## **Options**

You can enter the following values in the Change Server Function Rule panel. The server name and description display at the top of the window and cannot be changed.

## **Network Security rules enforced?**

The Powertech rules defined for this server are enforced. (This value is referenced under the "Rules Active" column in the Work with Security by Server panel. This value is referenced under the "Rules Active" column of the Product Configuration panel in the Insite web UI.)

Possible values are:

Y Enter Y to activate the Powertech rules defined for this server.

N Enter N if you don't want to activate the Powertech rules for this server.

## **Authority**

The authority assigned to the server. The value you enter is used when \*SERVER authority is placed on a server function.

Possible values are:

- \*SYSTEM Use the authority defined for the system.
- \*OS400 Allow the transaction without taking any action.
- \*REJECT Reject all requests for the transaction.

- \*SWITCH Switch the job to run as the user profile specified in the switch profile field. A switch profile entry is required.
- \*MEMOS400 Check Memorized Transactions (MTR) for authority. If no MTR authority is found, allow the transaction.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is found, reject requests for the server.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is found, use the authority of the switch profile for the server. A switch profile entry is required.
- \*MEMOBJ Check Memorized Transactions (MTR) for authority. If no MTR authority is found, check the transaction against the object rules.

#### **Audit**

Controls the type of requests Network Security will log.

Possible values are:

- Y Log all requests to the server.
- N Log only authority failures for the server.
- \* Use the default system value for the system.

## Send message on rejected request

Specifies if Network Security sends a message to the message queue specified in Powertech's System Values.

Possible values are:

- **Y** A message is sent to the specified queue.
- N No message is sent.
- \* Use the default system value for the system.

## **Capture Transactions**

Capture transactions for Memorized Transaction Request (MTR).

Possible values are:

- **Y** Capture transactions.
- **N** Do not capture transactions.
- \* Use the default system value for the system.

#### **Switch Profile**

The name of a switch profile for this server. If you enter a profile name, processing is swapped to run under this profile's authority. This is only valid for authorities \*SWITCH and \*MEMSWITCH.

Possible values are:

\*NONE No switch profile is being used.

switch-profile The switch profile to process under. It must be an active profile on the IBM i system.

\*SYSTEM Use the switch profile defined for the system.

### Supplemental Exit Program

The exit program to run after Powertech's exit program has processed a request successfully. The supplemental exit program is called only for authorities \*OS400, \*MEMOS400, \*SWITCH, and \*MEMSWITCH if the transaction has not been rejected by Network Security rules. Network Security's rules must be enforced for a supplemental exit program to run.

Possible values are:

\*NONE No supplemental exit program is to run.

exit-program-name The name of the supplemental exit program to run after Powertech's exit program completes normally. It must be a valid object name and exist on the IBM i system.

## Library

Enter the name of the library where the supplemental exit program is found. It must be a valid object name and exist on the IBM i system.

## **Command Keys**

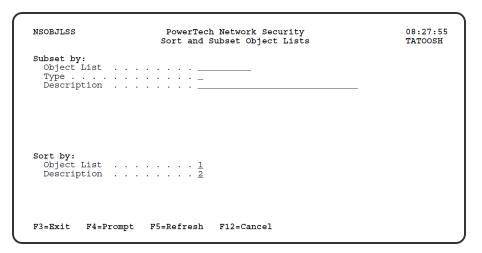
**F3** (Exit): Exit the program without processing any pending changes.

F4 (Prompt): Displays a list of values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

# Sort and Subset Object Lists panel



#### **How to Get There**

From the Work with Object Lists panel, press F16, Sort/Subset.

#### What it Does

You can subset Object Lists by name, type, or description.

### **Field Descriptions**

## **Object List**

Enter an Object List name. Leave the field blank to include all Object Lists. You can enter a generic name, for example, ACCT\*, to include all Object Lists that start with those characters.

## **Type**

Specify the type of Object List to display. Valid values are:

**Q** Display only Object Lists of type QSYS, which contain library objects. I Display only Object Lists of type IFS, which contain IFS directory path names.

## **Description**

Specify a description. Leave the field blank to include all descriptions. You can enter generic characters to include all descriptions that contain the specified characters.

Specify the order in which to sort the Object Lists. The default order is to sort by Object List, then description. Leave a selection blank if you don't want it to apply to the sort criteria.

The Sort and Subset selections panel allows you to change which records are displayed on the prior panel, and in which order they are displayed. Selection is performed by making entries in the "Select by" section. Sorting is accomplished by specifying a sort order in the "Order by" section.

## **Command Keys**

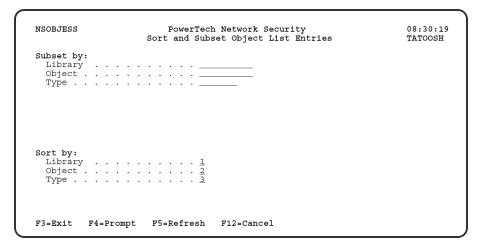
F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

# Sort and Subset Object List Entries panel



#### What it Does

You can subset Q-type Object List entries by library, object, and type.

### **Field Descriptions**

## Library

Enter a library name. Leave the field blank to include all libraries. You can enter a generic name to include all libraries that contain the specified characters.

Enter <UNKNOWN> in the library field to indicate that the Object List entry applies only to unqualified objects whose library cannot be determined by Network Security. This most commonly occurs in the SQL server when SQL statements contain unqualified references.

## **Object**

Specify an object name. Leave the field blank to include all objects. You can enter generic characters to include all objects that contain the specified characters.

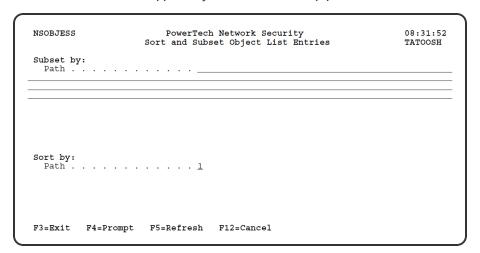
## **Type**

Specify the type of object to display. Leave the field blank to include all object types.

Specify the order in which to sort the Object List entries. The default order is to sort by library, then object, then type. Leave a selection blank if you don't want it to apply to the sort criteria.

## **Sorting I-Type Object List Entries**

You can also subset I-type Object List entries by path name.



#### **Path**

Enter a path name to display only the Object List entries in the specified directory path. Object list entries are sorted by path name. You can enter generic or wildcard characters for the path name.

## **Command Keys**

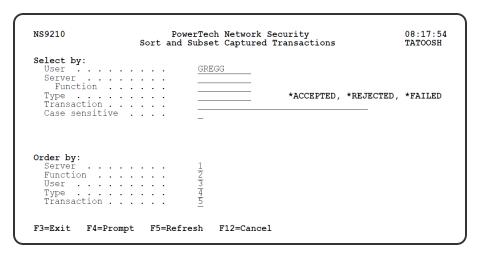
F3 (Exit): Exit the screen without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

**F5** (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

# **Captured Transactions Subset**



#### **How to Get There**

On the Main Menu, select option 10. In the Work with Captured Transactions panel, type F16.

#### What it Does

The Captured Transactions Subset screen allows you to change which records are displayed on the prior screen, and in which order they are displayed. Selection is performed by making entries in the "Select by" section. Sorting is accomplished by specifying a sort order in the "Order by" section.

## **Field Descriptions**

Subset by:

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. Select the user profile for which you wish to see records. Leave this field blank if you wish to see all users.

#### Server

Select the Server for which you wish to see records. Leave this field blank if you wish to see all servers.

#### **Function**

Select the Function for which you wish to see records. Leave this field blank if you wish to see all functions.

#### Location

Select the Location for which you wish to see records. Leave this field blank if you wish to see all functions.

### **Type**

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### **Transaction**

You may select captured transactions by entering the value of the transaction in this field. Leave this field blank if you wish to see all transactions. The Memorized Transaction against which incoming transactions are tested. If a match is found, then this rule will be invoked. Undisplayable characters in the transaction data are replaced by the mid-dot character (-). You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string, when you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded. F4 is not available.

#### Case sensitive

Selecting 'Y' for this field selects the transaction field in a case—sensitive manner. Selecting 'N' (or leaving this field blank) will select in a non—case—sensitive manner. F4 is not available.

## Sort by (select one using an X):

Server

User

Location

Status

Authority

Request

## **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

**F12 (Cancel):** Exit the screen without processing any pending changes.

## **Memorized Transactions Subset**

PNS4910S	PowerTech Network Security Memorized Transactions Subset	11:00:38 OSCAR
Subset by: Server Function Location User Status Transaction Case sensitive	GREGG	
Server		
F3=Exit F4=Prompt	F12=Cancel	

#### **How to Get There**

On the Main Menu, select option 11. In the Work with Memorized Transactions panel, type F16.

#### What it Does

The Sort and Subset selections panel allows you to change which records are displayed on the prior panel, and in which order they are displayed. Selection is performed by making entries in the "Select by" section. Sorting is accomplished by specifying a sort order in the "Order by" section.

## **Field Descriptions**

Subset by:

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

#### User

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### **Status**

This is the status of the Memorized Transaction.

Possible values are:

\*ACTIVE Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

\*INACTIVE Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

#### **Transaction**

The Memorized Transaction against which incoming transactions are tested.

Undisplayable characters in the transaction data are replaced by the mid-dot character (·).

You can use the Transaction wildcard character (%) to make a Transaction generic. The wildcard character is valid only at the end of a Transaction string. When you are memorizing or changing a Memorized Transaction, the first occurrence of the wildcard character that was NOT present in the string before you changed it will make the string generic and all data after that wildcard character will be discarded.

#### Case sensitive

This controls whether the selection by transactions is case-sensitive. If left blank, 'N' is assumed.

Possible values are:

Y The search will be case-sensitive.

N The search will not be case-sensitive.

## Sort by (select one using an X):

Server

User

Location

Status

Authority

Request

## **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

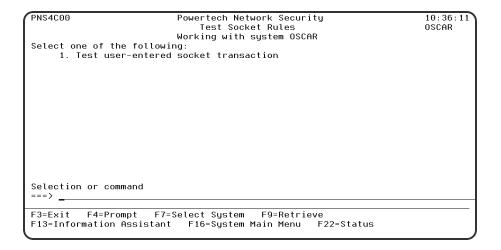
F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the screen and resets all available text fields.

F12 (Cancel): Exit the screen without processing any pending changes.

# **Test Socket Rules panel**

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).



#### **How to Get There**

On the Network Security Main Menu, choose option 20, then choose option 4.

#### What it Does

The Test Socket Rules menu offers a launchpad for testing Socket Rules.

### **Options**

**1. Test user-entered socket transaction.** This option allows you to test user-entered socket transactions via the command PNSTSTQSO. See Test Socket Rules command (PNSTSTQSO).

#### **Command Line**

To run a command, type the command and press Enter. For assistance in selecting a command, press F4 (Prompt) without typing anything. For assistance in entering a command, type the command and press F4 (Prompt). To see a previous command you entered, press F9 (Retrieve).

## Command Keys

F3 (Exit): Exit the current panel without processing any pending changes.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

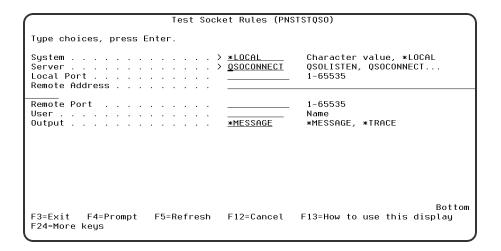
**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IBM i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

## **Test Socket Rules command**

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).



#### **How to Get There**

On the Test Socket Rules panel, choose option 1. Or, run command PNSTSTQSO.

#### What it Does

The Test Socket Rules (PNSTSTQSO) command allows you to see how a transaction would be evaluated by Network Security.

**NOTE:** Restrictions: You must have authority to process the command.

## **Options**

### **System**

The name of the system silo for which you want to test the rules.

You can use the special value \*LOCAL for the system on which you are running this test.

#### Server

The name of the Server for which you wish to test rules. Valid values are:

**QSOLISTEN** The server that handles listen().

**QSOCONNECT** The server that handles connect().

**QSOACCEPT** The server that handles accept().

## **Local Port (LPORT1)**

A valid local port for QSOLISTEN.

## **Local Port (CPORT1)**

A valid local port for QSOCONNECT.

## **Remote Address (CADDR2)**

A valid remote IP address for QSOCONNECT.

## **Remote Port (CPORT2)**

A valid remote port for QSOCONNECT.

## **Local Bound Port (APORT1)**

A valid bound port for QSOACCEPT.

## **Local Incoming Port (APORT2)**

A valid incoming port for QSOACCEPT.

## Remote Address (AADDR3)

A valid remote IP address for QSOACCEPT.

## **Remote Port (APORT3)**

A valid remote port for QSOACCEPT.

### **User (USER)**

The user profile you want to test. This is the user profile associated with the local portion of the transaction.

A group profile is not valid for this field unless it is being used as the actual user profile.

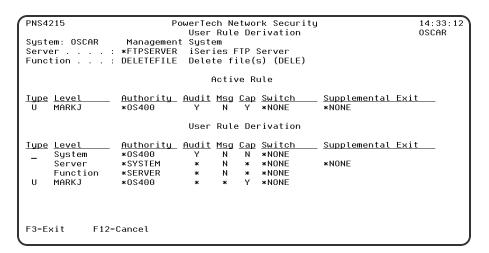
## **Output (OUTPUT)**

The results can be output to the following places:

\*TRACE The results are sent to the trace facility. Prior to running this, you will need to set up the trace facility via the command PNSSTRTRC and select function \*TEST.

\*MESSAGE The results are sent to message queue PNSRQSO. Prior to running this, you will need to create this message queue.

## **User Rule Derivation**



#### **How to Get There**

On the Work with Security by User panel, choose 5 for a User Rule.

#### What it Does

The Powertech User Rule Detail Derivation panel displays the hierarchical inheritance of the current User rule.

## **Options**

#### Server

The server to which the current rule applies.

#### **Function**

The name of the IBM server function to which the current rule applies.

### **Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

**U** The associated User field refers to an O/S user profile.

**G** The associated User field refers to a Network Security user group.

#### Level

The Level column indicates from which inheritance level the specific values listed are derived. The last entry in the Rule Derivation section is the level from which the Location Rule Display was requested. For example, if this display were requested from "Work with Authorities by User", the last level would represent a user and as such might be a user profile name or \*PUBLIC.

Valid values are:

**System** The system values level.

Server The server level.

**Function** The server function level.

\*PUBLIC The user level for all users.

\*ALL The location level for all locations.

## **Authority**

The authority assigned to the user for this rule.

#### **Switch**

The name of a switch profile for this rule. If a profile name is supplied, processing is swapped to run under this profile's authority. This is only valid for authorities \*SWITCH and \*MEMSWITCH.

Audit The audit property controls the type of requests Network Security will log.

Possible values are:

Y Log all requests by the user/server/function.

N Only log authority failures for the user/server/function.

\* Use the audit value from the prior level.

## Msg

The message property entry will determine if Network Security sends a message to the specified message queue for the user/server/function. Possible values are:

**N** No message is sent.

**Y** A message is sent to the specified queue.

\* Use the audit value from the prior level.

### Cap

Capture transactions for Memorized Transaction Request (MTR).

Possible values are:

N Do not capture transactions.

- Y Capture transactions.
- \* Use the audit value from the prior level.

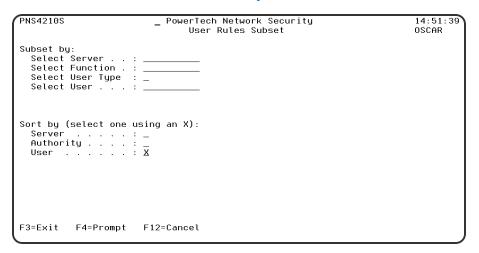
## **Command Keys**

F3 (Exit): Exit the program without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

# **User Rules Subset panel**



### **How to Get There**

From the Work with Security by User panel, press F16, Sort/Subset.

#### What it Does

The User Rules Subset panel allows you to select User Rules for display that meet certain criteria. You can select User Rules by Server, Function, User Type, or User.

## **Options**

#### Server

Specify the criteria for selection by Server name. Leaving this field blank includes all Server values.

You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the <u>Wildcard Character</u> to indicate that a partial value is to be used for selection. Generic and Wildcard characters can be used at the beginning, end, or within a value and can be freely intermixed (you can use both characters in the same value).

#### **Function**

Specify the criteria for selection by Function name. Leaving this field blank includes all Function values.

You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the <u>Wildcard Character</u> to indicate that a partial value is to be used for selection. Generic and Wildcard characters can be used at the beginning, end, or within a value and can be freely intermixed (you can use both characters in the same value).

### **User Type**

Specify which type of User Type you would like to have listed. Leaving this field blank includes all User Type values.

#### User

Specify the criteria for selection by User or User Group. Leaving this field blank includes all User or User Group values.

You can use the <u>Generic Character</u> to indicate that a partial value is to be used for selection. In some circumstances you may also use the <u>Wildcard Character</u> to indicate that a partial value is to be used for selection. Generic and Wildcard characters can be used at the beginning, end, or within a value and can be freely intermixed (you can use both characters in the same value).

## Sort by (select one using an X)

The User Rules Subset panel allows you to select User Rules for display that meet certain criteria. You can select a sort by one of the available fields.

### Server • Authority • User

Select whether you would like to sort by Server name, Authority, or User.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

F12 (Cancel): Exit the panel without processing any pending changes.

# Using the Audit Report Command (LPWRRPT)

In addition to running reports from the Reports Menu, you also can use the LPWRRPT command to run reports from your own programs, or to include Network Security reporting in your job scheduler.

To display the LPWRRPT command prompt panel, enter the command on a command line and press F4, or select option 7, Powertech Audit Report command, on the Reports Menu.

```
PowerTech Audit Report command (LPWRRPT)
Type choices, press Enter.
Report Type
                                                          *USER, *LOCATION, *SERVER...
                                         Ū
User Type
User Id
                                                          Name, *ALL
                                         *ALL
Group Name
                                          *ALL
Location Id
                                         *ALL
Server to report
                                          *ALL
                                                           Server name, *ALL
                                                          Function name, *ALL
*RUN, *UPDATE, *READ, *MODIFY
*ALL, *ALLOW, *REJECT
  Function to report
Transaction type .
                                         *RUN
Journal type
                                         *ALL
Detail Report
                                          *N0
                                                          *YES, *NO, *TRAN
Date, *BEGIN, *NONE
From date
From time
                                          *NONE
                                                          Time, *BEGIN
                                         *BEGIN
To date
                                                          Date, *END, *NONE
To time
                                          *END
                                                          Time, *END
Prior Period
                                         *WEEK
                                                          *DAY, *WEEK, *MONTH, *NONE
Week start day
                                                          *SUN, *MON, *TUE, *WED.
                                         <u> *SUN</u>
           F4=Prompt
F3=Exit
                          F5=Refresh
                                         F12=Cancel
                                                         F13=How to use this display
F24=More keys
```

Specify the report type you want to run and press **Enter**. The parameters for that report type display, allowing you to specify your selection criteria.

See Powertech Audit Report Command panel for a complete description of all the command parameters.

## **Command Keys**

**F3** (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

**F13** (How to use this display): Shows help for the prompt display or associated display you are currently using.

**F24** (More keys): Shows additional function keys that can be used for this display.

# Working with Powertech SecureScreen

The Powertech Secure Screen Main Menu allows you to start and end the Secure Screen monitor, set the notification message queue, and work with Secure Screen filters.

Select option 1 on the Work with Utilities menu to display the Secure Screen main menu. You also can enter the WRKSECSCR command to display the main menu.

SECSCREEN	PowerTech Network Security SecureScreen Working with system HS42	15: 18: 21 HS42
Select one of the fol 1. Start Secure	lowing:	
2. End Secure Sc	reen Monitor	
3. Set Secure Sc	reen Notification Message Queue	
10. Work with Sec	ure Screen Filters	
Selection or command		
	F7=Select System F9=Retrieve tant F16=System Main Menu F22=Status	

You can select from the following options on the Secure Screen Main Menu:

**1. Start Secure Screen Monitor.** Starts the session inactivity monitor job. The job runs in the PWRWRKMGT subsystem; the subsystem starts if it is not currently active.

The monitor receives messages from the message queue specified in the QINACTMSGQ system value. The messages describe jobs that have been inactive the interval specified in the QINACTITV system value. Information from the message is used to retrieve attributes of the inactive job, and compare them against the Secure Screen filters to determine the action to take.

#### Notes:

- You must configure the QINACTMSGQ and QINACTITV system values before starting the Secure Screen monitor. Use the WRKSYSVAL QINA\* command to locate the system values.
- You should not define QSYSOPR as the message queue in QINACTMSGQ. Secure Screen
  monitors for inactivity messages and sees other messages as garbage. The QSYSOPR message
  queue will be locked any time QSYSOPR signs on.

You also can use the STRPLSSMON command to start the Secure Screen monitor job.

2. End Secure Screen Monitor. Ends the session inactivity monitor job. When the monitor ends, inactive sessions are no longer processed against the Secure Screen filters, and sessions are not disconnected or ended.

**NOTE:** You can end the monitor job using the ENDJOB command or ENDSBS for the PWRWRKMGT subsystem. If you specify \*CNTRLD, the monitor detects the request and ends normally.

You also can use the ENDPLSSMON command to end the monitor job.

3. Set Secure Screen Notification Message Queue. Allows the administrator to set the name of the message queue to receive Secure Screen notifications when selected sessions time out. The monitor sends notification messages when a session times out and matches a filter that has the Notify Administrator value set to \*MSG. You also can use the LSETPSSNFQ command to set a notification message queue.

```
Set Secure Screen notify *msgq (LSETPSSNFQ)

Type choices, press Enter.

Message queue name . . . . . . *JOBUSR Name, *NONE, *USER, *JOBUSR Name, *LIBL

Bottom
F3=Exit F4=Prompt F5=Refresh F12=Cancel F13=How to use this display F24=More keys
```

Enter the following message queue information:

## Message queue name

Enter the name of the message queue to use. Possible values are:

- \*JOBUSER Use the message queue of the user running the job.
- \*USER Use the message queue of the user associated with monitor job.
- \*NONE No messages are sent.

Name Enter a message queue name to use.

## **Command Keys**

F3 (Exit): Exit the menu.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IMB i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# Work with Add-On Servers (LWRKGENSRV)

Work with Add-on Servers is used to maintain customer and business partner servers and their function using Network Security's Generic Exit program. Network Security supported servers and their functions cannot be maintained with this process. Type an option next to a specific server and press Enter. You

can type option numbers next to more than one Server at a time. This allows you to perform more than one task at a time. If you see 'More...' in the lower right corner of your display, there is more information to be listed. Press the Page Down (Roll Up) key to move toward the end of the Servers listed. Press the Page Up (Roll Down) key to move toward the beginning of the Servers listed.

PLNR0100	PowerTech Network Security	15: 25: 36
Sustem	₩ork with Add-On Servers HS42 HS42 - MANAGER	HS42
Position		
	ions, press Enter. ge 4=Delete	
Opt S	erver Name Description	
	PowerTech Network Security	
F3=Exit	F5=Refresh F6=Add F7=Select System F12=Cancel	

## **Options**

### 2=Change

Change an Add-on Server and its functions.

#### 4=Delete

Delete an Add-on Server and its functions.

## **Option**

This column is used to perform different operations on individual Add-on Servers and their functions. Type your option selection next to a Server Name and press Enter. You can type the same option next to multiple Add-on Servers. You may also type different options next to different Add-on Servers.

Available options are:

#### 2=Change

Change an Add-on Server and its functions.

#### 4=Delete

Delete an Add-on Server and its functions.

#### Server Name

The name of an Add-on Server.

#### **Server Description**

The description of the Add-on Server.

### **Command Keys**

F3 (Exit): Exit the program without processing any pending changes.

**F5** (Refresh): Refresh the screen.

**F6** (Add): Add a new server and its functions.

F7 (Select System): Use this command key to work with data from a different System.

F12 (Cancel): Exit the screen without processing any pending changes.

# Work with Captured Transactions

```
PNS4810
                                 PowerTech Network Security
                             Work with Captured Transactions
                                                                                         OSCAR
System
                    . : OSCAR
                                    Management Sustem
Type options, press Enter
1=Memorize 4=Delete 5
                              5=Display
      Server Function
*FTPCLIENT INIT
*FTPCLIENT SENDFILE
                                                  1 Server does not supply transacti
1 /QSYS.LIB/QGPL.LIB/PAYROLL.FILE
                                    MARKJ
                                                                                           Bottom
F3=Exit F5=Refresh F7=Select System F11=View2
                                                                F12=Cancel F16=Sort/subset
F17=Top F18=Bottom
```

#### **How to Get There**

On the Main Menu, select option 10.

#### What it Does

The work with Captured Transactions panel allows you to memorize, display, or delete <u>Captured</u> <u>Transactions</u>.

## **Options**

You can select from the following options on the Work with Captured Transactions panel.

#### 1=Memorize

Enter a **1** in the Opt column to memorize a transaction. When you select to memorize a transaction, Network security performs an exact string match against the incoming transaction.

When you select a transaction to memorize, the <u>Memorize Captured Transaction panel</u> displays allowing you to specify the parameters for the memorized transaction. See also <u>Working with Memorizing</u> Transactions.

#### 4=Delete

Enter option 4 next to a transaction to delete a captured transaction.

### 5=Display

Enter option 5 next to a transaction to display the Display Captured Transaction panel.

## **Field Descriptions**

### Opt

Enter a valid option from the list of options provided on the list panel.

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

**NOTE**: The following fields are available in one of the three views. Press **F11** to switch between the three available views.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### User

User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

This is the User that initiated the transaction.

## **ASP Group**

This is the name of the ASP Group to which the job was set when the transaction was captured.

#### Count

The number of times this exact transaction has been captured.

## Request

This is the data handed to Network Security by the operating system. Much of this transaction data is binary in nature and may not be human-readable. Undisplayable characters in the transaction data are replaced by the mid-dot character (·). The Work with Captured Transactions panel allows you to display, delete, and memorize Captured Transactions.

#### **Last Collected**

The date and time that the most recent of these transactions was captured.

### **User Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

U

The associated User field refers to an O/S user profile.

G

The associated User field refers to a Network Security user group.

### **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

**F11 (View):** Switches views of the list so that you can see other data.

**F12** (Cancel): Exit the current panel without processing any pending changes.

**F16** (Sort/Subset): Allows you to sort and subset information by user, server, type, and/or transaction.

**F17 (Top):** Positions the list panel to the first record.

F18 (Bottom): Positions the list panel to the last record.

F19 (Left): Shifts the transaction data to the left.

**F20 (Right):** Shifts the transaction date to the right.

## Work with IFS Files

The Work with IFS Reports enables you to work with IFS Report output.

```
NS6310
                          PowerTech Network Security
                                                                      13:03:26
                            Work with IFS Files
                                                                      DEMETER
Type options, press Enter.
  4=Delete
            5-Display 6=Display Summary
Opt User
                Status
                               Date
                                          Time
   ARMAND
                            2010-01-15 08.20.03
                Complete
     /home/ARMAND/REPORT_2010-01-15-08.20.03.527
                            2010-01-15 08.21.12
                Complete
     /home/ARMAND/REPORT_2010-01-15-08.21.12.499
   ARMAND
                Complete
                           2010-01-15 08.22.17
     /home/ARMAND/REPORT_2010-01-15-08.22.17.572
                            2010-02-16 11.53.52
                Complete
     /home/KIKI/usertransactions_2010-02-16-11.53.52.292
                                                                        Bottom
F3=Exit F5=Refresh
                      F11=Fold/Unfold F12=Cancel F16=Sort/Subset
```

## **Options**

These are the options available on this panel.

#### 4=Delete

Delete the IFS reports selected.

### 5=Display

Display the detailed report.

## 6= Display Summary

Display the summary report.

## **Field Descriptions**

### Opt

This is where you select reports with one of the above options.

#### User

The name of the user who created the report.

#### **Status**

Indicates the current status of the report generation. Complete Report has been generated.

### Date/Time

The date and time the report was requested.

#### **Name**

Name of the report.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F12 (Cancel): Exit the panel without processing any pending changes.

F16 (Sort/Subset): Allows you to sort and subset information.

# Work with IP Address Groups

```
PNSR010
                                                                       09:01:08
                          PowerTech Network Security
                         Work with IP Address Groups
                                                                       HS42
System: HS42
                  HS42 - MANAGER
Type options, press Enter.
  1=Add 2=Change 4=Delete 5=Work with IP Address Groupings
       (Group Name must start with *)
        Group Name
                        Description
        *NORTHAMGRP
<u>1</u>
                       North America sales region
        *ASIAPACIFIC
                        Asia-Pacific sales region
        *EUROPEGRP
                        European sales region
                                                                         Bottom
F3=Exit F5=Refresh F7=Select System F12=Cancel
```

#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **5** to display the Work with IP Address Groups panel.

#### What it Does

The Work with IP Address Groups panel is used when a number of IP addresses need the same group filter rules applied. To add a new Address Group, enter the information on the blank line below the column headings. Once an Address Group is created a range of IP addresses may be associated to it. Option 5 displays a list of IP addresses associated to the group. Type an option next to a specific group and press Enter. You can type option numbers next to more than one group at a time. This allows you to do more than one task at a time. If you see 'More...' in the lower right corner of your display, there is more information to be listed.

Press the Page Down (Roll Up) key to move toward the end of the Address Groups.

# **Options**

You can select from the following options:

#### 1=Add

Add an Address Group. Valid for line one only.

After you create an IP address group, you can assign a range of locations to the address group using option 5.

## 2=Change

Change an Address Group.

**NOTE**: You cannot use option 2 to change the group name.

#### 4=Delete

Delete an Address Group.

**NOTE**: If the IP address group has IP address groupings and has been applied to a location rule, you must delete all rules and groupings before you can delete the address group.

## 5=Work with IP Address Groupings

Work with the IP Addresses associated with the group. See Work with IP Address Groupings panel.

## **Field Descriptions**

## **Group Name**

The name of a group of IP addresses. It must begin with special character "\*".

## **Description**

The description of the Address Group. It is a required entry.

## Command Keys

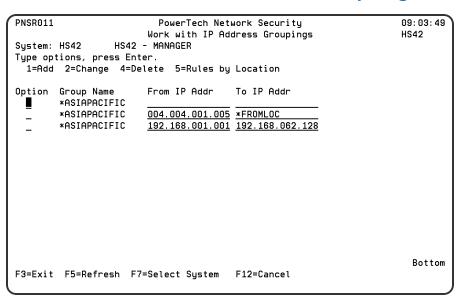
F3 (Exit): Exit the panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

F12 (Cancel): Exit the panel without processing any pending changes.

# Work with IP Address Groupings



#### How to Get There

From the <u>Network Security Main Menu</u>, select option **5** to display the <u>Work with IP Address Groups</u> panel. Select option **5** for a group name.

#### What it Does

The work with IP Address Groupings is used to associate specific locations to one identifier. To add a new IP Address Grouping, enter the information on the blank line below the column headings. Type an option next to a specific group and press Enter. You can type option numbers next to more than one group at a time. This allows you to do more than one task at a time. If you see 'More...' in the lower right corner of your display, there is more information to be listed. Press the Page Down (Roll Up) key to move toward the end of the IP Address Groups. Press the Page Up (Roll Down) key to move toward the beginning of the IP Address Groups.

## **Options**

You can select from the following options to work with IP address groupings:

#### 1=Add

Add an IP Address Grouping. Valid for line "1" only.

**NOTE**: If you are adding only one IP address to the group, you can leave the To IP Addr field blank or you enter the same IP address in both fields. If the To IP Addr field is left blank, the default value \*FROMLOC displays in the field.

## 2=Change

Change an IP Address Grouping.

**NOTE**: You cannot change the group name.

#### 4=Delete

Delete an IP Address Grouping.

**NOTE**: You cannot delete an IP address grouping if any location rules exist for the group. You must delete any location rules before you can delete the grouping.

# 5=Rules by Location

Work with Security by Location or IP Address.

LNSR091		PowerTech Network Security Work with Security by Location												
Subset by Location: 192.168.001.001														
Location *ASIAPACI		ver Id Funct <u>PSERVER</u> <u>*ALL</u>		E: Authority *USER		Rule Pr Msg Cap <u>N</u> N		Profile						
								Bottom						
F3=Exit F17=Top	F4=Prompt F18=Bottom	F5=Refresh F12=Cancel		elect Syst Delete Use		F10=Copy F24=More								

To add a new location rule, enter the IP address group name in the Location field and specify the rule values. The location rule then uses the IP address groupings when evaluating the rule.

## **Field Descriptions**

## **Group Name**

The name of an IP Address Group. It must begin with special character "\*".

#### From IP Address

The IP Address to begin a grouping. The location must be a valid IP address. A grouping may be a single location. when a single location is desired special value \*FROMLOC is placed in the "To Location".

#### To IP Address

The IP Address to end a grouping. The location must be a valid IP address. This value must be greater than, or equal to, "From Location".

# **Command Keys**

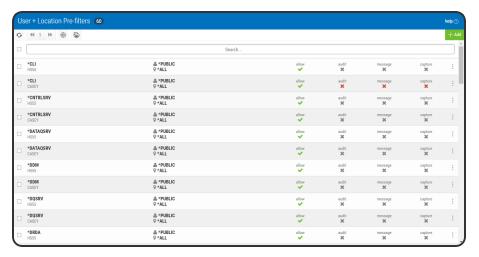
**F3** (Exit): Exit the panel without processing any pending changes.

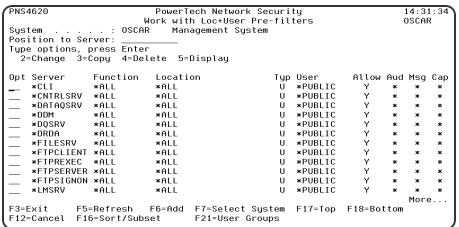
F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

F12 (Cancel): Exit the panel without processing any pending changes.

# Work with Location + User Pre-filter panel





#### **How to Get There**

From the <u>Network Security Main Menu</u>, choose option **6**, Work with Pre-filters, then enter option **1** to work with Pre-filters at a Server level, or option **2** to work with Pre-filters at the Location+User level.

#### What it Does

The Work with Loc+User Pre-filter panels allow you to create, modify, delete, and display the records that control the Pre-filter function. This Pre-filter function allows you to specify certain actions for transactions before they are evaluated by the regular Powertech Network Security rules. The primary action is to allow or not allow a transaction — allowing it causes it to be further evaluated by Network Security rules; not allowing it is equivalent to a Network Security reject. The other actions that you can specify are to audit the transaction, send an immediate message, and capture the transaction. These actions work exactly like their equivalents within Network Security rules processing. The Pre-filter function allows you to specify settings by server, function, location, and user. Records are shipped for a default system setting (this record has a server of \*ALL) and for default server settings. These records can be changed but not deleted. System record must have either a 'Y' or an 'N' for each of the settings (allow, audit, message, and capture).

The Pre-filter function attempts to match the most specific record to the transaction. Once a match is found, the Pre-filter function processes the transaction based on those settings.

## **Field Descriptions**

## Opt

Enter a valid option from the list of options provided on the list panel. Server records can be displayed or changed. Regular records can be displayed, changed, copied, and deleted — they can also be added via F6.

#### Server

The name of the Powertech Network Security server for this Pre-filter record. On screens that allow input for this field, you can prompt this field. Allow The setting for whether transactions matching this record should be allowed to continue to be processed by Network Security. Valid settings are 'Y' (Yes - Network Security rules should evaluate this transaction, which may or may not cause it to be rejected, 'N' (No — reject the transaction), and '\*' (inherit the value from a higher level record with a specific 'Y' or 'N' setting).

The system record (Server = '\*ALL') can only have a 'Y' or an 'N' for this field.

#### **Function**

The name of the Powertech Network Security server function for this Pre-filter record. You can prompt this field. If the server field is not already on the screen, it would be prompted for first. A value of \*ALL shows on the prompt, but cannot be used for a test transaction.

#### Location

The location for this Pre-filter record. Valid special values are a Network Security location group. \*ALL is not allowed.

## Type

Specifies whether the user field is a user profile or a Network Security User Group.

Allowed values are:

- **U** The user field is a user profile.
- **G** The user field is a Network Security User Group

#### llger

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### **Audit**

The setting for whether transactions matching this record should have a journal entry written to the journal specified by the Network Security configuration. Valid settings are 'Y' (Yes — send a journal entry), 'N' (No — do not send a journal entry), and '\*' (inherit the value from a higher level record with a specific 'Y' or 'N' setting).

The system record (Server = '\*ALL') can only have a 'Y' or an 'N' for this field.

## Message

The setting for whether transactions matching this record should have a message sent to the message queue specified by the Network Security configuration. Valid settings are 'Y' (Yes — send a message), 'N' (No — do not send a message), and '\*' (inherit the value from a higher level record with a specific 'Y' or 'N' setting).

The system record (Server = '\*ALL') can only have a 'Y' or an 'N' for this field.

## **Capture**

The setting for whether transactions matching this record should be captured. Valid settings are 'Y' (Yes — capture the transaction), 'N' (No — do not capture), and '\*' (inherit the value from a higher level record with a specific 'Y' or 'N' setting). The system record (Server = '\*ALL') can only have a 'Y' or an 'N' for this field.

#### **Order**

The order of checking for Pre-filter records is:

Exact server, exact function, exact location, exact user profile.

Exact server, exact function, exact location, group / supplemental profiles.

Exact server, exact function, exact location, \*PUBLIC.

Exact server, exact function, location group, exact user profile.

Exact server, exact function, location group, group / supplemental profiles.

Exact server, exact function, location group, \*PUBLIC.

Exact server, exact function, location \*ALL, exact user profile.

Exact server, exact function, location \*ALL, group / supplemental profiles.

Exact server, exact function, location \*ALL, \*PUBLIC.

Exact server, function \*ALL, exact location, exact user profile.

Exact server, function \*ALL, exact location, group / supplemental profiles.

Exact server, function \*ALL, exact location, \*PUBLIC.

Exact server, function \*ALL, location group, exact user profile.

Exact server, function \*ALL, location group, group / supplemental profiles.

Exact server, function \*ALL, location group, \*PUBLIC.

Exact server, function \*ALL, location \*ALL, exact user profile.

Exact server, function \*ALL, location \*ALL, group / supplemental profiles.

Exact server, function \*ALL, location \*ALL, \*PUBLIC.

Server record (server = exact server).

System record (server = \*ALL).

Once a match is found, any settings with an '\*' are resolved by inheriting the value from the record with a specific ('Y' or 'N') setting. This check is done in the following order:

Exact server, exact function, location \*ALL, \*PUBLIC.

Exact server, function \*ALL, location \*ALL, \*PUBLIC.

Server record (server exact server).

System record (server \*ALL).

## **Options**

## 2=Change

Choose **2** to open the Location + User Pre-filter Update panel where you can change the system record for each of the Pre-filter settings (allow, audit, message, and capture).

## 3=Copy

Choose 3 to open the Location + User Pre-filter Copy panel where you can create a copy of an already existing Pre-filter.

#### 4=Delete

Choose 4 to delete an existing Loc+User Pre-filter. Press Enter to confirm or F12 to cancel the deletion.

# 5=Display

Choose **5** to open the Location + User Pre-filter Display panel where you can view the system record for each of the Pre-filter settings (allow, audit, message, and capture).

# Command Keys

**F3 (Exit):** Exit the panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F6 (Add):Add a new location+user Pre-filter.

F7 (Select System): Use this command key to work with data from a different System.

**F12 (Cancel):** Exit the panel without processing any pending changes.

F16 (Sort/Subset): Allows you to sort and subset information by server, function, location, and/or user.

**F17** (**Top**): Positions the list panel to the first record.

**F18** (Bottom): Positions the list panel to the last record.

F21 (User Groups): Opens the Work with User Groups panel where you can create or edit a User Group.

# Work with Memorized Transactions

#### **How to Get There**

On the Main Menu, select option 11.

#### What it Does

The Work with Memorized Transactions panel enables you to maintain memorized transactions.

## **Options**

## 2=Change

Enter option **2** next to a memorized transaction and press **Enter** to display the Change a Memorized Transaction panel. You can use the <u>Change a Memorized Transaction panel</u> to change the authority, auditing, message, and switch profile properties of the transaction. You also can specify that you want Network Security to capture the transaction when it occurs.

You cannot change the server/function or user of the memorized transaction. You also cannot modify the actual transaction that has been memorized.

## 3=Copy

Enter option 3 next to a memorized transaction and press Enter to display the <a href="Copy a Memorized">Copy a Memorized</a>
<a href="Transaction panel">Transaction panel</a>. Use the panel to copy an existing transaction and edit it to filter on a different user or location than the original transaction, and change the authority, auditing, message, and switch profile filter properties. You also can enter changes to the actual transaction string before saving it as a new transaction. You cannot change the server/function.

After you have edited the transactions, press **Enter** to save it. The Work with Memorized Transactions panel displays with the confirmation message Memorized Transactions(s) successfully copied.

**Note**: Transaction string entries are case sensitive. No transaction string editing or syntax checking is performed. We recommend that you terminate the memorized transaction string using the percent sign (%) wildcard character.

#### 4=Delete

To delete a memorized transaction, enter option 4 next to a transaction on the Work with Memorized Transactions panel. When you press enter, the confirmation message Memorized Transaction(s) successfully deleted displays at the bottom of the panel. Note: Make sure you want to delete the transaction since it is deleted immediately.

## 5=Display

Enter option 5 next to a transaction to display the Display Memorized Transaction panel. The <u>Display Memorized Transaction panel</u> shows the filter properties of the memorized transaction.

## **Field Descriptions**

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving sign-on information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

## **Type**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing. This displays the User to which this Memorized Transaction applies. If blank, then this is for a specific Location. If the value is \*PUBLIC, the transaction applies to all users.

## **ASP Group**

When a memorized transaction is evaluated, this ASP Group name will be compared to the current ASP Group name of the job issuing the transaction. These need to be the same (or this must be set to the special value \*ALL) for this memorized transaction to be considered a match.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing. This displays the Location to which this Memorized Transaction applies. If blank, then this is for a specific User. If the value is \*ALL, the transaction applies to all Users.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This field may hold one of these values:

- \*USER Current user authority is used.
- \*OS40O Network Security will use normal operating system authority for the user.
- \*REJECT Network Security will reject requests.
- \*SWITCH Network Security will use the authority of the switch profile for the transaction. A switch profile entry is required.
- \*MEMUSR Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the current user.
- \*MEMOS400 Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use normal operating system authority for the user.
- \*MEMREJECT Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMSWITCH Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMOBJ Memorized Transactions (MTR) are checked for authority first. If no MTR authority is encountered, Network Security will check any objects used in the transactions for authorities defined by Object Rules.
- \*SERVER Network Security will use the authority defined for the Server.
- \*SRVFCN Network Security will use the authority defined for the Server Function.

#### **Status**

This is the status of the Memorized Transaction. Possible values are:

\*ACTIVE Network Security will attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*ACTIVE will have a matching User or Location rule changed to the corresponding action; \*ALLOW to \*MEMOS400, \*REJECT to \*MEMREJECT, or \*SWITCH to \*MEMSWITCH.

\*INACTIVE Network Security will not attempt to match this transaction during rule enforcement. Memorized Transactions that are changed to \*INACTIVE will have the matching User or Location rule changed (if there are no other Memorized Transactions for that rule) to the corresponding action; \*MEMOS400 to \*ALLOW, \*MEMREJECT to \*REJECT, or \*MEMSWITCH to \*SWITCH.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

- F7 (Select System): Use this command key to work with data from a different System.
- F11 (View): Changes the data presented in the list.
- F12 (Cancel): Exit the panel without processing any pending changes.
- F16 (Sort/Subset): Allows you to sort and subset information by user, server, status, and/or transaction.
- F21 (User Groups): Allows user to go to the Work with User Groups panel.

# Work with Activation

```
PNSRACT10
                          PowerTech Network Security
                                                                       15:34:19
                             Work with Activation
                                                                       HS42
System: HS42
                  HS42 - MANAGER
Type options, press Enter.
                      2=Set to Deactivate 3=Remove pending change ...
    1=Set to Activate
Opt Server
                 Pending Change Current program
                                                         Current supplemental
    *CLI
                                 NS R07M000000
                                                         *NONE
                 *NONE
    *CNTRLSRV
                                 NS R07M000000
                 *NONE
                                                         *NONE
    *DATAQSRV
                 *NONE
                                 NS R07M000000
                                                         *NONE
    *DDM
                 *NONE
                                 NS R07M000000
                                                         *NONE
    *DOSRV
                                 NS R07M000000
                                                         *NONE
                 *NONE
    *DRDA
                 *NONE
                                 NS R07M000000
                                                         *NONE
     *FILESRV
                 *NONE
                                 NS R07M000000
                                                         *NONE
     *FTPCLIENT
                 *NONE
                                 NS R07M000000
                                                         *NONE
    *FTPREXEC
                 *NONE
                                 NS R07M000000
                                                         *NONE
    *FTPSERVER
                                 NS R07M000000
                                                         *NONE
                 *NONE
    *FTPSIGNON
                 *NONE
                                 NS R07M000000
                                                         *NONF
                                                                        More...
         F5=Refresh F12=Cancel
                                   F13=Set all to Activate
F14=Set all to Deactivate F23=More options F24=More keus
```

#### **How to Get There**

To display these options, from the <u>Network Security Main Menu</u>, select option **81**, Configuration Menu. Then select option **2**, Work with Activation.

The following describes the parameters and allowable values for each field on the Work with Activation panel.

#### What it Does

The work with Activation panel is used to prepare servers to be protected by Powertech's Network Security product or to remove that protection. The activation process can be run immediately or scheduled to run the next time you IPL the system. A server may be selected for activation (begin protection) or for deactivation (end protection). All pending changes take effect during the next activation operation. The process of activating protection for a server also "activates" this version of the product. This is only a concern when you have been running a prior version of Network Security and are upgrading to a new version. Part of making this version the active version involves ending the SUMCAPTRAN job running for the other version and starting it for this version. This will only happen if the SUMCAPTRAN job is running at the time you activate this version.

## **Field Descriptions**

## Opt

Select servers with one of the options listed to perform that action on the selected server. Press Help on the options line for more information on the available options.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy—to—remember names to these controlled entry points.

**NOTE:** The \*DDM and \*DRDA servers are activated together regardless of whether you select one of them or both.

## **Pending Change**

The Pending Change column shows the action that Network Security will take on the server the next time the activation process is run.

The following values may appear for Pending Change:

- \*NONE The server's protection status will not change.
- \*ACTIVATE The server will starting being protected.
- \*ACT/SUPP The server will start being protected and the program shown as the Current Supplemental will be remembered.
- \*DEACTIVATE The server will stop being protected.
- \*DEACT/SUPP The server will stop being protected and the program shown as the Current Supplemental will be registered on the server's exit point.

# **Current program**

The Current program column displays the program registered to protect the server.

## **Current supplemental**

\*YES in this field indicates the exit program currently is registered to the exit point as supplemental to Network Security's exit program. However, a Powertech Network Security exit program cannot be supplemental to itself. This shows the program currently set up within Powertech Network Security as the supplemental exit program for that exit point. If an exit point is deactivated with the Reinstate supplemental option, the supplemental program will be registered as the exit program at activation time. \*NONE indicates there is no supplemental exit program for the exit point.

You may see values that are not program names here. They will be formatted as follows: The first two characters are always "NS" (Network Security) and the version of the product in which that program exists follows. If you see \*ERROR for the version, the library containing that version is damaged and the version is not available.

If a server is activated with the "retain supplemental" option, this exit program will, at activation time, be made supplemental to the Network Security exit program. Supplemental exit programs are executed after Network Security has processed the server transaction.

## **Options**

You can select from the following options on the Work with Activation panel:

#### 1=Set to Activate

Will begin protection of the server at the next activation.

#### 2=Set to Deactivate

Will end protection of the server at the next activation.

**NOTE**: To deactivate all exit points, press F14 (Set all to Deactivate).

## 3=Remove pending change

Select option 3 to remove a pending change from a server so that no changes are made to the server when you run activation. Use this option if you've already set an exit point to activate (or deactivate), and want to remove the pending change for the exit point.

## 11=Set to Activate/Retain supplemental

Select option **11** to flag the exit point for activation and retain the existing exit program, making it supplemental to the Network Security exit program.

## 12=Set to Deactivate/Reinstate supplemental

Select option 12 to flag the exit point for deactivation (remove the Network Security exit program) and reinstate the supplemental program as the exit program. Use the following function keys to activate the selected exit points:

## **Command Keys**

## F3 (Exit):

Exit the program without processing any pending changes.

# F5 (Refresh):

Refresh the panel.

## F12 (Cancel):

Exit the panel without processing any pending changes.

# F13 (Set all to Activate):

Select all servers to begin protection, with the exception of the sockets-related servers. These can potentially render your system unreachable via TCP and need to be treated with the utmost caution. They can still be activated by selecting them with a '1'.

# F14 (Set all to Deactivate):

Press F14 to set all servers to \*DEACTIVATE.

# F15 (Reset all):

Press F15 to reset all fields to default settings of \*NONE.

## F18 (Add silent activation):

Press F18 to specify a silent activation. Use this if you plan to schedule an IPL during evening hours or on a weekend. When you select silent activation, you accept the activation setup so it is ready to be applied at the next system IPL. A message displays at the bottom of the panel confirming the silent activation request. See Using Silent Activation.

## F19 (Remove silent activation):

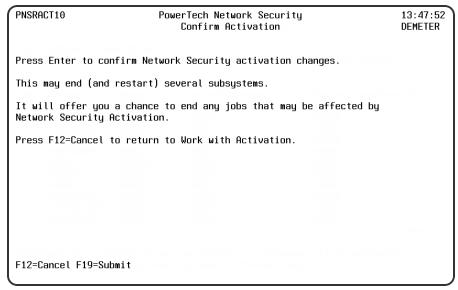
Press F19 to remove a silent activation request. The confirmation message, Silent activation canceled, displays at the bottom of the Work with Activation panel.

**NOTE**: If you enabled silent activation on your system, you must select this option before deleting Network Security from your system to ensure it's removed properly.

## F20 (Run activation):

For an Interactive activation:

1. Press F20 to run the activation request. You can run the activation after you've set one or more exit points to activate (by selecting option 1, Set to Activate, F13, Set all to Activate, or option 11, Set to Activate/Retain supplemental). The Confirm Activation panel displays when you press F20.



- 2. Press Enter to confirm the Network Security activation or press F19 to submit the activation to batch.
- 3. If you don't want to accept the current activation setup, press F12 to return to the Work with Activation panel.

If the activation request finds active server jobs, the Server Jobs to End panel displays with a list of the jobs. We recommend that you end all active server jobs to complete the activation. Follow the instructions on the panel to end the jobs.

When the activation completes, a confirmation message displays at the bottom of the Work with Activation panel.

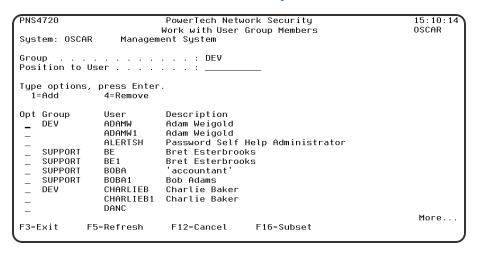
**NOTE**: If the operating system NetServer was active prior to adding the Network Security exit programs to the IBM exit points, it should restart after activation. To check if the NetServer is active, enter the following command:

#### WRKACTJOB SBS(QSERVER) JOB (QZLSSERVER)

If the QZLSSERVER job is not active, you must restart the NetServer. Use the following command to start the NetServer:

#### STRTCPSVR \*NETSVR

# Work with User Group Members



#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option **7**, Work with User Groups, then choose **3** for a Group.

#### What it Does

The Work with User Group Members panel allows you to add a user profile to, or remove a user profile from, a User Group.

On this panel, the selected User Group is the one used for additions. This User Group is shown near the top of the panel.

# **Options**

#### 1=Add

Adds the selected User to the selected User Group.

#### 4=Remove

Removes the selected User from the corresponding User Group.

## **Field Descriptions**

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

## Group

This is the current selected User Group. It is the group into which profiles would be added.

#### Position to User

This allows positioning to a starting point for the subfile.

## Opt

Enter a valid option from the list of options provided on the list panel.

## Group

Name of the User Group, if any, in which the User is a member.

#### User

Name of the User Profile.

## **Description**

Description of the User Profile.

## **Command Keys**

F3=Exit: Exit the current panel without processing any pending changes.

F5=Refresh: Refreshes the panel and resets all available text fields.

**F12=Cancel:** Exit the current panel without processing any pending changes.

**F16=Subset:** Displays parameters to subset the list to a more manageable number of items.

# Work with User Groups

```
PNS4710
                               PowerTech Network Security
                                                                                   12:22:40
                               Work with User Groups
                                                                                   OSCAR
System: OSCAR
                    Management System
Position to Sequence .
Type options, press Enter
2=Change 3=Copy 4=De
  2=Change
                         4=Delete
  8=Work with members
     Seq
                            Description
     0001
                            Development user group
            SUPPORT
     0002
                            Support user group
                            Sid's user group
                                                                                     Bottom
                               F6=Create
                                               F7=Select System
F10=View 2 F12=Cancel F16=Sort/Subset
NS User Group OSCAR 1 SIDGRP Sid's user group changed
```

#### **How to Get There**

From the Network Security Main Menu, select option 7, Work with User Groups.

#### What it Does

The Work with User Group panel allows you to maintain User Groups.

A User Group is simply a container for a group of user profile names. A User Group can be used in place of a user profile name in user rules.

The sequence number of a User Group determines the order in which it will be used by the exit point programs.

For example, if there are three User Rules with User Groups for a specific Server/Function, and all three have USER1 as a member, then the User Rule for the User Group with the lowest sequence number will be used by the exit programs (if a User Rule with the specific user name of 'USER1' is not found).

If a group profile is a member of a User Group, the exit programs will not test for the individual profiles that have that profile as a group (or supplemental group) profile.

## **Field Descriptions**

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### **Position To**

This allows positioning to a starting point for the subfile.

## Opt

Enter a valid option from the list of options provided on the list panel.

## Seq

Enter the sequence number used that will be used to determine the order in which this User Group will be evaluated by the exit point programs.

For example, if there are three User Rules with User Groups for a specific Server/Function, and all three have USER1 as a member, then the User Rule for the User Group with the lowest sequence number will be used by the exit programs (if a User Rule with the specific user name of 'USER1' is not found).

#### Name

The User Group name is a short name you assign to a group of user profiles to help you identify the group.

This name is required to be a <u>valid OS name</u>.

# **Description**

The User Group description is a short textual description of the User Group. It is typically used to indicate the purpose or contents of the User Group.

#### **Command Keys**

F3=Exit: Exit the current panel without processing any pending changes.

**F5=Refresh:** Refreshes the panel and resets all available text fields.

F7=Select system: Use this command to work with data from another System.

F9=Memorized transactions: Opens the Work with Memorized Transactions panel.

F18=User Rules: Opens the Work with Security by User panel.

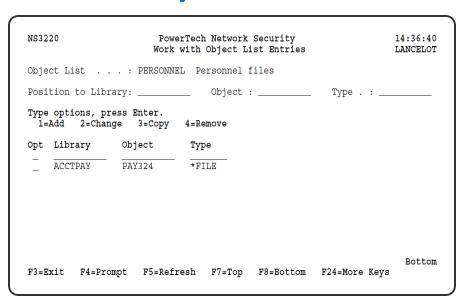
**F19=Prefilters:** Opens the Work with Loc+User Pre-filters panel.

F10=View2: Displays an alternate view of the panel. See Work with User Group Sequence panel.

**F12=Cancel:** Exit the current panel without processing any pending changes.

F16=Subset: Displays parameters to subset the list to a more manageable number of items.

# Work with Object List Entries



#### How to Get There

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the <u>Work with Object Lists panel</u>, then enter an 8 in the Opt column on one of the Object Lists.

#### What it Does

The work with Object List Entries panels allow you to add, modify, or remove Object List Entries.

## **Options**

You can select from the following options on the Work with Object List Entries panel.

#### 1 = Add

Displays the Add Object List Entry panel, which allows you to add an entry to the Object List. See Add Object List Entry panel.

## 2=Change

Displays the Change Object List Entry panel, which allows you to modify an existing entry. See <a href="Change">Change</a> <a href="Change">Object List Entry panel</a>.

## 3=Copy

Displays the Copy Object List Entry panel, which allows you to copy an entry to create a new Object List entry. See Copy Object List Entry panel.

#### 4=Delete

Enter a 4 next to an entry to remove it from the Object List. A confirmation panel displays asking you to confirm the deletion. See <u>Confirm Choices panel</u>.

## **Field Descriptions**

## Opt

Enter a valid option from the list of options provided on the list panel.

## Library

The Library is the name of the library in which an object exists. This name is required to be a valid OS name. The special value <UNKNOWN> represents the lack of an identifiable library name. Circumstances arise when an unqualified object reference cannot be resolved to the actual object on the system, so the library name cannot be determined. Network Security allows you to make Object Rules to cover these circumstances by specifying the <UNKNOWN> special value for the library portion of an Object List Entry.

# **Object**

Object is the name of an object in a library. This name is required to be a > valid OS name.

# **Type**

Object Type is the type of an object in a library.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

**F7** (**Top**): Positions the list panel to the first record.

**F8** (Bottom): Positions the list panel to the last record.

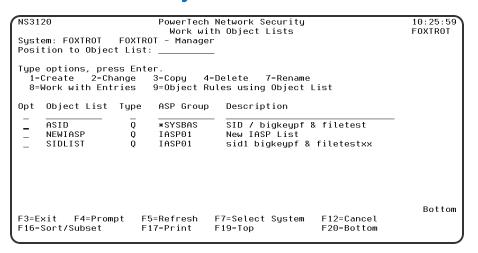
F12 (Cancel): Exit the panel without processing any pending changes.

F16 (Sort/Subset): Allows you to sort and subset information by library, object, and type.

**F17** (**Print**): Prompts the PRTOBJL command to print the list of Object List Entries using your current sort/subset criteria.

F24 (More keys)Displays more function keys on the bottom of the panel (listed above).

# Work with Object Lists



#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 1 to display the Work with Object Lists panel.

#### **What it Does**

The work with Object Lists panels allow you to create, modify, delete and perform other operations upon Object Lists. An Object list is simply a list of names of objects. These lists of objects are attached to Users or Locations on Object Rules. These rules help protect objects from outside access.

## **Options**

You can select from the following options on the Work with Object Lists panel.

#### 1=Create

Allows you to create a new Object List. See Create Object Lists panel.

## 2=Change

Enter a 2 next to an existing Object List to change the type or description for the list. See <a href="Change">Change</a> Object List panel.

## 3=Copy

Enter a 3 next to an Object List to copy the existing list. You must enter a name for the new Object List on the Copy Object List panel. See Copy Object List panel.

#### 4=Delete

Enter a 4 next to an Object List to delete the list from Network Security. A confirmation panel displays asking you to confirm you want to delete the selected list(s). See <u>Confirm Choices panel</u>.

#### 7=Rename

Enter a 7 next to an Object List to rename the list. See Rename Object List panel.

#### 8=Work with Entries

Enter an 8 next to an Object List to display the Work with Object List Entries panel, which allows you to add objects to the Object List. See Work with Object List Entries panel.

## 9=Object Rules using Object List

Displays a list of the location or user rules that use the Object List. You can add a new rule, change or delete an existing rule, and activate or deactivate a rule. See Object Rules using Object List panel.

## **Field Descriptions**

## Opt

Enter a valid option from the list of options provided on the list panel.

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name.

## **Type**

The Object List type determines what type of entries can be added to an Object List. Object lists can hold native object specifications (library, object and type) or paths to IFS objects.

Valid values are:

**Q** The Object List entries are native object specifiers.

I The Object List entries are paths to IFS objects.

# **ASP Group**

This is the name of an ASP Group. It is used in rule evaluation to determine if an object referenced in a transaction is the one specified on the object entries for this list.

Valid values are:

\*SYSBAS The Object List entries refer to those objects in \*SYSBAS.

\*ALL The Object List entries refer to those objects in any namespace.

## **Description**

The Object List description is a short textual description of the Object List. It is typically used to indicate the purpose or contents of the Object List.

# **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

F11 (View): Changes the data presented in the list.

F12 (Cancel): Exit the panel without processing any pending changes.

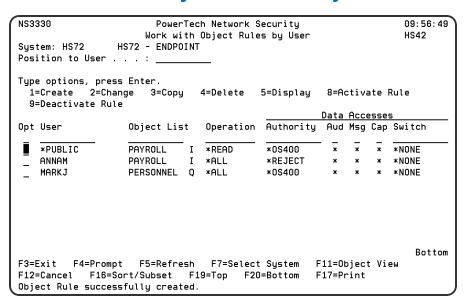
F16 (Sort/Subset): Allows you to sort and subset information by Object List, type, and/or description.

**F17** (**Print**): Prompts the PRTOBJL command to print the list of Object Lists using your current sort/subset criteria.

**F19** (Top): Positions the panel list at the first record.

**F20 (Bottom):** Positions the panel list at the last record.

# Work with Object Rules by User



#### How to Get There

From the <u>Network Security Main Menu</u>, select option **4** to display the <u>Work with Security by Object panel</u>. Select option **2** to display the Work with Object Rules by User panel.

#### What it Does

The work with Object Rules by User panels allow you to create, modify, and delete Object Rules that pertain to Users. Object Rules can be active or inactive. On this panel, the inactive rules are colored yellow and the active rules are colored green.

# **Options**

#### 1=Create

Enter a 1 in the Opt column at the top of the list to open the <u>Create Object Rule by User panel</u>, where you can create an Object Rule linking a User to an Object List. When you've defined your rule, press <u>Enter</u> to display the <u>Select Target Server Functions for Object Rule panel</u>.

## 2=Change

Enter a 2 next to an object rule to display the <u>Change Object Rule by User panel</u>, where you can modify an Object Rule's attributes. Enter the changes you want to make and press <u>Enter</u> to display the <u>Select Target Server Functions for Object Rule panel</u>. See <u>Object Rules</u> for more information.

## 3=Copy

Enter a 3 next an object rule to display the <u>Copy Object Rule by User panel</u> where you can create a new Object Rule using an existing rule as the basis for the new rule. You can enter a new user name and make other changes to the values specified in the rule. Press <u>Enter</u> to display the <u>Select Target Server</u> <u>Functions for Object Rule panel</u>.

#### 4=Delete

Enter a 4 next to an object rule to delete it. A confirmation panel displays asking you to confirm the deletion. See Confirm Choices panel.

## 5=Display

Enter a 5 next to a rule to display the <u>Display Object Rule by User panel</u>. You cannot make any changes on this panel, it is information only.

#### 8=Activate Rule

Enter an 8 next to a rule to activate it if it is inactive. A confirmation panel displays asking you to confirm the activation request. See <u>Confirm Choices panel</u>. The Select Target Server Functions for Object Rule panels display allowing you to define a new filter rule.

#### 9=Deactivate Rule

Enter a 9 next to a rule to deactivate it. A confirmation panel displays asking you to confirm the deactivation request. See <u>Confirm Choices panel</u>. If the rule is the last active rule for the user, the Specify Filter Rule Options panel displays so you can specify how you want Network Security to handle any \*MEMOBJ filter rules that exist for the object rule. See <u>Deleting an Object Rule</u> for more information on \*MEMOBJ filter rules.

# **Field Descriptions**

## Opt

Enter a valid option from the list of options provided on the list panel.

#### User

User represents the identity of the person initiating a transaction as a user profile. The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. when used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name. The Object List name is immediately followed by its type, which can be one of the following values:

**Q** The Object List entries are native object specifiers.

I The Object List entries are paths to IFS objects.

#### Operation

The operation to which the rule applies.

- \*ALL The rule applies to all operations.
- \*CREATE The rule applies to attempts to create an object matching an entry defined in the Object List.
- \*READ The rule applies to attempts to read an object matching an entry defined in the Object List.
- \*UPDATE The rule applies to attempts to update an object matching an entry defined in the Object List.
- \*DELETE The rule applies to attempts to delete an object matching an entry defined in the Object List

## **Data Accesses/Object Accesses**

Data Accesses define user rights to the data contained in the objects in the Object List. Object Accesses define user rights to the actual objects in the Object List. Press **F11** to switch the view between the two types of access.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

- \*OS400 The transaction will be allowed and object authority will be determined by the operating system.
- \*REJECT The transaction will not be allowed.
- \*SWITCH The transaction will be allowed and the transaction will occur as if the user profile named as the Swap Profile had initiated the transaction. After switching to the Swap Profile, the authority used during the transaction will be determined by the operating system.

## **Aud (Audit Transactions)**

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Aud flag pertains to Data Accesses.

The valid values are:

- Y The transaction will be logged to the Log Journal.
- N The transaction will not be logged to the Log Journal.
- \* The default value from a prior rule will control the logging.

# Msg (Send Messages)

The Send messages flag controls the sending of messages to the Log Message Queue set up on the work with Network Security System Values panel. This Msg flag pertains to Data Accesses.

The valid values are:

- Y A log message will be sent to the Log Message Queue.
- N A log message will not be sent to the Log Message Queue.
- \* The default value from a prior rule will control the logging.

## **Cap (Capture Transactions)**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Cap flag pertains to Data Accesses.

The valid values are:

Y Capture transactions.

N Do not capture transactions.

\* Use the audit value for the server/function.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch pertains to Data Accesses.

## **Command Keys**

**F3** (Exit): Exit the panel without processing any pending changes.

**F4** (**Prompt**): Displays a list of possible values from which you may select one.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

F11 (Object View): Changes the displayed detail columns to those that control Object Accesses.

**F12 (Cancel):** Exit the panel without processing any pending changes.

F16 (Sort/Subset): Allows you to sort and subset information by user, Object List, and/or operation.

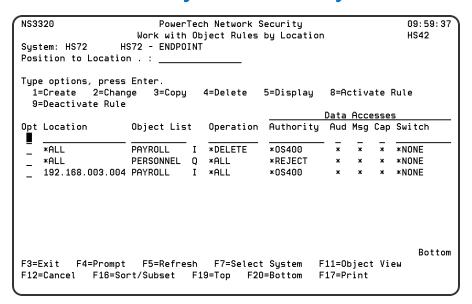
**F17** (**Print**): Prompts the PRTOBJL command to print the list of Object Lists using your current sort/subset criteria.

**F19 (Top):** Positions the panel list at the first record.

**F20 (Bottom):** Positions the panel list at the last record.

Work with Security by Object panel

# Work with Object Rules by Location



#### **How to Get There**

From the <u>Network Security Main Menu</u>, select option 4 to display the <u>Work with Security by Object panel</u>. Select option 3 to display the Work with Object Rules by Location panel.

#### What it Does

The work with Object Rules by Location panels allow you to create, modify, and delete Object Rules. Object Rules can be active or inactive. On this panel, the inactive rules are colored yellow and the active rules are colored green.

# **Options**

#### 1=Create

Enter a **1** in the Opt column at the top of the list to open the <u>Create Object Rule by Location panel</u> where you can create an Object Rule linking a Location to an Object List. When you've defined your rule, press Enter to display the <u>Select Target Server Functions for Object Rule panel</u>.

## 2=Change

Enter a **2** next to an object rule to display the <u>Change Object Rule by Location panel</u>, where you can modify an Object Rule's attributes. Enter the changes you want to make and press <u>Enter</u> to display the <u>Select Target Server Functions for Object Rule panel</u>. See <u>Object Rules</u> for more information.

## 3=Copy

Enter a **3** next an object rule to display the <u>Copy Object Rule by Location panel</u> where you can create a new Object Rule using an existing rule as the basis for the new rule. You can enter a new user name and make other changes to the values specified in the rule. Press <u>Enter</u> to display the <u>Select Target Server</u> <u>Functions for Object Rule panel</u>. See <u>Object Rules</u> for more information

#### 4=Delete

Enter a 4 next to an object rule to delete it. A confirmation panel displays asking you to confirm the deletion.

## 5=Display

Enter a 5 next to a rule to display the Display Object Rule by User panel. You cannot make any changes on this panel, it is information only.

#### 8=Activate Rule

Enter an 8 next to a rule to activate it if it is inactive. A confirmation panel displays asking you to confirm the activation request. The Select Target Server Functions for Object Rule panels display allowing you to define a new filter rule.

#### 9=Deactivate Rule

Enter a **9** next to a rule to deactivate it. A confirmation panel displays asking you to confirm the deactivation request. If the rule is the last active rule for the location, the Specify Filter Rule Options panel displays so you can so you can specify how you want Network Security to handle any \*MEMOBJ filter rules that exist for the object rule. See <u>Deleting an Object Rule</u> for more information on \*MEMOBJ filter rules.

## **Field Descriptions**

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device. The special value \*ALL, when used on a rule, means that the rule applies to any rule means that the rule applies to any Location lacking a specific rule. when used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

## **Object List**

The Object List name is a short name you assign to a list of objects to help you identify the list. This name is required to be a valid OS name. The Object List name is immediately followed by its type, which can be one of the following values:

**Q** The Object List entries are native object specifiers.

I The Object List entries are paths to IFS objects.

## Operation

The operation to which the rule applies.

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## **Data Accesses/Object Accesses**

Data Accesses define access rights by location to the data contained in the objects in the Object List. Object Accesses define access rights by location to the actual objects in the Object List. Press F11 to switch the view between the two types of access.

## **Authority**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction. This Authority value pertains to Data Accesses.

The valid values are:

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The Audit transactions flag controls the logging of transactions to the Log Journal set up on the work with Network Security System Values panel. This Aud flag pertains to Data Accesses.

The valid values are:

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The valid values are:

- Y A log message will be sent to the Log Message Queue.
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## **Cap (Capture Transactions)**

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules. This Cap flag pertains to Data Accesses.

The valid values are:

- Y Capture transactions.
- **N** Do not capture transactions.
- \* Use the audit value for the server/function.

#### **Switch Profile**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE. This Switch pertains to Data Accesses.

## **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

F11 (Object View): Changes the displayed detail columns to those that control Object Accesses.

F12 (Cancel): Exit the panel without processing any pending changes.

F16 (Sort/Subset): Allows you to sort and subset information by location, Object List, and/or operation.

**F17** (**Print**): Prompts the PRTOBJL command to print the list of Object Lists using your current sort/subset criteria.

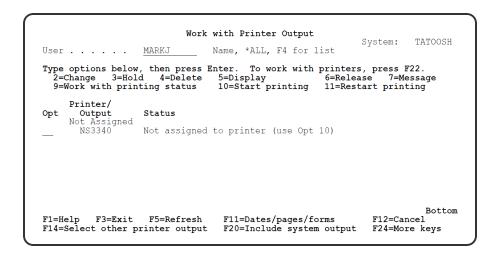
**F19** (**Top**): Positions the panel list at the first record.

**F20 (Bottom):** Positions the panel list at the last record.

# Work with Printer Output

**NOTE**: If you have \*JOBCTL or \*SPLCTL authority, you can use F14 (Select other printer output) to see the printer output of one or more other users.

The Work with Printer Output display shows you the status of printer output. Use this list to do common tasks related to printer output, such as selecting a printer, changing the printer the output goes to, holding or releasing the printer output, or changing other printing options. From this display, you can use F22, if available, to work with printers.



## **Field Descriptions**

#### User

This field shows the name of the user whose printer output is shown.

To display other users' printer output, specify the user's name or \*ALL to display all users' printer output. You can press F4 to see a list of user names to choose from when the cursor is positioned in the field.

You can use the asterisk (\*) after any characters to show a list of all printer output for user names that start with the specified characters. For example, if you enter T\*, you will get a list of all users that begin with T and their printer output. If you enter SMI\*, you will get a list of all users that begin with SMI (Smirf, Smith, Smitty, and so on) and their printer output.

To display other user's printer output, you must have \*JOBCTL or \*SPLCTL authority.

## **Options**

Type an option number in the Opt column next to a printer output name and press Enter. You can type option numbers next to more than one printer output to do tasks one after the other. Only the options that you have authority to do are shown. You can choose:

# 2=Change

Shows you a display where you can change the following information for your printer output:

- The printer to use
- The number of copies to print
- The first page of output to print
- The last page of output to print
- The type of forms to print on
- Whether to print this output next
- · Whether to save the printer output

#### 3=Hold

Holds the printer output. The output is temporarily stopped from printing and is not printed until it is released.

#### 4=Delete

Deletes the printer output and removes it from the system.

## 5=Display

Displays the contents of the printer output on your display. This is what will actually print on the printer.

#### 6=Release

If the printer output is held, it is released so that it can print.

## 7=Message

If the printer output status is Message Waiting or Printer Message, this option displays the message the printer output is waiting on. You can also reply to the message if you have the proper authority.

## 9=Work with printing status

Allows you to get more technical information about the status and gives directions on how to work with the status.

## 10=Start printing

If the printer is stopped, you can start the printer you want to print on. If the printer output has not been assigned to a printer you can specify and start the printer you want to print on.

## 11=Restart printing

Allows you to start a printer that stopped before printing all the printer output. Shows you a display with options to specify:

- The page you want to restart printing on
- If you want to print the printer output next
- A printer to use if a printer has not been specified
- If you want to save the printer output

## **Printer/Output**

The name of a printer followed by the name of each piece of printer output waiting to print on that printer. The names of the printer output are indented 2 positions under the printer names. If the name of the printer is Not Assigned, a printer has not been specified for the printer output.

The printer output name is either a 10-character name that was used to describe the piece of printer output when it was created or if no name was specified, the printer output name is the file name used by the program that created the printer output.

#### **Status**

The status of the printer output is shown. The status can be any of the following:

- Printer stopped: Use option 10 (if available) to start printing. Otherwise, contact your system
  operator.
- Printer waiting: Use option 9 (if available) to print. Otherwise, contact your system operator.

- Printer message: Use option 7 (if available) to display and answer the message that is preventing the printer from printing. Otherwise, contact your system operator.
- Message waiting: Use option 7 (if available) to display and answer the message needing a reply for this printer output. Otherwise, contact your system operator.
- Waiting to print: Will print after printer output ahead of it prints.
- Still being created: A program is still creating this printer output.
- Not scheduled to print yet: A job must finish before this output prints.
- Held: Use option 6 (if available) to release.
- Printed and kept: Use option 6 to print this printer output again.
- Printing page x of y: Currently printing.
- Not assigned to printer: Use option 10 to assign the printer output to print on a specific printer.
- Printing starting or ending: Press F5 (Refresh) to see if the status has changed.

## **Command Keys**

**F1** (Help): Provides additional information about using the display or a specific field on the display.

F3 (Exit): Exit the panel without processing any pending changes.

F4 (List): Shows a list of users on the system.

F5 (Refresh): Refreshes the panel and resets all available text fields.

**F9** (Command line): Displays a command line that allows you to enter system commands. Note: This is not shown if you don't have the correct authority.

**F11** (Dates/pages/forms): Changes the information displayed to show the date/time the printer output was created, the number of copies, total number of pages, and the form type that the printer output is to be printed on.

F12 (Cancel): Exit the panel without processing any pending changes.

**F14** (Select other printer output): Allows you to change the contents of the printer output list. You can select the user, printer, status, and printer output.

**F20 (Include system output):** Displays job logs and printouts of storage (only shown if you have the correct authority).

**F21 (Select assistance level):** Allows you to select the level of assistance you want when interacting with the system. Possible choices (if available) are:

1=Basic assistance level

Shows the displays that provide the most assistance. Basic assistance level supports the more common user and operator tasks and does not use computer terminology.

2=Intermediate assistance level

Shows the displays that support all system tasks and uses computer terminology. Complicated tasks can be done using this level.

3=Advanced interface

Shows the displays that provide the same functions as the intermediate assistance level. However, the displays contain as much information as possible by not displaying the allowed function keys and options.

**F22 (Work with printers):** Displays a list of printers on the system on which the user has output printing or waiting to print and allows you to work with the printers.

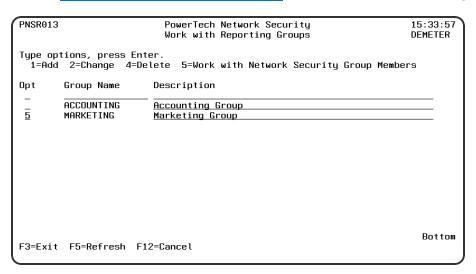
F24 (More keys): Changes the list of function keys shown at the bottom of the display (listed above).

# Working with Report Group Members

A Network Security reporting group allows you to assign users (user profiles) to the reporting groups you have created. Once you've associated user profiles with the group, you can run a report on the entire group.

#### **Entering Reporting Group Members**

- 1. To add members to a reporting group, select the group to which you want to add.
- 2. On the Work with Reporting Groups panel, enter option 5 next to the group name.



The Work with Network Security Group Members panel displays allowing you to add user profiles to a specified reporting group.

```
PNSR014
                                                                       13: 28: 49
                          PowerTech Network Security
                   Work with Network Security Group Members
                                                                       HS42
                  HS72 - ENDPOINT
Working with group ACCOUNTING
                                   Accounting Group
                4=Remove from group
1=Add to group
Filter on Profile . . . . . : _
                    Profile
Opt User Group
                               Description
                               Password Self Help Administrator
                    ALERTSH
                    ANNAM
                               Intern Tech Writer
                    ARMINE
                               Armine - Sourcio
                    ARTUR
                               Artur - Sourcio
                    BENP
                               Ben Peter Marketing
                    BENS
                               Ben Singer HR
                    BRENDAP
                               Brenda Peroutka IT
                    DANAH
                               Dana Halvorson Rooms
                    DANSCHULTZ *BLANK
                    DATATHREAD DataThread Run Time Profile (CAN NOT SIGN ON)
F3=Exit F5=Refresh F8=Toggle Group Members/Avail
```

# **Work with Network Security Group Members Fields**

## **Group Name**

The name of the reporting group you selected.

#### **User Profile**

The user profiles that are members of the reporting group.

# **Work with Network Security Group Members Options**

You can select from the following options to work with the reporting group members.

# 1=Add to group

Enter a **1** in the Opt column and enter the user profile you want to add to the reporting group. You can select the users you want to add by pressing **F8**, which switches views between group members and available users. In the Available Users view, you can enter a **1** next to multiple user profiles and add them to the group at one time.

# 4=Remove from group

Enter option 4 to remove a user from the reporting group.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

**F12** (Cancel): Exit the current panel without processing any pending changes.

# Work with Security by Location

PNS4310	Power Work wi		:41:3					
	081	CAR						
System	: OSCAR Ma	anagemen	t System					
Position to Location	on:	_						
Type options, press	s Enter							
2=Change 3=Copy	4=Delete 5	5=Displa	ų					
					ule Properties			
Opt Location	Server	Functio	n Authority	Aud	Msg	Cap	Switch	Prf
_ *ALL	*CLI	*ALL	*USER	ж	*	ж	*NONE	
_ *ALL	*CNTRLSRV	*ALL	*USER	*	*	*	*NONE	
_ *ALL	*DATAQSRV	*ALL	*USER	*	*	*	*NONE	
_ *ALL	*DDM	∗ALL	*USER	ж	ж	ж	*NONE	
_ *ALL	*DQSRV	∗ALL	*USER	ж	ж	ж	*NONE	
_ *ALL	*DRDA	*ALL	*USER	*	*	*	*NONE	
_ *ALL	*FILESRV	*ALL	*USER	*	*	*	*NONE	
*ALL	*FTPCLIENT	∗ALL	*USER	*	ж	ж	*NONE	
_ *ALL	*FTPREXEC	*ALL	*USER	*	*	*	*NONE	
− *ALL	*FTPSERVER	*ALL	*USER	*	*	*	*NONE	
*ALL	*FTPSIGNON		*USER	*	*	*	*NONE	
*ALL	*LMSRV	*ALL	*USER	*	*	*	*NONE	
_								ore
F3=Exit	F5=Refresh		F6=Create rul	e	F7=5	Selec	t Syste	n
F8=Captured trans	F9=Memorized	d trans	F12=Cancel		F24:	-More	keus	

#### **How to Get There**

From the Network Security Main Menu, select option 3.

#### What it Does

The Work with Security by Location panel allows you to view or change Locations Rules.

## **Options**

**2=Change** Choose this option for a rule to open the <u>Change Location Rule</u> panel where you can change a User Rule.

**3=Copy** Choose this option for a rule to open the Copy Location Rule panel where you can change a User Rule.

**4=Delete** Choose this option for a rule to delete it.

**5=Display** Choose this option to display the Location Rule Derivation panel for the rule.

# **Field Descriptions**

The following describes the fields on the Work with Security by Location panel.

## Opt

Enter a valid option from the list of options provided on the list panel.

## **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### **Position to Location**

Used to position the list.

#### Location

Location represents the source of a transaction. Location can hold an IP Address, an IP Address Group or the name of an SNA Communications Device.

The special value \*ALL, when used on a rule, means that the rule applies to any Location lacking a specific rule. When used as a subset or selection parameter, \*ALL generally means to select all such rules for display or printing.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### **Authority Property**

The authority assigned to the location for this server/function.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the location.
- \*REJECT Network Security will reject requests for the specified location.
- \*SWITCH Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified location.
- \*MEMOS400 Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the location.
- \*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified location. A switch profile entry is required.
- \*SRVFCN Network Security will use the authority defined for the server/function.

# Aud (Audit)

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

Possible values are:

- Y Log all requests by the location/server/function.
- N Only log authority failures for the location/server/function.
- \* Use the audit value for the server/function.

# Msg (Message)

The message property entry will determine if Network Security sends a message to the specified message queue for the location/server/function.

- N No message is sent.
- **Y** A message is sent to the Network Security message queue.
- \* Use the audit value for the server/function.

### **Cap (Capture Request)**

Capture transactions for Memorized Transaction Request (MTR).

- **N** Do not capture transactions.
- Y Capture transactions.
- \* Use the audit value for the server/function.

#### **Switch**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

# **Command Keys**

- F2 (Global Rule Facility): Maintain rules en mass.
- F3 (Exit): Exit the current panel without processing any pending changes.
- F5 (Refresh): Refreshes the panel and resets all available text fields.
- **F6** (Create rule): Creates a new location rule.
- F8 (Captured trans): Goes to Work with Captured Transaction panel.
- F9 (Memorized trans): Goes to Work with Memorized Transaction panel.
- **F10 (Copy loc):** Copy all of current location authorities to another location. See <u>Copy rules to another location window</u>.
- **F12** (Cancel): Exit the current panel without processing any pending changes.
- F13 (Display messages): Displays messages for user.
- **F14** (Work with submitted jobs): Displays jobs submitted from the current job.
- F15 (Work with spooled files): Displays the user's print output.
- **F16 (Sort/subset):** Opens the <u>Location Rules Subset</u> panel, which allows you to subset the list of User Rules by Server, Function, or Location.
- **F24** (More keys): Displays additional function keys (listed above).

# Work with Security by Object

```
10:19:03
LNS3000
                          PowerTech Network Security
                         Work with Security by Object
                           Working with system HS72
Select one of the following:
     1. Work with Security by Server
     2. Work with Security by User
     3. Work with Security by Location
Selection or command
===>
         F4=Prompt
                     F7=Select System
                                         F9=Retrieve
F13=Information Assistant
                           F16=System Main Menu
```

#### **How to Get There**

From the Network Security Main Menu, choose option 4 and press Enter.

#### **What it Does**

Use this panel to work with Security by Object.

# **Options**

The following describes the fields on the Work with Security by Object panel.

Option 1 Use this option to work with Object Lists. See Work with Object Lists panel.

**Option 2** Use this option to work with Object Rules concerning Users. See Work with Object Rules by User.

**Option 3** Use this option to work with Object Rules concerning Locations. See Work with Object Rules by Location.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

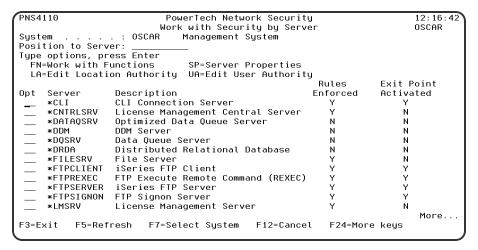
**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IMB i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# Work with Security by Server



#### **How to Get There**

From the Network Security Main Menu, select option 1.

#### What it Does

The work with Security by Server panel displays a list of all of the servers and whether they have location or user authorities specified for any/each server. From the work with Security by Server panel you can work with Server Functions, work with Location Authorities and Work with Server User Authorities.

# **Options**

You can select from the following options on the Work with Security by Server panel. Press F23 to see additional options.

**NOTE:** You can enter an option next to more than one server at a time. This allows you to perform more than one task at a time.

#### **FN=Work with Functions**

Enter **FN** (Work with Functions) next to a server to display the Work with Security by Server/Function panel. Use the Work with Security by Server/Function panel to see a list of functions for a server, and to edit function location authorities and function user authorities. See <a href="Work with Security by Server/Function">Work with Security by Server/Function</a> panel.

# LA=Edit Location Authority and UA=Edit User Authority

To create or maintain Location and User authority rules, enter either **LA** (Edit Location Authority) or **UA** (Edit User Authority) next to a server. Option LA displays the Work with Security by Location panel; option UA displays the Work with Security by User panel. For more information on creating or maintaining rules, see Location Authority Rules and User Rules.

### CT=Captured Trans and MT=Memorized Trans

To work with Captured transactions or Memorized transactions, enter either **CT** (Captured Trans) or **MT** (Memorized Trans) next to a server. Option CT displays the Work with Captured Transactions panel; option MT displays the Work with Memorized Transactions panel. For complete information on captured and memorized transactions, see Capturing Transactions and Memorizing Transactions.

### **SP=Server Properties**

Enter SP (Server Properties) next to a server to display the <u>Change Server Function Rule panel</u>, which you can use to maintain and edit server function rules.

# **Field Descriptions**

The following describes the fields on the Work with Security by Server panel.

#### **Position To**

This allows positioning to a starting point for the subfile.

### Opt

Allows entry of a valid option for the function.

#### Server

The server ID is the name of the IBM server that authority is being specified.

# **Server Description**

The description of the IBM server.

#### **Rules Enforced**

Indicates that Powertech Network Security will enforce rules for this server. See also Exit Pgm Active.

Y Network Security will enforce rules for this server.

N Network Security will not enforce rules for this server.

# **Exit Pgm Active**

Indicates that a Powertech Network Security exit program is configured for this server. See also Rules Enforced.

Y Network Security exit program is active for the server.

**N** A Network Security exit program is not active for the server.

See also Activating Powertech Network Security.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select System): Use this command key to work with data from a different System.

**F12** (Cancel): Exit the current panel without processing any pending changes.

F13 (Display messages): Displays messages for user.

- **F14** (Work with submitted jobs): Displays jobs submitted from the current job.
- F15 (Work with spooled files): Displays the user's print output.
- **F24 (More keys):** Displays additional function keys (listed above).

# Work with Security by User

PNS4210	PowerTech Network Security							: 45 : 06
	Work with Security by User						08	CAR
System :	OSCAR Management System							
Position to User :								
Type options, press	Enter							
2=Change 3=Copy		5=Displac	I					
	Filter Rule Properties							
Opt Typ User	Server	Function			Msg		Switch	Prf
U *PUBLIC	*CLI	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*CNTRLSRV	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*DATAOSRV	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*DDM	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*DOSRV	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*DRDA	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*FILESRV	*ALI	*0\$400	*	*	*	*NONE	
U *PUBLIC	*FTPCLIENT	*ALL	*0\$400	*	*	*	*NONE	
U *PUBLIC	*FTPREXEC	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*FTPSERVER		*0S400	*	*	*	*NONE	
U *PUBLIC	*FTPSIGNON	*ALL	*0S400	*	*	*	*NONE	
U *PUBLIC	*LMSRV	*ALL	*0S400	*	*	*	*NONE	
_ 0 *FOBLIC	* LIION V	<b>™⊓LL</b>	*03400	•	•	•		ore
F3=Exit	F5=Refresh		F6=Create rul		F7-	20100		
	9=Memorized trans				F7=Select System F24=More keus			"
ro-captured trans	r9-nemorized	a crans	riz-cancet		F Z 4	-nore	kegs	

#### **How to Get There**

Enter option 2 on the Network Security Main Menu.

#### What it Does

The work with Security by User panel allows you to maintain a user's server and server function filter rules. After entering a valid user profile, you can add, change, or delete the user's individual server and server function filter rules. You can also copy a user's filter rules to another user or delete all of the user's filter rules.

# **Options**

- **2=Change** Choose this option for a rule to open the <u>Change User Rule</u> panel where you can change a User Rule.
- **3=Copy** Choose this option for a rule to open the Copy User Rule panel where you can change a User Rule.
- **4=Delete** Choose this option for a rule to delete it.
- 5=Display Choose this option to display the User Rule Derivation panel for the rule.

# **Field Descriptions**

# **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named. The following describes the fields on the Work with Security by Server panel.

#### **Position to User**

Used to position the list.

### Opt

Enter a valid option from the list of options provided on the list panel.

# **Typ**

This field is used to indicate whether the associated User field refers to an O/S user profile or a Network Security User Group.

Valid values are:

- **U** The associated User field refers to an O/S user profile.
- **G** The associated User field refers to a Network Security user group.

#### User

If the associated User Type is a 'U', User represents the identity of the person initiating a transaction as a user profile.

The special value \*PUBLIC, when used on a rule, means that the rule applies to any User lacking a specific rule. When used as a subset or selection parameter, \*PUBLIC means to select all such rules for display or printing.

If the associated User Type is a 'G', User represents a Network Security User Group.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

# **Authority Property**

The authority assigned to the user for this server/function. If authority is left blank, Network Security will remove the rule.

Possible values are:

- \*OS400 Network Security will use normal OS/400 authority for the user.
- \*REJECT Network Security will reject requests for the specified user.
- \*SWITCH Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.
- \*MEMREJECT Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will reject requests for the specified user.
- \*MEMOS400 Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use normal OS/400 authority for the user.

\*MEMSWITCH Check Memorized Transactions (MTR) for authority. If no MTR authority is encountered, Network Security will use the authority of the switch profile for the specified user. A switch profile entry is required.

\*SRVFCN Network Security will use the authority defined for the server/function.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- N Logs only access failures (rejects) for this rule.

# Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

### Capture

The Capture transactions flag controls whether transactions are remembered in Network Security for later memorization. Once captured, transactions can become Memorized Transactions which can act as rules.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

#### **Switch**

The Switch profile holds the name of a user profile whose authority is used to process the transaction instead of the authority of the User initiating the transaction. The transaction is executed as, and uses the authority of, this Switch profile.

Switch profile is allowed only when Authority contains \*SWITCH or \*MEMSWITCH, if \*MEMSWITCH is allowed. Otherwise it must contain \*NONE.

The Work with Security by User panel allows you to view or change User Rules.

# **Command Keys**

F2 (Global Rule Facility): Maintain rules en mass.

F3 (Exit): Exit the current panel without processing any pending changes.

F4 (Prompt): Display a list of valid values for field prompted.

- **F5** (Refresh): Refreshes the panel and resets all available text fields.
- **F6** (Create rule): Creates a new user rule.
- F7 (Select system): Allows user to select a different silo system.
- **F8** (Captured trans): Allows user to go to the Work with Captured Transaction panel, which will be filtered based on the rule on which the cursor was positioned when F8 was pressed.
- **F9** (Memorized trans): Allows user to go to the Work with Memorized Transaction panel, which will be filtered based on the rule on which the cursor was positioned when F9 was pressed.
- F10 (Copy user): Copy all of current user authorities to another user.
- F12 (Cancel): Exit the current panel without processing any pending changes.
- F13 (Display messages): Displays messages for user.
- F14 (Work with submitted jobs): Displays jobs submitted from the current job.
- F15 (Work with spooled files): Displays the user's print output.
- **F16** (Sort/subset): Opens the <u>User Rules Subset</u> panel, which allows you to subset the list of User Rules by Server, Function, Type, or User.
- F21 (User Groups): Allows user to go to the Work with User Groups panel.
- **F24 (More keys):** Displays additional function keys (listed above).

# Work with Security by Server/Function

```
PNS4120
                                 PowerTech Network Security
                         Work with Security by Server/Function
Sustem
                         OSCAR
                                     Management System
                         *FTPSERVER iSeries FTP Server
Server
Type options,
  SP=Server Function Properties
                                      UA=Edit User Authority
  LA=Edit Location Authority
                                                                        Authority
     Function
                     Description
Set curr lib dir (CD,CDUP,XCD,XCUD)
                                                                                Usr
      CHGCURLIB
                     Create library or dir (MD,MKD,XMKD)
Delete file(s) (DELE)
Delete library or dir (RMD,XRMD)
     DELETEFILE
DELETELIB
                     Initialize session (new connection)
List files in lib|dir (LIST,NLIST)
Send (put) file (APPE,STOR,STOU)
      LISTFILES
      RECVFILE
      RMTCMD
                      Execute remote
                                         command
      RNMFILE
                      Rename file (RNFR, RNTO)
                     Receive (get) file (RETR)
      SENDFILE
                                                                                             Bottom
            F5=Refresh
                             F7=Select System
                                                      F12=Cancel
                                                                       F24=More keys
```

#### How to Get There

From the Network Security Main Menu, select option 1. Type FN for a server and press Enter.

#### What it Does

The Work with Security by Server/Function' panel displays a list of functions for a specific server and allows you to go to the Edit Location Authority and Edit User Authority.

### **Options**

You can select from the following options on the Work with Security by Server/Function' panel:

# LA=Edit Location Authority and UA=Edit User Authority

These options allow you to maintain server/function filter rules for locations or users. Enter **LA** to display the Work with Security by Location panel for the function on the server. Enter **UA** to display the Work with User Authorities panel for the function on the server. For more information on creating and maintaining rules, see Location Rules and User Rules.

# CT=Captured Trans and MT=Memorized Trans

To work with Captured transactions or Memorized transactions, enter either **CT** (Captured Trans) or **MT** (Memorized Trans) next to a server. Option CT displays the Work with Captured Transactions panel; option MT displays the Work with Memorized Transactions panel. For complete information on captured and memorized transactions, see Capturing Transactions and Memorizing Transactions.

# **SP=Edit Server Function Properties**

Enter SP to display the <u>Change Server Function Rule panel</u>, where you can change one or more of the properties for a selected server function.

# **Field Descriptions**

#### Server ID

The server ID is the name of the IBM server that authority is being specified.

# Opt

Allows entry of a valid option for the function.

#### **Function**

The function ID is the name of the IBM function that authority is being specified.

# **Function Description**

The description of the IBM server function.

# **Location Authority**

Indicates if there is location authority for the function for the server. 'Y' indicates a location authority record exists.

# **User Authority**

Indicates if there is user authority for the function for the server. 'Y' indicates a user authority record exists.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select system): Use this command to work with data from another System.

**F8** (Captured Transactions): Displays the Work with Captured Transactions panel. See also Capturing Transactions.

**F9** (Memorized Transactions): Displays the Work with Memorized Transactions panel. See also Memorizing Transactions.

**F12** (Cancel): Exit the current panel without processing any pending changes.

F13 (Display messages): Displays messages for user.

**F14** (Work with submitted jobs): Displays jobs submitted from the current job.

F15 (Work with spooled files): Displays the user's print output.

**F23** (More options): Displays additional options at the top of the panel.

**F24 (More keys):** Displays additional function keys (listed above). The Work with Security by Server/Function' panel displays a list of functions for a specific server and allows you to go to the Edit Location Authority and Edit User Authority.

These flags do not include rules that apply to all functions. If there are rules that apply to all functions, but no rules that apply to the function specifically, the column displays an "N".

# Work with Socket Conditions panel

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

```
PNS4B10
                            Powertech Network Security
                                                                             15:06:12
                           Work with Socket Conditions
System
                               Management System
                      QSOLISTEN
Function
                      LSTN0100
Rule
Position to Seq
Type options, press Enter

2=Change 3=Copy 4=Delete 5=Display
Opt Sea
          Connector Field
                                         Operator
                                                      Criteria
                                                                               Bottom
F3=Exit
          F5=Refresh
                         F6=Create condition
                                                 F12=Cancel
```

#### How to Get There

On the Work with Socket Rules panel, choose option 1, 2, or 3. Enter 8 for a Socket Rule.

#### What it Does

The Work with Socket Conditions panel allows you to view or change Socket Conditions.

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

#### Rule

The Socket Rule to which this Socket Condition belongs.

A Socket Rule without a Socket Condition, or with an invalid Socket Condition, will not be enforced.

# **Position to Sequence**

Used to position the list.

# Seq

The sequence number of a Socket Condition determines the order in which it is combined with other Socket Conditions for a Socket Rule.

#### Connector

The connector determines how a Socket Condition relates to other Socket Conditions for a Socket Rule.

Socket Conditions with a higher order of precedence are evaluated before ones with a lower order of precedence.

The connector for the Socket Condition with the lowest sequence number is ignored.

#### **EXAMPLE:**

Given three Socket Conditions:

```
Seq = 10 Connector = <ignored> evaluates to False
Seq = 20 Connector = AND evaluates to True
Seq = 30 Connector = OR evaluates to True
```

This will return True as it is equivalent to:

(False AND True) OR True

If the OR were evaluated first then it would return False as it would be equivalent to:

False AND (True OR True)

The valid values are:

**OR** This Socket Condition is OR'ed with others. An OR has the lowest order of precedence (evaluated last).

**AND** This Socket Condition is AND'ed with others. An AND has a higher order of precedence than an OR, but lower than an ORAND.

**ORAND** This Socket Condition is OR'ed with others. An ORAND has the highest order of precedence (evaluated first).

#### **Field**

This is the name of the field to be evaluated at run time.

The valid values are dependent on the Socket Rule.

#### Valid values for the QSOLISTEN server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

LCL\_USR The user profile associated with the job issuing the listen.

LCL\_USR\_GRP A User Group containing the user profile associated with the job issuing the listen.

#### Valid values for the QSOCONNECT server are:

LCL\_PORT The local port number; an integer between 1 and 65535.

RMT\_PORT The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security ip address groups.

LCL\_USR The user profile associated with the job issuing the connect.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the connect.

#### Valid values for the QSOACCEPT server are:

LCL\_IN\_PORT The local incoming port number; an integer between 1 and 65535.

LCL\_BND\_PORT The local bound port number; an integer between 1 and 65535.

**RMT\_PORT** The remote port number; an integer between 1 and 65535.

**RMT\_ADDR** The remote address. Valid formats are IPv4, IPv6, and Powertech Network Security ip address groups.

LCL\_USR The user profile associated with the job issuing the accept.

**LCL\_USR\_GRP** A User Group containing the user profile associated with the job issuing the accept.

# **Operator**

The test used for the value of the field and the criteria to evaluate this Socket Condition.

- = The value of the field is equal to the criteria, or, if the criteria can be a list, the value of the field is found in that list.
- <> The value of the field is not equal to the criteria, or, if the criteria can be a list, the value of the field is not found in that list.
- > The value of the field is greater than the criteria.
- < The value of the field is less than the criteria.
- >= The value of the field is greater than or equal to the criteria.

<= The value of the field is less than or equal to the criteria.

#### **ALWAYS**

This will cause the condition to always match. It is used on the Socket Condition of the default Socket Rule, and may be used on non-default Socket Rules.

If present, it must be the only Socket Condition for a Socket Rule.

#### Criteria

This is the value against which the value of the selected field will be compared at run time.

The valid values are dependent on the selected Field.

### Opt

Enter a valid option from the list of options provided on the list panel.

### 2=Change

Choose 2 to open the <u>Change Socket Rule Condition panel</u>, where you can change a socket rule condition.

### 3=Copy

Choose 3 to open the Copy Socket Rule Condition panel, where you can change a socket rule condition.

#### 4=Delete

Choose 4 to delete the Socket Rule Condition.

# 5=Display

Choose 5 to display the Socket Rule Condition.

# Command Keys

F3 (Exit): Exit the panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel with the most current data.

F6 (Create condition): Creates a new item. See

F12 (Cancel): Discards changes and returns to the prior panel.

# Work with Socket Rules

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

```
PNS4A00
                          Powertech Network Security
                            Work with Socket Rules
                                                                        OSCAR
                          Working with system OSCAR
Select one of the following:
     1. Listen Server
     2. Connect Server
     3. Accept Server
     4. Test Socket Rules
Selection or command
                                         F9=Retrieve
         F4=Prompt
                      F7=Select System
                                                   F22=Status
                            F16=System Main Menu
F13=Information Assistant
```

#### **How to Get There**

On the Network Security Main Menu, choose option 20.

#### What it Does

The Work with Socket Rules panel allows you to view or change Socket Rules. Socket Rules are examined by Powertech Network Security exit programs until a match is found (based on a Socket Rule's Socket Conditions).

# **Options**

#### 1. Listen Server

This option allows you to work with Socket Rules for the Listen Server. See Work with Socket Rules panel - Listen.

#### 2. Connect Server

This option allows you to work with Socket Rules for the Connect Server. See Work with Socket Rules panel - Connect.

# 3. Accept Server

This option allows you to work with Socket Rules for the Accept Server. See Work with Socket Rules panel - Accept.

#### 4. Test Socket Rules

This option allows you to test Socket Rules. See <u>Test Socket Rules panel</u>.

#### **Command Line**

To run a command, type the command and press Enter. For assistance in selecting a command, press F4 (Prompt) without typing anything. For assistance in entering a command, type the command and press F4 (Prompt). To see a previous command you entered, press F9 (Retrieve).

# Command Keys

F3 (Exit): Exit the current panel without processing any pending changes.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

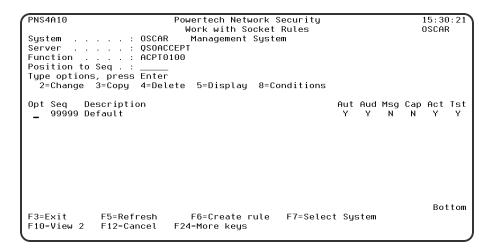
**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IBM i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# Work with Socket Rules -Accept Server

WARNING: Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).



#### How to Get There

On the Network Security Main Menu, choose option 20, then choose option 3.

#### What it Does

The Work with Socket Rules panel allows you to view or change Socket Rules.

Socket Rules are examined by Powertech Network Security exit programs until a match is found (based on a Socket Rule's Socket Conditions).

### **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### Position to seq

Used to position the list.

### Seq

The sequence number of a Socket Rule determines the order in which it will be evaluated by the exit program, with the lowest sequence number being evaluated first. Socket Rules are evaluated until a match is found.

# **Description**

The Socket Rule description is a short textual description of the Socket Rule. It is typically used to indicate the purpose of the Socket Rule.

#### Aut

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The valid values are:

- Y Network Security will allow requests when this rule is enforced.
- N Network Security will reject requests when this rule is enforced.
- \* Uses the value found in the rule above this one in the rule hierarchy when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

\* Uses the value found in the rule above this one in the rule hierarchy.

Y Logs all requests when this rule is enforced.

N Logs only access failures (rejects) for this rule.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Cap

The Capture transactions flag controls whether transactions are remembered in Network Security. Unlike some other rule types, a captured Socket Rule cannot be memorized.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Act

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

Y Network Security will evaluate the rule.

N Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

#### Tst

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The valid values are:

**Y** The Socket Rule test facility will evaluate the rule.

**N** The Socket Rule test facility will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

### Opt

Enter a valid option from the list of options provided on the list panel.

# **Options**

# 2=Change

Choose this option to open the <u>Change Socket Rule panel</u>, where you can modify a Socket Rule's attributes.

# 3=Copy

Choose this option to open the Copy Socket Rule panel, where you can copy a Socket Rule.

#### 4=Delete

Choose this option to delete the Socket Rule.

# 5=Display

Choose this option to display the Socket Rule.

#### 8=Conditions

Choose this option to open the Work with Socket Conditions panel, where you can view or change Socket Conditions.

# **Command Keys**

F3 (Exit): Exit the current screen without processing any pending changes.

**F5** (Refresh): Refreshes the panel with the most current data.

**F6** (Create): Creates a new item.

F7 (Select System): Use this command key to work with data from a different System.

F12 (Cancel): Discards changes and returns to the prior panel.

F13 (Display msgs): Displays messages for the current user.

F14 (Work sbm job): Displays jobs submitted from the current user.

F15 (Work w/spooled files): Works with spooled files for the current user.

F24 (More keys): This shows additional function keys that can be used for this display.

# Work with Socket Rules - Connect Server

**WARNING:** Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

```
PNS4A10
                          Powertech Network Security
                            Work with Socket Rules
                                                                        OSCAR
                    OSCAR
Sustem
                             Management Sustem
Server
                    QSOCONNECT
Function
                    CONN0100
Position to Seq .
Type options, press Enter
  2=Change 3=Copy 4=Delete 5=Display 8=Conditions
                                                         Aut Aud Msg Cap Act Tst
   99999 Default
                                                                 N
                                                                     N
                                                                          Bottom
F3=Exit
             F5=Refresh
                             F6=Create rule
                                               F7=Select System
                          F24=More keys
F10=View 2
            F12=Cancel
```

#### **How to Get There**

On the Network Security Main Menu, choose option 20, then choose option 2.

#### What it Does

The Work with Socket Rules panel allows you to view or change Socket Rules.

Socket Rules are examined by Powertech Network Security exit programs until a match is found (based on a Socket Rule's Socket Conditions).

# **Field Descriptions**

### **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

# Position to seq

Used to position the list.

# Seq

The sequence number of a Socket Rule determines the order in which it will be evaluated by the exit program, with the lowest sequence number being evaluated first. Socket Rules are evaluated until a match is found.

### **Description**

The Socket Rule description is a short textual description of the Socket Rule. It is typically used to indicate the purpose of the Socket Rule.

#### Aut

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The valid values are:

- Y Network Security will allow requests when this rule is enforced.
- N Network Security will reject requests when this rule is enforced.
- \* Uses the value found in the rule above this one in the rule hierarchy when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- N Logs only access failures (rejects) for this rule.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

# Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Sends a message when this rule is enforced.
- N Does not send a message when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

# Cap

The Capture transactions flag controls whether transactions are remembered in Network Security. Unlike some other rule types, a captured Socket Rule cannot be memorized.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Act

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

Y Network Security will evaluate the rule.

N Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

#### **Tst**

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The valid values are:

**Y** The Socket Rule test facility will evaluate the rule.

N The Socket Rule test facility will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

### Opt

Enter a valid option from the list of options provided on the list panel.

# **Options**

# 2=Change

Choose this option to open the <u>Change Socket Rule panel</u>, where you can modify a Socket Rule's attributes.

# 3=Copy

Choose this option to open the Copy Socket Rule panel, where you can copy a Socket Rule.

#### 4=Delete

Choose this option to delete the Socket Rule.

# 5=Display

Choose this option to display the Socket Rule.

#### 8=Conditions

Choose this option to open the Work with Socket Conditions panel, where you can view or change Socket Conditions.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel with the most current data.

F6 (Create): Creates a new item.

F7 (Select System): Use this command key to work with data from a different System.

F12 (Cancel): Discards changes and returns to the prior panel.

F13 (Display msgs): Displays messages for the current user.

F14 (Work sbm job): Displays jobs submitted from the current user.

F15 (Work w/spooled files): Works with spooled files for the current user.

F24 (More keys): This shows additional function keys that can be used for this display.

# Work with Socket Rules - Listen Server

**WARNING:** Misuse of Socket Rules can render your system unreachable via TCP. Exercise extreme caution when using this feature. Consider adding Socket Rules as not active and testing them using the Socket Rule test feature, and setting them to be not used by that feature and testing the rule set before removing them. If you render your system unreachable via TCP, you will need to access the system via the console in order to fix the rules (or to deactivate the Socket Rule servers).

```
PNS4A10
                             Powertech Network Security
                                                                                12:43:54
                                                                               OSCAR
                               Work with Socket Rules
                      OSCAR
System
                                 Management System
                      OSOLISTEN
Server
          . . . . : QSOLISTE
. . . . : LSTN0100
Function
Position to Seq .
Type options, press Enter
2=Change 3=Copy 4=Delete 5=Display 8=Conditions
Opt Seq
          Description
                                                              Aut Aud Msg Cap Act Tst
    99999 Default
                                                                                  Bottom
F3=Exit
              F5=Refresh
                                F6=Create rule
                                                   F7=Select System
F10=View 2
              F12=Cancel
                             F24=More keys
```

#### **How to Get There**

On the Network Security Main Menu, choose option 20, then choose option 1.

#### What it Does

This panel allows you to work with Socket Rules for the Listen Server.

# **Field Descriptions**

# **System**

System indicates the target of any operations you perform. When you add rules, for example, those rules will be sent to, and will affect processing on, the System named.

#### Server

A Server in Network Security is a controlled entry point into your system. These entry points are determined and defined by IBM. Network Security has assigned easy-to-remember names to these controlled entry points.

#### **Function**

A Function, or Server Function, in Network Security represents a class of operations that a given Server may perform. For example, the \*SIGNON Server classifies its operations as those pertaining to changing passwords, generating authentication tokens, and retrieving signon information. Network Security has assigned easy-to-remember names to these Functions, such as CHGPWD, GENAUTTKN and RETRIEVE.

### Position to seq

Used to position the list.

# **Description**

The Socket Rule description is a short textual description of the Socket Rule. It is typically used to indicate the purpose of the Socket Rule.

#### **Aut**

Authority represents the action to be taken when a rule is found that matches the data present on a transaction.

The valid values are:

- Y Network Security will allow requests when this rule is enforced.
- N Network Security will reject requests when this rule is enforced.
- \* Uses the value found in the rule above this one in the rule hierarchy when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Aud

The Audit transactions flag controls the logging of transactions to the Log Journal set up on the Work with Network Security System Values panel.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- Y Logs all requests when this rule is enforced.
- N Logs only access failures (rejects) for this rule.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

# Msg

The Send messages flag controls the sending of messages to the Log Message Queue set up on the Work with Network Security System Values panel.

- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Sends a message when this rule is enforced.

N Does not send a message when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

### Cap

The Capture transactions flag controls whether transactions are remembered in Network Security. Unlike some other rule types, a captured Socket Rule cannot be memorized.

The valid values are:

- \* Uses the value found in the rule above this one in the rule hierarchy.
- **Y** Captures the transaction when this rule is enforced.
- N Does not capture the transaction when this rule is enforced.

The value \* will cause the rule to inherit the value from the default Socket Rule (sequence number 99999). This default rule may not be set to the value \*.

#### Act

The Socket Rule Active flag determines whether the rule will be evaluated by the exit point program.

It can be useful to initially set a Socket Rule as not active in order to test it without enforcing it.

The valid values are:

- Y Network Security will evaluate the rule.
- N Network Security will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

#### Tst

The Socket Rule Test flag determines whether the rule will be evaluated by the Socket Rule test facility.

It can be useful to flag a rule to not be tested in order to verify the effects of removing that rule.

The valid values are:

- **Y** The Socket Rule test facility will evaluate the rule.
- N The Socket Rule test facility will not evaluate the rule.

The value N is not allowed for the default Socket Rule (sequence number 99999).

# Opt

Enter a valid option from the list of options provided on the list panel.

# **Options**

# 2=Change

Choose this option to open the <u>Change Socket Rule panel</u>, where you can modify a Socket Rule's attributes.

# 3=Copy

Choose this option to open the Copy Socket Rule panel, where you can copy a Socket Rule.

#### 4=Delete

Choose this option to delete the Socket Rule.

# 5=Display

Choose this option to display the Socket Rule.

#### 8=Conditions

Choose this option to open the Work with Socket Conditions panel, where you can view or change Socket Conditions.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

**F5** (Refresh): Refreshes the panel with the most current data.

F6 (Create): Creates a new item.

F7 (Select System): Use this command key to work with data from a different System.

**F12 (Cancel):** Discards changes and returns to the prior panel.

F13 (Display msgs): Displays messages for the current user.

F14 (Work sbm job): Displays jobs submitted from the current user.

F15 (Work w/spooled files): Works with spooled files for the current user.

F24 (More keys): This shows additional function keys that can be used for this display.

# Work with System Values

```
LNSR019
                                                                            10:46:12
                             PowerTech Network Security
                              Work with Sustem Values
                                                                           HS42
 System: HS72
                   HS72 - ENDPOINT
 PowerTech System Values:
  Product owner . . . . . . . PTADMIN
  Product library . . . . . .: PTNSLIB
  {\tt Product\ administrator\ .\ .\ .\ .:\ PTUSER}
  Log journal name . . . . .: QAUDJRN
  Log journal library . . . .: QSYS
  Log message queue name . . .: <u>QSYSOPR</u>
  Log message queue library . .: QSYS
  System filter rule properties:
           Authority . . . . .
           Audit all server requests . . .: \overline{\underline{Y}}
           Send immediate message . . . . .: \underline{\textbf{N}}
           Capture transactions . . . . . :
           Switch profile . . . . . . . : *NONE
  Last change User/Date/Time:
                                              07/21/2015 16:45:29
           F4=Prompt F5=Refresh F7=Select System F12=Cancel
```

#### **How to Get There**

To display these options, from the <u>Network Security Main Menu</u>, select option **81**, Configuration Menu, then option **1**, Work with System Values.

#### What it Does

Work with System Values allows you to maintain system values for Powertech Network Security. The system values for Log Journal Name, Log Journal Library, Log Message Queue Name, and Log Message Queue Library can be maintained anytime. Values for Product Owner, Product Library, and Product Administrator can only be maintained during installation or upgrade.

On the browser interface, these values can be found at Edit System Defaults.

# **Field Descriptions**

The following describes the parameters and allowable values for each field on the Work with System Values panel.

#### **Product Owner**

The product owner is the name of the user profile that owns all data objects and exit programs in the Powertech Network Security product.

# **Product Library**

The product library is the library that contains all of the Powertech Network Security objects.

#### **Product Administrator**

The product administrator is the name of the user profile that owns administrative program objects in the Powertech Network Security product. We recommend granting administrators \*USE authority to the PTADMIN authorization list using the following command, where myuser is the administrator profile to add.

ADDAUTLE AUTL(PTADMIN) USER(myuser) AUT(\*USE)

**NOTE**: To access reporting functions, administrators must be authorized to the PTNSRPT authorization list.

For more information, see Granting Reporting Authority, later in this User Guide.

Once authorized to the PTADMIN and PTNSRPT authorization lists, the administrator has all the authorities needed to administer Powertech Network Security. Product administrators have \*CHANGE authority to Network Security data and \*USE authority to Network Security programs.

**NOTE:** Users set to the \*SECOFR User Class do not need to be members of the PTADMIN or PTNSRPT authorizations lists to use Network Security or Network Security Reports.

# Log Journal Name and Log Journal Library

The log journal name is the name of the journal that Powertech Network Security will log information. You can control the level of detail with the audit flag when you specify location and user authorities. Most installations will specify QUADJRN. The log journal library specifies the library where the log journal is located.

The Log Journal Library specifies the library where the log journal is located.

#### NOTE:

- 1. You also can specify \*NONE in the Log Journal Name field. However, if a journal name of \*NONE is found in the Network Security system values, network transactions are not journaled.
- 2. Some versions of Powertech Compliance Monitor expect Network Security audit entries to be written to QSYS/QAUDJRN. Contact Powertech technical support if you need further information concerning log journal entries.

# Log Message Queue Name and Log Message Queue Library

The log message queue name is the name of the message queue where Powertech Network Security sends messages. Messages are sent to this queue when specified on location and user authority records. Most installations specify QSYSOPR.

The log message queue library is the library where the log message queue is located.

### **System Filter Rule Properties**

The Work with System Values panel also allows you to specify system filter rule properties.

### **Authority**

The authority assigned if no other authority is found for a server or function. Possible values are:

- \*OS400 Network Security allows the transaction without taking any action
- \*REJECT Network Security rejects requests for the transaction
- \*SWITCH Network Security switches the job to run as the user profile specified in the Switch Profile field.

# **Audit All Server Requests**

Controls the type of requests Network Security will log. Network Security uses this value if no other value is entered for a server or function. Possible values are:

Y Log All requests

N Only log authority failures

# **Send Immediate Message**

Determines if Network Security sends a message to the log message queue. Network Security uses this value if no other value is entered for a server or function. Possible values are:

**Y** A message is sent to the specified queue

N No message is sent

# **Capture Transactions**

Capture transactions for Memorized Transaction Request (MTR). Network Security uses this value if no other value is entered for a server or function. Possible values are:

Y Capture transactions

N Do not capture transactions

#### **Switch Profile**

The name of a Switch Profile. If you enter a profile name, processing is switched to run as the specified profile and under this profile's authority. Network Security uses this value if no other value is entered for a server or function. Possible values are:

\*NONE No switch profile is used. switch-profile The switch profile to process under. The profile you specify must be an active profile on IBM i.

# Last Change User/Date/Time

The user profile that changed the Network Security system values and the date and time the changes were made.

# **Command Keys**

F3 (Exit): Exit the current panel without processing any pending changes.

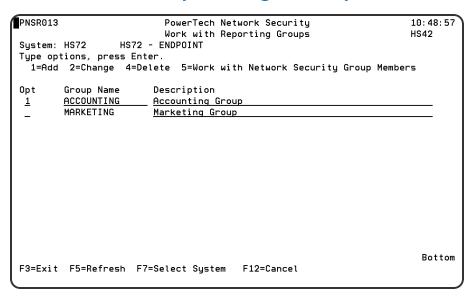
F4 (Prompt): Displays a list of possible values from which you may select one.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select system): Use this command to work with data from a different System.

**F12** (Cancel): Exit the current panel without processing any pending changes.

# Work with Reporting Groups



#### What it Does

Network Security's Work with Reporting Groups is used when a number of Profiles are required to be reported on together. To add a new Network Reporting Group, enter the information on the blank line below the column headings. Once a Network Reporting Group is created a list of Profiles may be associated to it. Option 5 displays a list of profiles associated to the group. Type an option next to a specific group and press Enter. You can type option numbers next to more than one group at a time. This

allows you to run more than one task at a time. If you see 'More...' in the lower right corner of your display, there is more information to be listed. Press the Page Down (Roll Up) key to move toward the end of the Network Reporting Groups. Press the Page Up (Roll Down) key to move toward the beginning of the Network Reporting Groups.

#### **Options**

Type the option number you want and press Enter.

#### 1=Add

Add a Network Security Group. Valid for line 1 only.

### 2=Change

Change a Network Security Group.

**NOTE**: You cannot use option **2** to change the reporting group name.

#### 4=Delete

Use option 4 to delete a reporting group.

**NOTE:** If the reporting group has user profiles assigned to it, you must remove the user profiles before you can delete the group.

# 5=Work with Network Security Group Members

Work with the Profiles associated with the group.

# **Field Descriptions**

# **Group Name**

The name of a group of Profiles.

# **Group Description**

The description of a Reporting Group. It is a required entry.

# **Command Keys**

**F3** (Exit): Exit the current panel without processing any pending changes.

F5 (Refresh): Refreshes the panel and resets all available text fields.

F7 (Select system): Use this command to work with data from a different System.

**F12** (Cancel): Exit the current panel without processing any pending changes.

# **Central Administration panels**

The topics in this section include descriptions for Powertech Central Administration panels used to manage Endpoints on your network with Network Security. For a complete explanation of all of Central Administration's tools and function, see the Central Administration Administrator's Guide.

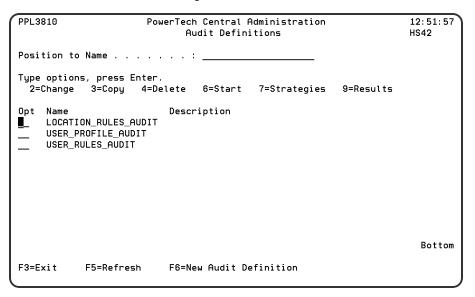
**Audit Definitions Panel** 

**Audit Results Panel** 

**Automatic Remedies Panel** 

**Audit Strategies Panel** 

# **Audit Definitions panel**



#### **How to Get There**

From the Powertech Main Menu, choose option **80**, Central Administration, then choose option **5**, Auditing Menu. Choose option **1**, Audit Definitions.

#### What it Does

The Audit Definitions panel lists all the audits that have been defined in Central Administration.

#### **Options**

- **2=Change:** Displays the Change Audit Definition panel, where you can change the name or description of an existing audit.
- **3=Copy:** Displays the Copy Audit Definition panel, which copies an existing audit. Enter a description and name for the new audit.
- **4=Delete:** Allows you to delete the selected audit. A confirmation panel displays asking you to confirm the deletion.

**NOTE**: You also can schedule an audit in a job scheduling program (such as Robot SCHEDULE from HelpSystems) using the Start Audit (PPLSTRAUD) command. Specify the audit description and system on which the audit should run when scheduling the command.

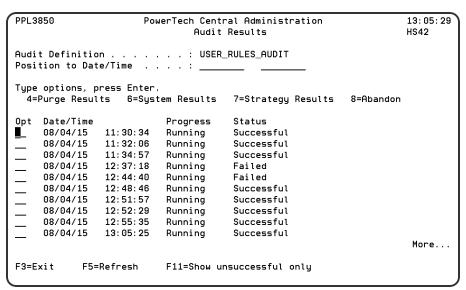
**6=Start:** Starts an audit. You're asked to select a system group for the audit; Central Administration then runs the audit against the systems in the group.

**7=Strategies:** Allows you to select an audit strategy. You must select an audit strategy before you can run an audit.

**9=Results:** Displays the <u>Audit Results</u> panel, which shows all instances of the selected audit. To position the Audit Results display to a specific date and time, enter the date and time you want to see at the top of the panel.

To define a new audit, press F6, New Audit Definition, and enter a name and brief description for the audit on the Create Audit Definition panel.

# **Audit Results panel**



#### **How to Get There**

In the Audit Definitions panel, enter 9 for an Audit Definition that has been run.

#### What it Does

The <u>Audit Results panel</u> shows all instances of the selected audit that have been run and the status of each audit. To position the Audit Results display to a specific date and time, enter the date and time you want to see at the top of the panel.

#### **Options**

4=Purge: Purges all Audit Item Results for the selected execution of the Audit.

**6=System Results:** Displays the Audit System Results panel, which shows the status of the audit for the system on which it was run.

**7=Strategy Results:** Displays the Audit Strategy Results panel, which shows the status of the audit for the selected audit strategy (currently User Rules Settings).

From each System Results panel (displayed by entering option 6 next to each audit strategy), you can display the other type of audit results. Then, you can select option 5, Item Results, to see each item that was checked during the audit and the results for each.

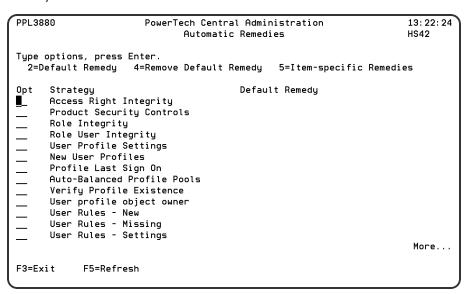
**NOTE**: If you select option **5** (Item Results) while an audit is running, you can see the results in real time as each item is checked.

To see detailed information about an audited user rule, enter a **5** next to the Item Name to display a more complete description of why the audited item passed or failed the audit, allowing you to make adjustments, as needed. If the text of the Message is too long, press **F10** to display the complete message. Press **F20** to display further details on the audited item, including a side-by-side comparison of the Management System's values and the Endpoint's values.

The F11 key acts as a toggle to display all audits or only those that were not successful, making it easier to identify the items you need to review.

# **Automatic Remedies panel**

The Automatic Remedies panel lists all the Strategies and corresponding remedies, if applied. You can modify or delete Automatic Remedies.



A Strategy can have a default Automatic Remedy that will be used for any Item Result without a more specific Automatic Remedy. A greater-than symbol (">") appears to the left of the Strategy column when Item Result-specific Automatic Remedies exist for that Strategy.

#### How to Get There

From the Powertech Main Menu, choose option **80** (Central Administration), then press **5** (Auditing Menu), then **4** (Automatic Remedies).

#### **Options**

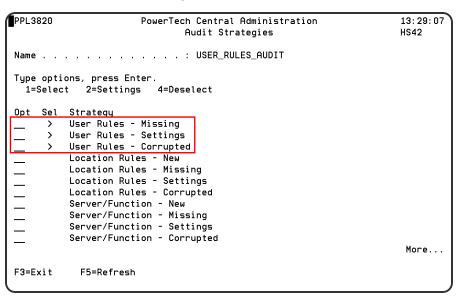
**2=Default Remedy:** Select option **2** in the Opt column to select a default remedy for the selected strategy.

The Select Remedy panel allows you to select a Remedy. The Remedies displayed are all valid for the Strategy named at the top of the screen; however, some Remedies may not be applicable to a particular failed Audit Item Result. Should you select a Remedy that is not valid for one or more of the Audit Item Results you selected, those Audit Item Results will not be Remedied.

**4=Remove Default Remedy:** Enter option **4** in the Opt column to remove the default remedy for the selected strategy. A confirmation screen displays. Press **Enter** to confirm the removal or **F12** to cancel.

**5=Item-specific Remedies:** Enter option **5** in the Opt column to manage the Automatic Remedies for the Strategy.

# **Network Security Audit Strategies panel**



These are just three of several Network Security Strategies available in the Central Administration Audit Strategies panel.

#### **How to Get There**

From the Powertech Main Menu, choose option **80** (Central Administration), then **5** (Auditing Menu), **1** (Audit Definitions), then enter **7** for an Audit Definition.

NOTE: If none are there, you will need to press F6 to create a new one, then select option 7.

#### What it Does

The Audit Strategies panels allow you to create, modify, delete, and perform other operations upon Audit Strategies. Audit Strategy determines how a set of items will be audited, and against what criteria they will be audited. An Audit Definition may contain more than one Audit Strategy. Each Audit Strategy may or may not have settings to control its operation.

#### **Network Security Strategy Descriptions**

- User Rules New compares User Rules on the Management System with User Rules on Endpoints and identifies those that have been added to Endpoints.
- User Rules Missing compares User Rules on the Management System with User Rules on Endpoints and identifies those that have been removed from Endpoints.
- User Rules Settings compares User Rules on the Management System with User Rules on Endpoints and identifies those whose settings do not match.
- User Rules Corrupted identifies User Rules that have been corrupted.
- Location Rules New compares Location Rules on the Management System with Location Rules on Endpoints and identifies those that have been added to Endpoints.
- Location Rules Missing compares Location Rules on the Management System with Location Rules on Endpoints and identifies those that have been removed from Endpoints.
- Location Rules Settings compares Location Rules on the Management System with Location Rules on Endpoints and identifies those whose settings do not match.
- Location Rules Corrupted identifies Location Rules that have been corrupted.
- **Server/Function New** compares Servers and Functions on the Management System with Servers and Functions on Endpoints and identifies those that have been added to Endpoints.
- Server/Function Missing compares Servers and Functions on the Management System with Servers and Functions on Endpoints and identifies those that have been removed from Endpoints.
- Server/Function Settings compares the active Servers and Functions on the Management System with active Servers and Functions on Endpoints and identifies any discrepancy.
- Server/Function Corrupted identifies Servers and Functions that have been corrupted.
- Memorized Trans New compares Memorized Transactions on the Management System with Memorized Transactions on Endpoints and identifies those that have been added to Endpoints.
- Memorized Trans Missing compares Memorized Transactions on the Management System with Memorized Transactions on Endpoints and identifies those that have been removed from Endpoints.
- Memorized Trans Settings compares Memorized Transactions on the Management System with Memorized Transactions on Endpoints and identifies those that have been removed from Endpoints.
- Memorized Trans Corrupted identifies Memorized Transactions that have been corrupted.
- **Pre-filters New** compares Pre-filters on the Management System with Location+User Pre-filters on Endpoints and identifies those that have been added to Endpoints.
- **Pre-filters Missing** compares Pre-filters on the Management System with Location+User Pre-filters on Endpoints and identifies those that have been removed from Endpoints.
- **Pre-filters Settings** compares Pre-filters on the Management System with Location+User Pre-filters on Endpoints and identifies those whose settings do not match.
- Pre-filters Corrupted identifies Pre-filters that have been corrupted.
- SecureScreen Filters- New compares SecureScreen Filters on the Management System with SOMETHING on Endpoints and identifies those that have been added to Endpoints.

- **SecureScreen Filters Missing** compares SecureScreen Filters on the Management System with SOMETHING on Endpoints and identifies those that have been removed from Endpoints.
- **SecureScreen Filters Settings** compares SecureScreen Filters on the Management System with SOMETHING on Endpoints and identifies those whose settings do not match.
- SecureScreen Filters Corrupted identifies SecureScreen Filters that have been corrupted.
- **Report Groups New** compares Report Groups on the Management System with User Groups on Endpoints and identifies those that have been added to Endpoints.
- **Report Groups Missing** compares Report Groups on the Management System with User Groups on Endpoints and identifies those that have been removed from Endpoints.
- Report Groups Settings compares Report Groups on the Management System with User Groups on Endpoints and identifies those whose settings do not match.
- **Report Groups Corrupted** identifies Report Groups that have been corrupted.
- Report Group Members New compares Report Group Members on the Management System with User Group Members on Endpoints and identifies those that have been added to Endpoints.
- Report Group Members Missing compares Report Group Members on the Management System with User Group Members on Endpoints and identifies those that have been removed from Endpoints.
- Report Group Members Settings compares Report Group Members on the Management System with User Group Members on Endpoints and identifies those whose settings do not match.
- Report Group Members Corrupted identifies Report Group Members that have been corrupted.
- **System New** compares System Values on the Management System with System Values on Endpoints and identifies those that have been added to Endpoints.
- System Missing compares System Values on the Management System with System Values on Endpoints and identifies those that have been removed from Endpoints.
- System Settings compares System Values on the Management System with System Values on Endpoints and identifies those whose settings do not match.
- **Object List New** compares Object List on the Management System with Object Lists on Endpoints and identifies those that have been added to Endpoints.
- **Object List Missing** compares Object Lists on the Management System with Object Lists on Endpoints and identifies those that have been removed from Endpoints.
- **Object List Settings** compares Object Lists on the Management System with Object Lists on Endpoints and identifies those whose settings do not match.
- Object List Corrupted identifies Object Lists that have been corrupted.
- Object List Entry New compares Object List Entries on the Management System with Object List Entries on Endpoints and identifies those that have been added to Endpoints.
- **Object List Entry Missing** compares Object List Entries on the Management System with Object List Entries on Endpoints and identifies those that have been removed from Endpoints.
- **Object List Entry Settings** compares Object List Entries on the Management System with Object List Entries on Endpoints and identifies those whose settings do not match.
- Object List Entry Corrupted identifies Object List Entries that have been corrupted.

- **Object Rule New** compares Object Rules on the Management System with Object Rules on Endpoints and identifies those that have been added to Endpoints.
- Object Rule Missing compares Object Rules on the Management System with Object Rules on Endpoints and identifies those that have been removed from Endpoints.
- **Object Rule Settings** compares Object Rules on the Management System with Object Rules on Endpoints and identifies those whose settings do not match.
- Object Rule Corrupted identifies Object Rules that have been corrupted.
- **Pre-filters (Server) New** compares Server Pre-filters on the Management System with Server Pre-filters on Endpoints and identifies those that have been added to Endpoints.
- **Pre-filters (Server) Missing** compares Server Pre-filters on the Management System with Server Pre-filters on Endpoints and identifies those that have been removed from Endpoints.
- **Pre-filters (Server) Settings** compares Server Pre-filters on the Management System with Server Pre-filters on Endpoints and identifies those whose settings do not match.
- **User Group New** compares User Groups on the Management System with User Groups on Endpoints and identifies those that have been added to Endpoints.
- User Group Missing compares User Groups on the Management System with User Group Members on Endpoints and identifies those that have been removed from Endpoints.
- **User Group Settings** compares User Groups on the Management System with User Group Members on Endpoints and identifies those whose settings do not match.
- User Group Corrupted identifies User Groups that have been corrupted.
- User Group Member New compares User Group Members on the Management System with User Group Members on Endpoints and identifies those that have been added to Endpoints.
- User Group Member Missing compares User Group Members on the Management System with User Group Members on Endpoints and identifies those that have been removed from Endpoints.
- User Group Member Settings compares User Group Members on the Management System with User Group Members on Endpoints and identifies those whose settings do not match.
- User Group Member Corrupted identifies User Group Members that have been corrupted.
- **Socket Rule New** compares Socket Rules on the Management System with Socket Rules on Endpoints and identifies those that have been added to Endpoints.
- Socket Rule Missing compares Socket Rules on the Management System with Socket Rules on Endpoints and identifies those that have been removed from Endpoints.
- **Socket Rule Settings** compares Socket Rules on the Management System with Socket Rules on Endpoints and identifies those whose settings do not match.
- Socket Rule Corrupted identifies Socket Rules that have been corrupted.
- Socket Condition New compares Socket Rule Conditions on the Management System with Socket Rules on Endpoints and identifies those that have been added to Endpoints.
- Socket Condition Missing compares Socket Rule Conditions on the Management System with Socket Rules on Endpoints and identifies those that have been removed from Endpoints.
- **Socket Condition Settings** compares Socket Rule Conditions on the Management System with Socket Rules on Endpoints and identifies those whose settings do not match.
- Socket Condition Corrupted identifies Socket Rule Conditions that have been corrupted.

### **Options**

**1=Select:** A selected Strategy will be processed during the next Audit run. You may select several items at once.

A greater-than symbol (>) in the Sel column indicates that the Audit Strategy is selected for use. A selected Strategy will be processed during the next Audit run.

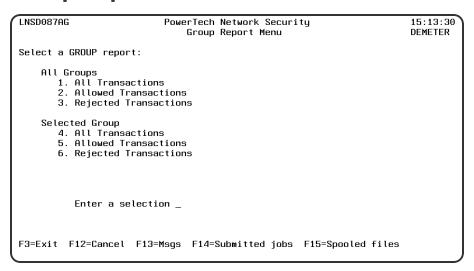
**2=Settings:** Allows you to maintain any settings the Strategy might support. Not every Strategy supports settings.

**4=Deselect:** A deselected Strategy will not be processed during the next Audit run. You may select several items at once.

# Menus

The topics in this section include descriptions for Network Security's green screen menus.

# **Group Report Menu**



#### **How to Get There**

From the Network Security Main Menu, select option 80, Reports Menu, then option 5.

#### **What it Does**

The Group Report Menu will sort the journal by User. You can select a group of Users by specifying a Reporting Group, accounting code or group profile name. Date range and type of transactions to be listed are also used to narrow or expand the report to your specific requirements.

User Groups are not handled on this option, only on the User Report Menu.

### **Options**

**1. All Groups All Transactions.** This option produces a report of all transactions for all Users in all groups and sorts by User/Date/Time. You will be prompted for Group and Date/Time range on a later screen.

- **2. All Groups Allowed Transactions.** This option produces a report of allowed transactions for all Users in all groups and sorts by User/Date/Time. You will be prompted for Group and Date/Time range on a later screen.
- **3.** All Groups Rejected Transactions. This option produces a report of rejected transactions for all Users in all groups and sorts by User/Date/Time. You will be prompted for Group and Date/Time range on a later screen.
- **4. Selected Group All Transactions.** This option produces a report of all transactions for all Users in a selected group and sorts by User/Date/Time. You will be prompted for Group and Date/Time range on a later screen.
- **5. Selected Group Allowed Transactions.** This option produces a report of allowed transactions for all Users in a selected group and sorts by User/Date/Time. You will be prompted for Group and Date/Time range on a later screen.
- **6. Selected Group Rejected Transactions.** This option produces a report of rejected transactions for all Users in a selected group and sorts by User/Date/Time. You will be prompted for Group and Date/Time range on a later screen.

### **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

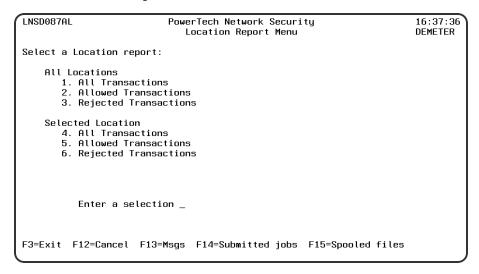
F12 (Cancel): Exit the screen without processing any pending changes.

**F13 (Msgs):** Displays messages for the current user.

**F14 (Submitted jobs):** Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Location Report Menu**



#### **How to Get There**

From the Network Security Main Menu, select option 80, Reports menu, then option 2.

#### What it Does

The Location Report Menu will sort the Journal by Location or allow you to select a specific Location. Date range and type of transactions to be listed are also used to narrow or expand the report to your specific requirements.

#### **Options**

- **1. All Locations All Transactions.** This option produces a report of each Location's transactions and sorts by Location/Date/Time. You will be prompted for Date/Time range on a later screen.
- **2. All Locations Allowed Transactions.** This option produces a report of each Location's allowed transactions and sorts by Location/Date/Time. You will be prompted for Date/Time range on a later screen.
- **3. All Locations Rejected Transactions.** This option produces a report of each Location's rejected transactions and sorts by Location/Date/Time. You will be prompted for Date/Time range on a later screen.
- **4. Selected Location.** All Transactions This option produces a report of a specific Location's transactions and sorts by Location/Date/Time. You will be prompted for Location and Date/Time range on a later screen.
- **5. Selected Location Allowed Transactions.** This option produces a report of a specific Location's allowed transactions and sorts by Location/Date/Time. You will be prompted for Location and Date/Time range on a later screen.
- **6. Selected Location Rejected Transactions.** This option produces a report of a specific Location's rejected transactions and sorts by Location/Date/Time. You will be prompted for Location and Date/Time range on a later screen.

#### **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F12 (Cancel): Exit the screen without processing any pending changes.

F13 (Msgs): Displays messages for the current user.

**F14** (Submitted jobs): Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Network Security Configuration**

Use the Configuration Menu to set and maintain Network Security system values, enter your license code, and activate or deactivate Network Security <u>exit programs</u>.

```
LNSCFG
                                                                      09:36:58
                          PowerTech Network Security
                              Configuration Menu
                                                                      HS42
                           Working with system HS42
Select one of the following:
     1. Work with System Values
     2. Work with Activation
Selection or command
===>
                     F7=Select System
                                         F9=Retrieve
F3=Exit
         F4=Prompt
F13=Information Assistant F16=System Main Menu
                                                   F22=Status
```

#### **How to Get There**

To display the Configuration Menu, select option 81 from the Main Menu.

### **Configuration Menu Options**

You can select from the following options:

- 1. Work with System Values. Select option 1 to enter your Network Security system values settings. See Network Security System Values, earlier in this User Guide, for more information.
- 2. **Work with Activation.** Select option **2** to work with Network Security activation and deactivation. For more information, see Activating Powertech Network Security, earlier in this User Guide.

### **Command Keys**

F3 (Exit): Exit the menu.

**F4** (**Prompt**): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IBM i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# **Network Security Main Menu**

Use the Network Security Main Menu to work with Network Security <u>servers</u>, <u>rules</u>, reports, transactions, configuration, and utilities.

To display the Main Menu, enter the following command on an IBM i command line:

#### **WRKPTNS**

**NOTE**: The Network Security install process places the WRKPTNS command in library PTNSLIB. Network Security activation copies the command to QGPL. If PTNSLIB or QGPL are not in your library list, enter the command as PTNSLIB/WRKPTNS or QGPL/WRKPTNS.

```
Powertech Network Security
R07M131170717
                           Working with system OSCAR
Select one of the following:
     1. Work with Security by
        Work with Security by User
        Work with Security by Location
        Work with Security by Object
        Work with IP Address Groups
        Work with Pre-Filters
        Work with User Groups
    10. Work with Captured Transactions
11. Work with Memorized Transactions
    20. Work with Socket Rules
    80. Reports Menu
        Configuration Menu
    82. Work with Utilities
Selection or command
          F4=Prompt
                       F7=Select System
F3=Exit
                                            F9=Retrieve
F13=Information Assistant
                              F16=System Main Menu
                                                      F22=Status
(c) Copyright Help/Systems,
                             LLC
```

### **Main Menu Options**

You can select from the following Main Menu options:

- 1. Work with Security by Server. Select option 1 to open the Work with Security by Server panel where you can you can work with server functions, work with location authorities and work with server user authorities. See Work with Servers for more information.
- 2. **Work with Security by User.** Select option **2** to open the <u>Work with Security by User panel</u> where you can work with authorities by user.
- 3. **Work with Security by Location.** Select option 3 to open the Work with Security by Location panel where you can work with authorities by location.
- 4. Work with Security by Object. Select option 4 to open the Work with Security by Object panel where you can work with object security. For more information, see Object Rules.
- 5. Work with IP Address Groups. Select option 5 to work with IP Address Groups. For more information, see Work with IP Address Groups.
- 6. Work with Pre-filters. Select option 6 to open the <u>Pre-filters panel</u> where you can establish a one-to-one relationship between a specific IP address (location) and a user.
- 7. **Work with User Groups.** Select option 7 to open the Work with User Groups panel where you can maintain User Groups.
- 10. **Work with Captured Transactions.** Select option 10 to work with captured transactions. For more information, see Working with Captured Transactions.
- 11. **Work with Memorized Transactions.** Select option 11 to work with memorized transactions. For more information, see Working with Memorized Transactions.
- 20. Work with Socket Rules. The Work with Socket Rules menu offers a launchpad for maintaining Socket Rules. See Work with Socket Rules panel.

- 80. **Reports Menu.** Select option 80 to work with Network Security reports. For more information, see Reports, later in this User Guide.
- 81. **Configuration Menu.** Select option 81 to work with Network Security system values, license information, and activation. For more information, see Configuration.
- 82. **Work with Utilities.** Select option 82 to work with Powertech Secure screen. For more information, see **Utilities Menu**.

### **Command Keys**

F3 (Exit): Exit the menu.

F4 (Prompt): Provides assistance in entering or selecting a command.

F7 (Select System): Use this command key to work with data from a different System.

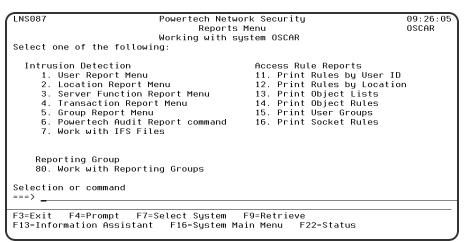
**F9** (**Retrieve**): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IMB i system.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# Reports Menu



#### How to Get There

To display the Reports Menu, select option 80 on the Network Security Main Menu.

#### What it Does

The Powertech Reports menu is used to assist you with the reporting of status information regarding the security of your system. The options are listed for you to select. They are as follows:

**NOTE**: Network Security submits most reporting jobs to the QBATCH job queue in library QGPL. To submit reports to a different job queue, use the following command:

#### CHGJOBD JOBD(POWERLOCK) JOBQ(your-jobq-name)

The Reports Menu allows you to select from the following reports:

#### **Intrusion Detection**

Intrusion Detection reports provide information on network access attempts. Intrusion Detection reports are all submitted to run in batch. The Intrusion Detection section includes the following report menu options:

- 1. **User Report Menu.** Select option **1** to report on user network access attempts. See <u>User Reports Menu.</u>
- 2. Location Report Menu. Select option 2 to report on location network access attempts. See Location Report Menu.
- 3. **Server Function Report Menu.** Select option **3** to report on server/function network access attempts. See Server Function Report Menu.
- 4. **Transaction Report Menu.** Select option 4 to report on attempts to access data and objects, and attempts to run programs and commands. See Transaction Report Menu.
- 5. **Group Report Menu.** Select option **5** to report on group network access attempts. See <u>Group Report Menu.</u>
- Powertech Audit Report command. Select option 7 to use the LPWRRPT command to create reports by user, location, server/function, user group, or transaction. See <a href="Powertech Audit Report command panel">Powertech Audit Report command panel</a>.
- 7. Work with IFS Files. Select option 10 to display reports in the IFS. See Work with IFS Files panel.

# **Access Rule Reports**

Access rule reports allow you to print the rules that are in effect for your system. Access Rule reports are not submitted to batch. The Access Rule Reports section includes the following reporting menu options:

- 11. **Print Rules by User ID.** Select option **11** to print rules by user ID. See <u>Printing Rules by User</u> and Authorities by User Report command.
- 12. **Print Rules by Location.** Select option **12** to print rules by Location. See <u>Printing Rules by Location</u>. and Authority by Location Report panel.
- 13. **Print Object Lists.** Select option **13** to print a list of the Object Lists defined on your system. See <a href="Print Object Lists panel">Print Object Lists panel</a>.
- 14. **Print Object Rules.** Select option **14** to print object rules by user or location. See <u>Print Object Rules panel</u>.
- 15. **Print User Groups.** Select option **15** to print a listing of User Groups. See <u>Print User Groups</u> Report.
- 16. **Print Socket Rules.** Select option **16** to print a listing of Socket Rules. See <u>Print Socket Rules</u> Report.

# **Reporting Group**

The Reporting Group section allows you to create and work with reporting groups.

80. **Work with Reporting Group Profiles.** Select option **80** to display the Work with Reporting Groups panel. Use this panel to create groups and assign users to them. After you associate users to a reporting group, you can report on the entire group by selecting option **5**, Group Report Menu, on the Reports Menu. See Work with Reporting Groups panel.

### **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F4 (Prompt): Displays a list of possible values from which you may select one.

F7 (Select System): Use this command key to work with data from a different System.

**F9** (Retrieve): Displays the last command you entered on the command line and any parameters you included. Pressing this key once shows the last command you ran. Pressing this key twice shows the command you ran before that, and so on.

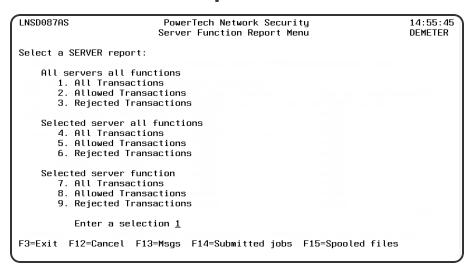
**F13** (Information Assistant): Displays the Information Assistant menu with several types of assistance available. Press this key to access more information about the IBM i system.

**F13 (Msgs):** Displays messages for the current user.

F16 (System Main Menu): Displays the IBM i Main Menu (MAIN).

**F22 (Status):** Displays the Operational Resources pop-up window containing the status of several operation aspects of Powertech products.

# **Server Function Report menu**



#### How to Get There

From the Network Security Main Menu, select option 80, Reports Menu, then option 3.

#### What it Does

The Server Function Report Menu will sort the journal by Server Function or allow you to select a specific Server with all Functions or a specific Server and a specific Function. Date range and type of transactions to be listed are also used to narrow or expand the report to your specific requirements.

#### **Options**

- **1.** All Servers All Functions All Transactions. This option produces a report of all transactions occurring on all Servers for all Functions and sorts by Server/Function/Date/Time. You will be prompted for a Date/Time range on a later screen.
- **2.** All Servers All Functions Allowed Transactions. This option produces a report of allowed transactions occurring on all Servers for all Functions and sorts by Server/Function/Date/Time. You will be prompted for a Date/Time range on a later screen.
- **3.** All Servers All Functions Rejected Transactions. This option produces a report of rejected transactions occurring on all Servers for all Functions and sorts by Server/Function/Date/Time. You will be prompted for a Date/Time range on a later screen.
- **4. Selected Server All Functions All Transactions.** This option produces a report of all transactions occurring on a selected Servers for all Functions and sorts by Server/Function/Date/Time. You will be prompted for Server and Date/Time range on a later screen.
- **5. Selected Server All Functions Allowed Transactions.** This option produces a report of allowed transactions occurring on a selected Servers for all Functions and sorts by Server/Function/Date/Time. You will be prompted for Server and Date/Time range on a later screen.
- **6. Selected Server All Functions Rejected Transactions.** This option produces a report of all transactions occurring on a selected Servers for all Functions and sorts by Server/Function/Date/Time. You will be prompted for Server and Date/Time range on a later screen.
- **7. Selected Server Function All Transactions.** This option produces a report of all transactions occurring on a selected Servers for a selected Function and sorts by Date/Time. You will be prompted for Server, Function and Date/Time range on a later screen.
- **8. Selected Server Function Allowed Transactions.** This option produces a report of allowed transactions occurring on a selected Servers for a selected Function and sorts by Date/Time. You will be prompted for Server, Function and Date/Time range on a later screen.
- **9. Selected Server Function Rejected Transactions.** This option produces a report of rejected transactions occurring on a selected Servers for a selected Function and sorts by Date/Time. You will be prompted for Server, Function and Date/Time range on a later screen.

### **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

F12 (Cancel): Exit the screen without processing any pending changes.

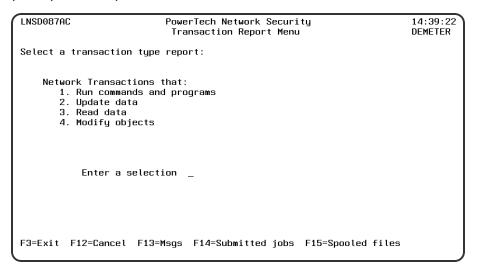
F13 (Msgs): Displays messages for the current user.

**F14 (Submitted jobs):** Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Transaction Report menu**

The Transaction Report Menu will list commands, programs, and objects that were used on your IBM i system. Date range and type of transactions to be listed are also used to narrow or expand the report to your specific requirements.



#### **How to Get There**

From the Network Security Main Menu, select option 80, Reports Menu, then option 4.

### **Options**

- 1. Network Transactions that Run commands and programs. This option produces a report of transactions that resulted in a command or program being executed and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- **2. Network Transactions that Update data.** This option produces a report of transactions that resulted in data being updated and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- **3. Network Transactions that Read data.** This option produces a report of transactions that resulted in data being read and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.
- **4. Network Transactions that Modify objects.** This option produces a report of transactions that resulted in objects being modified and sorts by Server/Function/Date/Time. You will be prompted for Type and Date/Time range on a later screen.

#### Command Keys

- **F3** (Exit): Exit the screen without processing any pending changes.
- **F12 (Cancel):** Exit the screen without processing any pending changes.
- **F13** (Msgs): Displays messages for the current user.
- **F14 (Submitted jobs):** Displays jobs submitted from the current job.
- F15 (Spooled files): Displays the current user's printed output.

# **User Report menu**

```
LNSD087AU

PowerTech Network Security
User Report Menu

HS42

Select a User report:

All Users
1. All Transactions
2. Allowed Transactions
3. Rejected Transactions

Selected User
4. All Transactions
5. Allowed Transactions
6. Rejected Transactions

Fallowed Transactions

Fallowed Transactions

Fallowed Transactions

Fallowed Transactions

Enter a selection

Fallowed Transactions
```

#### **How to Get There**

From the Network Security Main Menu, choose option 80, Reports Menu, then option 1.

#### What it Does

The User Report Menu will sort the journal by User or allow you to select a specific User. User Groups can also be selected. Date range and type of transactions to be listed are also used to narrow or expand the report to your specific requirements.

### **Options**

- **1.** All Users All Transactions. This option produces a report of all current Users' transactions and sorts by User/Date/Time. User Groups can also be selected. You will be prompted for User and Date/Time range on a later panel.
- **2. All Users Allowed Transactions.** This option produces a report of all current User's allowed transactions and sorts by User/Date/Time. User Groups can also be selected. You will be prompted for User and Date/Time range on a later panel.
- **3.** All Users Rejected Transactions. This option produces a report of all current Users' rejected transactions and sorts by User/Date/Time. User Groups can also be selected. You will be prompted for User and Date/Time range on a later panel.
- **4. Selected User All Transactions.** This option produces a report of a specific User's transactions and sorts by User/Date/Time. User Groups can also be selected. You will be prompted for User and Date/Time range on a later panel.
- **5. Selected User Allowed Transactions.** This option produces a report of a specific User's allowed transactions and sorts by User/Date/Time. User Groups can also be selected. You will be prompted for User and Date/Time range on a later panel.
- **6. Selected User Rejected Transactions.** This option produces a report of a specific User's rejected transactions and sorts by User/Date/Time. User Groups can also be selected. You will be prompted for User and Date/Time range on a later panel.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F12** (Cancel): Exit the panel without processing any pending changes.

F13 (Msgs): Displays messages for the current user.

F14 (Submitted jobs): Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Prompts**

The topics in this section include descriptions for Network Security's green screen prompts.

# **Group Report Prompt**

This panel allows you to select the data that you would like in your report as well as any output options that are available.

		werTech Network Security Groups - All Transactions	12:51:01 LANCELOT
Group Type	_ (P/A/O)	PowerTech Group/Account Code/OS400 Group	Profile
From date/time To date/time			
Detail, transacti	ion, or sum	mary (D/T/S)? D	
Output type	*PRINT	*PRINT, *OUTFILE, *IFS	
File		Create? _ Y=Yes, N=No	
Library Member		Option _ A=Add, R=Replace	
IFS report name			

#### **Options**

# **Group Type**

Specify the type of user group you would like listed in your report using one of these values:

**P** The name is a Powertech Group name.

A The name is an accounting code.

O The name is an operating system group profile.

#### From Date

This field is the oldest transaction date you wish to see. Key this date in your job's current format.

### **From Time**

This field is the oldest transaction time you wish to see. Key this time in your job's current format.

#### To Date

This field is the most recent transaction date you wish to see. Key this date in your job's current format.

### Detail, transaction, or summary (D/T/S)?

This field controls the amount of information shown on the report.

Select one of the following values:

- S Summary information (least amount of detail)
- **D** Detail information.
- T Transaction information (greatest amount of detail).

### **Output type**

Specifies the form of output. This requests the output in either printed or database form or in a .CSV streamfile. This is a required value.

The possible values are:

- \*PRINT The output should be a printed report.
- \*OUTFILE The output should be directed to a database file.
- \*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location specified in the GNUI Report Output control file (PNSGRO).

### **Output file**

Specifies the name of the database file that will contain the selected output. The resulting output file will have the same record format as the LNSJRNQY file in the Network Security library.

The possible values are:

database—file—name Enter the name of the database file that will contain the selected output.

# **Output file library**

Specifies the name of the library in which the output file will be created.

The possible values are:

**library—name** Enter the name of the library where the database file is located.

# **Output member**

Specifies the member name when output is directed to a database file. This is an optional parameter. This is only meaningful when output type \*OUTFILE is selected.

Allowed values for member are:

\*FIRST The first (or only) member receives the output. If no members exist in the file and you specify OUTMBR(\*FIRST), a member will be added whose name will be the same as the output file name specified for Output File.

member-name Enter a valid member name.

# **Output member options**

Specifies the option when output is directed to a database file.

Allowed values for option are:

A The existing member data is kept and this output end of the member.

**R** The member data is replaced by this output.

### **Create file**

Specifies whether the output file should be created if it does not exist. This is an optional parameter.

Allowed values are:

N The output file should not be created. The command will fail if the file does not exist.

Y The file will be created if it does not exist when the command executes.

### IFS report name

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible values are:

**report**—name Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in a standard location. This report name identifies report requests.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

**F12 (Cancel):** Exit the panel without processing any pending changes.

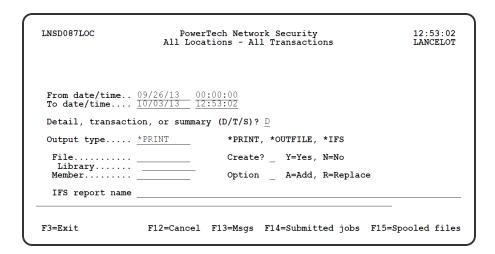
F13 (Msgs): Displays messages for the current user.

**F14 (Submitted jobs):** Displays jobs submitted from the current job.

**F15** (Spooled files): Displays the current user's printed output.

# **Location Report Prompt**

This screen allows you to select the data that you would like in your report as well as any output options that are available.



#### **From Date**

This field is the oldest transaction date you wish to see. Key this date in your job's current format.

#### From Time

This field is the oldest transaction time you wish to see. Key this time in your job's current format.

#### To Date

This field is the most recent transaction date you wish to see. Key this date in your job's current format.

### Detail, transaction, or summary (D/T/S)?

This field controls the amount of information shown on the report.

Select one of the following values:

- S Summary information (least amount of detail)
- **D** Detail information.
- T Transaction information (greatest amount of detail).

### **Output type**

Specifies the form of output. This requests the output in either printed or database form or in a .CSV streamfile. This is a required value.

The possible values are:

- \*PRINT The output should be a printed report.
- \*OUTFILE The output should be directed to a database file.
- \*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location specified in the GNUI Report Output control file (PNSGRO).

# Output file

Specifies the name of the database file that will contain the selected output. The resulting output file will have the same record format as the LNSJRNQY file in the Network Security library.

The possible value is:

database—file—name Enter the name of the database file that will contain the selected output.

### **Output file library**

Specifies the name of the library in which the output file will be created.

The possible value is:

**library**—name Enter the name of the library where the database file is located.

### **Output member**

Specifies the member name when output is directed to a database file. This is an optional parameter. This is only meaningful when output type \*OUTFILE is selected.

Allowed values for member are:

\*FIRST The first (or only) member receives the output. If no members exist in the file and you specify OUTMBR(\*FIRST), a member will be added whose name will be the same as the output file name specified for Output File.

member—name Enter a valid member name.

### **Output member options**

Specifies the option when output is directed to a database file.

Allowed values for option are:

A The existing member data is kept and this output end of the member.

R The member data is replaced by this output.

### **Create file**

Specifies whether the output file should be created if it does not exist. This is an optional parameter.

Allowed values are:

N The output file should not be created. The command will fail if the file does not exist.

Y The file will be created if it does not exist when the command executes.

# IFS report name

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible value is:

**report**—**name** Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in a standard location. This report name identifies report requests.

# **Command Keys**

**F3** (Exit): Exit the screen without processing any pending changes.

F12 (Cancel): Exit the screen without processing any pending changes.

F13 (Msgs): Displays messages for the current user.

**F14 (Submitted jobs):** Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Server Function Report prompt**

This screen allows you to select the data that you would like in your report as well as any output options that are available.

### **Field Descriptions**

#### From Date

This field is the oldest transaction date you wish to see. Key this date in your job's current format.

#### **From Time**

This field is the oldest transaction time you wish to see. Key this time in your job's current format.

#### To Date

This field is the most recent transaction date you wish to see. Key this date in your job's current format.

### Detail, transaction, or summary (D/T/S)?

This field controls the amount of information shown on the report.

Select one of the following values:

- **S** Summary information (least amount of detail)
- **D** Detail information.
- T Transaction information (greatest amount of detail).

### **Output type**

Specifies the form of output. This requests the output in either printed or database form or in a .CSV streamfile. This is a required value.

The possible values are:

- \*PRINT The output should be a printed report.
- \*OUTFILE The output should be directed to a database file.

\*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location specified in the GNUI Report Output control file (PNSGRO).

### **Output file**

Specifies the name of the database file that will contain the selected output. The resulting output file will have the same record format as the LNSJRNQY file in the Network Security library.

The possible value is:

database—file—name Enter the name of the database file that will contain the selected output.

### **Output file library**

Specifies the name of the library in which the output file will be created.

The possible value is:

library—name Enter the name of the library where the database file is located.

### **Output member**

Specifies the member name when output is directed to a database file. This is an optional parameter. This is only meaningful when output type \*OUTFILE is selected.

Allowed values for member are:

\*FIRST The first (or only) member receives the output. If no members exist in the file and you specify OUTMBR(\*FIRST), a member will be added whose name will be the same as the output file name specified for Output File.

member-name Enter a valid member name.

### **Output member options**

Specifies the option when output is directed to a database file.

Allowed values for option are:

A The existing member data is kept and this output end of the member.

R The member data is replaced by this output.

#### Create file

Specifies whether the output file should be created if it does not exist. This is an optional parameter.

Allowed values are:

N The output file should not be created. The command will fail if the file does not exist.

Y The file will be created if it does not exist when the command executes.

### IFS report name

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible values are:

**report**—name Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in a standard location. This report name identifies report requests.

### **Command Keys**

F3 (Exit): Exit the screen without processing any pending changes.

F12 (Cancel): Exit the screen without processing any pending changes.

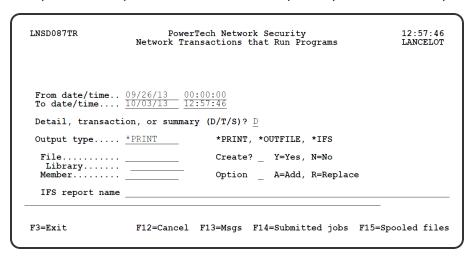
F13 (Msgs): Displays messages for the current user.

**F14** (Submitted jobs): Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Transaction Report prompt**

This panel allows you to select the data for your report as well as any output options that are available.



#### **From Date**

This field is the oldest transaction date you wish to see. Key this date in your job's current format.

#### **From Time**

This field is the oldest transaction time you wish to see. Key this time in your job's current format.

#### To Date

This field is the most recent transaction date you wish to see. Key this date in your job's current format.

# Detail, transaction, or summary (D/T/S)?

This field controls the amount of information shown on the report.

Select one of the following values:

**S** Summary information (least amount of detail)

**D** Detail information.

T Transaction information (greatest amount of detail).

### **Output type**

Specifies the form of output. This requests the output in either printed or database form or in a .CSV streamfile. This is a required value.

The possible values are:

- \*PRINT The output should be a printed report.
- \*OUTFILE The output should be directed to a database file.
- \*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location specified in the GNUI Report Output control file (PNSGRO).

### **Output file**

Specifies the name of the database file that will contain the selected output. The resulting output file will have the same record format as the LNSJRNQY file in the Network Security library.

The possible values are:

database-file-name Enter the name of the database file that will contain the selected output.

### **Output file library**

Specifies the name of the library in which the output file will be created.

The possible values are:

**library—name** Enter the name of the library where the database file is located.

### **Output member**

Specifies the member name when output is directed to a database file. This is an optional parameter. This is only meaningful when output type \*OUTFILE is selected.

Allowed values for member are:

\*FIRST The first (or only) member receives the output. If no members exist in the file and you specify OUTMBR(\*FIRST), a member will be added whose name will be the same as the output file name specified for Output File.

member-name Enter a valid member name.

# **Output member options**

Specifies the option when output is directed to a database file.

Allowed values for option are:

A The existing member data is kept and this output end of the member.

R The member data is replaced by this output.

#### Create file

Specifies whether the output file should be created if it does not exist. This is an optional parameter.

Allowed values are:

N The output file should not be created. The command will fail if the file does not exist.

Y The file will be created if it does not exist when the command executes.

### **IFS** report name

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible values are:

**report**—name Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in a standard location. This report name identifies report requests.

### **Command Keys**

**F3** (Exit): Exit the panel without processing any pending changes.

**F12 (Cancel):** Exit the panel without processing any pending changes.

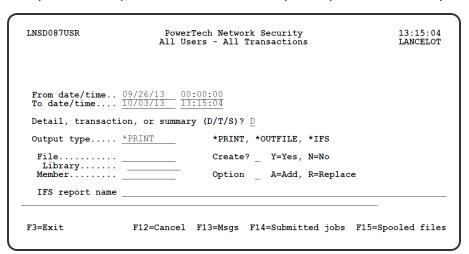
F13 (Msgs): Displays messages for the current user.

**F14** (Submitted jobs): Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **User Report prompt**

This panel allows you to select the data for your report as well as any output options that are available.



### **Field Descriptions**

#### From Date

This field is the oldest transaction date you wish to see. Key this date in your job's current format.

#### **From Time**

This field is the oldest transaction time you wish to see. Key this time in your job's current format.

#### To Date

This field is the most recent transaction date you wish to see. Key this date in your job's current format.

### Detail, transaction, or summary (D/T/S)?

This field controls the amount of information shown on the report.

Select one of the following values:

- S Summary information (least amount of detail)
- **D** Detail information.
- T Transaction information (greatest amount of detail).

### **Output type**

Specifies the form of output. This requests the output in either printed or database form or in a .CSV streamfile. This is a required value.

The possible values are:

- \*PRINT The output should be a printed report.
- \*OUTFILE The output should be directed to a database file.
- \*IFS The output should be directed to a .CSV streamfile in the IFS. The streamfile will be created in the location specified in the GNUI Report Output control file (PNSGRO).

### **Output file**

Specifies the name of the database file that will contain the selected output. The resulting output file will have the same record format as the LNSJRNQY file in the Network Security library.

The possible value is:

database—file—name Enter the name of the database file that will contain the selected output.

### **Output file library**

Specifies the name of the library in which the output file will be created.

The possible value is:

library—name Enter the name of the library where the database file is located.

# **Output member**

Specifies the member name when output is directed to a database file. This is an optional parameter. This is only meaningful when output type \*OUTFILE is selected.

Allowed values for member are:

\*FIRST The first (or only) member receives the output. If no members exist in the file and you specify OUTMBR(\*FIRST), a member will be added whose name will be the same as the output file name specified for Output File.

member-name Enter a valid member name.

# **Output member options**

Specifies the option when output is directed to a database file.

Allowed values for option are:

A The existing member data is kept and this output end of the member.

R The member data is replaced by this output.

#### Create file

Specifies whether the output file should be created if it does not exist. This is an optional parameter.

Allowed values are:

N The output file should not be created. The command will fail if the file does not exist.

Y The file will be created if it does not exist when the command executes.

### **IFS** report name

Specifies the report name of the IFS streamfile. This name is used to log the creation and location of any IFS streamfiles that are created.

The possible value is:

**report**—**name** Enter the name of IFS report. This is a report name, not a streamfile name. IFS output is created in a standard location. This report name identifies report requests.

### **Command Keys**

F3 (Exit): Exit the panel without processing any pending changes.

F12 (Cancel): Exit the panel without processing any pending changes.

**F13 (Msgs):** Displays messages for the current user.

F14 (Submitted jobs): Displays jobs submitted from the current job.

F15 (Spooled files): Displays the current user's printed output.

# **Appendix**

The topics in this section include additional information about Network Security.

# **Appendix A: Network Security Commands**

Powertech Network Security offers a number of commands that provide flexibility in managing your Network Security environment. Commands range from basic filter rule maintenance to more advanced features of Network Security.

You enter the Network Security commands on a command line, or include the commands in your own programs. The following table summarizes the commands.

Command	Description	
Primary Administration Commands		
DLTNSUGRP	Allows the deletion of NS User Groups and, optionally, their members	
WRKPTNS	Displays the Powertech Network Security Main Menu	

Command	Description	
LEDTSYSVAL	Displays the Work with Network Security System Values panel	
LCOPYRIGHT	Displays the Powertech Network Security End User License Agreement	
MRGPRVNS	Displays the Merge previous NS panel	
POWERLOCK	Powertech Network Security Main Menu (for versions prior to Network Security 6.0)	
ADDNSLIC	Displays the Network Security License Setup panel	
PTNSSTRWEB	Starts the server job to support the web interface	
PTNSENDWEB	Ends the server job that supports the web interface	
PNSHLDDASH	Sets the system in a state such that data collection to support the web interface Dashboard will not run.	
PNSSTRDASH	Begins the Dashboad Data Collector.	
PNSENDDASH	Ends the Dashboad Data Collector.	
PNSRLSDASH	Releases the Hold Dashboard Collection command, allowing data collection to occur.	
Commands that Work	with Filter Rules	
LWRKSRV	Work with Servers	
LWRKSRVFNC	Work with Security by Server/Function	
LEDTFNCLOC	Edit Function by Location	
LEDTFNCUSR	Edit Function by User	
LEDTSRVLOC	Edit Server by Location	
LEDTSRVUSR	Edit Server by User	
LEDTUSRAUT	Edit User Authorities	
Schedule Jobs Commands		
LPWRRPT	Network Security Audit Report	
LENDCAPSUM	End Captured Transaction Summarization (formerly LSENCAPSUM)	
LCHGCAPSUM	Changes summarization properties for captured transactions	
LSTRCAPSUM	Start Captured Transaction Summarization	

Command	Description	
LPWRCAPTRN	Work with Captured Transactions	
LRSNESCMSG	Resend Escape Message	
LRTNLEN	Length of the value of a string	
LMOVDIAMSG	Move Diagnostic Message	
SBMUSRREP	Submit Authority by User Report	
SBMLOCREP	Submit Authority by Location Report	
PLNSREPORT	Network Security Reports Menu	
Command	Description	
Customization Commands		
LPRDVRM	Product Information Display	
TELNETPVL	Telnet Password Verification	
LADDLNSEXT	Add Network Security Exit Programs	
LRMVLNSEXT	Remove Network Security Exit Programs	
LNSCCLCVT	Convert existing memorized transactions to the absolute path	
NSNFREJECT	Set NF Reject Value	
LWRKGENSRV	Work with Add-On Servers	
Create Rules Command	ds	
CRTLOCRUL	Create Location Rule	
CRTUSRRUL	Create User Rule	
CRTOBJRUL	Create Object Rule	
Change Rules Comman	ds	
CHGLOCRUL	Change Location Rule	
CHGUSRRUL	Change User Rule	
CHGOBJRUL	Change Object Rule	
Delete Rules Commands		
DLTLOCRUL	Delete Location Rule	
DLTUSRRUL	Delete User Rule	
DLTOBJRUL	Delete Object Rule	

Command	Description	
Display Rules Commands		
DSPLOCRUL	Display Location Rule	
DSPUSRRUL	Display User Rule	
DSPOBJRUL	Display Object Rule	
Object List Commands		
ADDOBJLE	Add Object List Entry	
CHGOBJLE	Change Object List Entry	
RMVOBJLE	Remove Object List Entry	
CHGOBJL	Change Object List	
CPYOBJL	Copy Object List	
CRTOBJL	Create Object List	
DLTOBJL	Delete Object List	
PRTOBJL	Print Object List	
RNMOBJL	Rename Object List	
WRKOBJL	Work with Object Lists	
PRTOBJRUL	Print Object Rule	
WRKOBJRUL	Work with Object Rules	
Secure Screen comma	nds	
ENDPLSSMON	End Secure Screen Monitors	
LCKDSP	Locks your own display	
LCKDSP JOB (nbr/user/job)	Locks another display. To lock another display, you need the *JOBCTL special authority unless the other job is the same job user.	
LEDTPSSFTR	Secure Screen Rules Maintenance	
LSETPSSNFQ	Set Secure Screen notify message queue	
SETSECSRN	Set Secure Screen System ID	
STRPLSSMON	Start Secure Screen monitor	

Command	Description
UNLDSP JOB (nbr/user/job)	Unlocks a display that was locked by the LCKDSP command. You need *ALLOBJ special authority, or specific *USE authority on the command.
WRKSECSCR	Secure Screen Main Menu

# Appendix B: Servers and Functions

Network Security supports the following servers.

Exit Point Server	Description
*CLI	Call Level Interface
*DDM	Distributed Data Management Server
*DRDA	Distributed Relational Database
*DQSRV	Data Queue Server
*FILESRV	File Server
*FTPCLIENT	IBM i FTP Client
*FTPSERVER	IBM i FTP Server
*NDB	Native Database Request
*RMTSRV	Remote Command and Distributed Program Call Server
*RTVOBJINF	SQL Retrieve Object Information
*SQL	Database Server Initialization
*SQLSRV	SQL Server
*TELNET	Telnet Device Initiation/Termination
*DATAQSRV	Optimized Data Queue Server
*FTPREXEC	FTP Execute Remote Command (REXEC)
*REXEC_SO	Remote Execute Command Signon Server
*TFRFCL	File Transfer Server
*TFTP	Trivial FTP Server
*VISTA	ShowCase *VISTA Servers
*VISTAPRO	ShowCase *VISTAPRO Server

<b>Exit Point Server</b>	Description
*CNTRLSRV	License Management Central Server
*FTPSIGNON	FTP Logon Server
*LMSRV	License Management Server
*MSGFCL	Message Function Server
*RQSRV	Remote SQL Server
*SIGNON	Signon Server
*VPRT	Virtual Print Server
DATADIST	ShowCase DATADIST server
QNPSERV	Network Print Server
VISTA_ADMI	ShowCase VISTA_ADMI Servers

Network Security also provides access control and monitoring for socket exit points:

<b>Exit Point Server</b>	Description
QSOLISTEN	Socket Listen server
QSOCONNECT	Socket Connect server
QSOACCEPT	Socket Accept server

Network Security also provides access control and monitoring for exit points that are specific to the ShowCase software suite. See the table of ShowCase Servers shown below:

Exit Point Server	Description
*VISTA A Showcase corporation server.	ShowCase *VISTA Clients
*VISTAPRO A Showcase corporation server.	ShowCase *VISTAPRO Clients
DATADIST A Showcase corporation server.	ShowCase DATADIST Clients
VISTA_ADMI A Showcase corporation server.	ShowCase VISTA_ADMI Clients

### **Understanding Servers**

Networked clients, including Client Access, can communicate with several <u>servers</u> on IBM i for network access. Network Security integrates with these servers, at the exit-point level, to allow you to specify

your own access rules. You should have a clear understanding of what these servers do to develop and implement an effective network security plan.

In general, each server corresponds with a client function, such as file transfer, FTP, or remote command. In some cases, IBM created two servers for some functions. It is important to secure both servers to completely secure your IBM i.

Network Security allows you to set rules for how to secure each server. The following table lists the servers used by the various client functions. Note: The mapping of client function to server is subject to change by IBM. For the latest information from IBM, go to the Information Center Web site at www.iseries.ibm.com/infocenter. Click the Client Access Express link, select Administering Client Access Express, and then select Host Server Administration.

Client Function	OS/400 Server	IBM Server
File Transfer	Transfer Function Server Database Server	*TFRFCL *SQL *NDB *SQLSRV *RTVOBJINF
ODBC	Remote SQL Server Database Server	*RQSRV *SQL *NDB *SQLSRV *RTVOBJINF
Shared Folders	Distributed Data Management Server File Server	*DDM *FILESRV
Virtual Print	Virtual Print Server Network Print Server	*VPRT QNPSERVR
Data Queue API	Original Data Queue Server Optimized Data Queue Server	*DQSRV *DATAQSRV
Remote Command	Distributed Data Management Server Remote Command and Distributed Program Call Server	*DDM *RMTSRV
Message Function	Message Function Server	*MSGFCL
License and Client Management	License Management Central Server	*LMSRV *CNTRLSRV

Client Function	OS/400 Server	IBM Server ID
FTP	FTP Client FTP Execute Remote Command FTP Server FTP Signon Trivial FTP	*FTPCLIENT *FTPREXEC *FTPSERVER *FTPSIGNON *TFTP
Distributed Relational Database	DRDA Server CLI Connect Server	*DRDA *CLI
Signon	Signon Server	*SIGNON
Distributed Program Call	Remote Command and Distributed Program Call Server Remote Execution Signon	*RMTSRV *REXEC_SO
Telnet	Telnet	*TELNET

When a networked client makes a request of a server, it requests the server to execute a particular function. For example, when you prompt for a list of files in the Client Access data transfer function, it sends a request to the File Transfer Server to execute the EXTRACT function. When you request to download a file to a PC, it sends a request for the SELECT function.

Network Security allows you to set security rules for the server as a whole, but also for individual functions within a server.

# The CLI Server

Call Level Interface (\*CLI) is an alternative mechanism for executing SQL statements.

#### From "IBM DB2 for i tips" at the IBM Knowledge Center:

For the most part, SQL CLI is syntactically and semantically equivalent to ODBC. The SQL Call Level Interface is a standard created by the X/Open standards group, and CLI was built according to the standard.

ODBC is the Microsoft implementation of the X/Open CLI standard, and has veered off from the X/Open standard in a few areas. CLI will probably never match ODBC exactly, since that is technically not the standard that CLI is built to comply with.

Client Action	Server Name	Server Function
CLI Connection	*CLI	CONNECT

# **The Transfer Function Server**

The Transfer Function Server is used by Client Access to request uploading and downloading of database files from the IBM i system. It is also used by the original client when requests are made through the

Client Access programming APIs. Client Access Programming API requests made to optimized clients do not use this server, but use the Database Server instead.

The following table lists the Transfer Function Server functions used by the different client actions. Note that uploading and downloading are separate functions. This makes it easy to prevent uploads, while still allowing downloads.

Client Action	Server Name	Server Function
Prompt for list of libraries or files	*TFRFCL	EXTRACT
Download a single file to the PC	*TFRFCL	SELECT
Download a join of 2 or more files to the PC	*TFRFCL	JOIN
Upload a file to the AS/400	*TFRFCL	REPLACE

# The Remote SQL Server

The Remote SQL Server is used by a client to request processing of SQL statements received by PC programs using the Remote SQL API. This server is used by both the original and optimized clients when Remote SQL API requests are received. It is also used by the ODBC driver of some original clients. Other original clients and optimized clients have ODBC drivers that use the Database Server.

The following table lists the Remote SQL Server functions used by the different client actions.

Client Action	Server Name	Server Function
Connect to a database	*RQSRV	CONNECT
Execute a SELECT SQL statement	*RQSRV	SELECT SELECTPM SELECTVAL SELECTPKG
Execute a non-SELECT SQL statement	*RQSRV	EXECUTE EXECUTEPM EXECPKG
Delete the row at the cursor	*RQSRV	DELETE
Update the row at the cursor	*RQSRV	UPDATE
Call a stored procedure	*RQSRV	RMTCALL
Create an SQL package	*RQSRV	CREATEPKG PREPTOPKG

# The Database Server

The Database Server is used to process SQL statements received from some original and optimized clients using ODBC. In addition, the Windows 95/98/NT/2000 client UI file transfer application uses this server. It is also used by optimized clients using the Remote SQL and file transfer APIs.

The Database Server is unusual in that it looks to Network Security as if it were four different servers. Thus, when securing the Database Server you might need to enter rules for four different server names. The following table lists the Database Server functions used by the different client actions.

Client Action	Server Name	Server Function
Connect to the Database Server	*SQL	INIT
Create a database file	*NDB	CRTSRCPF CRTDBF
Add a member to a database file	*NDB	ADDDBFMBR
Add library list entry	*NDB	ADDLIBL
Delete a database file member	*NDB	DLTDBFMBR
Clear a database file member	*NDB	CLRDBFMBR
Override a database file	*NDB	OVRDBF
Delete database file override	*NDB	DLTDBFOVR
Delete a database file	*NDB	DLTF
Connect to a database	*SQLSRV	CONNECT
Prepare an SQL statement	*SQLSRV	PRPDDESCRB PRPEXCOPN PREPARE
Execute an SQL statement	*SQLSRV	EXECUTE EXECUTEIMM PRPEXCOPN EXECOPEN
Prepare and execute	*SQLSRV	PRPEXECUTE
Fetch rows	*SQLSRV	FETCH
Create an SQL package	*SQLSRV	CREATEPKG
Clear an SQL package	*SQLSRV	CLEARPKG
Delete an SQL package	*SQLSRV	DELETEPKG

Client Action	Server Name	Server Function
Open an SQL cursor	*SQLSRV	OPEN EXECOPEN OPENFETCH PRPEXCOPN
Retrieve information about libraries, databases, SQL packages, and so on	*RTVOBJINF	RTVLIBINF RTVRDBINF RTVSQLPKG RTVSQLSTMT RTVFILINF RTVMBRINF RTVFMTINF RTVFLDINF RTVIDXINF RTVFKEYINF RTVPKEYINF RTVCLMINF

# The Distributed Data Management Server

The Distributed Data Management (DDM) Server is used by some clients to process Shared Folder and Remote Command requests. Older original clients used the DDM server for Shared Folders. Newer original clients and optimized clients use the File Server for Shared Folders. Original clients use the DDM Server for Remote Command support. Optimized clients use the Remote Command and Distributed Program Call Server for Remote Command support. The following table lists the DDM Server functions used by the different client actions.

Client Action	Server Name	Server Function
Initialize DDM	*DDM	INITIALIZE
Create a file	*DDM	CREATE
Delete a file	*DDM	DELETE
Rename a file	*DDM	RENAME
Change file information	*DDM	CHANGE
Lock a file	*DDM	LOCK
Copy file data from another system	*DDM	LOAD
Copy file data to another system	*DDM	UNLOAD
Copy a file on this system	*DDM	СОРу
Move a file on this system	*DDM	MOVE
Retrieve file information	*DDM	EXTRACT
Open a file	*DDM	OPEN
Add a member to a file	*DDM	ADDMBR
Change a file member	*DDM	CHGMBR
Delete a file member	*DDM	RMVMBR

Client Action	Server Name	Server Function
Rename a file member	*DDM	RNMMBR
Reorganize a file member	*DDM	RGZMBR
Clear a file member	*DDM	CLEAR
Change data area	*DDM	CHGDTAARA
Retrieve data area	*DDM	RTVDTAARA
Clear data queue	*DDM	CLRDTAQ
Receive data queue	*DDM	RCVDTAQ
Send data queue	*DDM	SNDDTAQ
Run a command on this system	*DDM	COMMAND

# The File Server

The File Server is used by newer Client Access original clients and optimized clients to process Shared Folder requests. Older original clients used the DDM server for Shared Folders. The following table lists the File Server functions used by the different client actions.

Client Action	Server Name	Server Function
Allocate a conversation	*FILESRV	ALCSTRMCNV
Create a file or directory	*FILESRV	CRTSTRMFIL
Delete a file or directory	*FILESRV	DLTSTRMFIL
Rename a file or directory	*FILESRV	RNMSTRMFIL
List attributes of a file or directory	*FILESRV	LSTSTRMATR
Change attributes of a file or directory	*FILESRV	CHGSTRMATR
Move a file	*FILESRV	MOVSTRMFIL
Open a file	*FILESRV	OPNSTRMFIL

# **The Virtual Print Server**

The Virtual Print Server is used by Client Access original clients to process Virtual Print requests for printing on an IBM i printer. Optimized clients use the Network Print server for requests to print on an IBM i printer. The following table lists the Virtual Print Server functions used by the different client actions.

Client Action	Server Name	Server Function
Get a list of IBM i printer files and output queues	*VPRT	EXTRACT
Check existence and authority to a printer file or output queue	*VPRT	CHECK
Open a printer file	*VPRT	OPEN

# **The Network Print Server**

The Network Print Server is used by Client Access optimized clients to process requests to print on an IBM i printer. Original clients use the Virtual Print server for requests to print on an IBM i printer. The following table lists the Network Print Server functions used by the different client actions.

Client Action	Server Name	Server Function
Initialize the Network Print Server	QNPSERVR	INIT
Process a spooled file	QNPSERVR	PROCESS

# **The Original Data Queue Server**

The Original Data Queue Server is used by Client Access original clients to process requests by PC programs using the Client Access API to access IBM i data queues. Optimized clients use the Optimized Data Queue Server to process these requests. The following table lists the Original Data Queue Server functions used by the different client actions.

Client Action	Server Name	Server Function
Create a data queue	*DQSRV	CREATE
Delete a data queue	*DQSRV	DELETE
Query a data queue	*DQSRV	QUERY
Send a message to a data queue	*DQSRV	SEND
Receive a message from a data queue	*DQSRV	RECEIVE
Receive a message from a data queue without deleting it	*DQSRV	PEEK
Clear all messages from a data queue	*DQSRV	CLEAR

# The Optimized Data Queue Server

The Optimized Data Queue Server is used by Client Access optimized clients to process requests made by client programs using the Client Access API to access IBM i data queues. Original clients use the Original Data Queue Server to process these requests. The following table lists the Optimized Data Queue Server functions used by the different client actions.

Client Action	Server Name	Server Function
Create a data queue	*DATAQSRV	CRTDTQ
Delete a data queue	*DATAQSRV	DLTDTQ
Query a data queue	*DATAQSRV	QRYDTQATR
Send a message to a data queue	*DATAQSRV	SNDDTQMSG
Receive a message from a data queue	*DATAQSRV	RCVDTQMSG RECVMSG
Clear all messages from a data queue	*DATAQSRV	CLRDTQMSG
Cancel a pending data queue request	*DATAQSRV	CNLPNDRCV

# **The FTP Application Servers**

**NOTE**: You might be more familiar with the \*FTPREXEC server as the REXEC server.

The TCP/IP FTP Application Servers are used by various clients to send and receive files and commands via FTP. The following table lists the FTP Server functions used by different client and server actions.

Client Action	Server Name	Server Function
Initialize Session	*FTPCLIENT	INIT
Set Current Library or Directory	*FTPCLIENT	CHGCURLIB
Send Files (Put)	*FTPCLIENT	SENDFILE
Receive Files	*FTPCLIENT	RECVFILE
Execute Remote Commands	*FTPCLIENT	RMTCMD
Create Library/Directory	*FTPCLIENT	CREATELIB
Delete Files	*FTPCLIENT	DELETEFILE
Delete Library/Directory	*FTPCLIENT	DELETELIB
List files in Library/Directory	*FTPCLIENT	LISTFILES
Rename File	*FTPCLIENT	RNMFILE

Client Action	Server Name	Server Function
Remote Command Initialize Session	*FTPREXEC	INIT
Execute Remote Command	*FTPREXEC	RMTCMD
Session Initialization	*FTPSERVER	INIT
Set Current Library	*FTPSERVER	CHGCURLIB
Client Action	Server Name	Server Function
Delete Library/Directory	*FTPSERVER	DELETELIB
Create Library/Directory	*FTPSERVER	CREATELIB
List Files in Directory/Library	*FTPSERVER	LISTFILES
Delete Files	*FTPSERVER	DELETEFILE
Send Files (Put)	*FTPSERVER	SENDFILE
Receive Files (Get)	*FTPSERVER	RECVFILE
Rename Files	*FTPSERVER	RNMFILE
Execute Remote Commands	*FTPSERVER	RMTCMD
TFTP Receive File (Get)	*TFTP	RECVFILE
TFTP Send File (Put)	*TFTP	SENDFILE

# **The Signon Server**

The Signon Server is used by clients to retrieve signon information and to change passwords. The following table lists the Signon Server functions.

Client Action	Server Name	Server Function
Change password	*SIGNON	CHGPWD
Generate authentication token	*SIGNON	GENAUTTKN
Generate authentication token for other user	*SIGNON	GENAUTTKNU
Retrieve signon information	*SIGNON	RETRIEVE
Start server request	*SIGNON	STRSRVRQS

# The Message Function Server

The Message Function Server is used by Client Access to process messaging requests. The following table lists the Message Function Server functions used by the different client actions.

Client Action	Server Name	Server Function
Send a message	*MSGFCL	SEND
Receive a message	*MSGFCL	RECEIVE

# The DRDA Server

The DRDA Server is used by some ODBC clients to process IBM i Database requests. The following table lists the DRDA Server functions used by client actions.

Client Action	Server Name	Server Function
SQL Connect	*DRDA	SQLCNN

# The License Management Server

**NOTE**: We recommend that you do not restrict the use of the License Management Server as this can make it impossible for any client to connect to your IBM i.

The License Management Server is used by Client Access original clients to process license management requests. Optimized clients use the Central Server to process license management requests.

The License Management Server does not implement any functions that pose security problems for most installations.

The following table lists the License Management Server functions used by the different client actions.

Client Action	Server Name	Server Function
Request a license	*LMSRV	REQUEST
Release a license	*LMSRV	RELEASE

# **The Central Server**

**NOTE:** We recommend that you do not restrict the use of the Central Server as this can make it impossible for any client to connect to your IBM i system.

The Central Server is used by Client Access optimized clients to process license management and client management requests. Original clients use the License Management Server to process license management requests and do not support client management requests.

The Central Server does not implement any functions that pose security problems for most installations.

The following table lists the Central Server functions used by the different client actions.

Client Action	Server Name	Server Function
Request a license	*CNTRLSRV	RQSLIC
Release a license	*CNTRLSRV	RLSLIC
Retrieve license information	*CNTRLSRV	RTVLICINF
Set client active	*CNTRLSRV	SETACT
Set client inactive	*CNTRLSRV	SETINACT
Retrieve character set conversion map	*CNTRLSRV	RTVCNVMAP

# The TELNET Server

The TELNET Server is used by TELNET sessions to sign on to an IBM i server. The following table lists the TELNET Server functions used by client actions.

Client Action	Server Name	Server Function
Initialize session	*TELNET	INIT

# The Trivial FTP Server

Trivial File Transfer Protocol (TFTP) is a simple protocol that provides basic file transfer function with no user authentication. Together, TFTP and Bootstrap Protocol, or BOOTP, provide support for the IBM Network Station for an IBM i system. They also provide support for other clients that use the TFTP and BOOTP protocols. The following table lists the TFTP Server functions used by client actions.

Client Action	Server Name	Server Function
Receive (get) file	*TFTP	RECVFILE
Send (put) file	*TFTP	SENDFILE

# The FTP Logon Server

The FTP Logon server is used to validate signon attempts to an IBM i system through FTP. The following table lists the FTP Logon Server functions used by client actions.

Client Action	Server Name	Server Function
Sign on	*FTPSIGNON	SIGNON

# The REXEC Logon Server

The REXEC Logon server is used to validate signon attempts to an IBM i system through the Remote Execution Server. The following table lists the REXEC Logon Server functions used by client actions.

Client Action	Server Name	Server Function
Sign on	*REXEC_SO	SIGNON

# Appendix C: Network Security Generic Exit Point

Network Security includes a Generic Exit Point for use with customer-written servers. The Generic Exit Point provides the ability to use Network Security's structure for your own applications.

The activation/deactivation process registers and de-registers exit point PTG\_NS\_GENERIC\_ACSI format ACSOIOO with IBM's registration facility.

# **Required Parameter Group**

All character parameters must be passed as EBCDIC. The invoking program should perform any code conversion.

The following table describes the API parameters:

Sequence	Parameter	How Used	Data Type	Required Parameter?
1	Allow operation	Output	Binary (4)	YES
2	Server name	Input	Char (10)	YES
3	Server Function name	Input	Char (10)	YES
4	OS/400 User Profile	Input	Char(10)	YES
5	Location Identifier	Input	Char(15)	YES
6	Format Name for Operation-specific information	Input	Char(10)	YES
7	Length of operation- specific information	Input	Binary (4)	YES
8	Operation-specific information	Input	Char (*)	YES
9	Profile handle	Input/Output	Char (12)	YES

#### Allow operation - OUTPUT; BINARY(4)

Indicates if this operation should be allowed or rejected. Valid values are:

0	Reject the operation
1	Accept the operation

#### Server name - INPUT; CHAR (10)

Identifies the user-written server for which the request is being made. Valid value is:

User-written server	The name of the user-written server for which the request is being made. Enter the server name in
	uppercase.

#### Server Function name - INPUT; CHAR (10)

Identifies the user-written server function for which the request is being made. Valid value is:

User-written server function	The name of the user-written server for which the request is being made. Enter the server function in
	uppercase.

#### OS/400 User Profile - INPUT; CHAR (10)

Identifies the user profile for which the request is being made. Valid values are:

OS/400 User Profile ID	A valid name assigned to an OS/400 user profile. Enter	
	the user profile in uppercase.	

#### Location Identifier - INPUT; CHAR (15)

Identifies the IP address or SNA device for which the request is being made. Valid values are:

IP address	A valid IP address.
SNA device name	A valid SNA device name. Enter the device name in uppercase.
*NONE	No location identifier is provided. The job's name is used for the location identifier.
Blank	No location identifier is provided. The job's name is used for the location identifier.

#### Format name for operation-specific information - INPUT; CHAR(10)

Indicates the format name for provided operation-specific information. Valid values are:

Format name	The format name to be used to format the provided operation-specific information.
*NONE	No format name is being provided.
Blank	No format name is being provided.

#### Length of operation-specific information - INPUT; BINARY(4)

Indicates the length (in bytes) of the operation-specific information, or 0 if no operation-specific information is provided. Valid values are 0 through 32767. A value of 0 forces memorized transaction rule verification to be ignored, regardless of rule actions.

#### Operation-specific information - INPUT; CHAR (\*)

Information to be used when writing audit journal entries, capturing transactions, and verifying memorized transaction rules. Must not exceed 32767 bytes in length.

#### Profile Handle - INPUT/OUTPUT; CHAR (12)

On the first call to the generic exit point program the Profile Handle is blank. If a switch occurs within Network Security, the original user's profile handle is returned. If a profile handle is returned, the application running needs to call the generic exit point program with the profile handle to release the profile swap (a call to the Generic Exit Program is by reference).

#### **Usage Notes**

If the server name you provide is a server that is supported by Powertech, the operation is rejected. Powertech supports the following servers.

NOTE: You can use the LWRKGENSRV command to maintain any servers that are not listed.

Server	Description
*CLI	CLI Connection Server
*CNTRLSRV	License Management Central Server
*DATAQSRV	Optimized Data Queue Server
*DDM	DDM Server
*DQSRV	Data Queue Server
*DRDA	Distributed Relational Database
*FILESRV	File Server
*FTPCLIENT	FTP Client
*FTPREXEC	FTP Execute Remote Command (REXEC)
*FTPSERVER	FTP Server

Server	Description
*FTPSIGNON	FTP Signon Server
*LMSRV	License Management Server
*MSGFCL	Message Function
*NDB	Native Database Request
*REXEC_SO	Rmt Execute Command Signon Server
*RMTSRV	Remote Command Server
*RQSRV	Remote SQL Server
*RTVOBJINF	SQL Retrieve Object Information
*SIGNON	Signon Server
*SQL	Database Server Initialization
*SQLSRV	SQL Server
*TELNET	Telnet Device Init/Term
*TFRFCL	File Transfer Server
*TFTP	Trivial FTP
*VPRT	Virtual Print Server
QNPSERVR	Network Print Server

# Work with Add-On Servers (LWRKGENSRV)

Work with Add-on Servers is used to maintain customer and business partner servers and their function using Network Security's Generic Exit program. Network Security supported servers and their functions cannot be maintained with this process. Type an option next to a specific server and press Enter. You can type option numbers next to more than one Server at a time. This allows you to perform more than one task at a time. If you see 'More...' in the lower right corner of your display, there is more information to be listed. Press the Page Down (Roll Up) key to move toward the end of the Servers listed. Press the Page Up (Roll Down) key to move toward the beginning of the Servers listed.

```
PLNR0100
PowerTech Network Security
Work with Add-On Servers
System: HS42
Position to:
Type options, press Enter.
2=Change 4=Delete
Opt Server Name Description

PowerTech Network Security

F3=Exit F5=Refresh F6=Add F7=Select System F12=Cancel
```

### **Options**

## 2=Change

Change an Add-on Server and its functions.

#### 4=Delete

Delete an Add-on Server and its functions.

# **Option**

This column is used to perform different operations on individual Add-on Servers and their functions. Type your option selection next to a Server Name and press Enter. You can type the same option next to multiple Add-on Servers. You may also type different options next to different Add-on Servers.

Available options are:

#### 2=Change

Change an Add-on Server and its functions.

#### 4=Delete

Delete an Add-on Server and its functions.

#### Server Name

The name of an Add-on Server.

#### **Server Description**

The description of the Add-on Server.

#### **Command Keys**

**F3** (Exit): Exit the program without processing any pending changes.

F5 (Refresh): Refresh the screen.

**F6 (Add):** Add a new server and its functions.

F7 (Select System): Use this command key to work with data from a different System.

F12 (Cancel): Exit the screen without processing any pending changes.

# Adding a New Add-On Server

Enter the following information to define the add-on server:

PLNR0110	PowerTech Network Security Maintain Add-On Server Functions	12:49:36 DEMETER
	me : <u>ABCCOMPANY</u> on : <u>Server for ABC Company</u>	
Descrip Control	<u>ty Audit Immed. Msg Capture Profile Program</u>	ntal Exit Library
·	ons, press Enter. 2=Change, 4=Delete	
Option Se	(No records to be displayed)	
F3=Exit	F4=Prompt F5=Refresh F12=Cancel	

Enter the following information to define the add-on server:

#### **Server Name**

Enter a name for the add-on server.

# **Server Description**

Enter a brief description of the server.

# \*All Function Properties

These values allow you to specify the final defaults for a server's functions.

# **Description**

Enter a description for the \*ALL function. This is a required field.

# **Controlling Area Name**

Enter the name of the control structure used by the Network Security Generic Exit Program.

# **Authority**

The authority assigned to the server function. The value you enter is used when \*SRVFCN authority is placed on a location, user, or memorized transaction request.

#### **Audit**

Controls the type of requests Network Security will log.

## **Immediate Message**

Allows you to specify if Network Security sends a message to the message queue specified in its System Values.

## Capture

Captures transactions for Memorized Transaction Request (MTR).

#### **Switch Profile**

The name of a switch profile for the server. If you enter a profile name, processing is swapped to run under this profile's authority. This is valid only for authorities \*SWITCH and \*MEMSWITCH.

## Supplemental Exit Program

The exit program to run after Network Security's Generic Exit Program has successfully processed a request. The supplemental exit program is invoked only for authorities \*OS400, \*MEMOS400, \*SWITCH, and \*MEMSWITCH. Network Security's rules must be enforced for a supplemental exit program to execute.

# **Supplemental Exit Program Library**

The library where the supplemental exit program resides. Special values such as \*LIBL are not allowed.

## **Specific Functions for the Server**

These values allow you to specify processing options for different operations on individual server functions.

## **Option**

Enter one of the following options next to a specific function.

**NOTE**: You can enter an option number next to more than one server at a time.

- 1 Allows you to add a new add-on server function.
- 2 Allows you to change an add-on server function's properties.
- 4 Deletes the add-on server function.

#### **Server Function**

The name of the function for an add-on server.

# **Binary Equivalent**

The binary equivalent of the function for an add-on server. A binary equivalent is an eight digit numeric value representing the server function. A binary equivalent cannot be used more than once per server. Possible values are I through 99998887, 99998889 through 99999997, and 999999999.

# Description

The description of the server function options.

#### Changing an Add-On Server

To change the settings for an add-on server, enter option **2** next to the server to display the Change Add-On Server panel. Enter your changes and press **Enter**. The changes take effect immediately.

## Deleting an Add-On Server

**NOTE**: You must delete all server functions before deleting the add-on server.

To delete an add-on server, enter option 4 next to the server you want to delete and press Enter. The server is deleted immediately.

#### Work with Add On Servers panel

# **Example of a Generic Exit Point Program**

The following is an example of an RPG ILE application program that incorporates a Network Security Generic Exit Point program.

```
H Copyright ('Copyright (c)2015 The Powertech Group. + H All Rights Reserved.')
```

This example is provided 'as is' with no warranties either expressed or implied.

```
H*
                                                               */
                                                               */
H*
           Copyright (c) 2015 The Powertech Group.
                     All Rights Reserved.
                                                               */
Η*
Н*
          THE POWERTECH GROUP CONFIDENTIAL INFORMATION.
Н*
           (For the full text execute command LCOPYRIGHT).
                      * * * * * * * * * * * * * * * * * * *
H*
H* Program : Use Gexit
H* Description: Example of calling of the default exit program.
H* Purpose : This module is an example of the use of the default
              exit program. It is an update engine for the file
Η*
H*
              TestFile. It checks to see if the user is allowed to
H*
              update or add records to the file and checks the
H*
              key to be update/added against memorized transactions.
         _____
H*
H* Compile Instructions: H*
H* This module is first compiled as a module. It is then bound with
H* the following modules to create program Use Gexit. H*
H* Module Name Description
H* -----
H* Use Gexit Driving module
Н*
```

```
H* Command CRTPGM is used to create executable program Use Gexit.
Н*
H* Parameter Setting
H* -----
H* MODULE Use_Gexit
H* ENTMOD
        Use Gexit
H* ACTGRP *CALLER H*
FTestFile uf a e
                   k disk
D*----
D*
D* Procedure Definition
                   EXTPGM('USE_GEX1T')
12
D Use gexit PR
D lnboundKey
D lnboundUpdate
                   32060
D*
D* Procedure Interface
D*
D Use gexit Pl
                    12
D lnboundKey
D lnboundUpdate
                   32060
D*
D* Generic Exit Point Prototype
                     ExtPgm('PLKR107GEP')
D PLKR107GEP PR
                      9b 0
D ReturnAllow
                      10
D ServerNameln
                     10
D SrvFunctionln
D UsrProfileln
                    10
D Locationln
                     15
D FormatNameln
                      10
                   9b 0
D LengthDataln
                   32767
D OperDataln
D ProfileHandle
                    12
D ReturnAllow S
                      9b 0
D ServerNameln S
                     10
D SrvFunctionln S
                     10
D UsrProfileln s
                   10
                    15
D Locationln S
D FormatNameln S
                      10
                    9b 0
D LengthDataln S
D OperDataln S
                   32767
D ProfileHandle s
                    12
d* copy the program status data structure d/copy @pgsds
D*-----
C*
С
   lnboundKey chain testfile
С
             if
                    %found
```

```
SrvFunctionln = 'UPDATE'
С
                     eval
                     else
С
                               SrvFunctionln = 'ADD
                     eval
С
С
                     endif
C
                               ServerNameln = 'TESTFlLEUP'
                     eval
С
С
                     eval
                               UsrProfileln = @pgusr
                               OperDataln = %trim(lnboundKey)
                     eval
С
                               LengthDataln = %len(%trim(lnboundKey))
С
                     eval
С
                     call (E)
                               'PLKR107GEP'
С
                     Parm
                                              ReturnAllow
С
С
                     Parm
                                              ServerNameln
                                              SrvFunctionln
С
                     Parm
                                              UsrProfileln
С
                     Parm
С
                     Parm
                                              Locationln
С
                     Parm
                                              FormatNameln
                     Parm
                                              LengthDataln
С
С
                     Parm
                                              OperDataln
                                              ProfileHandle
С
                     Parm
C*
                     if
                                              ReturnAllow = 1
C
                     if
                                              SrvFunctionln = 'ADD
С
С
                     eval
                                              tfkey = lnboundKey
                                              tfdata = lnboundUpdate
С
                     eval
                                              tstf
С
                     write
С
                     else
                                              tfdata = lnboundUpdate
С
                     eval
                     update
                                               tstf
С
С
                     endif
                     endif
С
С
                     return
```

# Appendix D: Backing Up Network Security

When you perform a SAVLIB on a Powertech Network Security library, everything is saved except the following files:

- PLKCAP
- PLKCAPCNT

These files both are used for captured transactions and are not saved because they are open for update if summarization is active.

If you do want to perform a full backup, use the Save While Active parameter on the SAVLIB command to back up the entire library.

For example, you can enter the following command to save the entire library, plus the two captured transaction files:

If your product library is PTNSLIB07:

SAVLIB LIB(PTNSLIB07) DEV(TAP01) SAVACT(\*LIB) SAVACTWAIT(30) + SAVACTMSGQ(QSYSOPR)

If your product library is PTNSLIB:

SAVLIB LIB(PTNSLIB) DEV(TAP01) SAVACT(\*LIB) SAVACTWAIT(30) + SAVACTMSGQ(QSYSOPR)

# **Appendix E: Telnet Validation**

Network Security allows you to exclude attempts to initiate Telnet sessions, based on validated password level.

IBM provides three levels of password validation:

- No validation
- Clear text password validation
- Encrypted password validation

The TELNETPVL command lets you customize Network Security, which, if enabled, requires password verification on TELNET. To use the validation feature, users must use the 5250E protocol that allows the user ID to pass as part of the sign-on request.

To set up validation, enter the following command on a command line to display the Telnet Verification Levels panel:

#### **TELNETPVL**

```
Telnet Verification Levels (TELNETPVL)
Type choices, press Enter.
                                                  *ALL, *PASSWORD, *ENCRYPTED
Required verification level . .
                                   *ALL
                                   *YES
Log requests .
                                                  *YES. *NO
Send message on failure . . . .
                                   <u>*NO</u>
                                                  *YES, *NO
        F4=Prompt
                      F5=Refresh
                                   F12=Cancel
F3=Exit
                                                F13=How to use this display
F24=More keys
```

### **Telnet Verification Levels Fields**

You can enter the following on the Telnet Verification Levels panel:

# Required verification level

Specify the verification level necessary to accept a Telnet request. Possible values are:

*ALL	Accept Telnet initialization requests when a client's password was not validated, or no password was received. If set to *ALL, any password verification level is allowed.
*PASSWORD	Accept Telnet initialization requests when a client's clear text password was validated, or an encrypted password was validated. Any Telnet requests where a client's password was not validated, or no password was received, are rejected by Network Security. If set to *PASSWORD, the settings passed by the Telnet exit point are checked to see if the password has been validated. If it hasn't, the connection is rejected.
*ENCRYPTED	Accept Telnet initialization requests when a client's encrypted password was validated. Any Telnet requests received where the password is not validated as encrypted are rejected by Network Security. If set to *ENCRYPTED, the settings passed by the Telnet exit point are checked to see if the encrypted password has been verified. If not, the connection is rejected. When you specify *ENCRYPTED, the connection must be a secured socket connection.

#### Log requests

Specifies if Network Security should log rejected password verification attempts. A password verification is rejected when either of the following occurs:

- Verification level \*PASSWORD is specified, but the password was not verified.
- Verification level \*ENCRYPTED is specified, but password was verified as a clear text password, or password verification did not occur.

#### Possible values are:

*YES	Log rejected password verification attempts.
*NO	Do not log rejected password verification attempts.

#### Send message on failure

Specifies if Network Security is to send a message to the message queue defined in the system values when a password verification failure has occurred. Possible values are:

*NO	Do not send a message when a failed password verification occurs.
*YES	Send a message when a failed password verification occurs.

# **Appendix F: Servers and Applications**

The following tables list <u>servers</u> and their applications according to client function and server used. Because this information is subject to change by IBM, you should check the IBM Web site for current

#### information.

Client Function	Server Used
.NET Data Provider	Database Server Signon server Central server QXDAEDRSQL server
IBM Toolbox for Java	Signon server Central server File server Database Server DRDA(R) and DDM server Data queue server Remote command and distributed program call server Network print server
Data Transfer	Signon server Central server Database server
ODBC driver	Signon server Database server
Access integrated file system from iSeries Navigator	File server
Data queue APIs OLE DB provider	Data queue server Data queue server Database server Remote command and distributed program call server Signon server
Extended Dynamic Remote SQL server (QXDAEDRSQL)	Signon server Central server QXDAEDRSQL server (no exit point provided)
License management Done when an application that requires a license is started (Data Transfer and 5250 emulation)	Central server

Client Function	Server Used
Retrieve conversion map Done only on initial connection if the client does not contain the required conversion maps	Central server
Remote command functions	Remote command and distributed program call server
Distributed program call	Remote command and distributed program call server
Send password for validation and change expired password (TCP/IP)	Signon server
Network Print	Network print server

# **GUI and Programming Interfaces**

# File Server Objects:

Program Name	Library	Object Type	Description
QPWFSERVSO	QSYS	*PGM	Server program
QPWFSERVS2	QSYS	*PGM	Server program
QPWFSERVSD	QSYS	*PGM	Daemon program
QPWFSERV	QSYS	*JOBD	Job description used for server jobs
QPWFSERVER	QSYS	*CLS	Class used for all file server and database server jobs
QPWFSERVSS	QSYS	*PGM	SSL server program

## **Database Server Programs:**

Program Name	Library	Description
QZDASOINIT	QSYS	Server program
QZDASON2	QSYS	Sockets setup program
QZDASRVSD	QSYS	Daemon program

Program Name	Library	Description
QZDASSINIT	QSYS	SSL server program
Note: The QZDANDB and QZDACRTP *PGM objects along with the		
*SRVPGM object QZDASRV are used by the database server.		

## Data queue server program provided for use with sockets support:

Program Name	Library	Description
QZHQSSRV	QSYS	Server program
QZHQSRVD	QSYS	Daemon program

#### **Network Print Server:**

Program Name	Library	Description
QNPSERVS	QSYS	Server program
QNPSERVD	QSYS	Daemon program

## **Central Server Programs:**

Program Name	Library	Description
QZSCSRVS	QSYS	Server program
QZSCSRVSD	QSYS	Daemon program

## Remote command and distributed program call server programs:

Program Name	Library	Description
QZRCSRVS	QSYS	Server program
QZRCSRVSD	QSYS	Daemon program

## **Signon Server Programs:**

Program Name	Library	Description
QZSOSIGN	QSYS	Server program
QZSOSGND	QSYS	Daemon program

## Server Port Mapper:

Program Name	Library	Description
QZSOSMAPD	QSYS	Server port mapper program

#### **QXDAEDRSQL Server Programs:**

Program Name	Library	Description
QXDARECVR	QSYS	Server program
QXDALISTEN	QSYS	Daemon program
<b>Note:</b> The QXDAEVT and QXDAIASP *SRVPGM objects are used by the QXDAEDRSQL server.		

#### **DRDA/DDM Server Programs:**

Program Name	Library	Description
QRWTSRVR	QSYS	Server program
QRWTLSTN	QSYS	Listener program

# Appendix G: Network Security and IPv6

Internet Protocol Version 6 (IPv6) is the next-generation protocol designed to replace IPv4. The primary difference between IPv6 and IPv4 is that IPv6 supports a greater number of IP addresses and is designed to meet the demand from Internet-capable devices in the future. Unlike IPv4, which uses 32-bit addressing, IPv6 addresses are 128-bits to handle the increased demand for IP addresses.

Network Security 6.0 is IPv6-tolerant. That means that Network Security can "tolerate" IPv6 addresses in every exit point managed by Network Security 6.0.

# **Network Security and IPv6 tolerance**

A system can have both IPv6 and IPv4 address types active. However, when Network Security detects an IPv6 client address, the IPv6 address will be "tolerated" by converting it to an IPv4 address. Whenever possible, the IPv4 address will be the address associated with the client.

If no IPv4 address can be determined, but a valid IPv6 address is supplied, Network Security uses a default address (for example, 192.168.255.0). The default IP address is generated so you can make a decision on how to set rules.

If no valid address can be determined, Network Security uses second default address (for example, 1.0.0.0).

**NOTE**: IPv6 "tolerance" differs from actual support of IPv6. Actual support of IPv6 will be included in a later release of Network Security, as determined by need.

# Appendix H: Securing the \*SQL vs. \*SQLSRV Server

When securing these servers, note the \*SQLSRV functions are only available if the \*SQL server is available. (The \*SQL server is like the front door to a house, and the \*SQLSRV functions are like the various drawers and closets within that house.) You can block all \*SQLSRV functions by blocking the \*SQL server. When the \*SQL server is available, rules can be used to control access to all the \*SQL server functions individually.

# Appendix I: Order of Evaluation

Network Security follows a hierarchy when performing rule checking to validate the user's request. The following tables list the basic hierarchy for location, user, and object rule evaluation. For a complete description of the rule hierarchy, including Pre-filters, see Appendix K: Rules Hierarchy.

Network Security always evaluates rules in sequence from the most specific to the least specific.

# **Location Rules-Order of Evaluation**

If a more specific rule exists, it is evaluated first. Once a rule is selected, further checking stops. The following table shows the order of evaluation for location rules.

<b>Evaluation Sequence</b>	Function Name	Location
1	Function being requested	Location request came from
2	*ALL	Location request came from
3	*ALL	*ALL
4	*	Check next higher level

**NOTE**: For new installations only, all Location rules default to the rule \*USER. For existing users, if there is no location rule, Network Security looks at the Server level for the appropriate action to take.

# **User Rules-Order of Evaluation**

The following table shows the order of evaluation for user rules.

Search Sequence	Function Name	User
1	Function being requested	User making request
2	*ALL	User making request
3	Function being requested	Primary group profile of user making request
4	*ALL	Primary group profile of user making request
5	Function being requested	Supplemental group profiles of user making request
6	*ALL	Supplemental group profiles of user making request
7	Function being requested	*PUBLIC
8	*ALL	*PUBLIC

# **Object Rules-Order of Evaluation**

When evaluating object rules, the order also depends on whether the initiating \*MEMOBJ rule was a user rule or a location rule. For object rules, most specific is an exact match; after that, the length of the string, up to any wildcard characters, determines the order of evaluation. The following table shows the order of evaluation for object rules.

Search Sequence	Object Rule
*MEMOBJ User Rule	
1	Memorized transaction
2	Object rule for the user profile
3	Object rule for each supplemental group profile (if any), starting with the group profile
4	Object rule for user profile value *PUBLIC

Search Sequence	Object Rule
5	Object rule for the location
6	Object rule for the location group of the location (if any)
7	Object rule for the location value *ALL
*MEMOBJ Location Rule	
1	Memorized transaction
2	Object rule for the location
3	Object rule for the location group of the location (if any)
4	Object rule for the location value *ALL
5	Object rule for the user profile
6	Object rule for each supplemental group profile (if any), starting with the group profile
7	Object rule for the user profile value *PUBLIC

# Appendix J: Parameters and Default Values

# **Location Rules**

All default location rules include the same parameters and are set with the same default values.

Parameter	Default Value
<u>Location</u>	*ALL
<u>Function</u>	*ALL
Authority	*OS400
Aud	*
Msg	*
Сар	*
Switch Profile	*SRVFCN

# **User Rules**

All default location rules include the same parameters and are set with the same default values.

Parameter	Default Value
<u>User</u>	*PUBLIC
<u>Function</u>	*ALL
Authority	*OS400
Aud	*
Msg	*
Сар	*
Switch Profile	*NONE

# Appendix K: Rules Hierarchy

The order/hierarchy of the Network Security Version 6 rules are:

- 1. Pre-filters
- 2. Location Rules
- 3. User Rules

Below is an explanation of each category of rules with the order/sequence of the specific rules in each group.

# 1) Pre-filters

In version R06M10, Network Security implemented an enhancement for Pre-filters.

Pre-filters allow you to establish a one-to-one relationship between a specific IP address (location) and a user. The order of the Pre-filters are based on <u>server</u>, <u>function</u>, <u>location</u> and <u>user</u>.

**NOTE**: Pre-filters only have two valid actions, either (1) allow or (2) reject the transaction. If the Pre-filter allows the transaction, it continues onto the Location rules. If the Pre-filter rejects the transaction, no more checks are done for the transaction.

1-1) Exact Server, Exact Function, Exact Location, and User

The user is searched in the following order:

- 1-1-1) Specific individual user
- 1-1-2) Group profile
- 1-1-3) Supplemental group
- 1-1-4) All users or \*PUBLIC

1-2) Exact Server, Exact Function, Location Group, and User

The user is searched in the following order:

- 1-2-1) Specific individual user
- 1-2-2) Group profile
- 1-2-3) Supplemental group
- 1-2-4) All users or \*PUBLIC
- 1-3) Exact Server, Exact Function, Location (\*ALL), and User

The user is searched in the following order:

- 1-3-1) Specific individual user
- 1-3-2) Group profile
- 1-3-3) Supplemental group
- 1-3-4) All users or \*PUBLIC
- 1-4) Exact Server, Function (\*ALL), Exact Location, and User

The user is searched in the following order:

- 1-4-1) Specific individual user
- 1-4-2) Group profile
- 1-4-3) Supplemental group
- 1-4-4) All users or \*PUBLIC
- 1-5) Exact Server, Function (\*ALL), Location Group, and User

The user is searched in the following order:

- 1-5-1) Specific individual user
- 1-5-2) Group profile
- 1-5-3) Supplemental group
- 1-5-4) All users or \*PUBLIC
- 1-6) Exact Server, Function (\*ALL), Location (\*ALL), and User

The user is searched in the following order:

- 1-6-1) Specific individual user
- 1-6-2) Group profile
- 1-6-3) Supplemental group
- 1-6-4) All users or \*PUBLIC
- 1-7) Server (\*ALL), Function (\*ALL), Location (\*ALL), and User

The user is searched in the following order:

- 1-7-1) Specific individual user
- 1-7-2) Group profile
- 1-7-3) Supplemental group
- 1-7-4) All users or \*PUBLIC

# 2) Location Rules

Location rules, like the Pre-filters, are sequenced from the most specific to the least specific.

Once a rule is selected, further checking stops unless the rule is a \*MEMOBJ rule and neither a memorized transaction or an object rules exists for this transaction. The \*MEMOBJ rule is ignored and processing will continue down the sequential order to the next rule.

- 2-1) Specific location (name or IP address) and specific function
- 2-2) Specific location (name or IP address) and all functions (\*ALL)
- 2-3) Generic location (name or IP address) and specific function
- 2-4) Generic location (name or IP address) and all functions (\*ALL)
- 2-5) All locations (\*ALL) and all functions (\*ALL)

The evaluation for location rules are based on location, function, and authority.

If the Authority column is \*USER, Network Security proceeds to check the User Rules.

If the authority for the location rule has \*MEMxxx, Network Security checks for a memorized transaction or an Object Rule. If <u>no match is found</u>, it will continue down the sequence and perform the action from the Authority column of the next location rule.

- \*MEMOS400 Checks for a matched memorized transaction; if none are found, the transaction is allowed.
- \*MEMREJECT Checks for a matched memorized transaction; if none are found, the transaction is rejected.
- \*MEMSWITCH Checks for a matched memorized transaction; if none are found, the authority of the switch profile defined on the rule is used.
- \*MEMUSR Checks for a matched memorized transaction; if none found, the user rule for this transaction is searched.
- \*MEMOBJ Checks for a matched memorized transaction; if none found, the Object Rule for this transaction is searched.
- 2-6) \*MEMOBJ Location Rule

Object Rules (\*MEMOBJ) are associated either as a location or a user rule.

Object rules, like the other rules, are sequenced from the most specific to the least specific depending on whether the transaction was a memorized user or location rule. The most specific is an exact match, followed by the length of the string and/or the wildcard characters. The order depends on whether the initiating \*MEMOBJ rule was a user rule or a location rule.

- 2-6-1) Can be a memorized transaction for the location
- 2-6-2) Object rule for the specific location
- 2-6-3) Object rule for the location group of the location
- 2-6-4) Object rule for all locations (\*ALL)
- 2-6-5) Object rule for the specific user profile

- 2-6-6) Object rule for the group profile
- 2-6-7) Object rule for each supplemental group profile
- 2-6-8) Object rule for all users (\*PUBLIC)

# 3) User Rules

User Rules, like the other rules, are sequenced from the most specific to the least specific.

Once a rule is selected, further checking stops unless the rule is a \*MEMOBJ rule and neither a memorized transaction or an object rules exists for this transaction. The \*MEMOBJ rule is ignored and processing will continue down the sequential order to the next rule.

- 3-1) Specific User and specific function
- 3-2) Specific User and all functions
- 3-3) Group Profile and specific function
- 3-4) Group Profile and all functions
- 3-5) Supplemental Group and specific function
- 3-6) Supplemental Group and all functions
- 3-7) All users (\*PUBLIC) and specific function
- 3-8) All users (\*PUBLIC) and all functions

The evaluation for user rules are based on location, function, and authority.

If the authority for the user rule has \*MEMxxx, Network Security will check for a memorized transaction or an Object Rule. If no match is found, it will proceed down the sequence and perform the action from the Authority column of the next user rule.

- \*MEMOS400 Checks for a matched memorized transaction; if none are found, the transaction is allowed.
- \*MEMREJECT Checks for a matched memorized transaction; if none are found, the transaction is rejected.
- \*MEMSWITCH Checks for a matched memorized transaction; if none are found, the authority of the switch profile defined on the rule is used.
- \*MEMOBJ Checks for a matched memorized transaction; if none found, the Object Rule for this transaction is searched.
- 3 -9) \*MEMOBJ User Rule

Object Rules (\*MEMOBJ) are associated either as a location or a user rule.

Object rules, like the other rules, are sequenced from the most specific to the least specific depending whether the transaction was a memorized user or location rule. The most specific is an exact match, followed by the length of the string and/or the wildcard characters. The order depends on whether the initiating \*MEMOBJ rule was a <u>user rule</u> or a <u>location rule</u>.

3-9-1) Can be a memorized transaction for the user

- 3-9-2) Object rule for the specific user profile
- 3-9-3) Object rule for the group profile
- 3-9-4) Object rule for each supplemental group profile
- 3-9-5) Object rule for all user profiles (\*PUBLIC)
- 3-9-6) Object rule for the specific location
- 3-9-7) Object rule for the location group for the location
- 3-9-8) Object rule for all locations

# **Removing Default Rules**

This section describes the effects on, and functionality of, audit rules when removing default server rules for each of the rules tables. The path or hierarchical order of rule checking is presented at each rule table test. (No testing results are available for the individual profile and group profile ordering.)

The normal hierarchy of transaction rule processing takes the following path until an applicable rule is applied:

Pre-filter => Location (sub Mem&OBJTrans) => User (sub Mem&OBJTrans) => Global rule

The Global Rule, comprised of the settings in the Work with System Values panel, is the final collection of settings for a transaction if no prior rule can be applied to the request. Only in one situation does the path diverge from the above path. (See Location rules below.)

#### **Global Rule**

The global rule facility contains the final setting for any transaction if no other rules are applied to a transaction request. It's not possible to remove the global rule. The authority must contain a valid setting (\*OS400, \*REJECT, or \*SWITCH) and cannot be altered or saved without selecting one. The shipped default value is \*OS400.

#### **User Rules**

Removing User rules, such as the default \*ALL or \*PUBLIC, and named user rules, defers the decision to the Global Rule. If a transaction request cannot find any rule that would apply to an incoming transaction, the Global Rule for the authority setting and audit flags will be applied. If location rules are completely removed, and no \*USER rule is found, the user rules will be skipped completely. Without User rules, settings are inherited from the expected path:

Pre-filter=>Location=>Global Rule

#### **Location Rules**

Removing Location rules (Default and IP address) defers the audit trail to the Global Rule. If a transaction cannot find any rule that would apply to a transaction, the audit flags in the Global Rule will be applied. An interesting item noted here is the transaction event trail, if all location rules are removed, the trail is not steered to the User table. The test sequence does not pass from **Location => User** but skips directly to the Global rule. With Location Rules removed the expected path is:

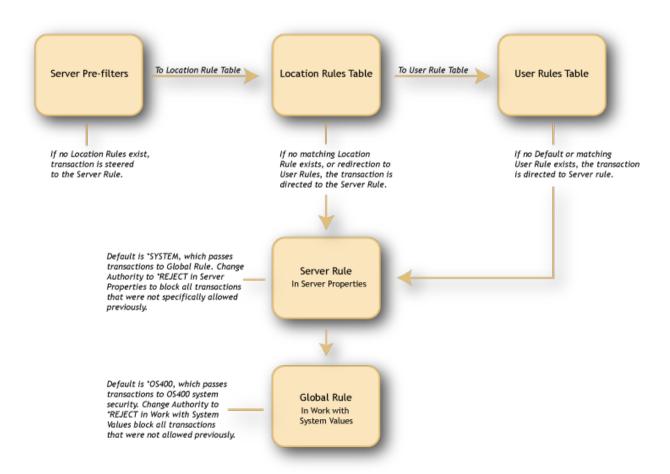
Pre-filter => Global Rule

#### **Pre-filter Rules**

It is not possible to remove the Server Pre-filters rules. You may remove the Location+User Pre-filters. Transaction requests are checked normally against location rules in the normal path.

## **Memorized and Object Rules**

MEM[trans] Location and User Rules (e.g. MemOS400 or MEMOBJ) will test the memorized transaction and Object Rule table respectively. If no rule match exists that can be applied, the transaction is directed to the rest of the applicable rules inside that Table (Location or User). If a match is not found, the transaction test will follow the path **Location=>User=>Global Rules** until a rule is found, or until it reaches the Global rule settings.



# Appendix L: Other Help

For help with the other components of HelpSystems Insite, see these user guides:

HelpSystems Insite User Guide

Access Authenticator Reference Manual

Automate Ops Console User Guide

Password Self Help for Insite User Guide

Deployment Manager for Insite User Guide

Robot Network for Insite User Guide

Robot Schedule for Insite User Guide

Webdocs for Insite User Guide

# Appendix M: Interface Changes in Network Security 7.08

Several changes were made to the green screen interface as part of the Network Security 7.08 update.

## Work with Security by Server

- The F23 key has been removed.
- CT=Captured Transactions has been removed in favor of F8=Captured Transactions.
- MT=Memorized Transactions has been removed in favor of F9=Memorized Transactions.
- F17=Top and F18=Bottom have been removed.
- UA=Edit User Authority now opens Work with Security by User instead of Work with Server User Authorities (functionality the same)
- LA=Edit Location Authority now opens Work with Security by Location instead of Work with Server Location Authorities (functionality the same)
- SP=Server Properties now opens <u>Change Server Function Rule</u> instead of the Server Properties Window (functionality the same).

# Work with Server Functions - Renamed "Work with Security by Server/Function" See Work with Security by Server/Function.

- The F23 key has been removed.
- CT=Captured Transactions has been removed in favor of F8=Captured Transactions.
- MT=Memorized Transactions has been removed in favor of F9=Memorized Transactions.
- F17=Top and F18=Bottom have been removed.
- UA=Edit User Authority now opens Work with Security by User instead of Work with Server User Authorities (functionality the same)
- LA=Edit Location Authority now opens Work with Security by Location instead of Work with Server Location Authorities (functionality the same)
- SP=Server Properties now opens <u>Change Server Function Rule</u> instead of the Server Properties Window (functionality the same).

# Work with Server User Authorities - Renamed "Work with Security by User" See Work with Security by User.

- Options 2=Change, 3=Copy, 4=Delete, and 5=Display added.
- F6=Create added. Opens Create User Rule panel. (Replaces adding to blank line method.)
- Typ field has been added. U=User, G=User Group.
- F21=User Groups added. Opens Work with User Groups panel.
- F16=Sort/Subset added.
- Subset by User changed to Position to User.
- F2=Global Rule Facility panel changed to Add/Change User Rule panel.
- F19=Properties detail removed in favor of 5=Display. See User Rule Derivation panel.

# Work with Server Location Authorities - Renamed "Work with Security by Location"

See Work with Security by Location.

- Options 2=Change, 3=Copy, 4=Delete, and 5=Display added.
- F6=Create added. Opens Create User Rule panel. (Replaces adding to blank line method.)
- F16=Sort/Subset added.
- Subset by Location changed to Position to Location.
- F2=Global Rule Facility panel changed to Add/Change Location Rule panel.
- F19=Properties detail removed in favor of 5=Display. See Location Rule Derivation panel.

# Server Properties Window - Renamed "Change Server Function Rule"

See Change Server Function Rule.

### Loc+User Pre-filters - Renamed "Work with Loc+User Pre-filters"

See Work with Loc+User Pre-filters.

- Typ field has been added. U=User, G=User Group.
- F21=User Groups opens Work with User Groups panel.

# **Work with Captured Transactions**

See Work with Captured Transactions.

- **F19** and **F20** command keys only allowed on View 3 (two F11's) (same as the Work with Memorized Transactions panel).
- 5 (display) shows starting position of displayed transaction and length of transaction.

#### Work with Memorized Transactions

See Work with Memorized Transactions.

- Labels for F17 (Top) and F18 (Bottom) have been added.
- U/G Typ flag for User Groups has been added.
- F21=User Groups opens Work with User Groups panel.
- 5 (display) shows starting position of displayed transaction and length of transaction.

## **Reports**

See Reports Menu.

- Panels that allow selection of user now have a **User Type** flag (U or G) to specify User or User Group.
- Option 15, 'Print User Groups" has been added.

# Other Help

For help with other Insite components and products supported by HelpSystems Insite, refer to the following resources:

Authority Broker Administrator's Guide

AutoMate Ops Console User Guide

AutoMate Schedule Ops Console User Guide

Crypto Complete for Insite User Guide

Deployment Manager User Guide

Event Manager for Insite User Guide

GoAnywhere MFT for Insite User Guide

HelpSystems Insite User Guide

Insite Analytics User Guide

Network Security Administrator's Guide

Password Self Help for Insite User Guide

Robot Network for Insite User Guide

Robot Schedule for Insite User Guide

Vityl IT & Business Monitoring for Insite User Guide